

**Respiratory Failure / Persistent Pulmonary Hypertension (PPHN) in Neonates**

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**Disclosure**

I have no actual or potential conflict of interest in relation to this presentation.

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**Outline**

- o Lung and Vascular Development
- o Fetal Circulation and Postnatal Transition
- o Pathophysiology of Neonatal Respiratory Failure /PPHN
- o Management of PPHN
  - o Conventional Mechanical Ventilation
  - o High Frequency Ventilation
  - o Medications; Inotropes & Vasodilators
  - o Nitric Oxide
  - o Surfactant
  - o ECMO

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**Lung Development**

Stages of lung development

- o Day 26 gestation- lung bud begins from foregut
- o Embryonic stage: Sacules develop 2 on left, 3 on right
- o Pseudoglandular stage: budding and branching to terminal bronchioles
- o Canalicular stage: Capillaries develop close to airway epithelium and respiratory bronchioles form

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**Lung Development**

Saccular stage:

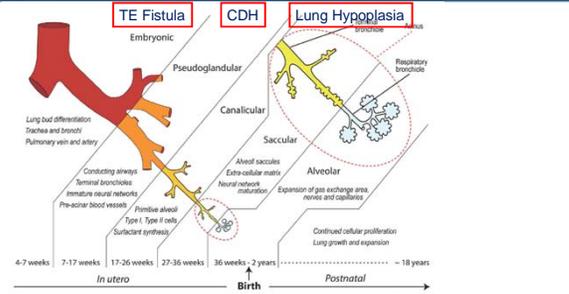
- o Primitive alveoli form, become lined by type 1 alveolar cells which allow → gas exchange
- o Saccules subdivide into terminal airway clusters
- o Increased vascularization of alveoli

Alveolar stage:

- o Type II cells → surfactant production
- o Further alveolar development- secondary septae, alveolar ducts

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**Lung Development Timeline**



TE Fistula, CDH, Lung Hypoplasia

Embryonic, Pseudoglandular, Canalicular, Saccular, Alveolar

4-7 weeks, 7-17 weeks, 17-26 weeks, 27-36 weeks, 36 weeks - 2 years, 18 years

In utero, Birth, Postnatal

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### Lung Development - Vascularity

Double capillary network fails to fuse → Alveolar-Capillary Dysplasia

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### Fetal Circulation

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### Pulmonary Vascular Transition

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### Fetal Circulation: PPHN

Normal

PPHN

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### Pathophysiology

- o Potential Causes of Respiratory Failure:
  - o Congenital Diaphragmatic Hernia (CDH)
  - o Meconium Aspiration Syndrome (MAS)
  - o Sepsis / Pneumonia
  - o PPHN
  - o RDS in late preterm/term
  - o Air leak

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### Pathophysiology

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### Diagnosis of PPHN

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### Congenital Diaphragmatic Hernia

- Pulmonary hypoplasia
  - Severe on ipsilateral side
  - Variable on contralateral side
  - Immature, abnormal lung
- Vascular complications

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### Congenital Diaphragmatic Hernia

- High mortality ≈ historically 50%, now much less
- ECMO survival = 54%
  - Non-transient, underlying abnormal lung

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### Meconium Aspiration Syndrome

- Most common reason for neonatal ECMO overall, highly successful (94% ECMO survival)
  - Referred early
- Non-homogeneous disease— areas of atelectasis mixed with over-distention
- Hypoxia/Acidosis/PPHN/Air leak

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### Meconium Aspiration Syndrome

- Etiology/physiology
  - Chronic asphyxia
  - Surfactant dysfunction – toxic pneumonitis
  - Air trapping
- Treatment
  - Suctioning decreases incidence of mild & moderate cases, but not severe MAS
  - Management of PPHN
  - ECMO if pre-ECMO therapies fail

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### Pneumonia

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### Pneumonia

- o Much less common reason for ECMO referral
- o Viral and bacterial
- o Long runs
  - o Average length = 210 hours
- o Survival poor for referrals
  - o Often identified late in course
  - o ECMO survival = 58%




