

# Quasistatic evolution of cavities in nonlinear elasticity

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## Nonlinear Elasticity – Calculus of Variations approach

Body  $\Omega \subset \mathbb{R}^n$ , deformation  $\mathbf{u} : \Omega \rightarrow \mathbb{R}^n$ .

Elastic (bulk) energy:  $\int_{\Omega} W(\mathbf{x}, D\mathbf{u}(\mathbf{x})) \, d\mathbf{x}$ ,

Body forces:  $\int_{\Omega} F(\mathbf{x}, \mathbf{u}(\mathbf{x})) \, d\mathbf{x}$ ,

Surface forces:  $\int_{\Gamma_N} G(\mathbf{x}, \mathbf{u}(\mathbf{x})) \, d\mathcal{H}^{n-1}(\mathbf{x})$ ,

Boundary condition:  $\mathbf{u} = \mathbf{u}_0$  on  $\Gamma_D$ .

( $\partial\Omega = \Gamma_D \cup \Gamma_N$  disjoint).

An equilibrium solution  $\mathbf{u}$  (Statics) is a solution of

$$\min_{\mathbf{u} \in W_{\mathbf{u}_0}^{1,p}} \int_{\Omega} W(\mathbf{x}, D\mathbf{u}) \, d\mathbf{x} - \int_{\Omega} F(\mathbf{x}, \mathbf{u}) \, d\mathbf{x} - \int_{\Gamma_N} G(\mathbf{x}, \mathbf{u}) \, d\mathcal{H}^{n-1}.$$

Apart from solving a minimization problem, every physically realistic solution  $\mathbf{u}$  must:

- preserve the orientation:  $\det D\mathbf{u} > 0$ ,
- be one-to-one (no interpenetration of matter).

**Cavitation** is the phenomenon of sudden formation of voids in near-incompressible solids subject to large triaxial tension. It is typical in elastomers and ductile metals.

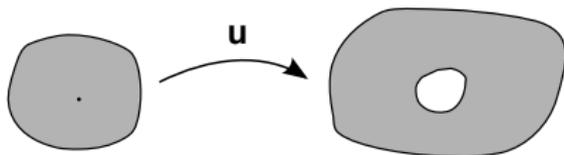
For the well-posedness of the model (and also to be physically more realistic) an extra **surface energy due to cavitation** is needed.

Existence theories (Statics: minimization of energy):

- ▶ S. Müller & S.J. Spector 95.
- ▶ J. Sivaloganathan & S.J. Spector 00.
- ▶ D. Henao & C.M.-C. 10–11.

(Idea: Surface energy  $\implies$  det is w-continuous  $\implies$  energy is swlsc.)

We will use the theory of Henao & M.-C.: The cavitation energy is proportional to the surface created. Orientation-preserving and non-interpenetration are also taken into account.



## Irreversibility

Once a cavity is formed, the shape and size of the cavity surface can change in time (even disappear macroscopically), but the cavity point in the reference configuration will remain as a flaw point.

An *admissible configuration* is a pair  $(\mathbf{u}, S)$  where  $\mathbf{u}$  is a deformation, and  $S \subset \Omega$  contains the cavity points  $C(\mathbf{u})$  of  $\mathbf{u}$ . Intuitively,

$$S = \{\text{cavity or flaw points}\} = \{\text{past or present cavity points}\}.$$

The cavitation energy  $\mathcal{S}(\mathbf{u}, S) := \mathcal{S}_1(S) + \mathcal{S}_2(\mathbf{u})$  is the sum of a fixed amount accounting for the mere process of cavity formation

$$\mathcal{S}_1(S) := \sum_{\mathbf{a} \in S} \kappa_1(\mathbf{a}) \quad (\text{initiation})$$

plus a term proportional to the area of the surface created

$$\mathcal{S}_2(\mathbf{u}) := \sum_{\mathbf{a} \in C(\mathbf{u})} \kappa_2(\mathbf{a}) \mathcal{H}^{n-1}(C(\mathbf{u}, \mathbf{a})) \quad (\text{surface}).$$

## Quasistatic evolution

In a quasistatic theory,

- ▶ the interaction of the system with its environment is infinitely slow
- ▶ the system is always in equilibrium
- ▶ the system does not have its own dynamics, but rather the dynamics respond to changes in external conditions
- ▶ evolution is considered as a family of minimization problems parametrized by the time variable
- ▶ at each instant of time, the energy is minimized
- ▶ an energy balance holds (taking into account dissipation).

