



# An Introduction to Cryptology

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# Comp527 status items

- Hack-a-Vote project
  - Everybody should be working now!



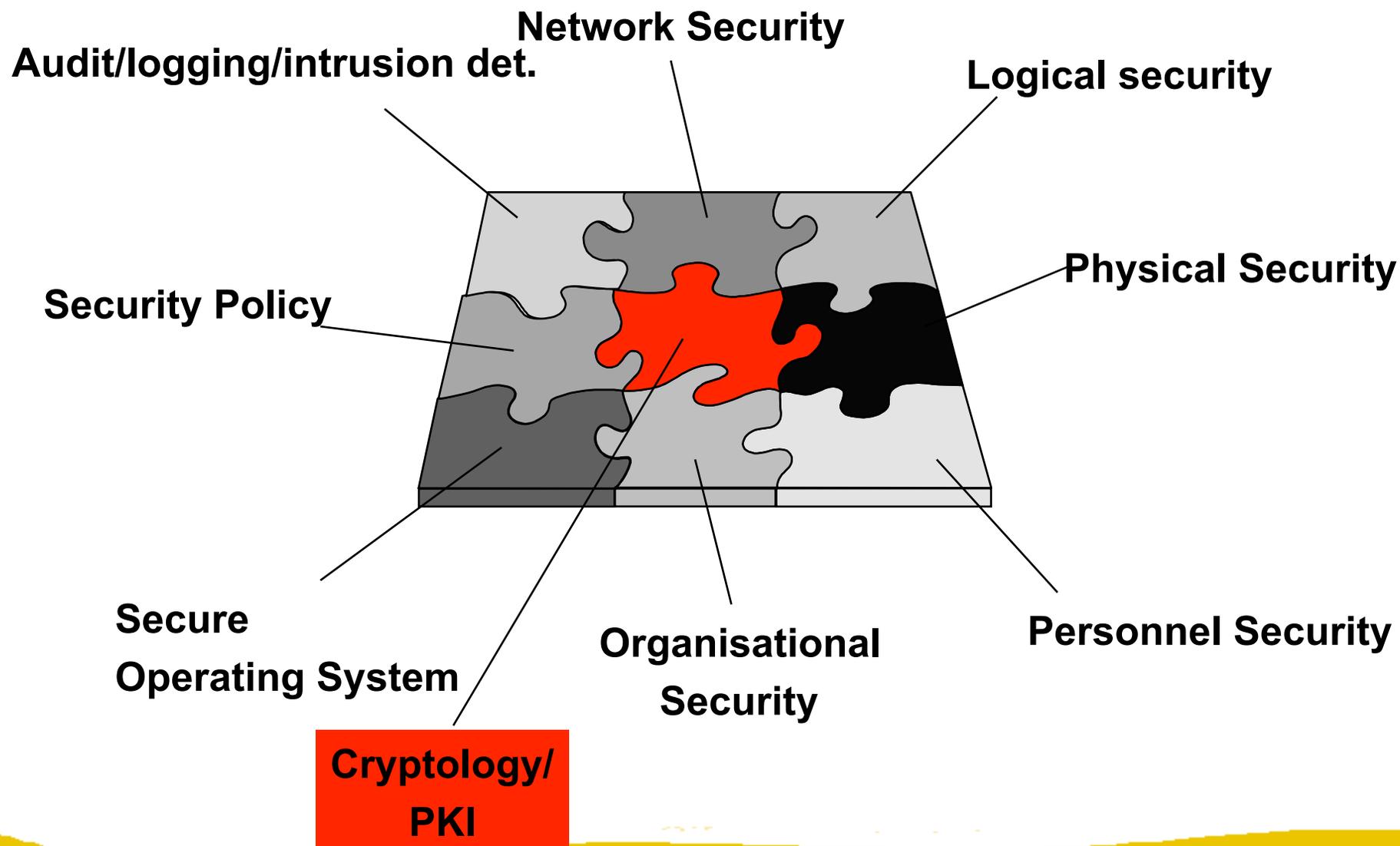
# Some books on cryptology

- B. Schneier, *Applied Cryptography*, Wiley, 1996. Widely popular and very accessible – make sure you get the errata.
- D. Stinson, *Cryptography: Theory and Practice*, CRC Press, 1995. Solid introduction, but only for the mathematically inclined.
  - 2nd edition, part 1 available in 2002.
- A.J. Menezes, P.C. van Oorschot, S.A. Vanstone, *Handbook of Applied Cryptography*, CRC Press, 1997. The bible of modern cryptography. Thorough and complete