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**Neutrino mass and  
mixing parameters  
- a short review -**

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Special thanks to: G.L. Fogli, A. Marrone, A. Melchiorri,  
A. Mirizzi, D. Montanino, A.M. Rotunno, A. Palazzo

## Outline:

- Overview of  $3\nu$  mass-mixing parameters
- Constraints from  $\nu$  oscillation searches
- Constraints from non-oscillation searches
- Combining oscill. & non-oscill.  $\nu$  observables
- Beyond the standard  $3\nu$  scenario (LSND)
- Conclusions

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Organizers' request: "*Talk should be a short introduction aimed at PhD students in experimental (non-neutrino) particle physics*"

→ I shall skip all refs. or details for  $\nu$  experts/theorists, with apologies to colleagues (for more info, see Proceedings or ask me)

## 3ν mixing

- Neutrinos mix (as quarks do):  $(\nu_e, \nu_\mu, \nu_\tau)^T = U (\nu_1, \nu_2, \nu_3)^T$
- The standard rotation ordering of the CKM matrix for quarks happens to be useful also for neutrinos:

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

... but with very different angles:

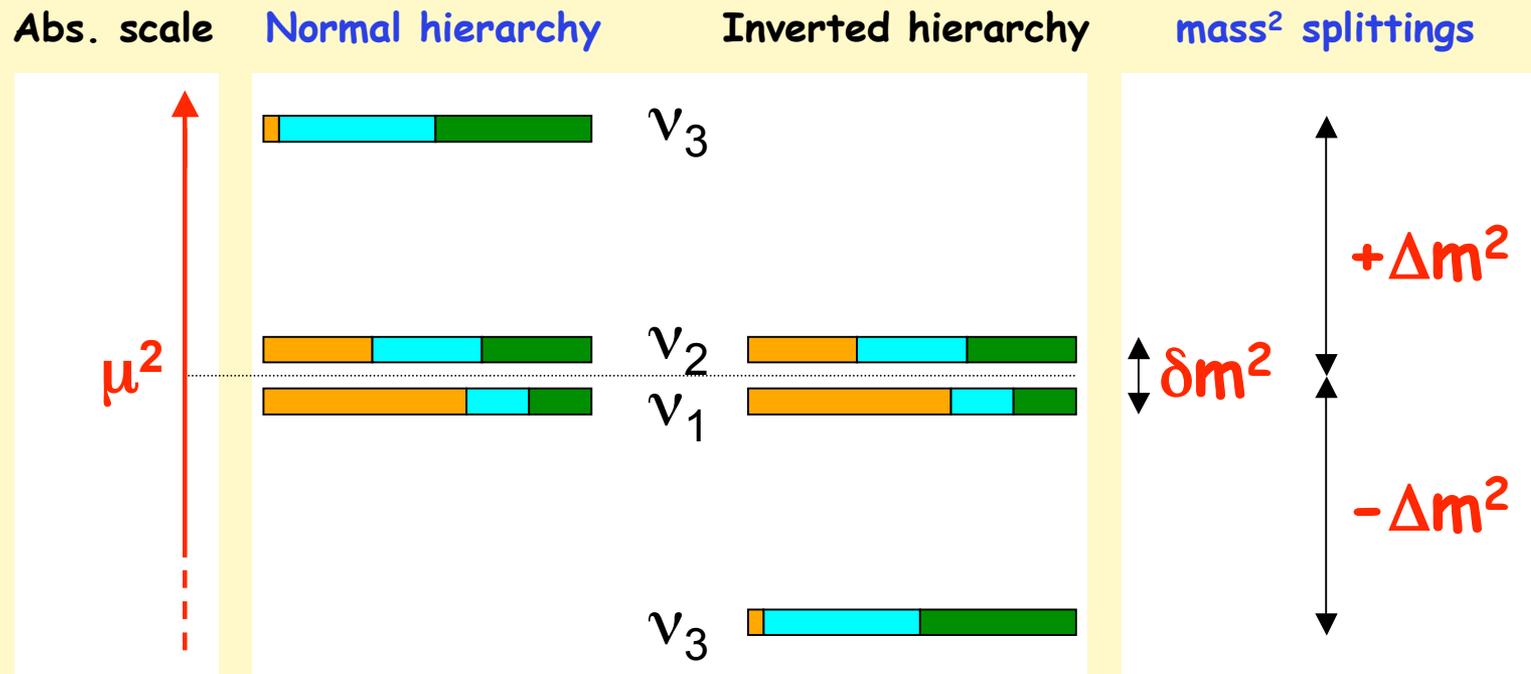
$$s_{23}^2 \sim 0.5$$

$$s_{13}^2 < \text{few } \%$$

$$s_{12}^2 \sim 0.3$$

- Only if  $s_{13}^2 \neq 0$  one can hope to probe the CP-violating phase  $\delta$  (“holy grail” of future  $\nu$  oscillation experiments  $\Rightarrow$  *see Kayser's talk*)

## 3ν mass<sup>2</sup> spectrum and flavor content (e μ τ)



Absolute mass scale μ unknown [but < O(eV)]

Hierarchy [sign(Δm<sup>2</sup>)] unknown

ν<sub>e</sub> content of ν<sub>3</sub> unknown [but < few%]

$$\delta m^2 \simeq 8.0 \times 10^{-5} \text{ eV}^2 \quad (\text{"solar" splitting})$$

$$\Delta m^2 \simeq 2.4 \times 10^{-3} \text{ eV}^2 \quad (\text{"atmospheric" splitting})$$

## 3ν oscillations

$$U \neq \mathbf{1} \Rightarrow$$

Flavor is not conserved as neutrinos propagate

$$m_i \ll E_i \Rightarrow$$

$$E_i = \sqrt{p^2 + m_i^2} \simeq p + \frac{m_i^2}{2p}$$

$$\Delta E \Delta t \sim 1 \Rightarrow$$

Oscill. phase:  $1.27 \frac{|m_i^2 - m_j^2| (\text{eV}^2)}{E (\text{GeV})} L (\text{km}) \sim O(1)$

Two macroscopic oscillation lengths governed by  $\delta m^2$  and  $\Delta m^2$ , with amplitudes governed by  $\theta_{ij}$ . Leading expt. sensitivities:

$$(\Delta m^2, \theta_{23}, \theta_{13})$$

Atmospheric  $\nu$ , K2K long baseline accelerator (a)

$$(\delta m^2, \theta_{12}, \theta_{13})$$

Solar  $\nu$ , KamLAND long baseline reactor  $\nu$  (b)

$$(\Delta m^2, \theta_{13})$$

CHOOZ short-baseline reactor  $\nu$  (a,b)

(a)  $(\nu_1, \nu_2)$  difference weakly probed

(b)  $(\nu_\mu, \nu_\tau)$  difference not probed

**Constraints on  $(\Delta m^2, \theta_{23}, \theta_{13})$   
from SK + K2K + CHOOZ**

*See talks by Sulak (SK) and Mariani (K2K)*

## Super-Kamiokande atmospheric $\nu$

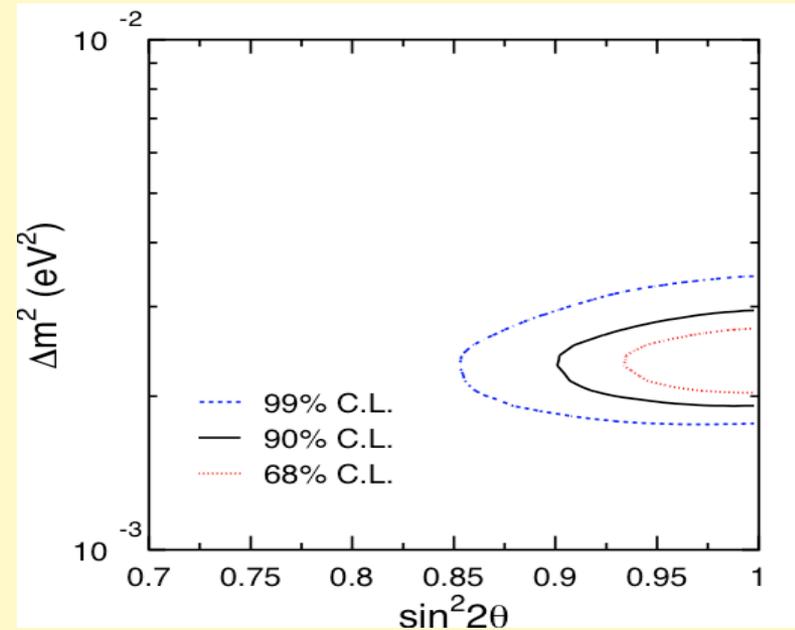
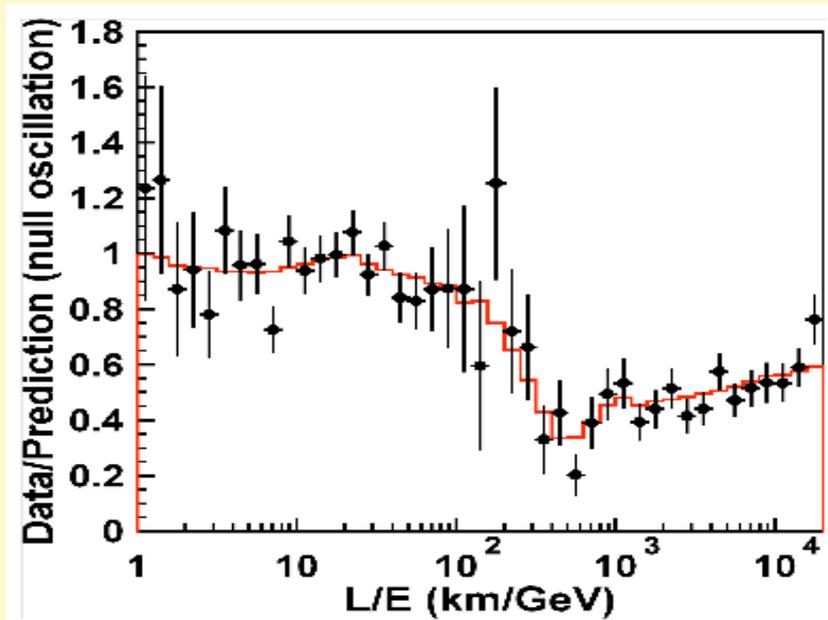
$$E_\nu \sim 10^{-1} - 10^3 \text{ GeV} \quad L \sim 10 - 10^4 \text{ km} \quad (\text{large } L/E \text{ range})$$

