



ROYAL INSTITUTE
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Harmonizing MAC and Routing in Low Power and Lossy Networks

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Outline

- Low power and lossy networks
 - MAC and routing interaction
 - Analytical model of IEEE 802.15.4 MAC and RPL
 - MAC-aware routing metrics
 - Experimental validation
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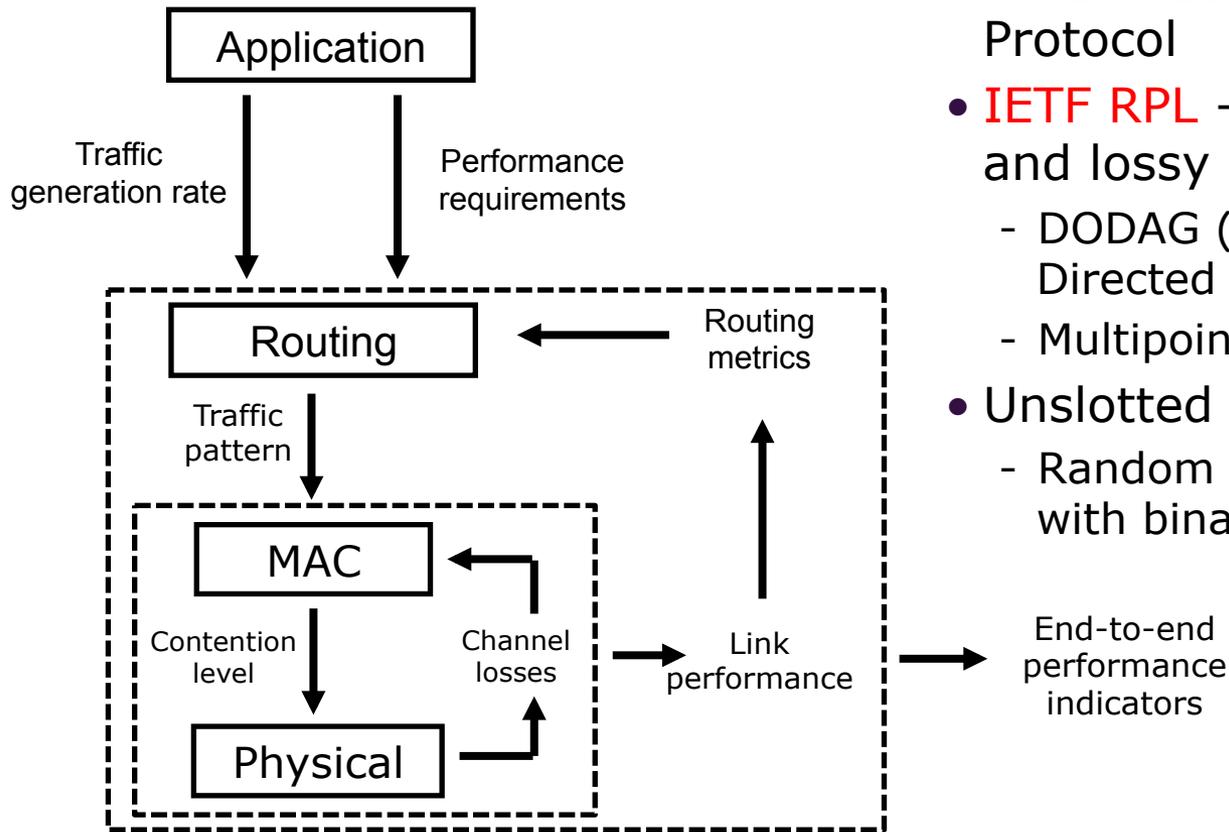
Low power and lossy networks (LLNs)

- Networks of embedded devices with **limited power**, memory, and processing resources wirelessly interconnected.
- Wide range of applications in **multi-hop scenarios**.
 - Industrial and building automation, smart cities...