reference work – not suited as a first text book. All chapters can be downloaded for free at <http://www.cacr.math.uwaterloo.ca/hac>

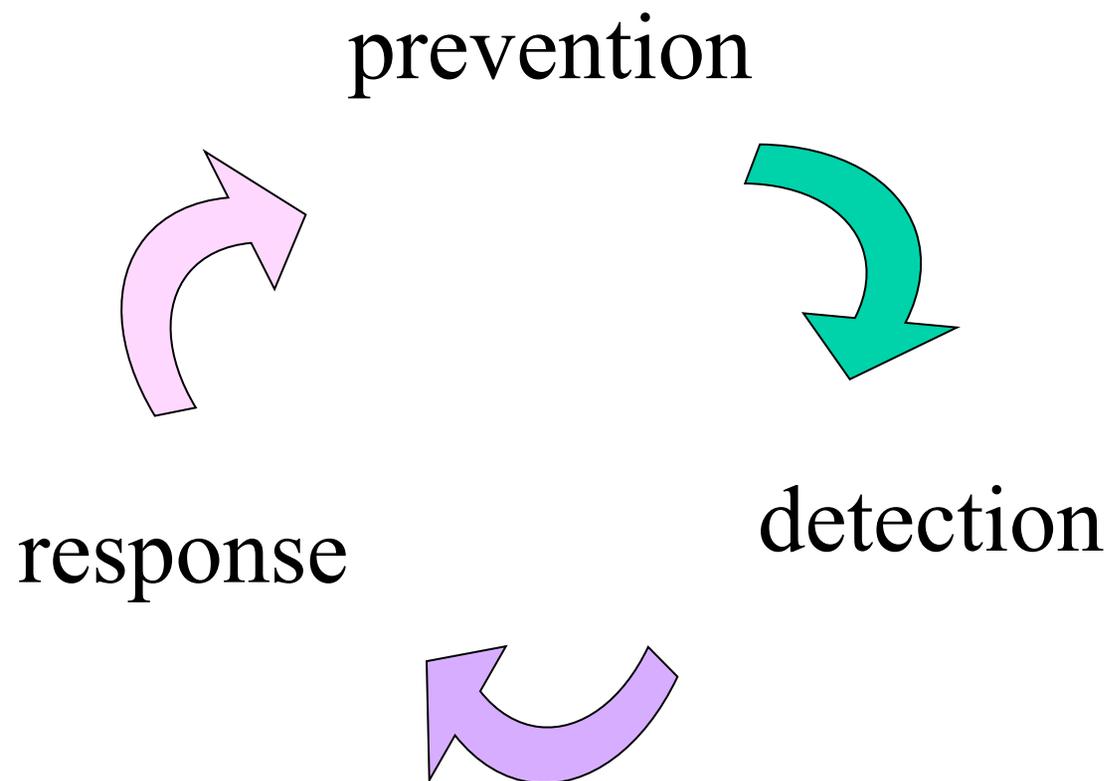
# More information: some links

- IACR (International Association for Cryptologic Research): [www.iacr.org](http://www.iacr.org)
- IETF web site: [www.ietf.org](http://www.ietf.org)
- Cryptography faq: [www.faqs.org/faqs/cryptography-faq](http://www.faqs.org/faqs/cryptography-faq)
- links: Ron Rivest, David Wagner, Counterpane  
[www.counterpane.com/hotlist.html](http://www.counterpane.com/hotlist.html)
- Digicrime ([www.digicrime.org](http://www.digicrime.org)) - not serious but informative and entertaining

