

# **Challenges of Hematopoietic Stem Cell Transplantation**

**Robert J. Soiffer, MD  
Dana Farber Cancer Institute**

# Hematopoietic Stem Cell Transplantation

## Objectives

- Deliver sufficient chemo-radio therapy to destroy tumor cells (old paradigm)
- Provide a source of hematopoietic stem cells to replace ablated marrow of patient
- Establish organ graft tolerance to prevent rejection of donor cells
- Provide immune effector cells to mediate graft-vs-tumor activity

# Indications for Stem Cell Transplantation

- **Benefit documented by randomized trials**
  - intermediate grade NHL, sensitive relapse
  - multiple myeloma
  - High risk first remission acute leukemia
- **Curative potential, benefit inferred**
  - AML/ALL beyond CR1
  - CML
  - MDS
  - Aplastic anemia, congenital immune deficiencies
  - low grade lymphoma/CLL
  - hemoglobinopathies
- **Benefit not yet established**
  - Solid tumors, autoimmune disease, regenerative medicine

# Obstacles to Success

- Finding a compatible donor
- Limiting transplant related complications
- Preventing disease relapse

# Hematopoietic Stem Cells

- **Source**

**Autologous vs Allogeneic**

**Bone Marrow vs Peripheral Blood vs Cord Blood**

**Related vs Unrelated**

**HLA matched vs mismatched**

# Sources of Stem Cells for Transplantation

## Autologous

- Donor available
- No GVHD
- No immunosuppression

*Less toxicity*

*Higher relapse rates*

## Allogeneic

Undamaged stem cells

No tumor contamination

Graft-vs-tumor effect

*More toxicity*

*Lower relapse rates*

# **Allogeneic PBSCT vs BMT**

- **Engraftment of PBSCs faster than BM**
- **Acute GVHD ?minimally higher after PBSCT despite much greater T cell number than BM**
- **Chronic GVHD higher with PBSC**
- **Immune reconstitution faster with PBSC**

# Chronic GVHD

- **Clinically and pathologically distinct from acute GVHD**
- **Presentation:**
  - lichenoid or sclerodermatous skin involvement
  - cholestasis
  - sicca syndrome
  - GI tract strictures
  - bronchiolitis obliterans
- **Poorly responsive to treatment**
- **Extensive chronic GVHD - high mortality**



# Histocompatibility Issues

- **Major HLA antigens**
  - located on chromosome 6
  - 1 in 4 likelihood of match with sibling
  - direct sequencing for Class 1 (A, B, C)
  - site specific oligonucleotide probes for Class 2 (DR)
- **Minor HLA antigens**
  - only a handful identified (HA-1, H-Y)
  - routine testing not yet available
  - probably play critical role in GVHD and ? GVL

# Unrelated Donor HSCT

- 4 million potential registered donors
- 60-80% of patients can find match
- Likelihood of finding a donor dependent upon racial and ethnic background
- Higher risk of GVHD and graft failure, but lower risk of relapse
- Overall outcome almost equal to MRD

# Effect of Single Antigen/Allele HLA Mismatch on Outcome after Unrelated BMT (NMDP)

- HLA -A, - B, - C, and – DR *antigen and allele* mismatches are all associated with increased GVHD and mortality
- It remains unclear if a mismatch at one particular locus is superior a mismatch at another.

# **Umbilical Cord Blood Transplantation**

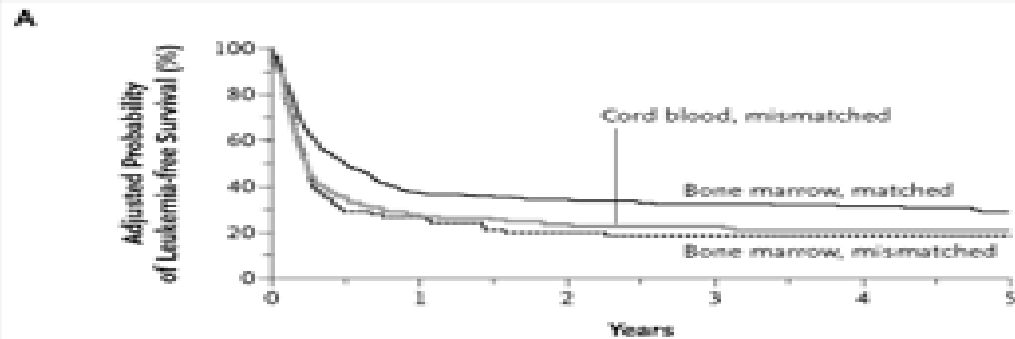
- **Stem cells present in cord blood**
- **Number of mature T cells low**
- **UCB transplantation can be performed between 2-3 antigen mismatched donor/patient pairs with low GVHD**
- **Engraftment and immune reconstitution delayed compared with BM or PBSC**