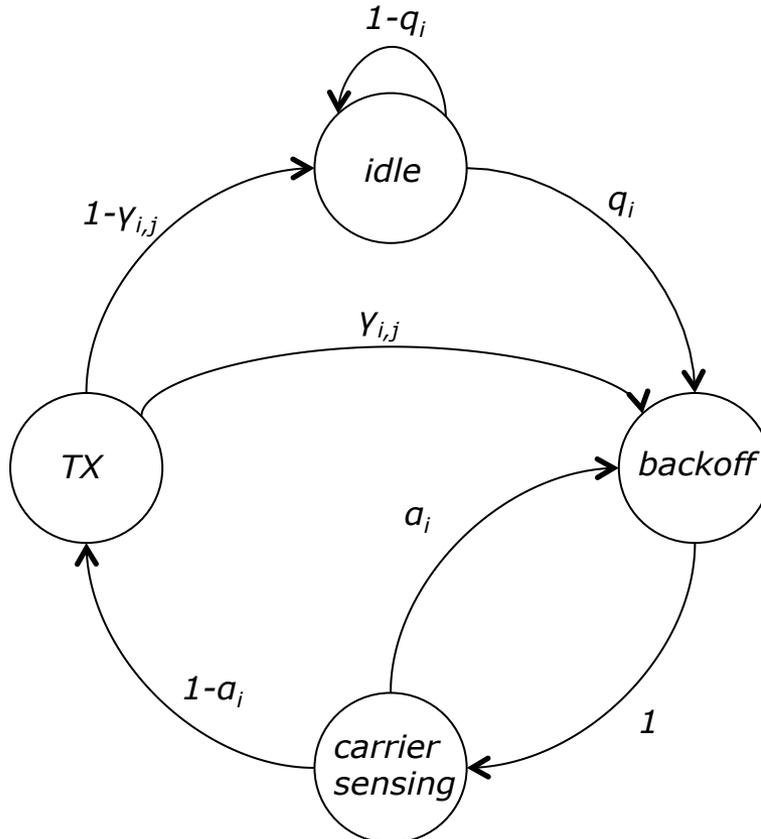
- Different applications with **different requirements** on the same infrastructure.
 - High reliability, low latency, energy efficiency, flexibility...
 - Dynamic routing and channel access.
 - Understanding **routing and MAC interactions** is fundamental for efficient network operations [IETF 6TiSCH WG 2013].
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Protocol interactions



- **CoAP** - Constrained Application Protocol
- **IETF RPL** - Routing over low power and lossy networks
 - DODAG (Destination-oriented Directed Acyclic Graph) structure
 - Multipoint-to-point communication
- Unslotted **IEEE 802.15.4** MAC
 - Random access based on CSMA/CA with binary exponential backoff (BEB)

IEEE 802.15.4 MAC model



Idle state → the node is in low power listening mode and it generates a packet with probability q_i .

Backoff state → the node delays for a random number of backoff units.

Carrier sensing state → the node performs a clear channel assessment (CCA).

- Busy channel (a_i) → the node increases the backoff exponent and go back to backoff state.
- Clear channel ($1-a_i$) → the node goes to TX state.

TX state → the node sends the packet and waits for an ACK

- Packet not acknowledged ($\gamma_{i,j}$) → the node restarts the transmission process.
- Packet acknowledged ($1-\gamma_{i,j}$) → the node returns to idle state and waits for the next packet generation.

After a maximum number of backoffs (m) and retransmissions (n) the packet is discarded.

MAC reliability

- The link reliability $R_{i,j}$ is typically estimated based on the number of received ACKs.

IDEA:

- Each node estimates its **busy channel** probability α_i during the CCA, and the **bad link** probability $p_{i,j}$ from link quality indicator (LQI) and received signal strength index (RSSI).
- The **packet loss** probability $\gamma_{i,j}$ is obtained as

$$\gamma_{i,j} = p_{\text{coll},i} + (1 - p_{\text{coll},i})p_{i,j} \quad p_{\text{coll},i} = \alpha_i / T_s \quad \begin{array}{l} \text{collision} \\ \text{probability} \end{array}$$

- Then, the reliability is calculated as

$$R_{i,j} = 1 - p_{cf} - p_{cr}$$

$$p_{cf} = \alpha_i^{m+1} \sum_{k=0}^n (\gamma_{i,j} (1 - \alpha_i^{m+1}))^k$$

$$p_{cr} = (\gamma_{i,j} (1 - \alpha_i^{m+1}))^{n+1}$$

Joint MAC and routing model

- The traffic distribution is the solution of a system of **flow balance** equations