For  $\theta_{13} \sim 0$  and  $\delta m^2 \sim 0$ , a very simple formula fits all SK data (+ MACRO & Soudan2)

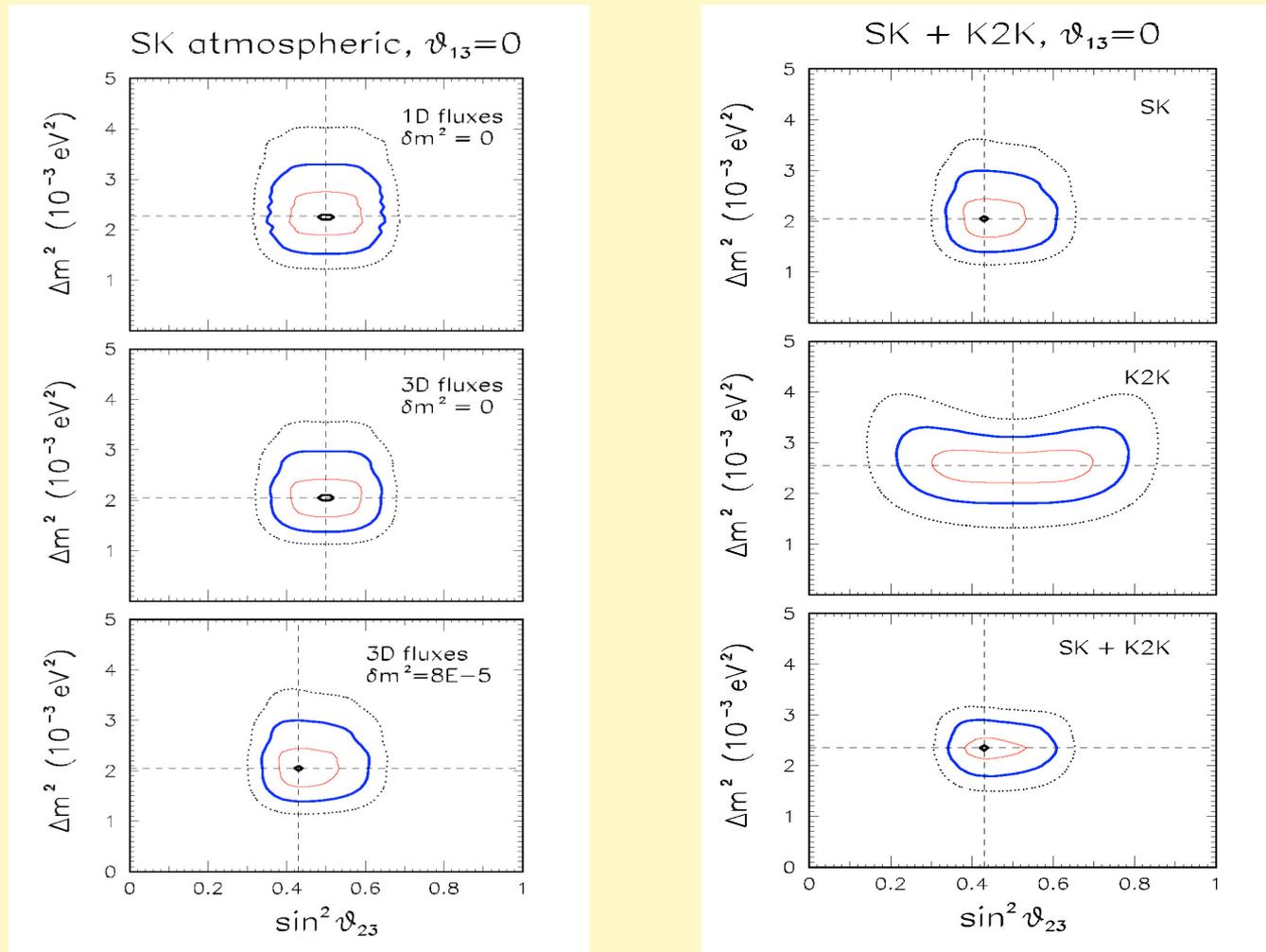
$$P(\nu_\mu \rightarrow \nu_\tau) \simeq \sin^2 2\theta_{23} \sin^2 \left( 1.27 \frac{\Delta m^2 (\text{eV})^2 L (\text{km})}{E (\text{GeV})} \right)$$

1st oscillation dip still visible despite large  $L$  &  $E$  smearing

Strong constraints on the parameters ( $\Delta m^2$ ,  $\theta_{23}$ )

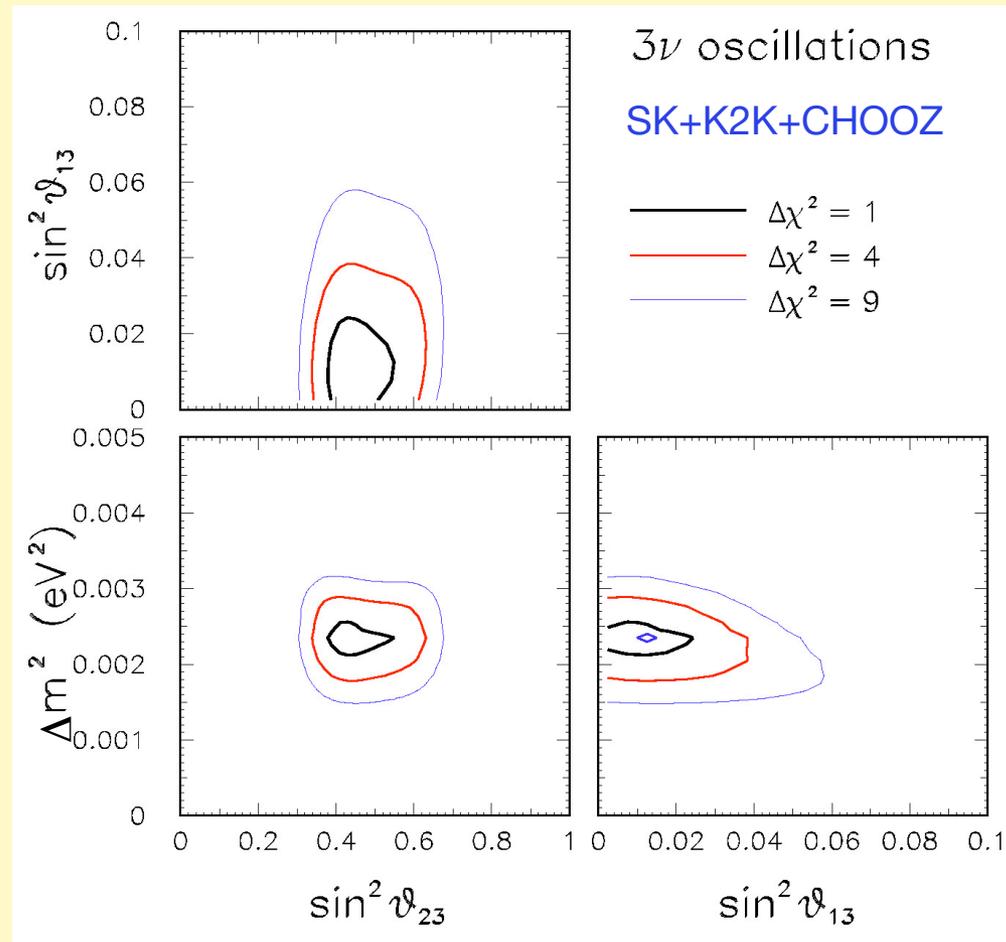


Atmospheric  $\nu$  oscillation evidence robust & confirmed with lab- $\nu$  in K2K  
 Many interesting details depend on theoretical input & subleading effects