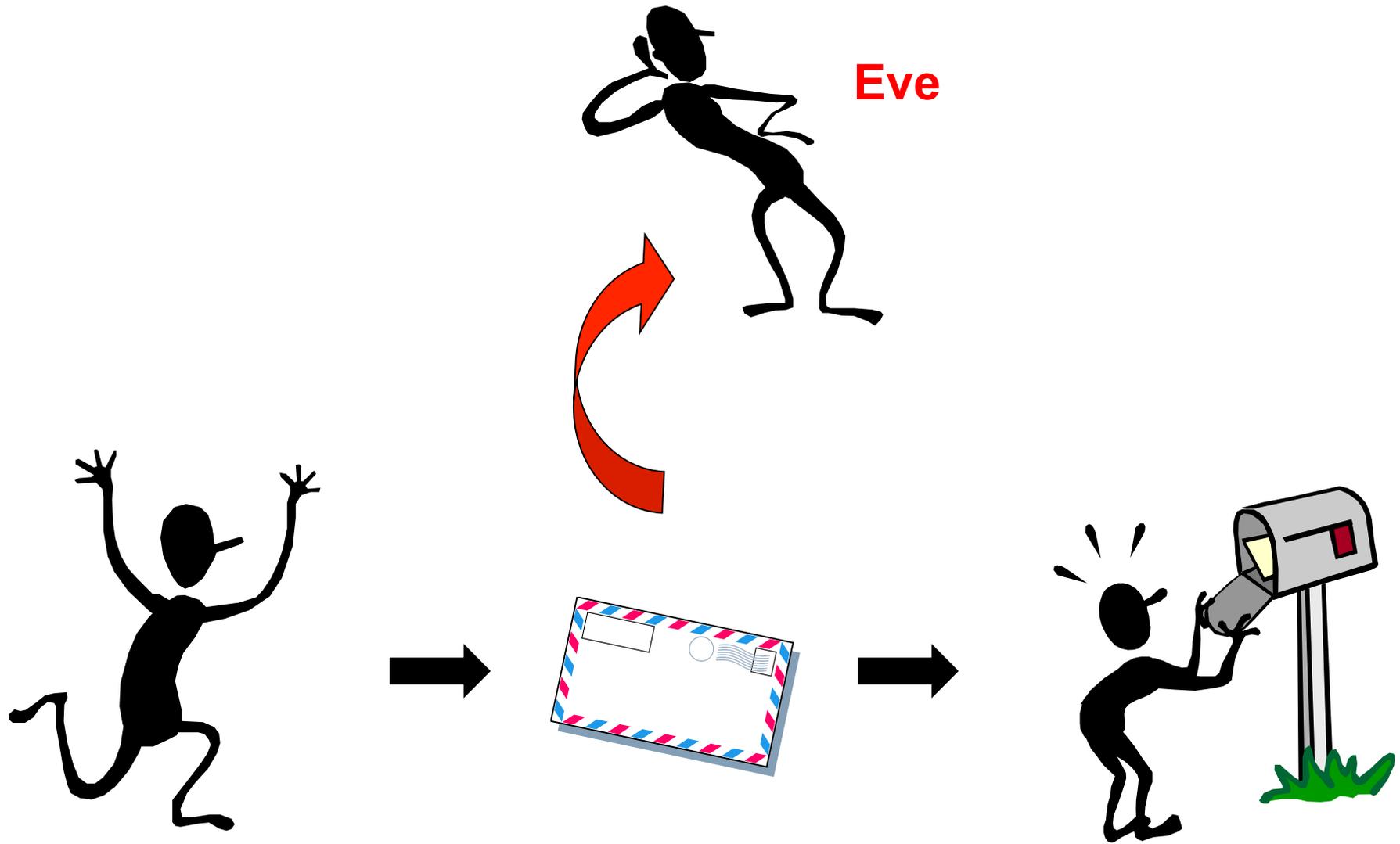
# Information security: a puzzle



# Process approach