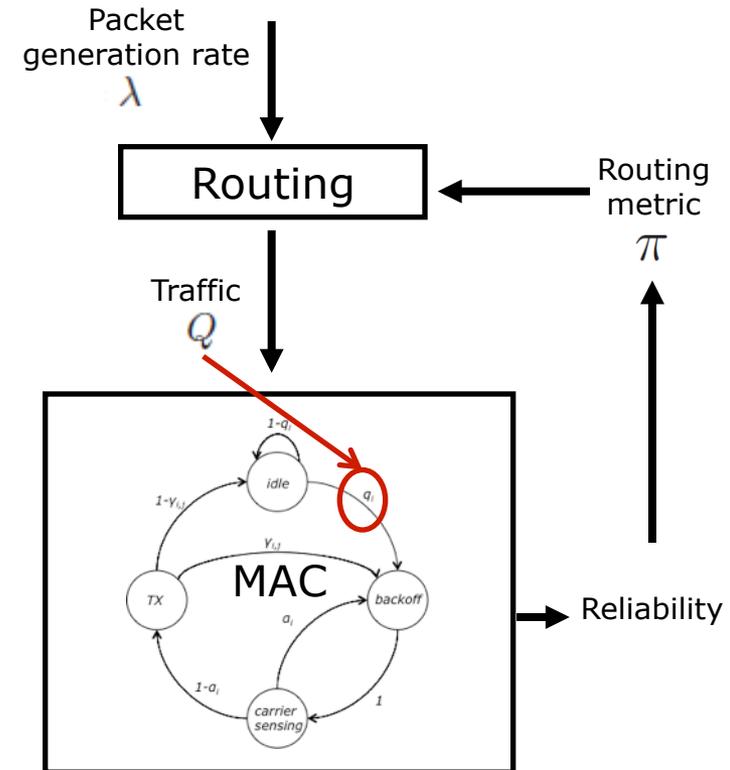
$$Q = Q T + \lambda$$

- T is the **routing matrix** such that

$$T_{i,j} = M_{i,j} R_{i,j}$$

$$M_{i,j} = \Pr \left[\pi_{i,j} = \max_{V_h \in \Gamma_i} \pi_{i,h} \right]$$

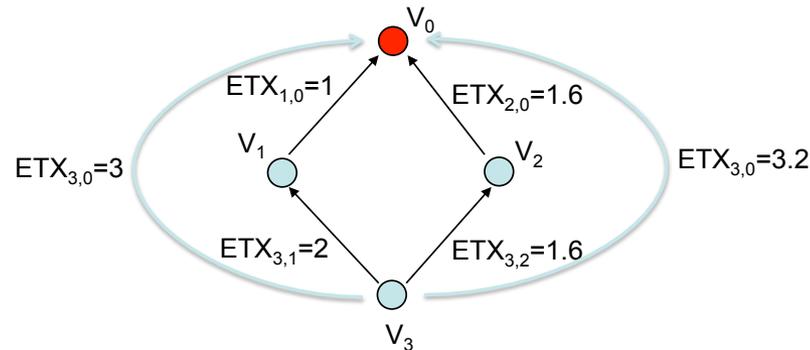
- Nodes know the value of the metric of candidate parents through **local communication** (DIO messages).



Routing metrics

- **Reliability / throughput** metrics: distribute the traffic through the paths with the highest successful reception probability / throughput.
 - **ETX** selects the path with the minimum expected number of retransmissions.
 - **Load balancing** metrics: distribute the traffic along various paths to maximize the network lifetime.
 - **Back-pressure** routing uses information on local queues and link state.
 - The presence of contention based MAC and low data-rates affect the performance of the metrics.
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ETX over IEEE 802.15.4



- ETX selects the path with the minimum total expected number of retransmissions (path V_3 - V_1 - V_0).
- The IEEE 802.15.4 MAC sets a maximum number of retransmissions n allowed in each link, and the end-to-end delivery ratio is affected.

End-to-end delivery ratio

	$n=0$	$n=1$	$n=2$	$n=3$	$n=4$
Path V_3 - V_1 - V_0	50%	75%	87%	93%	97%
Path V_3 - V_2 - V_0	39%	74%	89%	96%	99%

Proposed routing metrics

- **R-metric** extend the ETX metric to account for **losses** due to **contention** and limited number of retransmissions at MAC layer.