Contours at 1, 2, 3 $\sigma$  (1 dof). Note linear scale for  $\Delta m^2$  and  $\sin^2 \theta_{23}$ , with 2nd octant of  $\theta_{23}$  unfolded

At the  $\Delta m^2$  scale of SK+K2K, nonobservation of  $\nu_e \rightarrow \nu_e$  in the CHOOZ reactor experiment sets strong upper bounds on  $\theta_{13}$

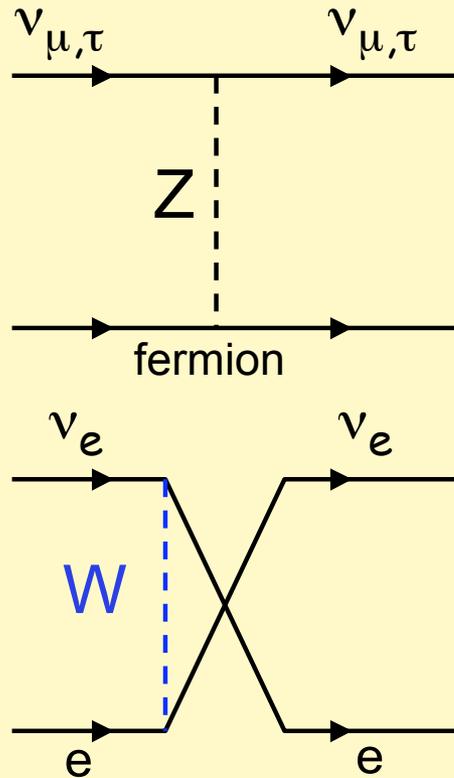


Growing literature & interest in subleading effects due to  $\theta_{13}$ ,  $\delta m^2$ ,  $\text{sign}(\Delta m^2)$ ,  $\delta$   
But need very significant error reduction to probe them  
A challenge for future high-statistics experiments

**Constraints on ( $\delta m^2$ ,  $\theta_{12}$ ,  $\theta_{13}$ )  
from solar  $\nu$  + KamLAND**

*See talks by Sulak (SK), Berger (KamLAND), Miknaitis (new SNO data)*

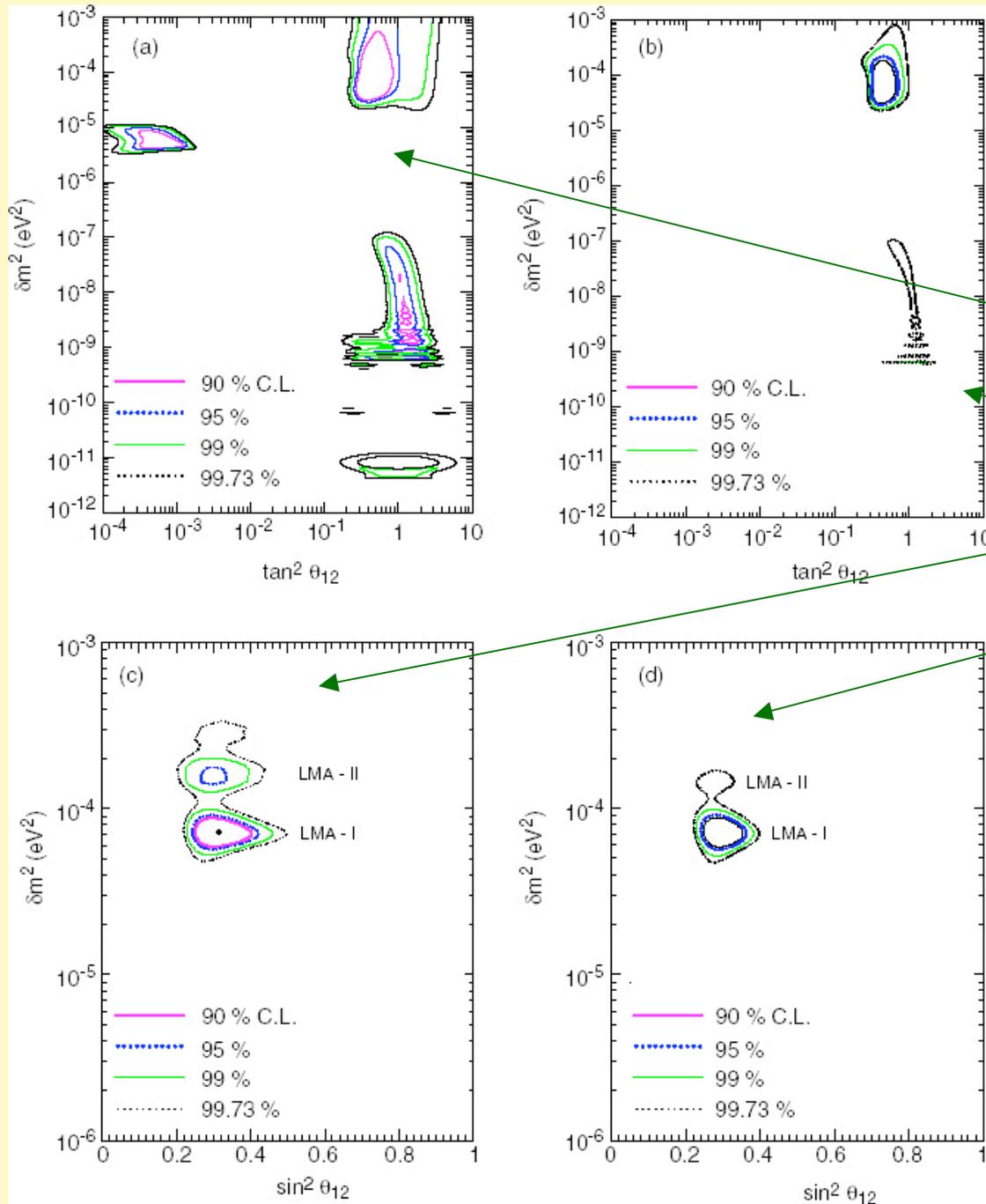
# Solar $\nu_e \rightarrow \nu_{e,\mu,\tau}$ vs atmospheric $\nu_\mu \rightarrow \nu_\tau$ : matter (MSW) effect



Atmospheric  $\nu_\mu$  and  $\nu_\tau$  feel background fermions in the same way (through NC); no relative phase change ( $\sim$  vacuum-like)

But  $\nu_e$ , in addition to NC, have CC interact. with background electrons (density  $N_e$ ).  
Energy difference:  $V = \sqrt{2} G_F N_e$

**Solar  $\nu$  analysis must account for MSW effects in the Sun and in the Earth**  
 (Earth matter effects negligible for KamLAND reactor neutrinos)  
**Solar+KamLAND combination provide evidence for  $V_{\text{sun}}$  (not yet for  $V_{\text{earth}}$ )**



Dramatic reduction of the  
 $(\delta m^2, \theta_{12})$  param. space in  
**2001-2003**  
 (note change of scales)

Cl+Ga+SK (2001)

+SNO-I (2001-2002)

+KamLAND-I (2002)

+SNO-II (2003)

(+ confirmation of solar model)