### Respiratory Distress Syndrome

- o Potential ECMO candidate down to 35 weeks GA
- o Surfactant deficiency +/- immature lung structure
- o Homogeneous disease, generally responds to surfactant, HFV, or in rare event short ECMO run
  - o 84% ECMO survival




### Persistent Pulmonary Hypertension

- o End result of MAS, CHD, sepsis, RDS
- o Oligohydramnios/pulmonary hypoplasia, asphyxia...or idiopathic primary issue
- o 5-10% require ECMO
  - o 79% survival
- o Need to treat underlying cause, if known




### Air Leak Syndrome

- o Uncommon reason for requiring ECMO
- o Non-homogeneous disease
  - o But responds well to HFOV/Jet, which allows ventilation without high PIP
- o Intermediate success
  - o ECMO survival = 68%



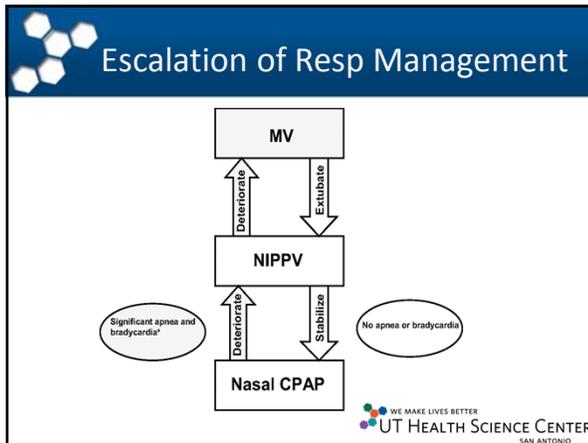

### Management of PPHN

- o Mechanical Ventilation
  - o SIMV modes
  - o HFV
- o Medications
  - o Inotropes
  - o Vasodilators
  - o Surfactant



### Mechanical Ventilation

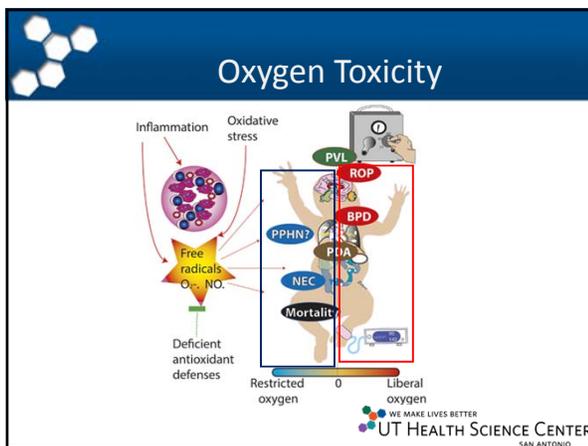


- ### Mechanical Ventilation
- o Mainstay of treatment of neonatal respiratory failure
  - o Improved CO<sub>2</sub> removal by increased minute ventilation
  - o Improved O<sub>2</sub>
    - o Increased FIO<sub>2</sub>
    - o Increased Paw
- But...it may cause**
- o Oxygen / inflammation injury
  - o Pressure / volume injury
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- ### Conventional Ventilation
- o "Old School"
  - o Hyperventilation to induce respiratory alkalosis
    - o Well known to decrease pulmonary vasoconstriction
    - o Mechanism unclear, but independent of NO
    - o Short term benefit
  - o Aggressive use of pressors and volume
  - o 100% FIO<sub>2</sub>
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- ### Conventional Ventilation
- o Adverse outcome in PPHN significantly related to duration of hyperventilation
  - o Hyperventilation associated with sensorineural hearing loss
  - o Oxygen toxicity
    - o Direct injury
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- ### Current Practice
- o Permissive hypercapnia/normocapnia
  - o Decreased duration of ventilation
  - o Lower tidal volume strategies
  - o Acceptance of low/normal pO<sub>2</sub> levels
  - o Lower PIP and higher PEEP
  - o Avoid reduction/swings in cerebral blood flow
- 
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### SIMV in Neonates