- Nodes forwards their packets by selecting a parent such that

$$\underset{j \in \Gamma_i}{\text{maximize}} \quad R_{i,j} \cdot R(j) \quad R(i) = R_{i,0}$$

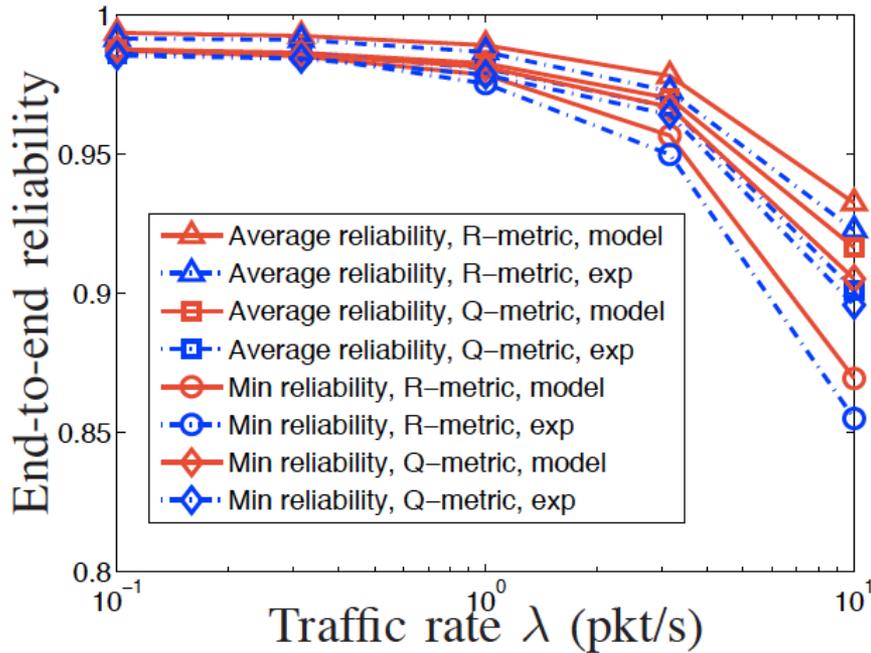
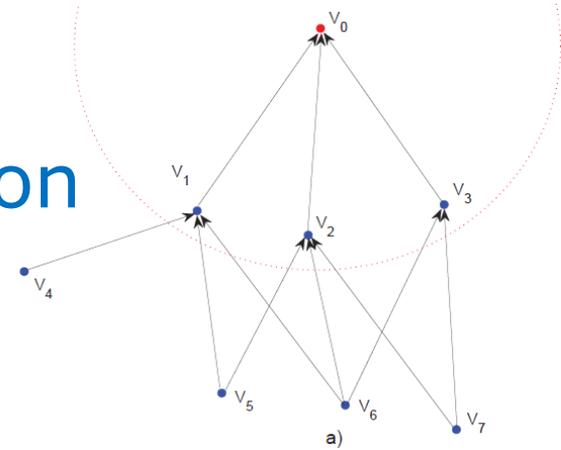
- The set of candidate receivers is composed by the set of nodes that can guarantee a progress towards the destination, according to RPL.

- **Q-metric** distributes the forwarded traffic to provide **load balancing** in the network.