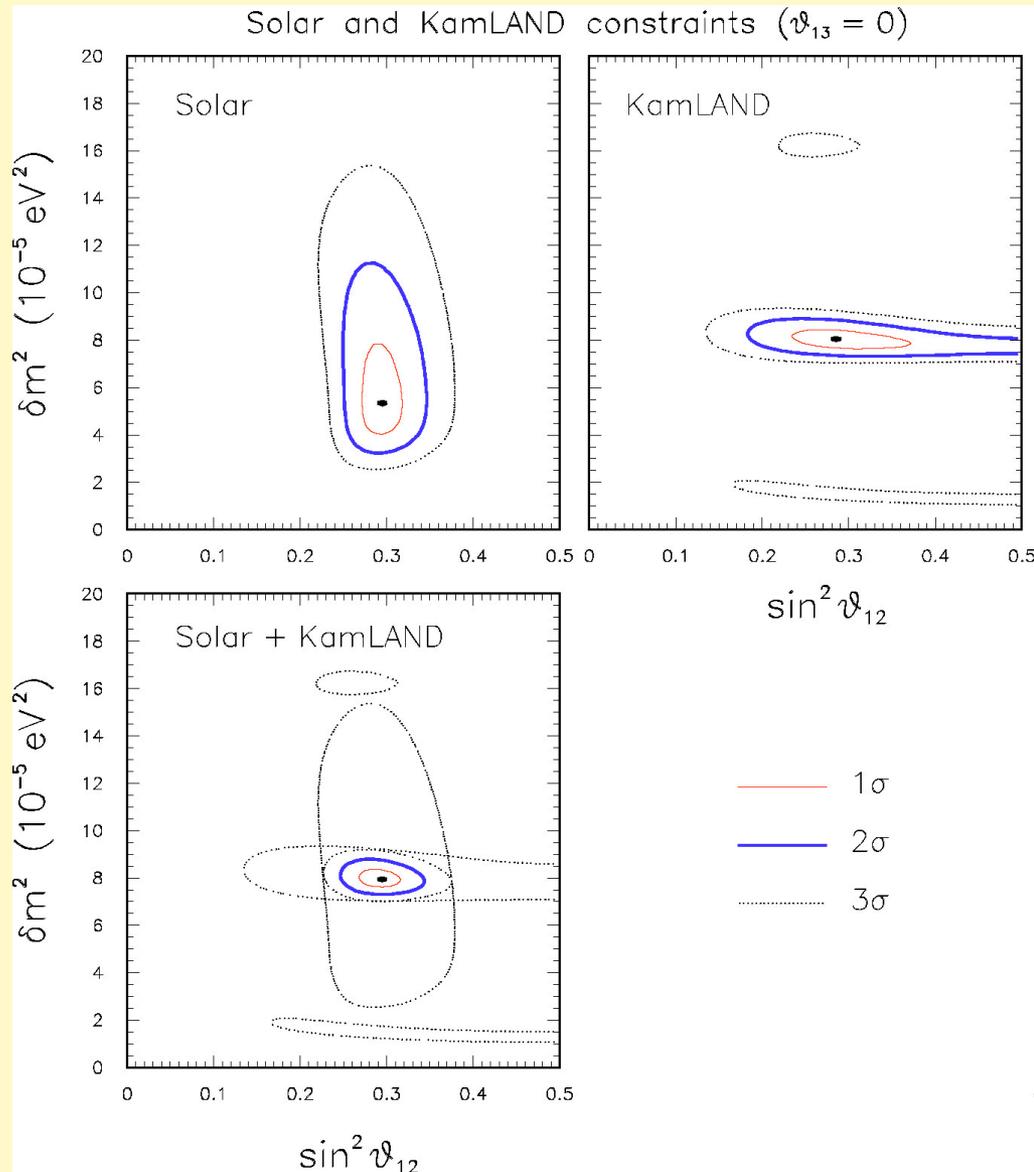
Direct proof of solar  $\nu_e \rightarrow \nu_{\mu,\tau}$   
 in SNO through comparison of

$$\text{CC} : \quad \nu_e + d \rightarrow p + p + e$$

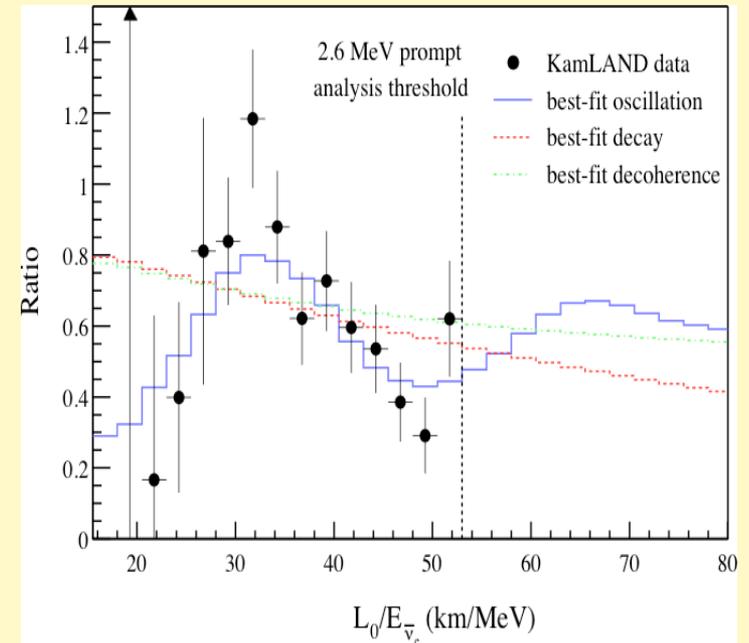
$$\text{NC} : \quad \nu_{e,\mu,\tau} + d \rightarrow p + n + \nu_{e,\mu,\tau}$$

$$\text{ES} : \quad \nu_{e,\mu,\tau} + e \rightarrow e + \nu_{e,\mu,\tau}$$

... in **2004** (KamLAND-II with revised background):  
 unique Large Mixing Angle solution, and another change of scale...

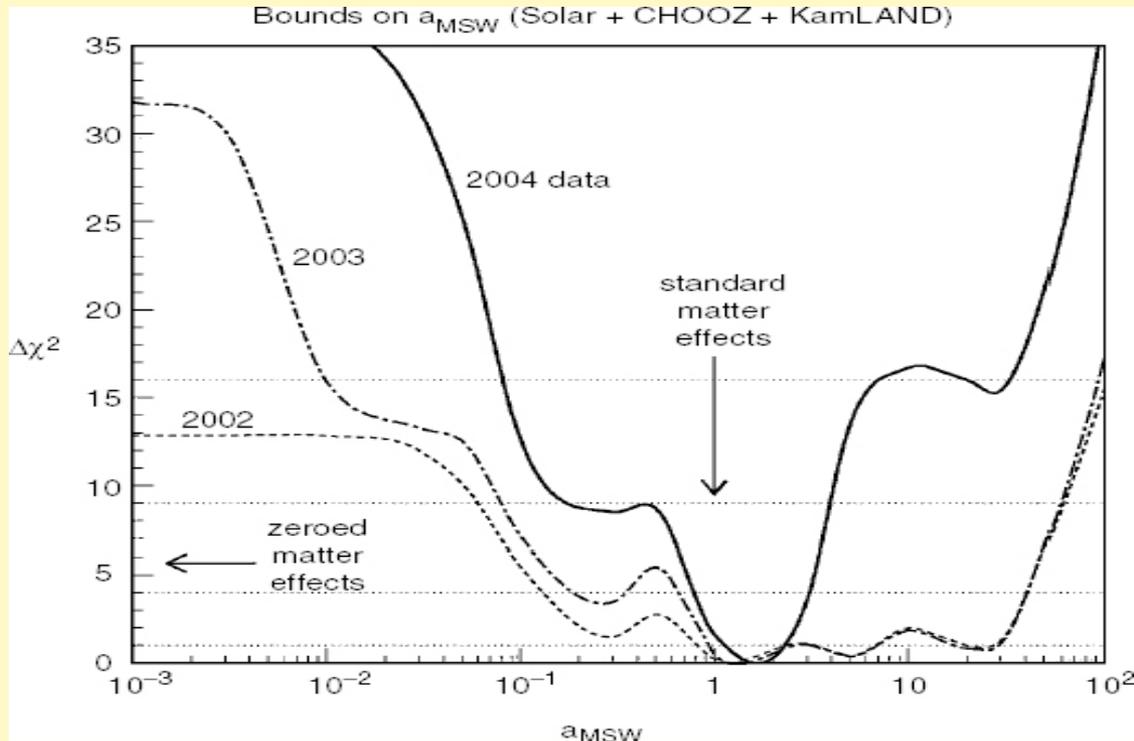


+ evidence for oscillatory effects  
 in KamLAND reactor L/E spectrum



**What about MSW effects?**

- Exercise:** (1) Change MSW potential "by hand,"  $V \rightarrow a_{\text{MSW}}V$   
 (2) Reanalyze all data with  $(\delta m^2, \theta_{12}, a_{\text{MSW}})$  free  
 (3) Project  $(\delta m^2, \theta_{12})$  away and check if  $a_{\text{MSW}} \sim 1$