# Selection of Cord Blood Unit

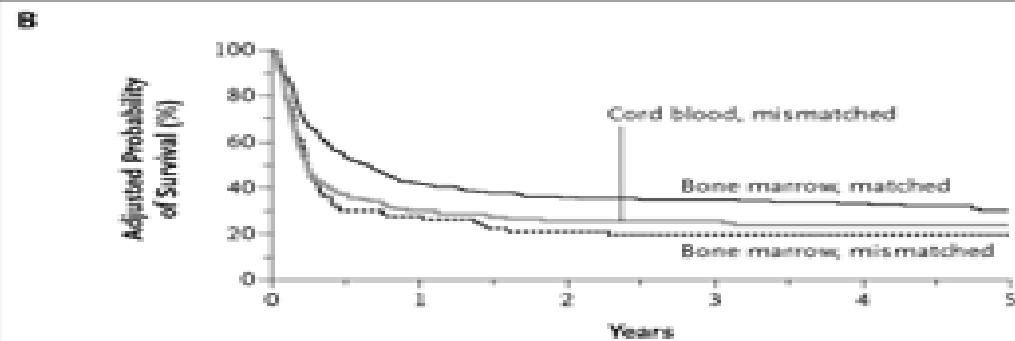
---

- **Cell Dose most important factor**
  - Cell dose  $>3 \times 10^7$  NC/kg optimal
- **HLA typing second most important factor**
  - 4/6 matched unit or better
- **Unknown factors: age of unit, collection method, processing technique**

# Outcome after Unrelated Cord Blood and Marrow Transplant



No. at Risk	0	1	2	3	4	5
Bone marrow, matched	367	138	120	99	60	42
Bone marrow, mismatched	83	23	16	14	8	5
Cord blood, mismatched	150	38	28	24	12	5



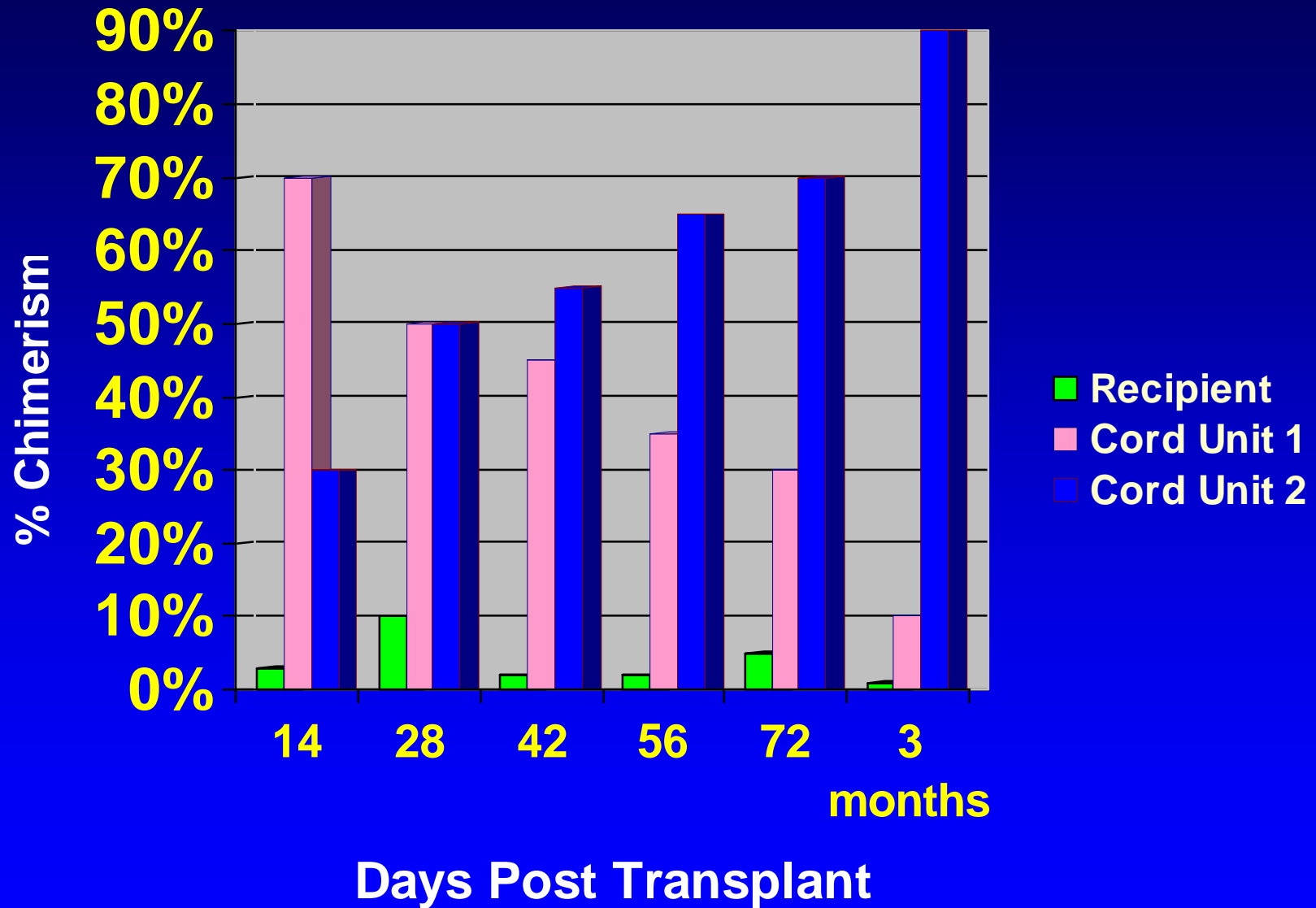
No. at Risk	0	1	2	3	4	5
Bone marrow, matched	367	153	124	104	62	42
Bone marrow, mismatched	83	24	17	15	9	6
Cord blood, mismatched	150	40	29	25	13	5

Laughlin, et  
al, NEJM  
2004

# Double Cord Blood Transplants

- Cell dose is the most important factor to success of cord blood transplant
- Two cords can be infused sequentially which appears to speed engraftment
- Both contribute to early engraftment, but ultimately one cord predominates