- Nodes forwards their packets by selecting a parent such that

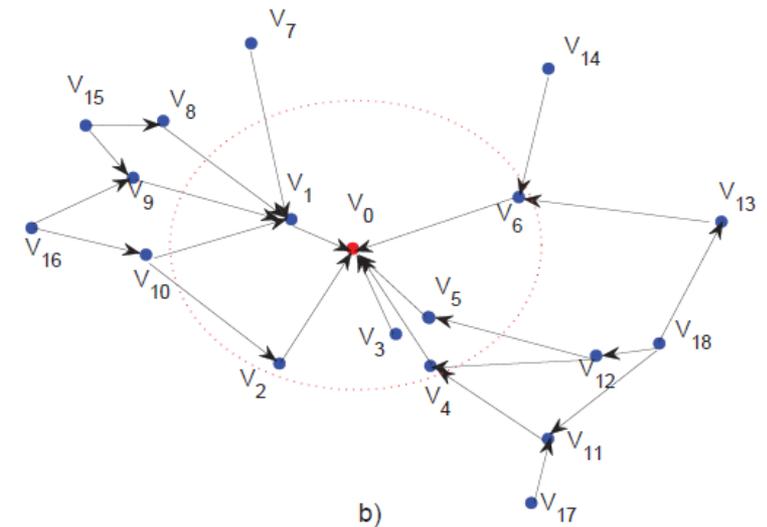
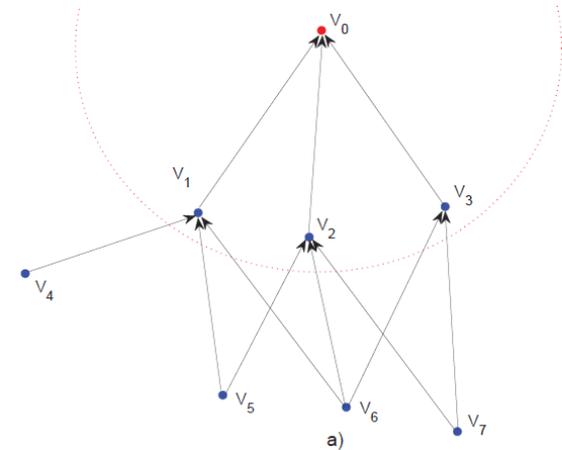
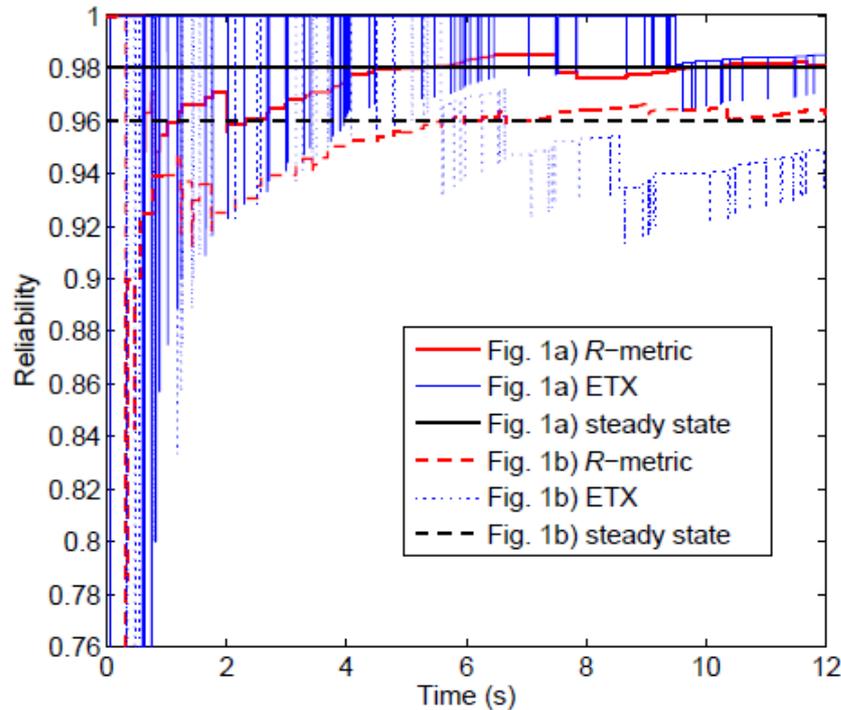
$$\begin{array}{ll} \underset{j \in \Gamma_i}{\text{minimize}} & P_t Q_j + P_r (Q_j - \lambda_j) \\ \text{subject to} & R_{i,j} \cdot R(j) \geq R_{\min}, \end{array} \quad \begin{array}{l} P_t \quad \text{TX power consumption} \\ P_r \quad \text{RX power consumption} \\ Q_j \quad \text{forwarded traffic} \end{array}$$