# Energy

Total energy

$$\mathcal{I}(t)(\mathbf{u}, S) := \underbrace{\mathcal{W}(D\mathbf{u})}_{\text{bulk}} + \underbrace{\mathcal{S}(\mathbf{u}, S)}_{\text{cavitation}} - \underbrace{\mathcal{F}(t)(\mathbf{u})}_{\text{body force}} - \underbrace{\mathcal{G}(t)(\mathbf{u})}_{\text{surface force}}$$

Conservative part of the energy

$$\mathcal{I}^c(t)(\mathbf{u}) := \underbrace{\mathcal{W}(D\mathbf{u})}_{\text{bulk}} + \underbrace{\mathcal{S}_2(\mathbf{u})}_{\text{surface cavitation}} - \underbrace{\mathcal{F}(t)(\mathbf{u})}_{\text{body force}} - \underbrace{\mathcal{G}(t)(\mathbf{u})}_{\text{surface force}}$$

Dissipative part of the energy

$$\underbrace{\mathcal{S}_1(S)}_{\text{initiation cavitation}}$$

Of course,

$$\mathcal{I}(t)(\mathbf{u}, S) = \mathcal{I}^c(t)(\mathbf{u}) + \mathcal{S}_1(S).$$

## Quasistatic evolution of cavitation

Total energy  $\mathcal{I}(t)(\mathbf{u}, S)$ .

Boundary condition:  $\mathbf{u} = \mathbf{u}_0(t)$  on  $\Gamma_D$ .

Given a family  $\{\mathbf{u}(t)\}_{t \in [0,1]}$  of deformations, define

$S(t) := \bigcup_{s \in [0,t]} C(\mathbf{u}(s))$ . It constitutes a *quasistatic evolution* if

- a) *Global stability*: For each  $t$ , the pair  $(\mathbf{u}(t), S(t))$  minimizes  $\mathcal{I}(t)$  over  $(\mathbf{u}, S)$  satisfying  $S \supset \bigcup_{s < t} S(s)$  and b.c.
- b) *Energy balance*: Increment in stored energy plus energy spent in cavities equals increase of the work of external forces:

$$\mathcal{I}(t)(\mathbf{u}(t), S(t)) = \mathcal{I}(0)(\mathbf{u}(0), S(0)) + \int_0^t (\mathcal{I}^c)'(s)(\mathbf{u}(s)) ds.$$

## Method of proof

(A. Mielke & F. Theil 99, G. Francfort & C. Larsen 03,  
G. Dal Maso, G. Francfort & R. Toader 05...)

1. *Time discretization:* For each  $k \in \mathbb{N}$ ,

$$0 = t_k^0 < t_k^1 < \dots < t_k^k = 1 \quad \text{with} \quad \lim_{k \rightarrow \infty} \max_{1 \leq i \leq k} (t_k^i - t_k^{i-1}) = 0.$$

Let  $(\mathbf{u}_k^0, S_k^0) = (\mathbf{u}^0, S^0)$  (given) and for  $1 \leq i \leq k$ , let  $(\mathbf{u}_k^i, S_k^i)$  be a minimizer of  $\mathcal{I}(t_k^i)$  with b.c.  $\mathbf{u}_0(t_k^i)$  and  $S_k^i \supset S_k^{i-1}$ .

2. *Constant interpolation:* For  $k \in \mathbb{N}$  and  $t \in [0, 1]$  let

$$0 \leq i_k \leq k \text{ be such that } t \in [t_k^{i_k}, t_k^{i_k+1}).$$

3. *Passage to the limit:* For each  $t \in [0, 1]$ , let

$$\mathbf{u}(t) := \lim_{k \rightarrow \infty} \mathbf{u}_k^{i_k}, \quad S(t) := \lim_{k \rightarrow \infty} S_k^{i_k}.$$

## About the limit passage

$\mathbf{u}_k^{i_k}$  being a minimizer satisfies some a priori bounds that, up to a subsequence, allow to take limits  $\mathbf{u}_k^{i_k} \rightarrow \mathbf{u}(t)$  as  $k \rightarrow \infty$  in the sense of the theorem of existence of minimizers. Moreover,

$$\begin{aligned}\mathcal{W}(D\mathbf{u}(t)) &\leq \liminf_{k \rightarrow \infty} \mathcal{W}(D\mathbf{u}_k^{i_k}), & \mathcal{S}_2(\mathbf{u}(t)) &\leq \liminf_{k \rightarrow \infty} \mathcal{S}_2(\mathbf{u}_k^{i_k}), \\ \mathcal{F}(t)(\mathbf{u}(t)) &= \lim_{k \rightarrow \infty} \mathcal{F}(t_k^{i_k})(\mathbf{u}_k^{i_k}), & \mathcal{G}(t)(\mathbf{u}(t)) &= \lim_{k \rightarrow \infty} \mathcal{G}(t_k^{i_k})(\mathbf{u}_k^{i_k}).\end{aligned}$$