# Chimerism Patient One





# Complications of HSCT

- **Conditioning-related organ toxicity**
- **Infection**
- **Graft-versus-host disease**

# GVHD

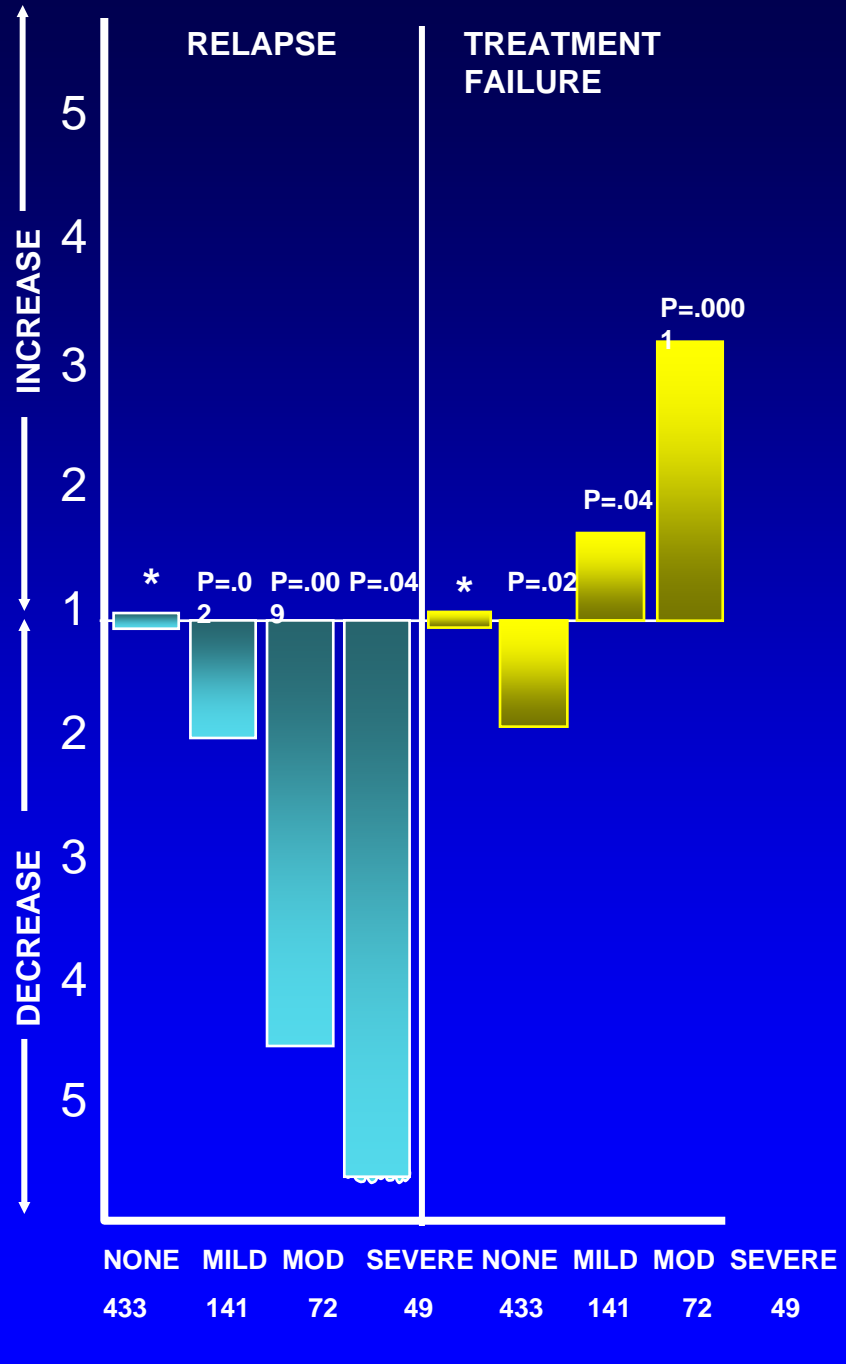
## Effect on Outcome

- **Moderate-to-severe GVHD increases morbidity and mortality of transplantation**
- **Development of GVHD may prevent disease relapse post-BMT.  
(CML >> CLL/MDS > AML/ALL).**

# IBMTR Analysis of Graft-v-Leukemia

Horowitz, Blood  
1990;75:555

FOLD DECREASE OR INCREASE IN RISK



SEVERITY OF GVHD  
NUMBER OF PATIENTS

# Clinical Evidence for Graft vs. Leukemia Effect

- ↓ Relapse in patients with GVHD
- ↑ Relapse after identical twin transplants
- ↑ Relapse after T-cell depleted transplants
- Durable remissions after donor lymphocyte infusions, not always associated with GVH

# Separating GVL from GVH

- **Elimination of leukemia in the absence of lethal GVHD after allogeneic BMT**
- **LPS antagonism reduces GVHD and preserves GVL activity after experimental BMT**
- **Il-11 separates GVL effects from GVHD after BMT**
- **Donor –derived interferon gamma separates GVL effects and GVHD induced by donor CD8+ T cells**

# Separating GVL from GVH

- **G-CSF-mobilized allogeneic SCT maintains GVL effects through a perforin-dependent pathway while preventing GVHD**
- **Keratinocyte growth factor separates GVL effects from GVHD**
- **Leucyl-leucine methyl ester-treated haploidentical donor lymphocyte infusions can mediate GVL activity with minimal GVHD risk**