Experimental validation



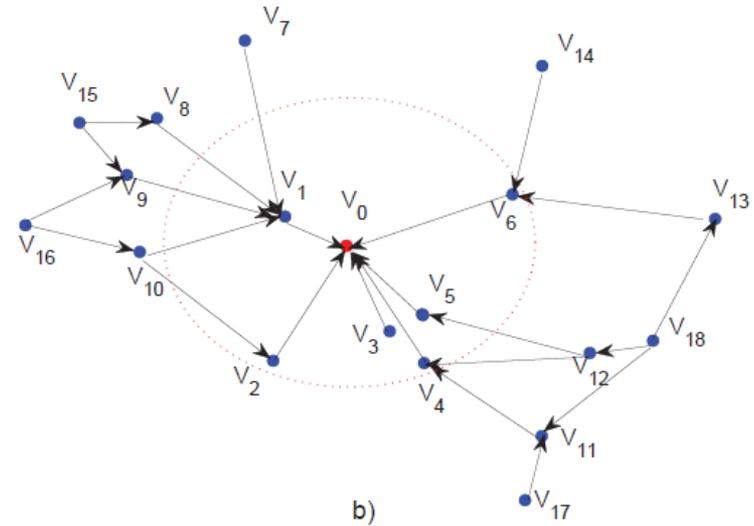
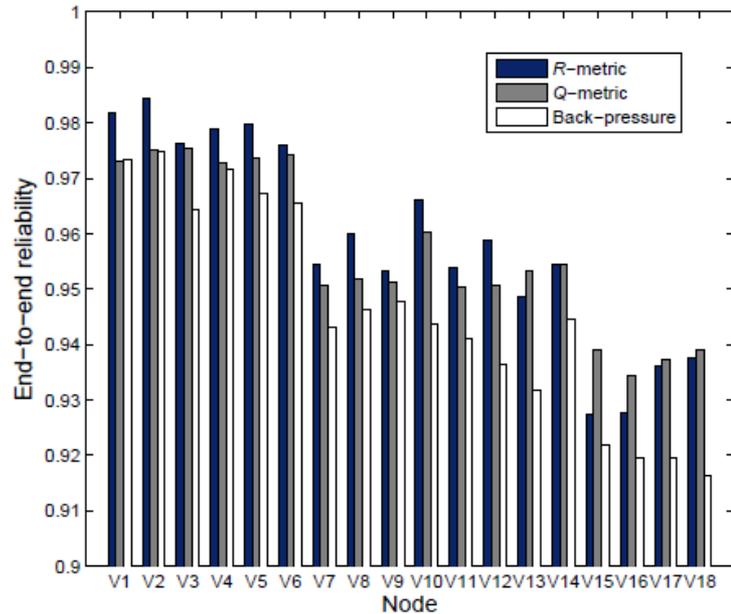
- The R-metric achieves the best average performance from a network perspective.
- The Q-metric is preferable if a guaranteed reliability is required for all paths in the network (which is desired in LLN applications).

Reliability estimation



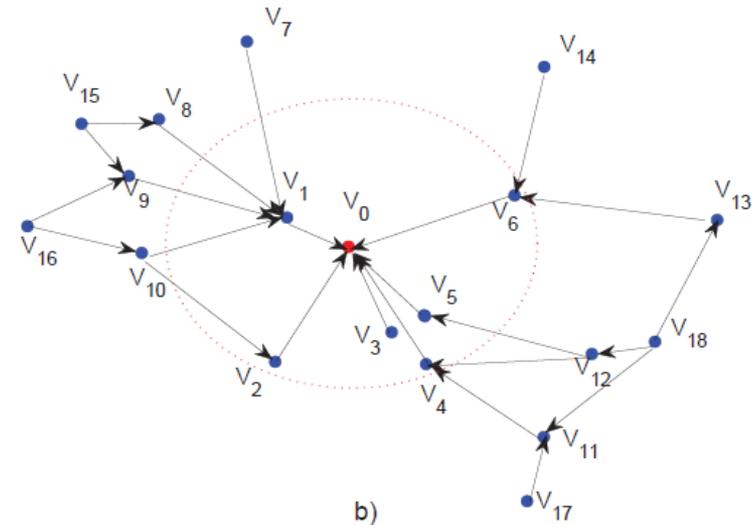
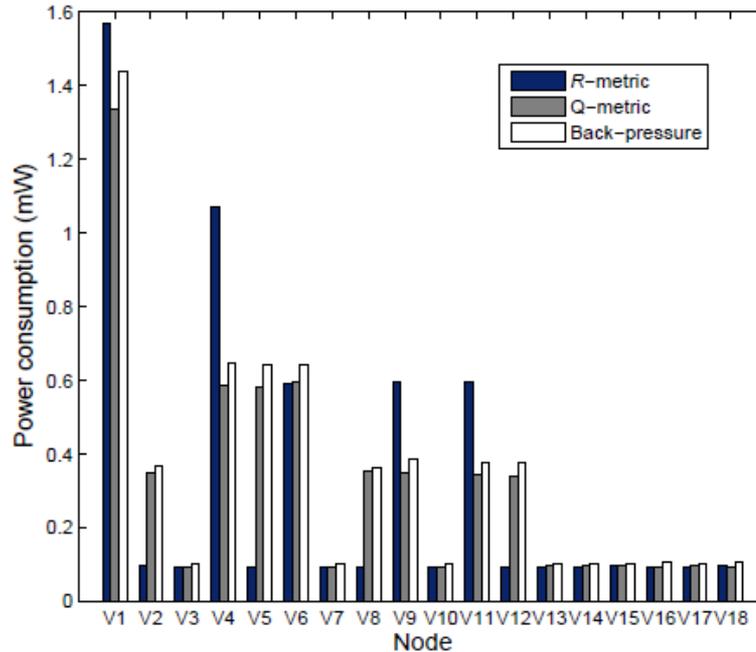
- ETX estimates the reliability based on the ACKs received.
- R-metric estimates the reliability based on the busy channel probability.
- ETX shows larger variability and slower convergence speed.