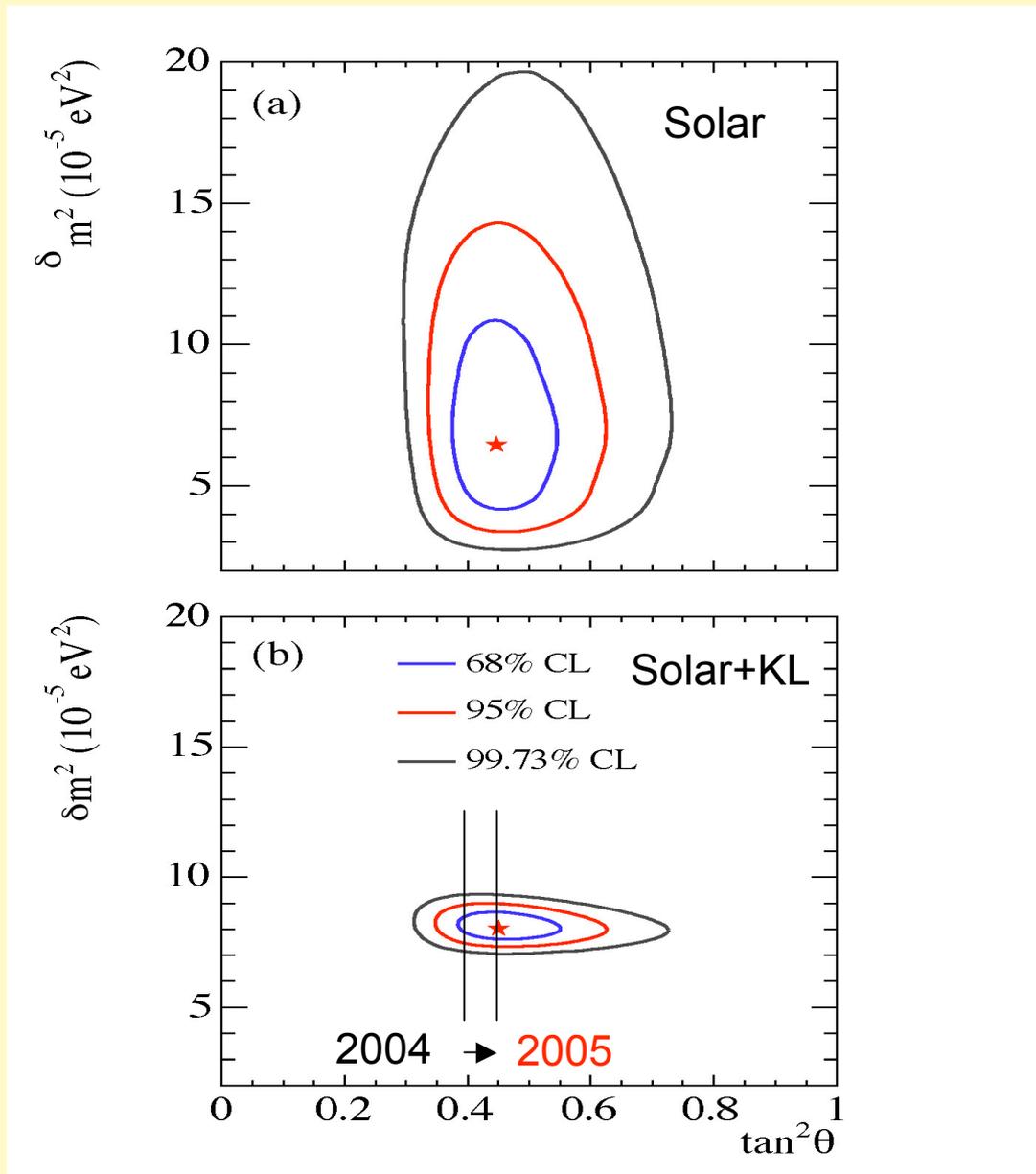


*(... a way of "measuring"  
 $G_F$  through solar  
 neutrino oscillations ...)*

**Results:** with **2004** data,  $a_{\text{MSW}} \sim 1$  confirmed within factor of  $\sim 2$   
 and  $a_{\text{MSW}} \sim 0$  excluded  $\rightarrow$  **Evidence for MSW effects in the Sun**

But: expected subleading effect in the Earth (day-night difference) still below experimental uncertainties.