$\mathbf{u}_k^{i_k}$  being a minimizer implies that  $\mathcal{H}^0(S_k^{i_k})$  is bounded. Hence up to a subsequence  $\mathcal{H}^0(S_k^{i_k})$  is constant and  $S_k^{i_k}$  converges componentwise to an  $S(t)$ . Moreover,

$$\mathcal{S}_1(S(t)) \leq \liminf_{k \rightarrow \infty} \mathcal{S}_1(S_k^{i_k}).$$

We show that  $(\mathbf{u}(t), S(t))$  is admissible, i.e.,  $S(t) \supset C(\mathbf{u}(t))$ .

## Main difficulty: stability of minimizers

We have to show that  $(\mathbf{u}(t), S(t))$  is a minimizer. Let  $(\mathbf{u}, S)$  be admissible with  $S \supset S(t)$ . If we construct  $(\tilde{\mathbf{u}}_k, \tilde{S}_k)$  satisfying b.c.  $\mathbf{u}_0(t_k^{i_k})$  and such that  $\tilde{S}_k \supset S_k^{i_k-1}$  and

$$\limsup_{k \rightarrow \infty} \mathcal{I}(t_k^{i_k})(\tilde{\mathbf{u}}_k, \tilde{S}_k) \leq \mathcal{I}(t)(\mathbf{u}, S)$$

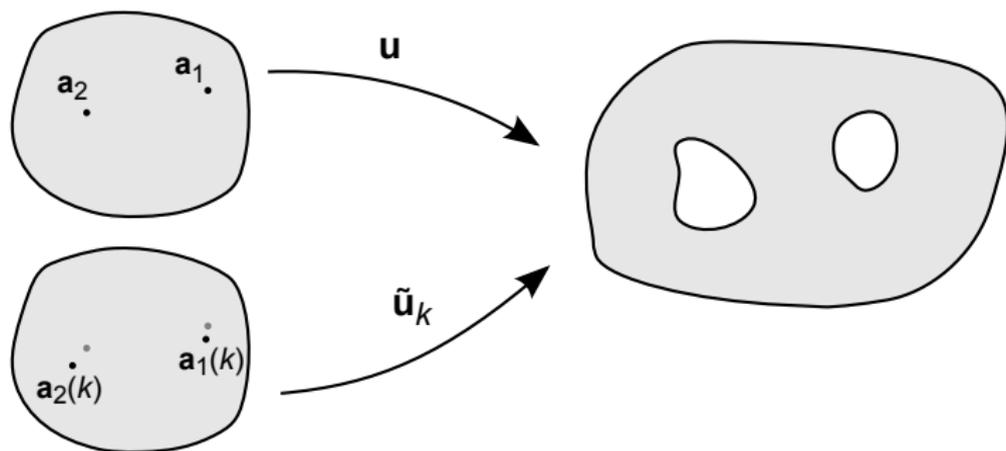
then

$$\begin{aligned} \mathcal{I}(t)(\mathbf{u}(t), S(t)) &\leq \liminf_{k \rightarrow \infty} \mathcal{I}(t_k^{i_k})(\mathbf{u}_k^{i_k}, S_k^{i_k}) \\ &\leq \liminf_{k \rightarrow \infty} \mathcal{I}(t_k^{i_k})(\tilde{\mathbf{u}}_k, \tilde{S}_k) \leq \mathcal{I}(t)(\mathbf{u}, S). \end{aligned}$$

(cf. 'transfer lemma' Francfort & Larsen 03. Think also of a recovery sequence in  $\Gamma$ -convergence)

## Stability of minimizers. First attempt

Modify the position of the cavity points of  $\mathbf{u}$  (and also b.c.) to coincide with those of  $\mathbf{u}_k^{i_k}$ . So construct  $(\tilde{\mathbf{u}}_k, \tilde{S}_k)$  with  $\tilde{\mathbf{u}}_k \simeq \mathbf{u}$  but with  $\tilde{S}_k \supset S_k^{i_k-1}$ .



It seems to work, but...