Reliability



- R-metric maximizes the average and peak end-to-end reliability and outperforms the back-pressure metric.
- Q-metric guarantees a minimum reliability and outperforms the back-pressure metric.

Power consumption



- With the Q-metric, the power consumption is balanced among nodes and the maximum consumption, crucial for the network lifetime, decreases of 15% with respect to the R-metric and 10% with respect to backpressure.

Conclusions

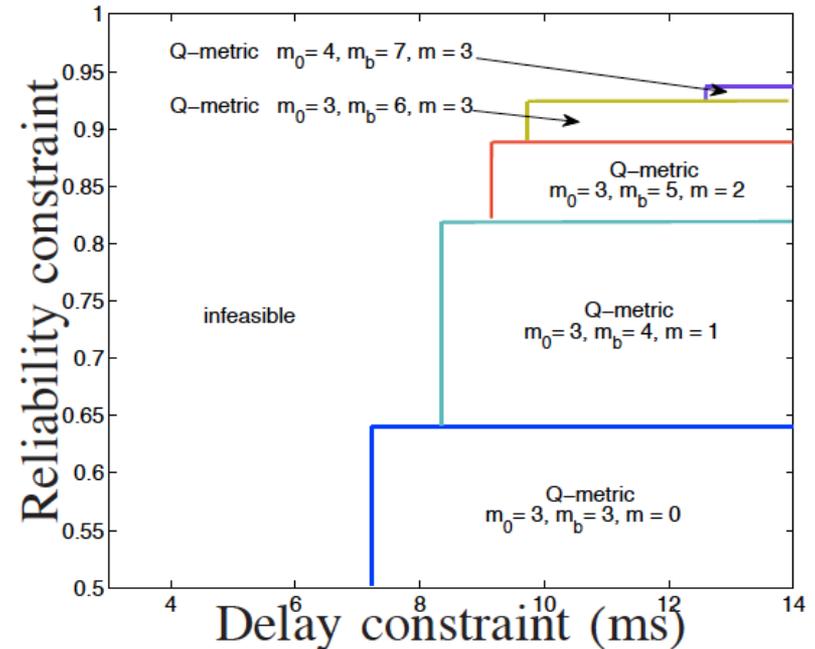
- Analytical model of RPL and IEEE 802.15.4 **protocol interactions**.
 - Novel metrics that take into account the combined behavior of MAC and routing:
 - R-metric extends the ETX to **maximize the reliability** including the effects of contention-based channel access.
 - Q-metric guarantees minimum requirements by **balancing the contention level** in the network.
 - **Experimental** evaluation
 - Comparison with ETX and back-pressure routing
 - MAC and routing parameter selection.
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thanks for the attention