to security



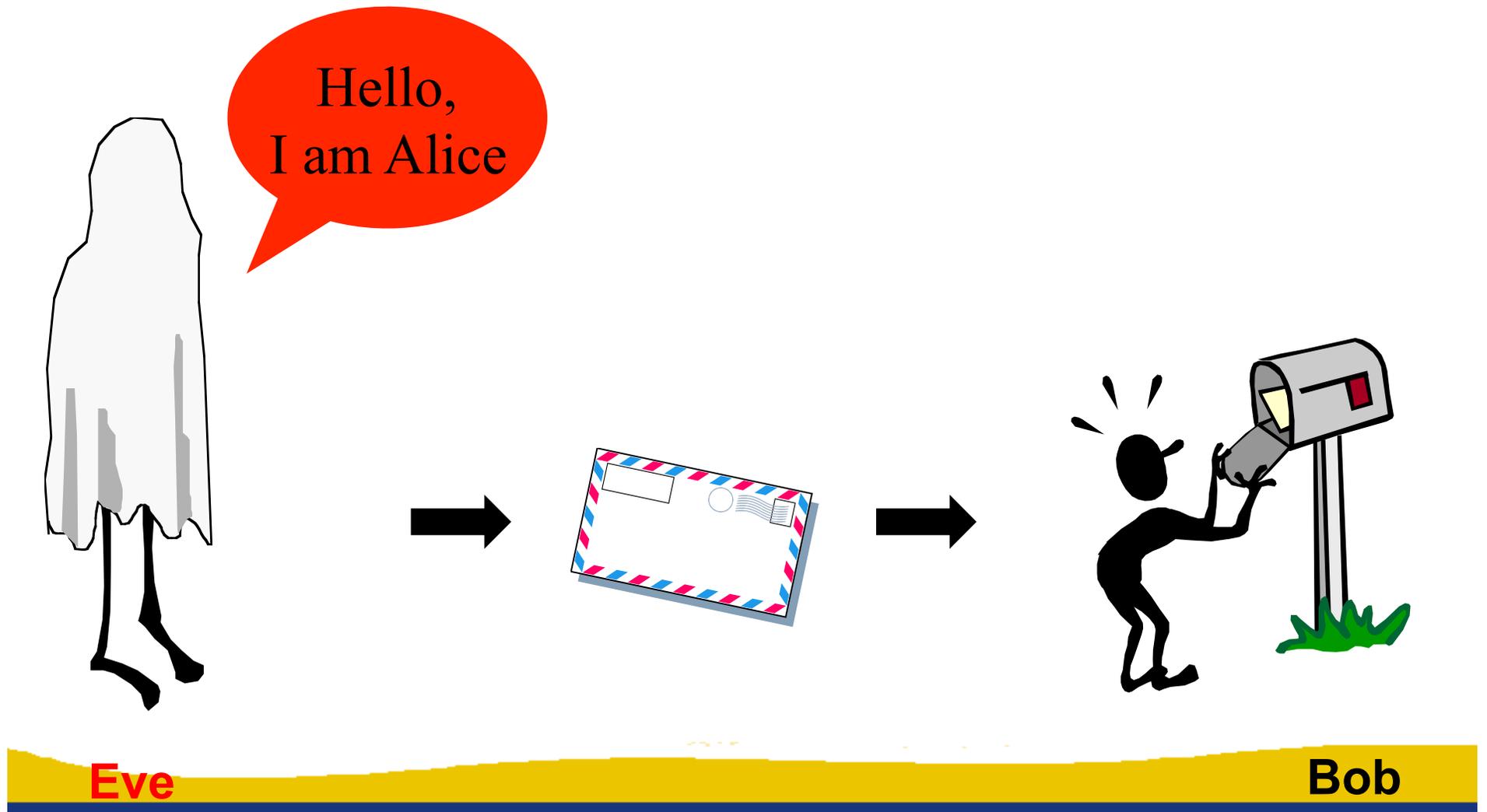
# Data confidentiality