## 2005 (last week): new data + detailed analysis from SNO

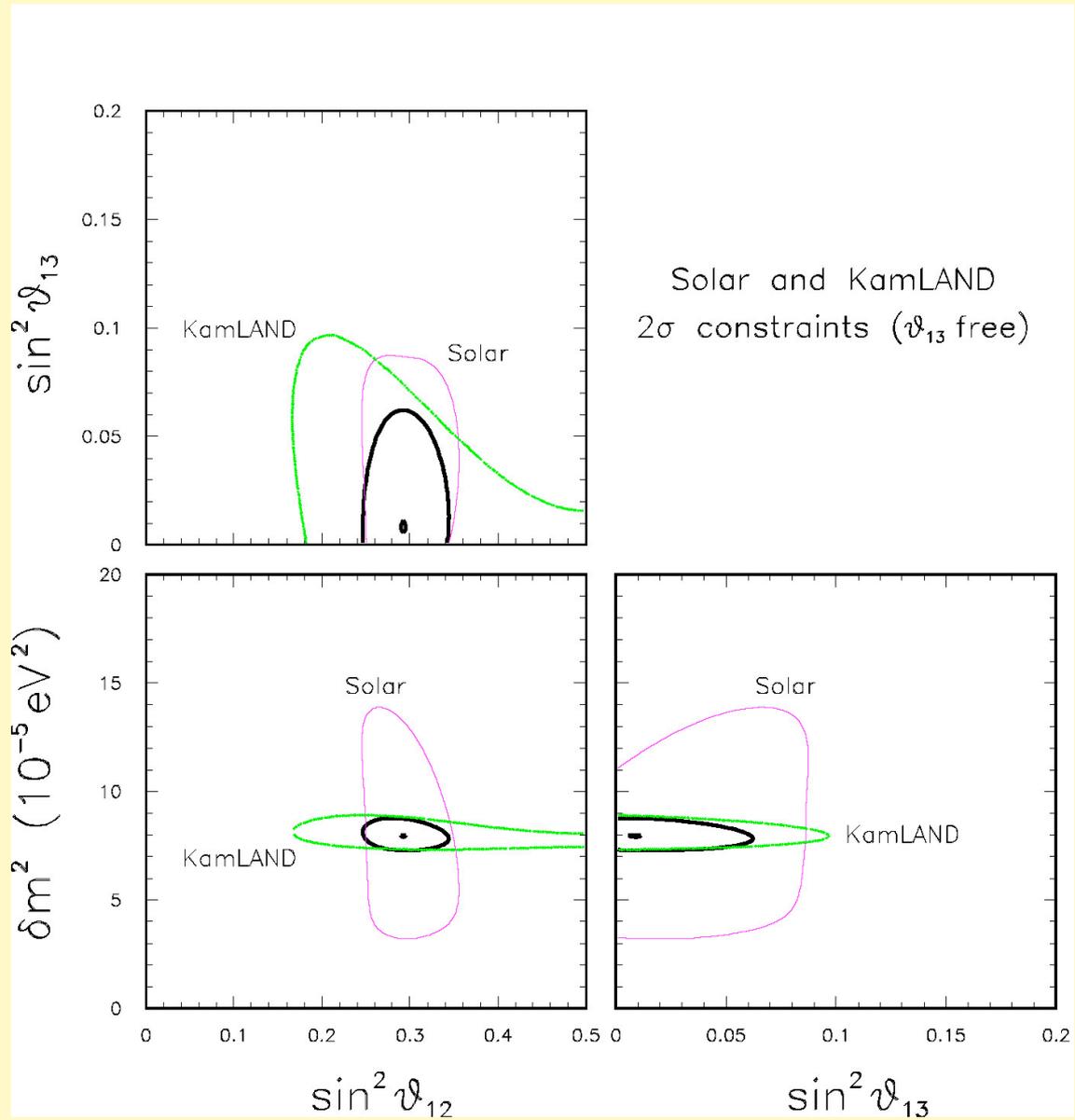


Previous results  
basically confirmed

Slightly higher ratio  
 $CC/NC \sim P(\nu_e \rightarrow \nu_e)$

Slight shift ( $<1\sigma$  upwards)  
of allowed range for  $\theta_{12}$

## 3 $\nu$ analysis of 2004 solar+KamLAND data ( $\theta_{13}$ free)



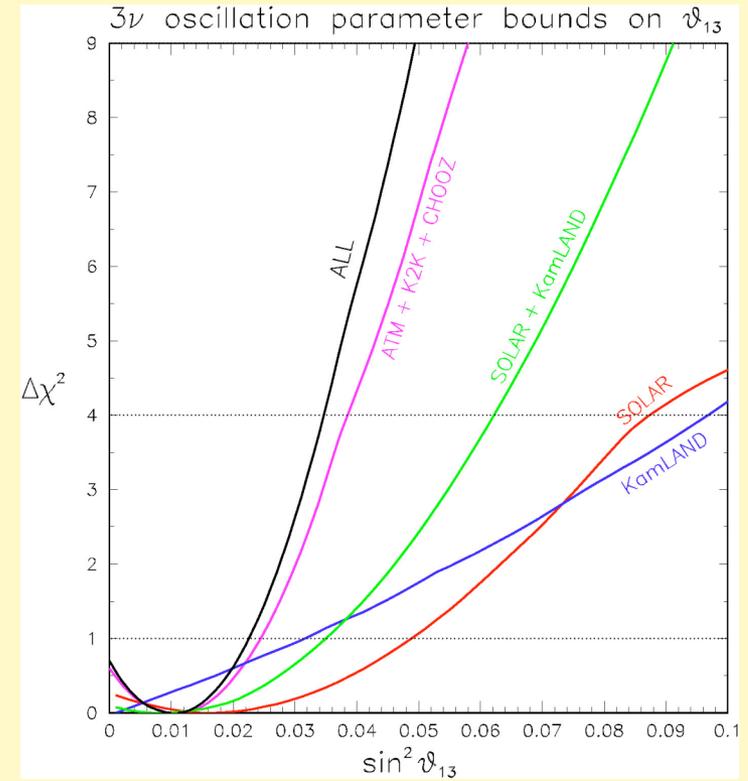
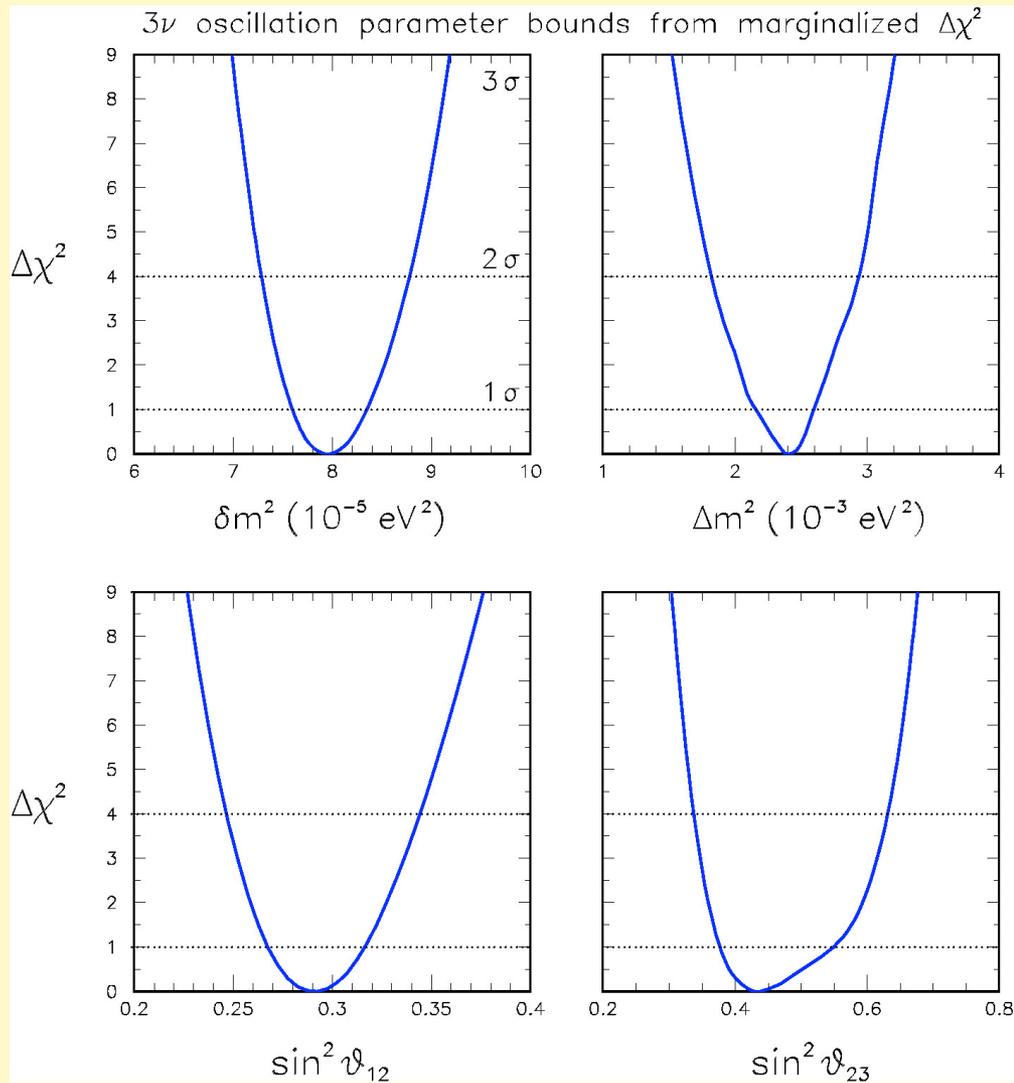
Solar and KamLAND  
 $2\sigma$  constraints ( $\vartheta_{13}$  free)

Solar and KamLAND data  
also prefer  $\theta_{13} \sim 0$  (nontrivial  
consistency with SK+CHOOZ)

Bounds on  $(\delta m^2, \theta_{12})$  not  
significantly altered for  
unconstrained  $\theta_{13}$

**"Grand Total" from global  
analysis of oscillation data**

# Marginalized $\Delta\chi^2$ curves for each parameter (2004)



## Numerical $\pm 2\sigma$ ranges (95% CL for 1dof), 2004 data:

$$\delta m^2 \simeq 8.0_{-0.7}^{+0.8} \times 10^{-5} \text{ eV}^2$$

$$\Delta m^2 \simeq 2.4_{-0.6}^{+0.5} \times 10^{-3} \text{ eV}^2$$

$$\sin^2 \theta_{12} \simeq 0.29_{-0.04}^{+0.05} \quad (\text{SNO '05 : } 0.29 \rightarrow 0.31)$$

$$\sin^2 \theta_{23} \simeq 0.45_{-0.11}^{+0.18}$$

$$\sin^2 \theta_{13} < \sim 0.035$$

sign( $\pm \Delta m^2$ ) : unknown

CP phase  $\delta$  : unknown

*Note: Precise values for  $\theta_{12}$  and  $\theta_{23}$  relevant for model building (see talk by Tanimoto)*

**Probing absolute  $\nu$  masses  
through non-oscillation searches**

## Three main tools ( $m_\beta$ , $m_{\beta\beta}$ , $\Sigma$ ):

- 1)  **$\beta$  decay:**  $m_i^2 \neq 0$  can affect spectrum endpoint. Sensitive to the "effective electron neutrino mass": *(most direct method)*

$$m_\beta = [c_{13}^2 c_{12}^2 m_1^2 + c_{13}^2 s_{12}^2 m_2^2 + s_{13}^2 m_3^2]^{\frac{1}{2}}$$

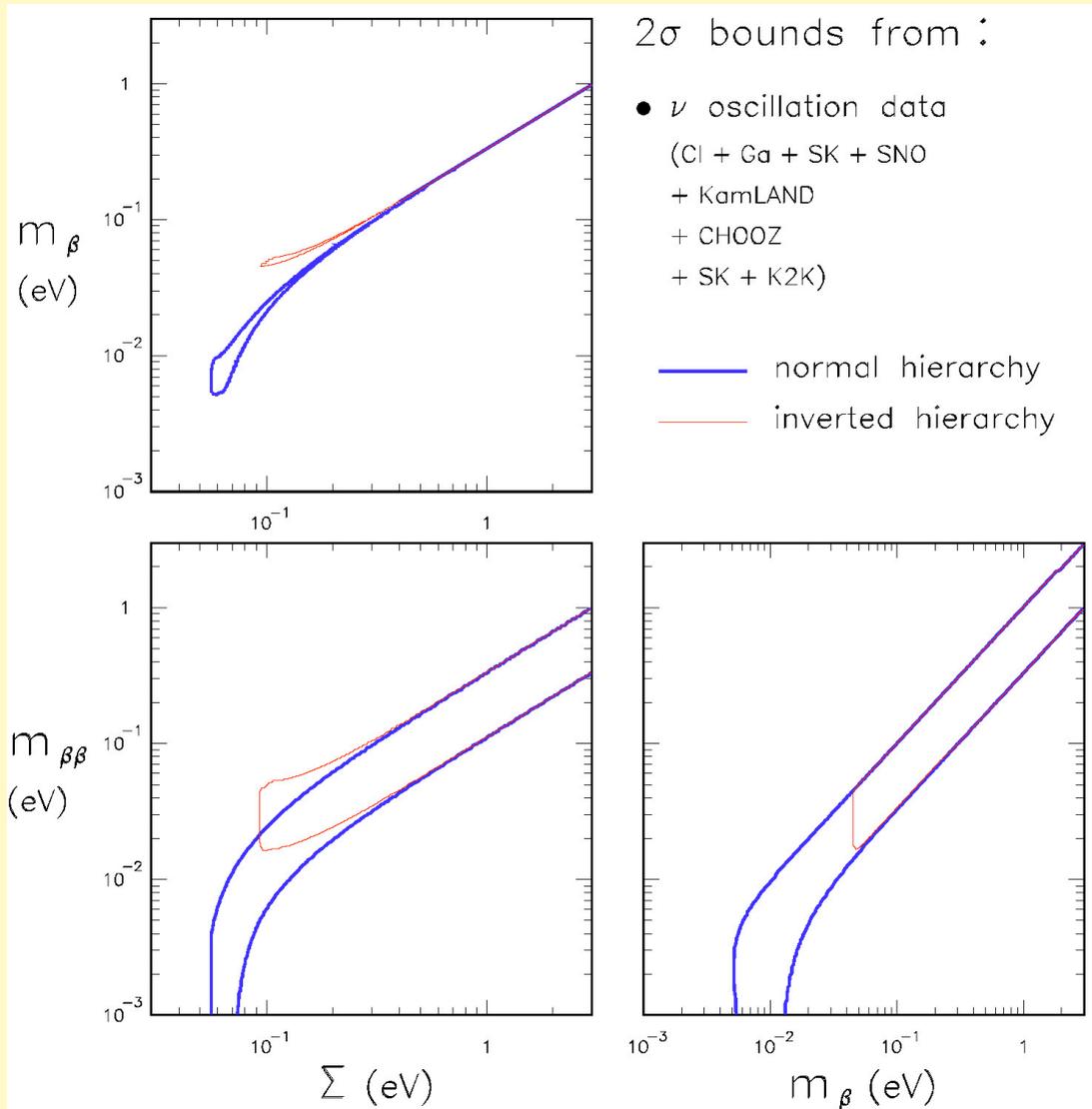
- 2)  **$0\nu 2\beta$  decay:** Can occur if  $m_i^2 \neq 0$  and  $\nu = \bar{\nu}$ . Sensitive to the "effective Majorana mass" (and phases): *(several talks this afternoon)*

$$m_{\beta\beta} = |c_{13}^2 c_{12}^2 m_1 + c_{13}^2 s_{12}^2 m_2 e^{i\phi_2} + s_{13}^2 m_3 e^{i\phi_3}|$$