# Separating GVL from GVH

- **Crucial role of timing of donor lymphocyte infusion in generating dissociated GVH and GVL responses in mice receiving allogeneic BMT**
- **Mixed chimera converted into full donor chimera with powerful GVL effects but no GVHD after non T cell depleted HLA mismatched peripheral blood stem cell transplantation**
- **Definitive separation of GVL and GVH specific CD4+ T cells by virtue of their receptor beta loci sequences**

# Strategies to Prevent GVHD

- **Interfere with T cell activation/function**
  - cyclosporine
  - tacrolimus
  - rapamycin? (sirolimus)
- **Interfere with T cell proliferation**
  - methotrexate
  - mycophenolate
- **Reduce T cell number (T cell depletion)**



# **T Cell Depletion - Advantages**

- **Decreased incidence and severity of acute GVHD**
- **Decreased incidence of chronic GVHD**
- **May eliminate need for immune suppressive medication**
- **Decrease in organ toxicity**

# **T Cell Depletion - Disadvantages**

- **Increased rate of graft rejection (early series)**
- **Increase rate of disease relapse**
  - **CML clearly**
  - **less certain with other malignancies**
- **Increase in EBV lymphoproliferative disease**
- **Delayed immune reconstitution**

# Randomized TCD URD Trial

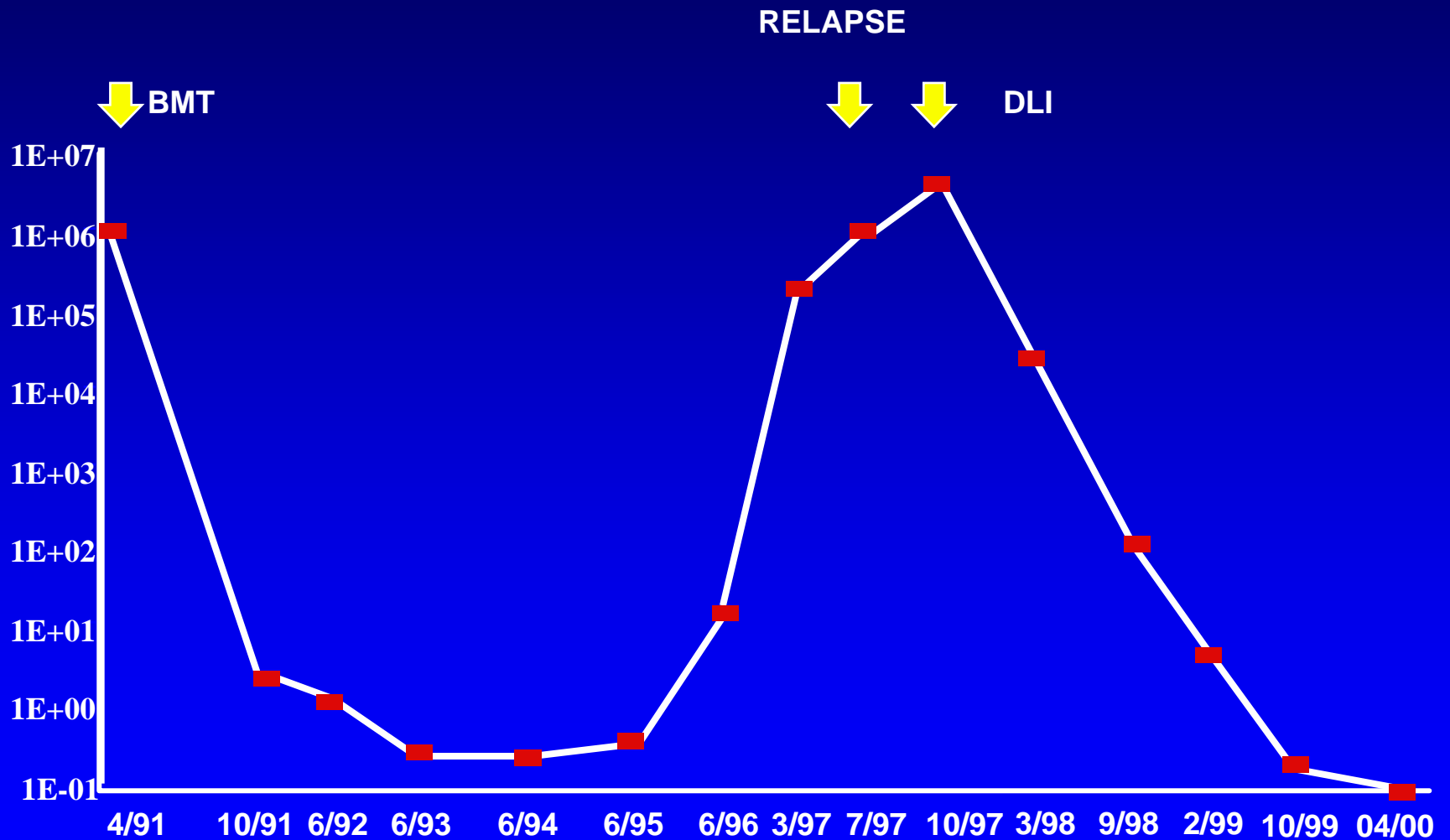
	<u>TCD</u>	<u>CyA/Mtx</u>	<u>p</u>
Engraftment	.94	.93	NS
Gr 3-4 GVHD	.15	.27	<0.01
cGVHD	.24	.29	0.10
Bearman tox.	Less	More	<0.01
Hosp days	32.0	37.5	0.03
Bact/Fung infx	Same	Same	NS
CMV infx	More	Less	0.008
Relapse -CML	0.16	0.06	0.01
Relapse – Ac. Leuk	0.26	0.24	NS
OS	.31	0.33	NS