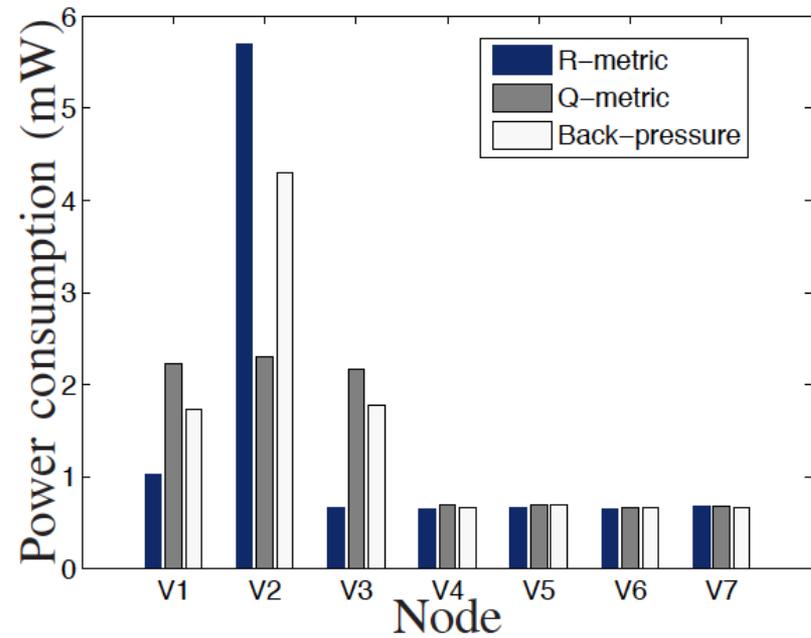
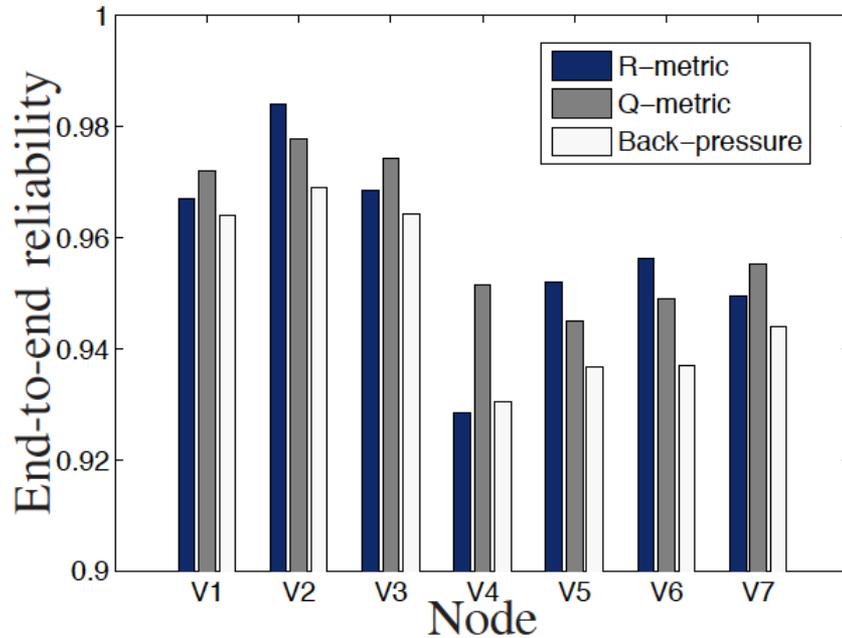
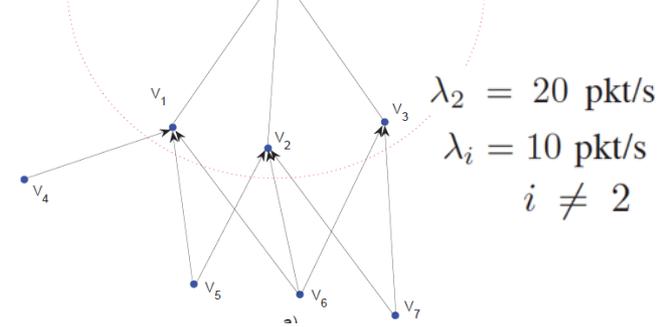
Protocol parameters selection mechanism

- We consider the analytical model of the IEEE 802.15.4 MAC and we let the mechanism select
 - the initial backoff exponent $m_0 = [3-8]$,
 - the maximum backoff exponent $m_b = [m_0 - 8]$
 - the maximum number of backoffs $m = [0-4]$.
- We implement RPL and we let the mechanism choose between R-metric and Q-metric.
- The objective is the **minimization of the energy consumption** subject to reliability and delay constraints.



- The Q-metric is always preferred to the R-metric, whenever the solution is feasible.
- Depending on the constraints, the node energy consumption can be 20% lower than the consumption with default parameters.

Node performance



- The R-metric tends to distribute the traffic to the dominant node V_2 .
- With the Q-metric, the maximum power consumption decreases of at least a factor 2 with respect to the R-metric and 70% with respect to backpressure.