- 3) **Cosmology:**  $m_i^2 \neq 0$  can affect large scale structures in (standard) cosmology constrained by CMB+other data. Probes: *(see talk by Pastor)*

$$\Sigma = m_1 + m_2 + m_3$$

Even without non-oscillation data, the  $(m_\beta, m_{\beta\beta}, \Sigma)$  parameter space is constrained by previous oscillation results:



Significant covariances

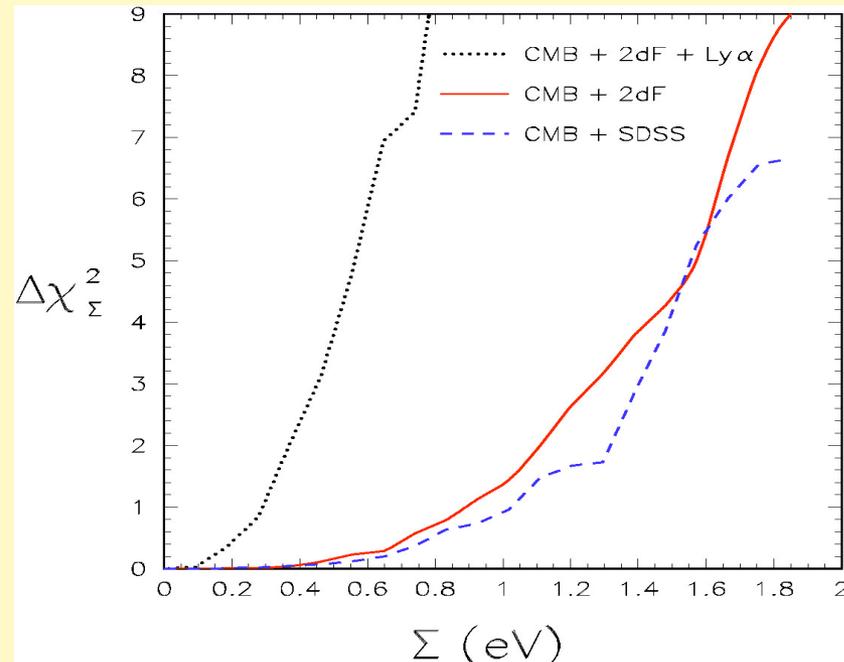
Partial overlap between  
the two hierarchies

Large  $m_{\beta\beta}$  spread due to  
unknown Majorana phases

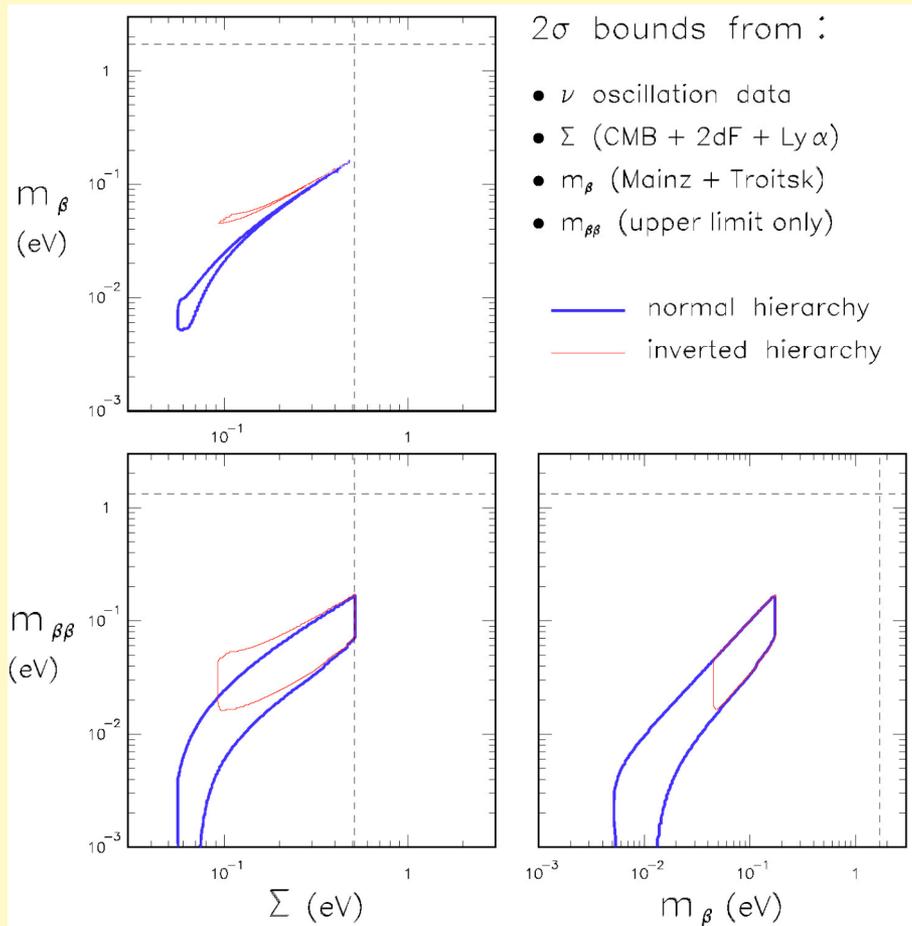
## But we do have information from non-oscillation experiments:

- 1)  $\beta$  decay: no signal so far. Mainz & Troitsk expts:  $m_\beta < O(eV)$
- 2)  $0\nu 2\beta$  decay, no signal in all experiment, except in the most sensitive one (*Heidelberg-Moscow*). Rather debated claim.  
 Claim accepted:  $m_{\beta\beta}$  in sub-eV range (with large uncertainties)  
 Claim rejected:  $m_{\beta\beta} < O(eV)$ .

- 3) **Cosmology.** Upper bounds:  
 $\Sigma < eV/\text{sub-eV range}$ ,  
 depending on several inputs and priors. E.g.,