- Theoretical advantage
  - Decreased air leak
  - Decreased work of breathing
  - Improved stability of BP, CBF, minute ventilation
- However
  - Mostly extrapolated from adult literature
  - Few small suggestive “trend” studies only

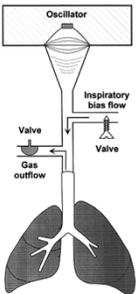
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### High Frequency Ventilation



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### High Frequency Ventilation



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### High Frequency Ventilation

- More successful with RDS or pneumonia than CDH or MAS
- Responders usually demonstrate response within 2-4 hours
- Among ECMO candidates:
  - Carlo: HFJV reduced  $P_{aw}$  and  $PaCO_2$ , but no difference in outcomes vs CMV
  - Clark: 31% vs 60% failure for HFOV vs CMV
  - May offer additional benefit with NO

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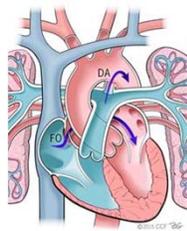
### High Frequency Ventilation

- HFJV, HFOV
- Theoretical advantage
  - Animal literature
    - Decreased HMD, lung injury in surfactant deficient models (baboon, rabbit)
  - Premature infant
    - When used correctly, may decrease CLD
    - Concern over IVH risk
- Extrapolate to older patient, other diseases?
  - Adequate ventilation without high PIP

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### Medical Therapy: Inotropes

- Raises systemic MAP, reduces R → L shunt
- Dopamine
  - Increases SVR and PVR
  - May lead to decreased LV output
- Dobutamine
  - Inotrope + vasodilator
  - May increase LV output by decreasing afterload



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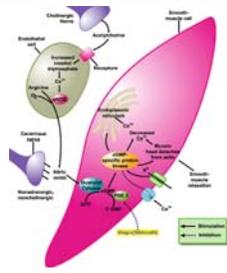
### Medical Therapy: Inotropes

- Epinephrine
  - Shown to increase BP & decrease PA pressure at low dose
  - At 0.2-0.8 mcg/kg/min may cause both systemic and pulmonary vasodilation
  - May have greater effect on SVR than PVR



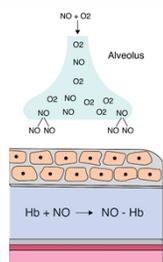
### Medical Therapy: Nitric Oxide

- Produced by NO synthase from L-arginine
- Activates guanylate cyclase by binding to heme component
- C-GMP binds to potassium channels
- Blocks influx of calcium




### Medical Therapy: Nitric Oxide

- High affinity for heme proteins
  - When delivered by inhalation, acts selectively on pulmonary vasculature
- Well studied in animals and term infants
  - Dose range 5-80 ppm
  - Rapid pulmonary vasodilation




### Medical Therapy: Nitric Oxide

- Meta-analysis of 9 randomized trials:
  - 58% of hypoxic near-term infants responded
  - Response within 30-60 minutes
  - PaO2 increased average of 45 torr
  - Risk of death or need for ECMO: 66% RR
- Concerns
  - Methemoglobinemia
  - Increased bleeding time?
  - Rebound effect (induction of phosphodiesterase V)
  - Long term unknown – neurodevelopment?

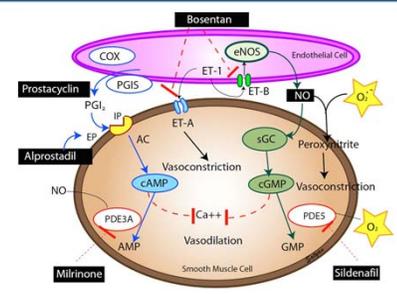


### Flolan (Epoprosterenol, PG I2)

- Prostacyclin, IV infusion
- Being used more in neonates, potential complement to nitric oxide
- More extensive experience with pulm HTN in adults
- Very short half-life