Wagner, et al Lancet 2005

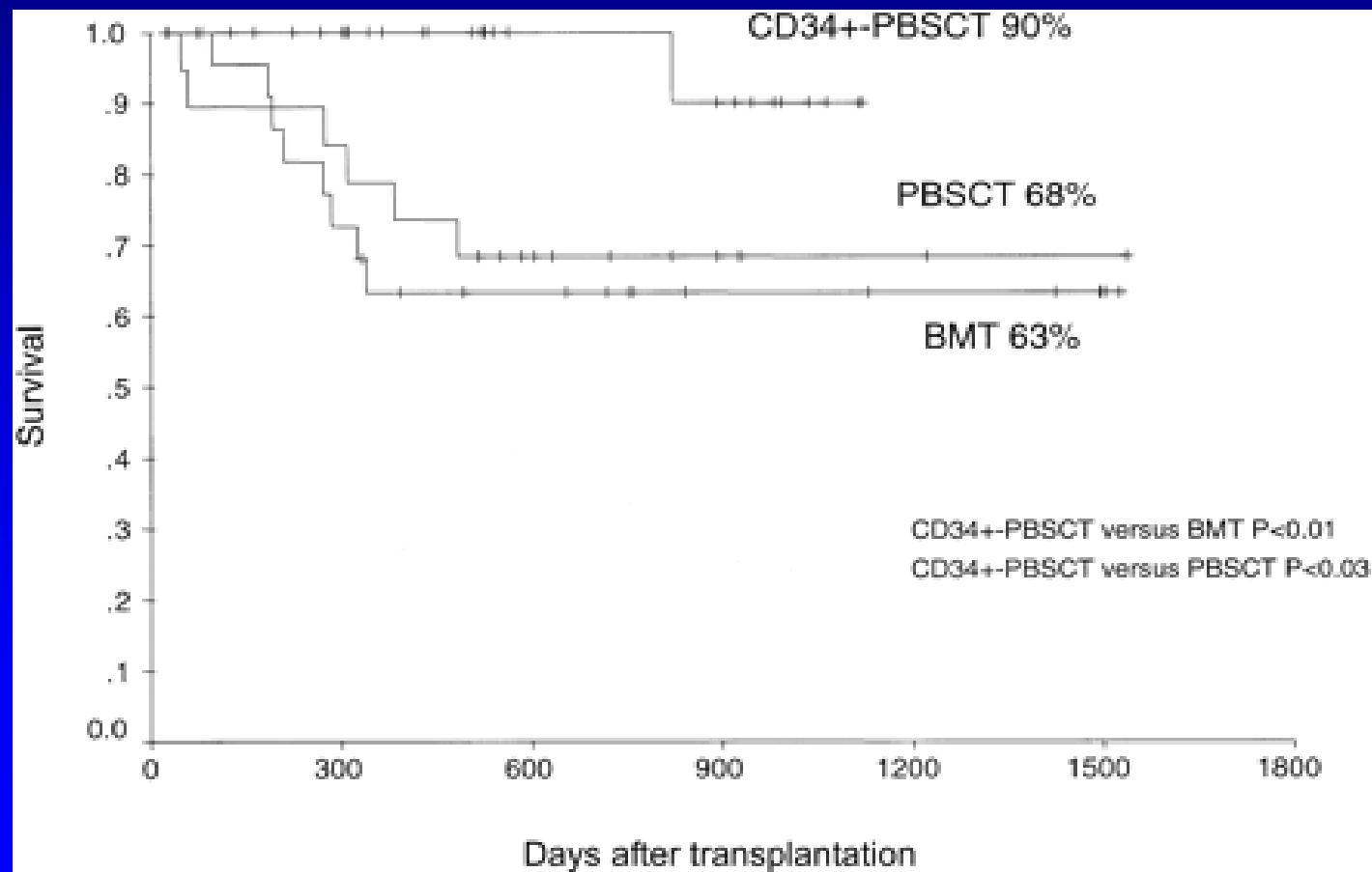
## **Donor Lymphocyte Infusions**

- **Can induce patients who have relapsed after BMT into complete remission without use of additional chemotherapy**
- **Most effective for CML (70-85%) with activity in myeloma, MDS, CLL also noted**
- **Can cause GVHD**

# Quantitative PCR Analysis After Allogeneic BMT and DLI for Low Grade Lymphoma



# Survival after PBSCT, BMT, or CD34+ PBSCT (+DLI) for CML (Elmaagacli, et al Blood 2003)



# Non-Myeloablative Transplantation

- Goal of conditioning is to facilitate engraftment, not eradicate tumor
- Engraftment can be achieved with reduced conditioning
- Early toxicity low and allows transplantation of older patients and those with medical contraindications to high dose therapy –though chronic GVHD a major problem
- Unclear whether superior or inferior to conventional transplantation

# Graft Engineering Strategies: Beyond the Average T Cell

- Expansion and infusion of immune suppressive CD4+CD25+ **Tregs**
- Manipulation of **B Cells** to treat/prevent chronic GVHD
- Infusion of KIR-mismatched **NK Cells** to augment GVL and prevent GVH
- Modulation of recipient and host **DCs** to affect GVHD and GVL