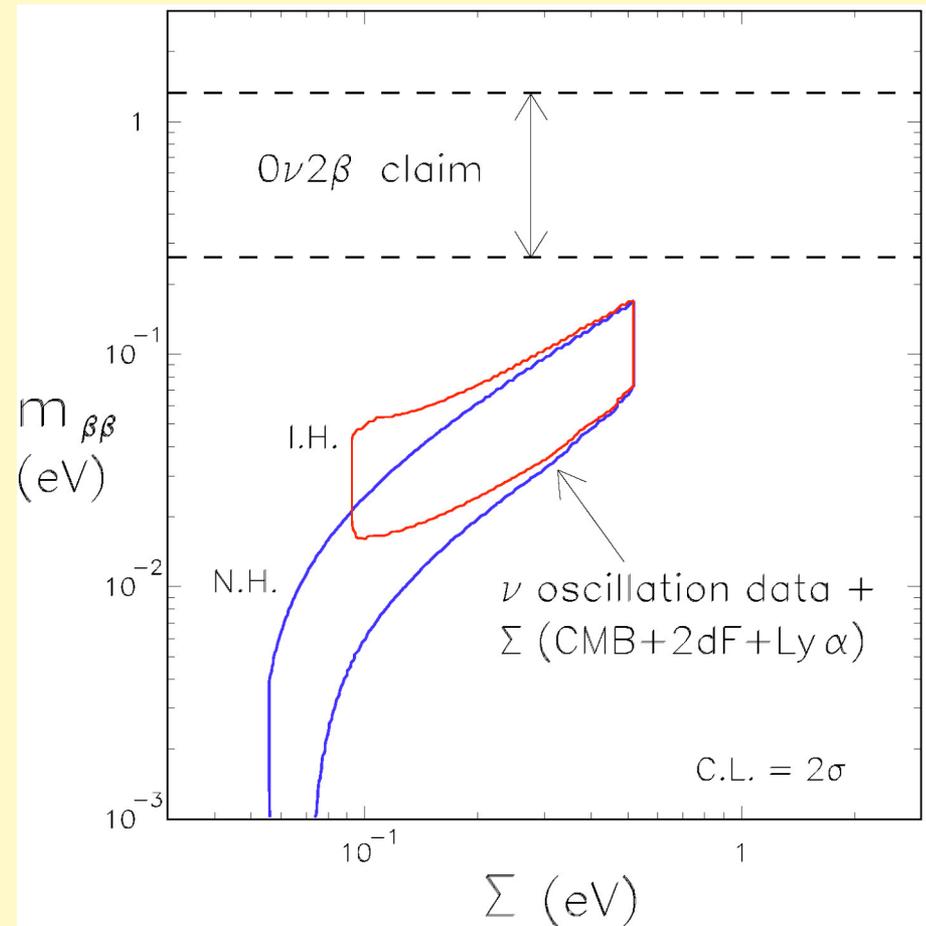


## $0\nu 2\beta$ claim rejected



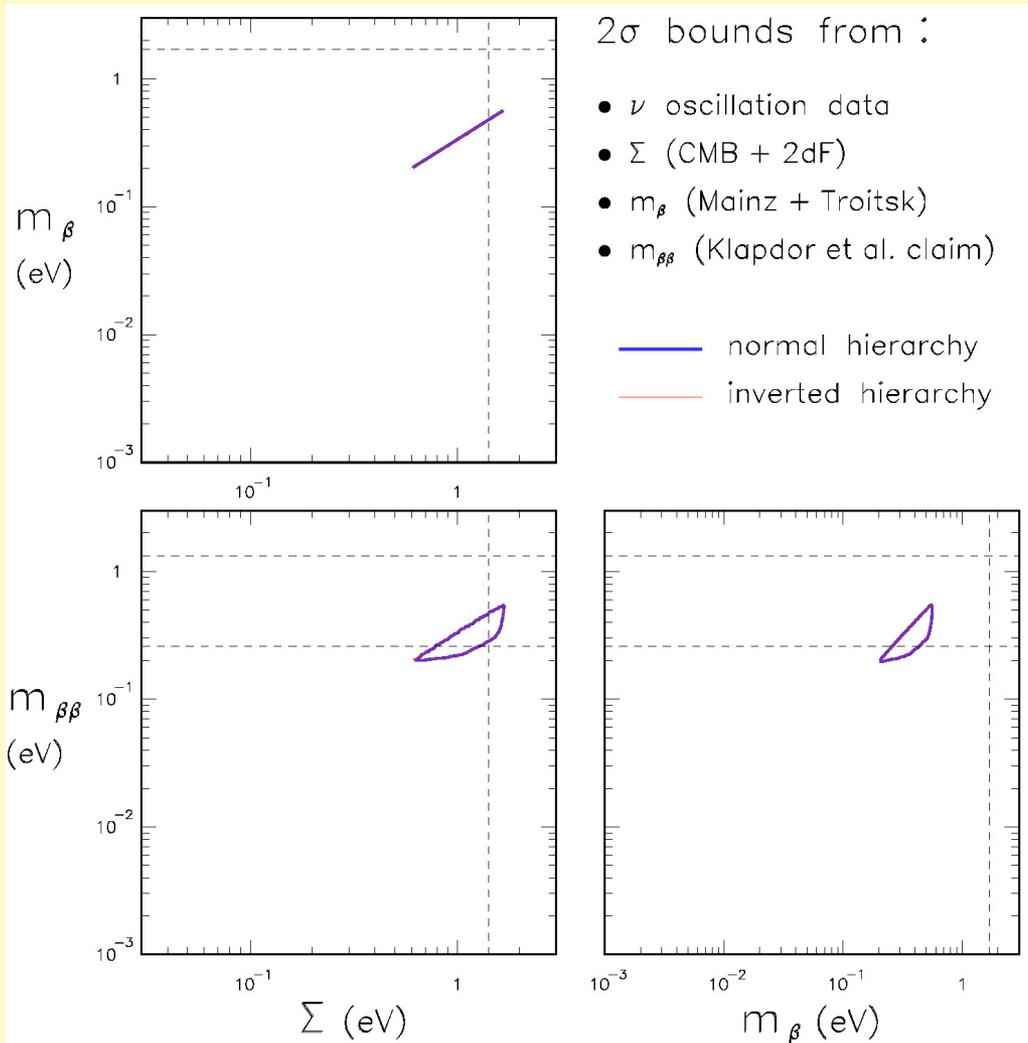
Cosmological bound dominates, but does not probe hierarchy yet

## $0\nu 2\beta$ claim accepted



Tension with cosmological bound  
(no combination possible at face value)  
But: too early to draw definite conclusions

E.g., if  $0\nu 2\beta$  claim accepted & cosmological bounds relaxed:



Combination of all data  
(osc+nonosc.) possible

Complete overlap of  
the two hierarchies  
(degenerate spectrum  
with "large" masses)

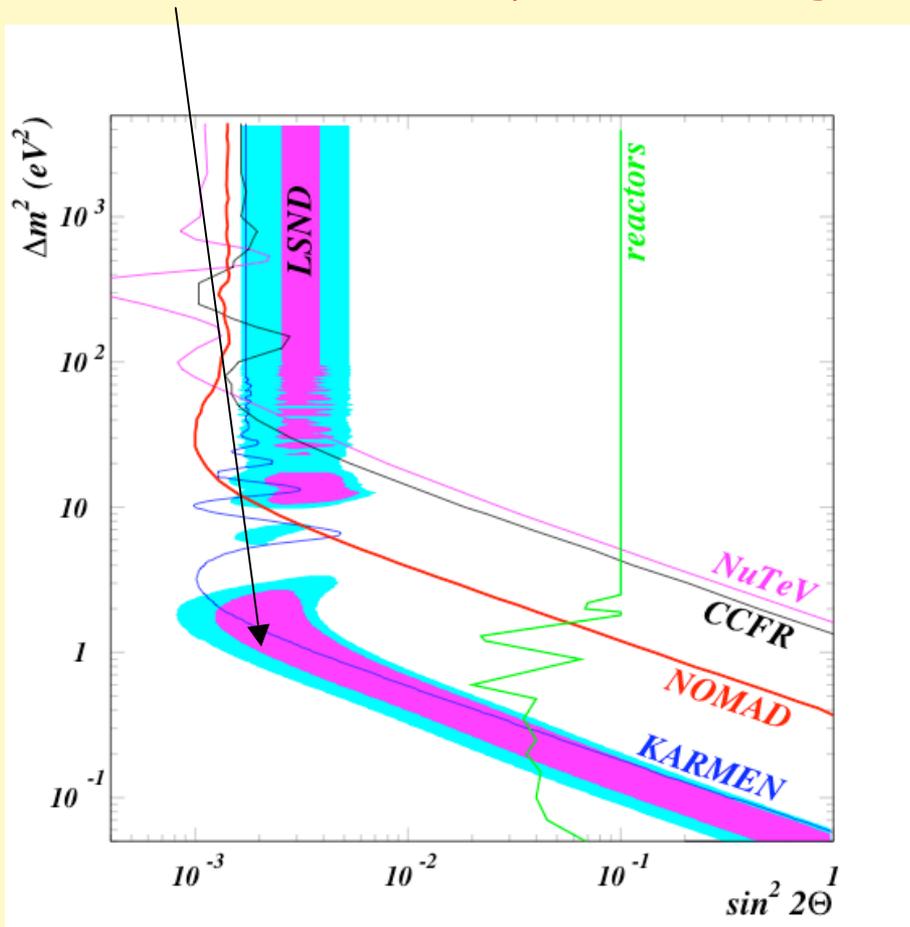
High discovery potential  
in future ( $m_\beta$ ,  $m_{\beta\beta}$ ,  $\Sigma$ )  
searches

# Beyond three-neutrino mixing: LSND

Many theoretical reasons to go beyond the standard 3 $\nu$  scenario

A purely experimental reason: the puzzling LSND oscillation claim

$\Delta M^2 \sim O(eV^2)$  with very small mixing?



Solutions invented so far (new sterile states, new interactions or properties) seem rather "ad hoc" and/or in poor agreement with world neutrino data

If MiniBoone (talk by McGregor) confirms LSND this year, many ideas will be revised, and the neutrino session of Moriond 2006 will be fun!

# Conclusions

Great  
progress  
in recent  
years ...

Neutrino mass & mixing: established fact  
 Determination of  $(\delta m^2, \theta_{12})$  and  $(\Delta m^2, \theta_{23})$   
 Upper bounds on  $\theta_{13}$   
 Oscillation-induced spectral distortions  
 Direct evidence for solar  $\nu$  flavor change  
 Evidence for matter effects in the Sun  
 Upper bounds on  $\nu$  masses in (sub)eV range

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Determination of  $\theta_{13}$   
 Leptonic CP violation  
 Absolute  $m_\nu$  from  $\beta$ -decay and cosmology  
 Test of  $0\nu 2\beta$  claim and of Dirac/Majorana  $\nu$   
 Matter effects in the Earth  
 Normal vs inverted hierarchy  
 Beyond the standard  $3\nu$  scenario  
 Deeper theoretical understanding

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... and great  
challenges  
for the  
future!