## Stability of minimizers. First attempt

Modify the position of the cavity points of  $\mathbf{u}$  to coincide with those of  $\mathbf{u}_k^{i_k}$ . So  $\tilde{\mathbf{u}}_k \simeq \mathbf{u}$  but with  $\tilde{S}_k \supset S_k^{i_k-1}$ . It seems to work, but...

An abstract consequence of the quasistatic theory is that

$$\mathcal{I}(t)(\mathbf{u}(t), S(t)) = \lim_{k \rightarrow \infty} \mathcal{I}(t_k^{i_k})(\mathbf{u}_k^{i_k}, S_k^{i_k}).$$

(cf. 'minimizers go to minimizers' and 'energy of minimizers go to energy of minimizers' in  $\Gamma$ -convergence)

We always have

$$\mathcal{I}(t)(\mathbf{u}(t), S(t)) \leq \liminf_{k \rightarrow \infty} \mathcal{I}(t_k^{i_k})(\mathbf{u}_k^{i_k}, S_k^{i_k})$$

and the recovery sequence provides

$$\mathcal{I}(t)(\mathbf{u}(t), S(t)) \geq \limsup_{k \rightarrow \infty} \mathcal{I}(t_k^{i_k})(\mathbf{u}_k^{i_k}, S_k^{i_k}).$$

## Stability of minimizers. Second attempt

Independently of the recovery sequence, the only reason for which we may have

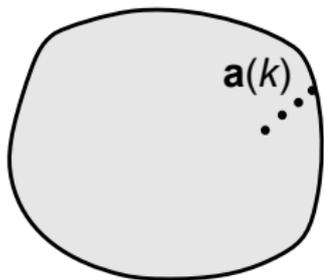
$$\mathcal{I}(t)(\mathbf{u}(t), S(t)) < \liminf_{k \rightarrow \infty} \mathcal{I}(t_k^{i_k})(\mathbf{u}_k^{i_k}, S_k^{i_k})$$

is that when we take the limit  $S_k^{i_k} \rightarrow S(t)$  we have

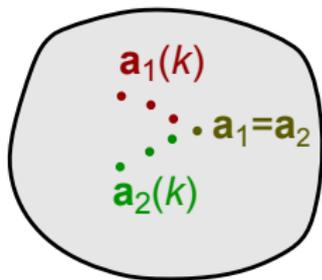
$$\mathcal{H}^0(S(t)) < \liminf_{k \rightarrow \infty} \mathcal{H}^0(S_k^{i_k}). \quad (*)$$

There are only three reasons for which (\*) can happen: in the limit passage,

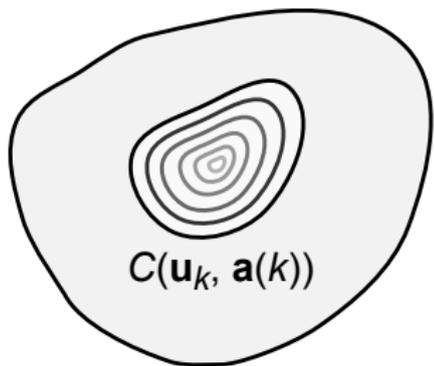
- (1) Cavities scape to the boundary (because current static theories cannot detect cavitation at the boundary).
- (2) Cavities collapse (coalesce).
- (3) Cavities close up (heal).



Scape to boundary



Collapse



Close up

## Stability of minimizers. Solution

Independently of the recovery sequence, we have to avoid that cavities

(1) scape to the boundary; (2) collapse; (3) close up.

These conditions may well happen *but not for minimizers*.

Qualitative result:

- ▶ Minimizers cannot have a cavity very close to the boundary (it is better to have it at the boundary).
- ▶ Minimizers cannot have two cavities very close to each other (it is better to have only one).
- ▶ Minimizers cannot have a cavity enclosing a very small volume (it is better not to have any).

## Conclusion

$(\mathbf{u}(t), S(t))$  is a minimizer among configurations  $(\mathbf{u}, S)$  satisfying  $S \supset S(t)$ . Moreover,

$$S(t) = S^0 \cup \bigcup_{s \in [0, t]} C(\mathbf{u}(s)) = S^0 \cup \bigcup_{s \in [0, t]} C(\mathbf{u}(s)).$$

Energy balance and remaining properties of quasistatic evolution follow the lines of G. Dal Maso, G. Francfort & R. Toader 05.

**Theorem:** For every initial data, there exists a quasistatic evolution starting at the initial data, and satisfying global stability, irreversibility and energy balance.