### Vasodilators for PPHN




### Medical Therapy: Surfactant

**Without Surfactant**

Alveoli **1** and **2** have equal surface tension  
**1** has higher pressure (due to smaller radius)  
**1** more likely to collapse and be harder to inflate

**With Surfactant**

**1** has less surface tension (more surfactant per area)  
**1** and **2** have equal pressure (due to surfactant)  
**1** will inflate at a faster rate than **2** (until equal in size)

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### Surfactant Use

- Standard therapy for primary surfactant deficiency
  - Improved survival and decreased morbidity
  - Incidence of BPD unchanged?
- Surfactant deficiency/dysfunction in other disease states
  - MAS: direct chemical effect
  - CDH: immature lung function



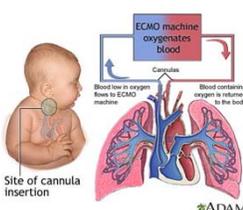
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### Summary of Management

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### Extra Corporeal Membrane Oxygenation (ECMO)

Form of cardiopulmonary bypass that provides support for patients with reversible respiratory and/or cardiac failure



Modes of ECMO:

- Venoarterial (VA)
- Venovenous (VV)

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### ECMO Indications

- Neonatal Respiratory Failure:
  - Congenital Diaphragmatic Hernia (CDH)
  - Meconium Aspiration Syndrome (MAS)
  - Sepsis/pneumonia
  - PPHN
  - RDS in late preterm/term
  - Air leak

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### ECMO Respiratory Criteria

- Oxygenation Index
  - $[(Paw \times FIO_2) / PaO_2] \times 100$
  - OI > 40 x 3 hrs
  - Post ductal
- AaDO<sub>2</sub>
  - $[(Patm - 47) \times FIO_2] - paO_2 - pCO_2$
  - AaDO<sub>2</sub> > 610 x 8 hours or > 600 x 12 hours

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### ECMO Contraindications

- o Significantly premature (<34 weeks???, < 2 kg???) - Risk for IVH
- o Severe asphyxia with multi-organ system injury
- o Prolonged vent course ???????
- o Certain congenital malformations
- o Ongoing hemorrhage or bleeding diathesis



### ECMO Complications

Physiologic Complications	Mechanical Complications
Intracranial Bleeding	Failure of oxygenator
Bleeding from surgical site	Pump failure
Hemolysis	Tubing rupture
Seizures	Cannula
Neurologic Complications	
Arrhythmia	
Pneumothorax	



### Potential ECMO Candidate

- o Post term, BG delivered via stat C-section for failure to progress and persistent late fetal decelerations
- o Pregnancy was complicated with meconium stained amniotic fluid and chorio. at OSH
- o Severe perinatal depression, intubated at 11 minutes of life and received surfactant after that



### Potential ECMO Candidate

- o NEURO: Placed on cooling protocol due to severe hypoxic ischemic encephalopathy
- o RESP: Initial blood gas pH 6.92 and BE -23.5. LA 10.7. Oxygen Index: rapidly increased from 58 to 80 by 12hrs of life. A chest tube was placed for a left pneumothorax
- o CV: Hypotension: on dopamine, dobutamine, epi and hydrocortisone
- o ID: Blood and trach culture positive for E.coli at 6hrs of life
- o Heme: Anemic and coagulopathic



### Potential ECMO Candidate

- o UHS transport team was called at around 12 hours of life and arrived at ~ 16 hrs of life. Upon arrival, infant oxygen saturations were in 60's on 100 % FIO2
- o During the whole course (24 hours of life), blood gases showed persistent acidosis (ph < 6.97) and worsening of respiratory failure
  - o Did the baby meet the ECMO criteria ?
  - o Would you place the baby on ECMO ?



### Summary

- o Newborn Lung is still developing when newborn lung disease occurs
- o Disease states are generally complicated by pulmonary hypertension, exacerbating the hypoxic respiratory failure
- o Large number of ventilatory strategies, devices, and medical therapies are available
- o Needs more studies to find out the un-answered questions



## Questions, Comments ?



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