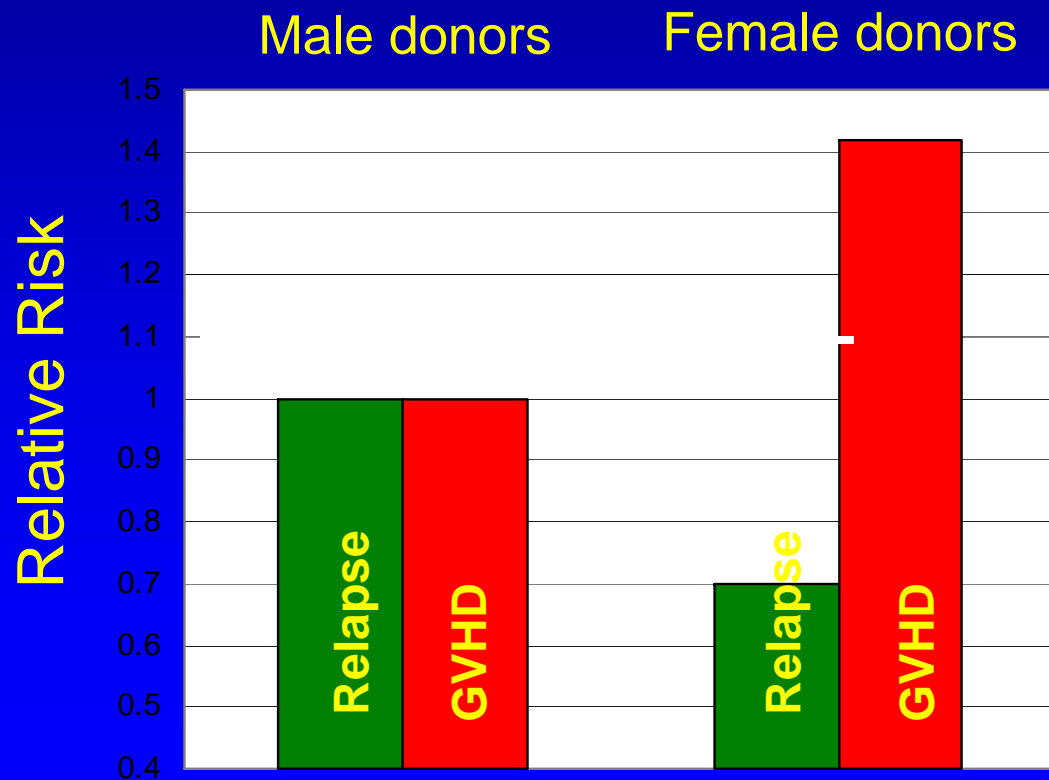
# Graft Engineering Strategies

- **Insertion of suicide genes (e.g., HSV-TK) which will make T cells susceptible to in vivo destruction during GVHD reaction**
- **Depletion of activated T cells after co-cultivation of marrow with allo-antigen presenters**
- **Anergization of T cells (e.g. CTLA4Ig) against alloantigens after co-cultivation with APCs**

# Gender and GVHD

## Male patients with female donors

- Relapse less often
- Suffer more GVHD



*Randolph, Blood 2004*

# Clinical Relevance of H-Y Antibody?

---

- 75 F→M HSCT patients with plasma collected 6 months to 5 years after HSCT
- Analyze B cell immune responses against five H-Y antigens in relation to:
  1. Donor selection criteria
  2. Transplant and immunosuppressive treatment regimens
  3. Clinical outcome.

# Antibody Response to H-Y Antigens correlates with Chronic GVHD

	odds ratio	95% CI	p-value
<i>univariate analysis</i>			
<b>Chronic GVHD vs. none</b>	<b>15.45</b>	<b>(4.8- 50)</b>	<b>&lt;0.0001</b>
<i>multivariable analysis</i>			
<b>Chronic GVHD vs. none</b>	<b>55</b>	<b>(7.6-402)</b>	<b>&lt;0.0001</b>

## *Variables:*

Patient age

Donor age

Conditioning:

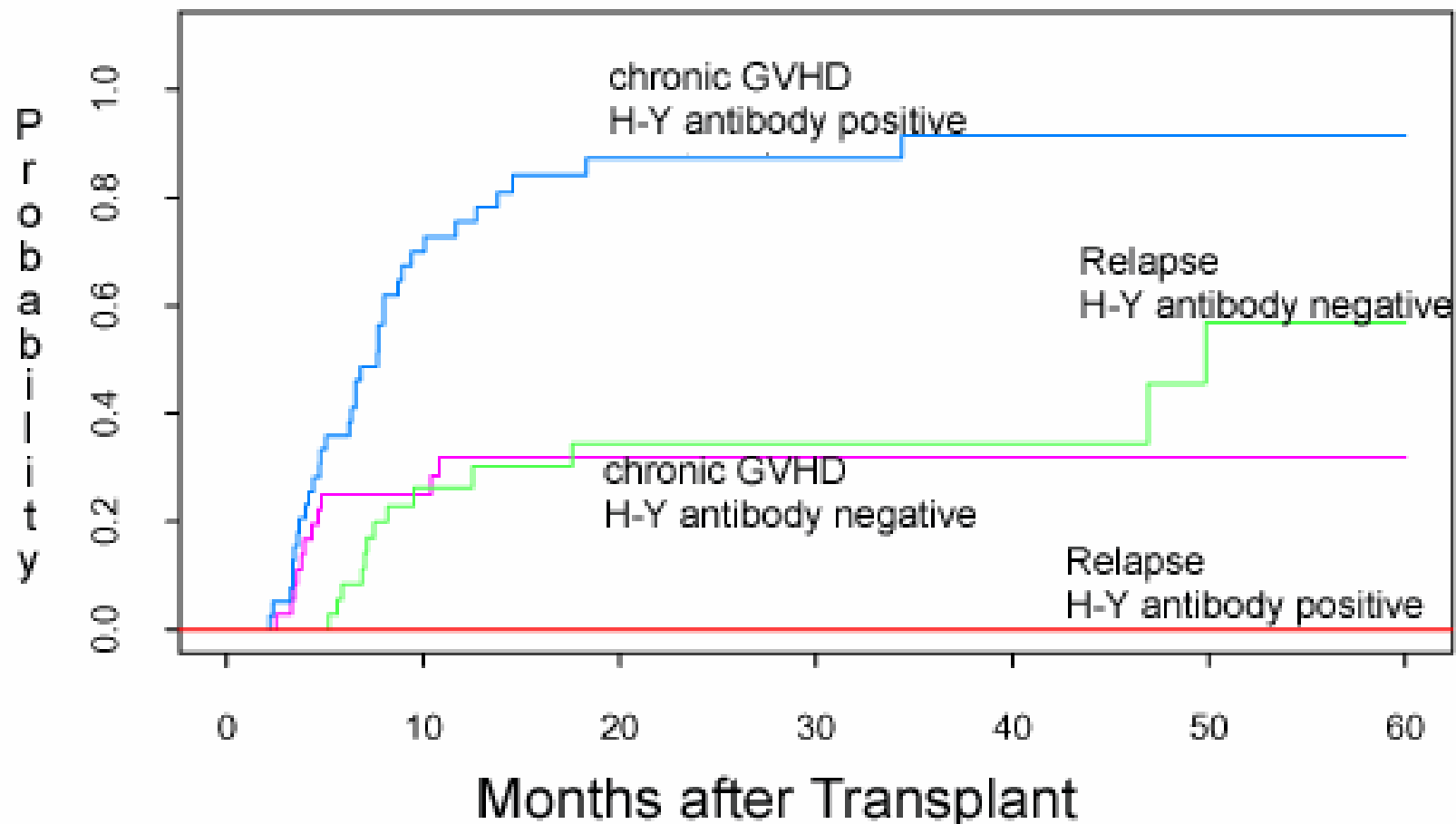
- Ablative vs Nonmyeloablative - T cell depletion

Stem Cell Source:

- Unrelated vs Related Donor

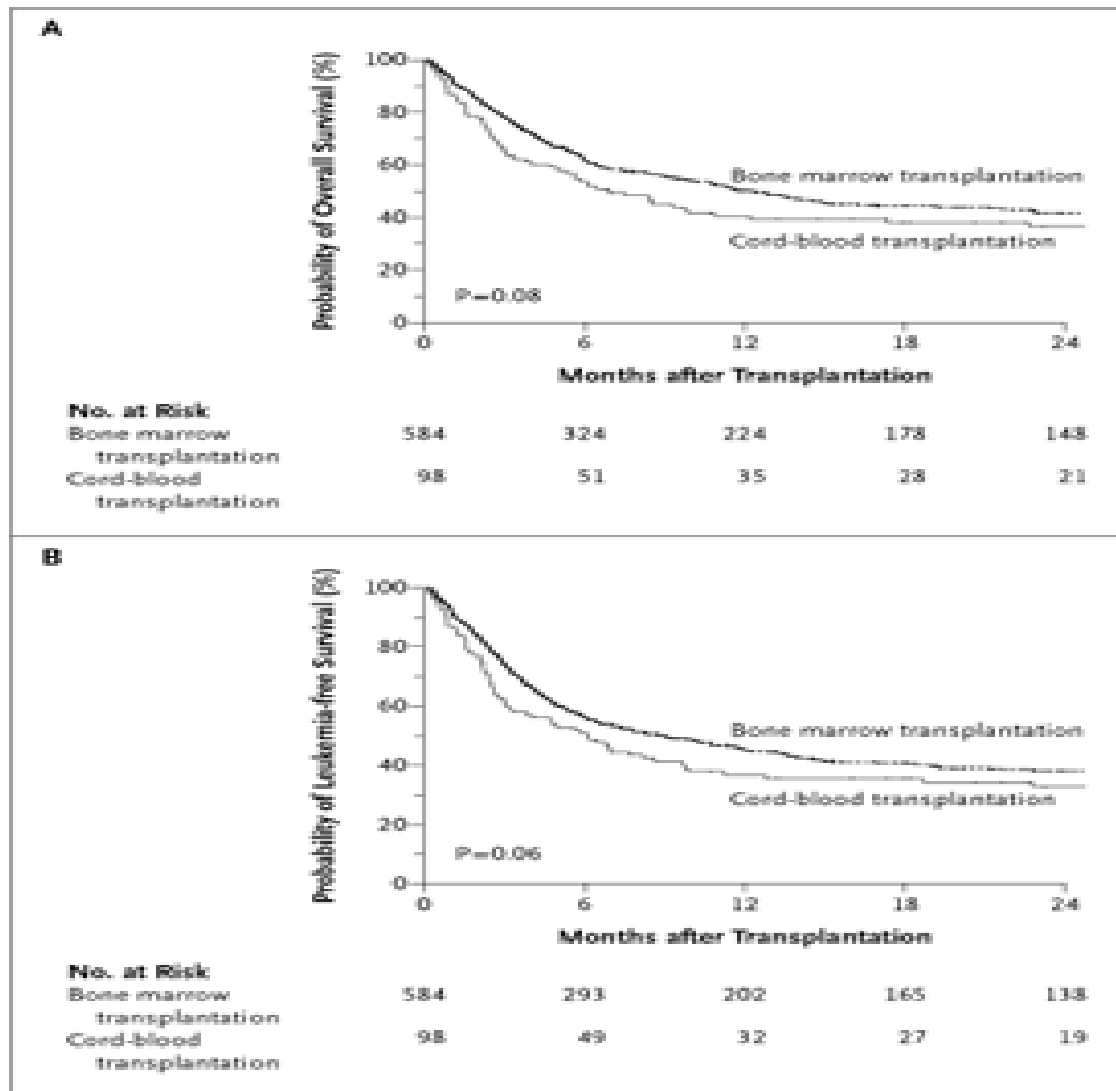
- Marrow vs PBSC

# H-Y antibody: Chronic GVHD and Relapse



Miklos, et al 2004

# Outcome after Unrelated Cord Blood and Marrow Transplant (EBMT)



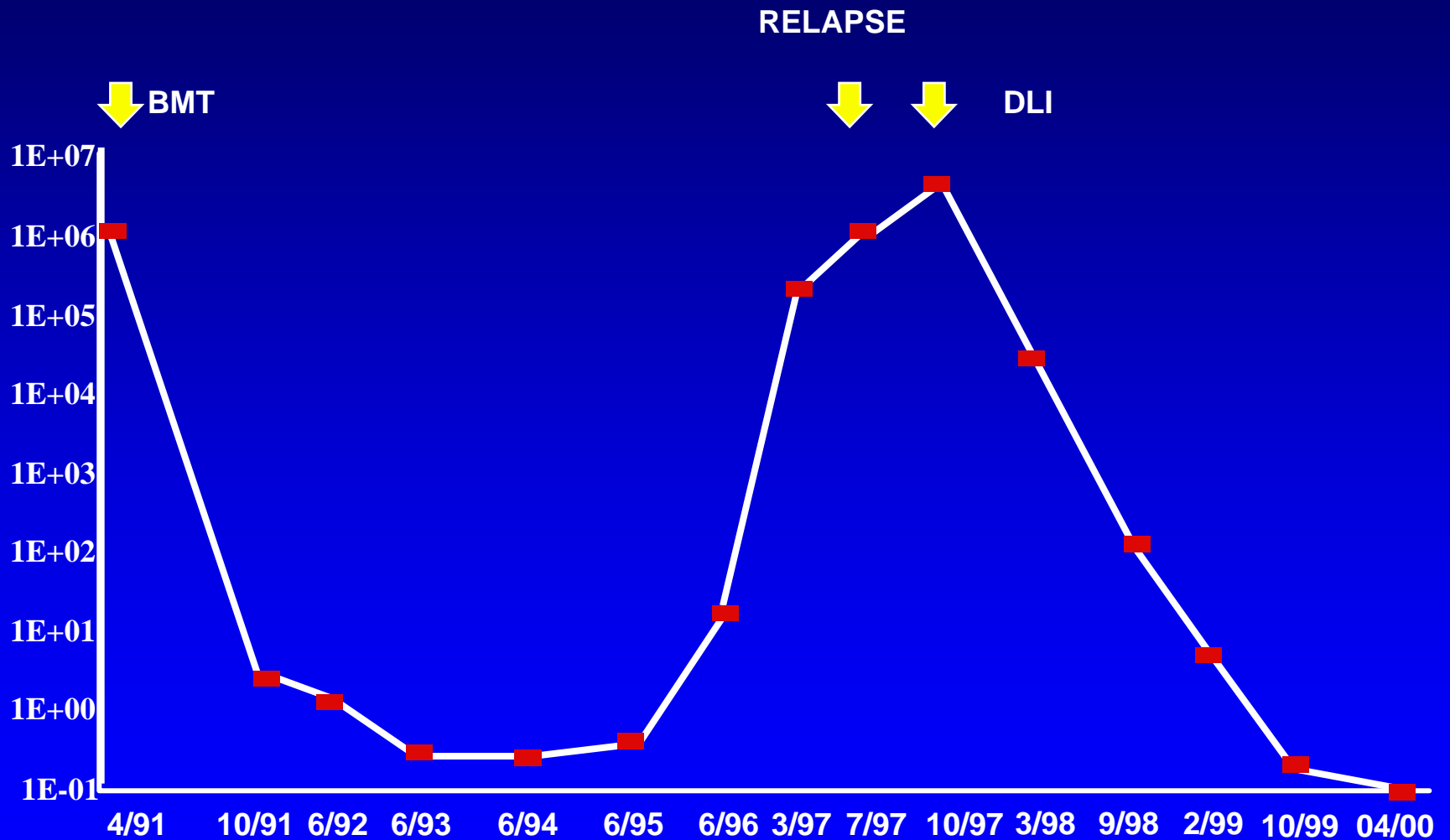
Rocha, et al  
NEJM 2004

# **Donor Lymphocyte Infusions**

- **Can induce patients who have relapsed after BMT into complete remission without use of additional chemotherapy**
- **Most effective for CML (70-85%) with activity in myeloma, MDS, CLL also noted**



# Quantitative PCR Analysis After Allogeneic BMT and DLI for Low Grade Lymphoma



# On the Horizon

- **Identification of DLI target antigens for future development as tumor vaccines**
- **Identification of minor histocompatibility antigens and their roles in GVH/GVL**
- **Development of new drugs which can eliminate transplantation altogether**