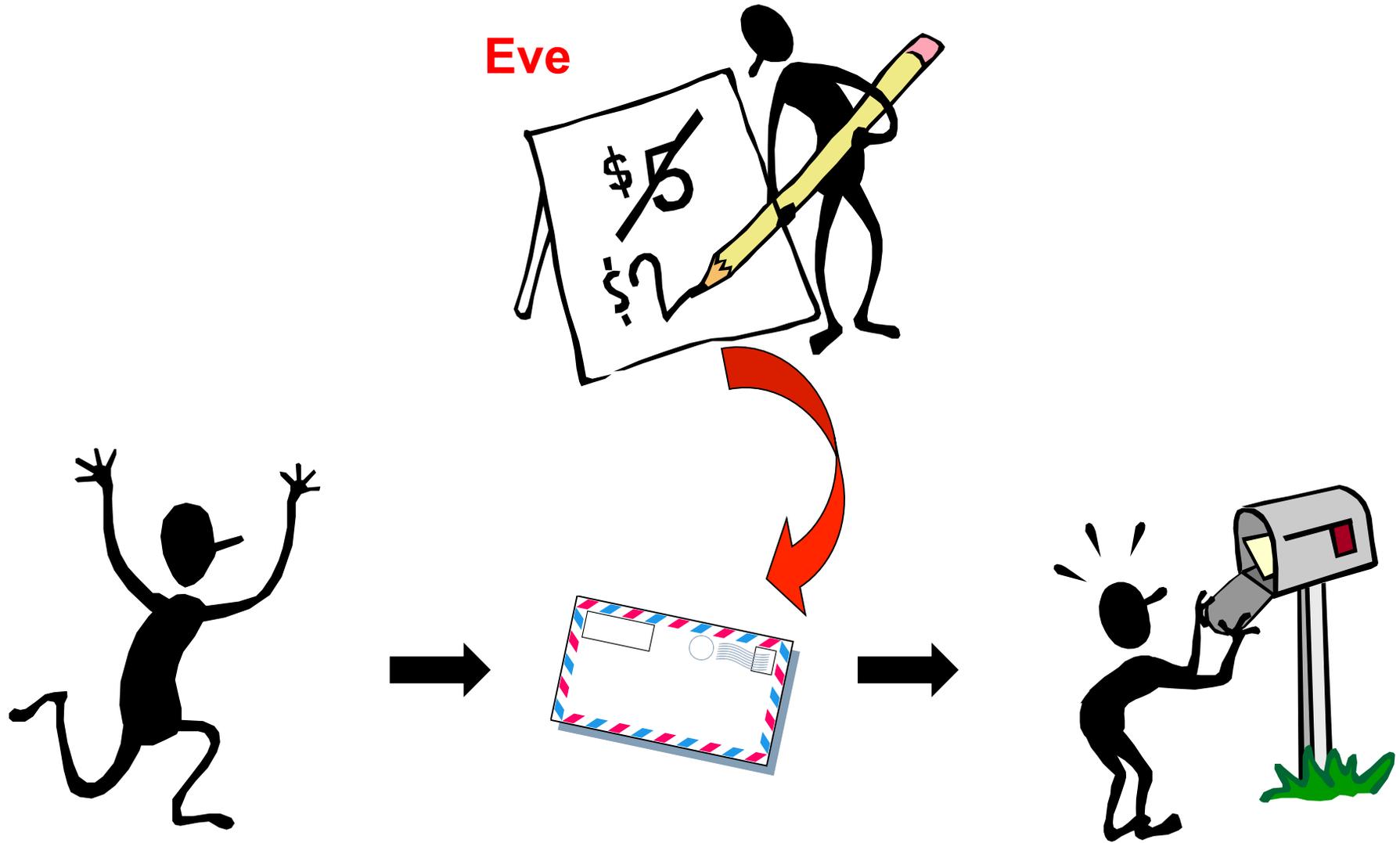
Alice

Bob

# Entity authentication



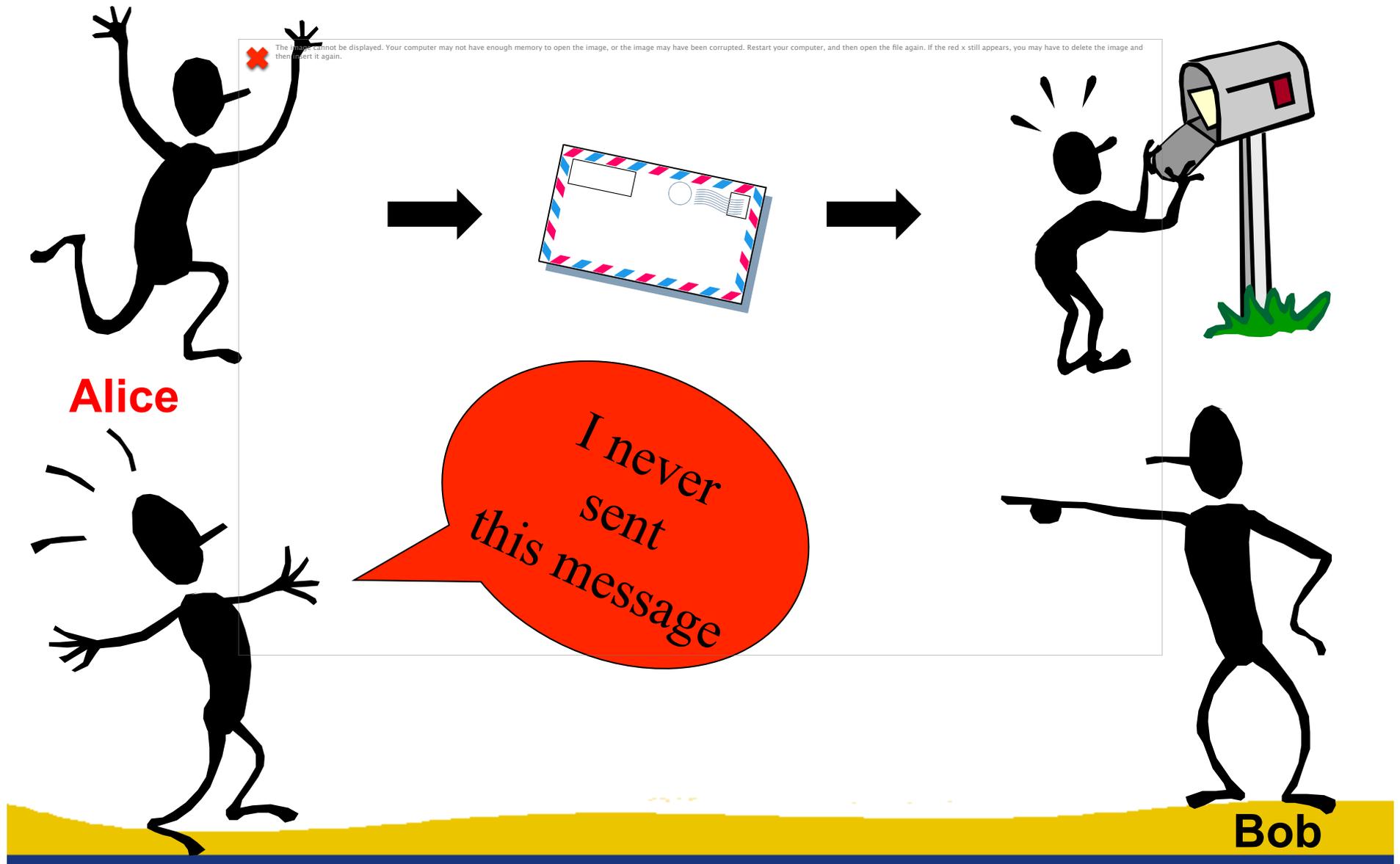
# Data authentication



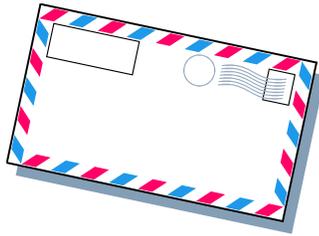
Alice

Bob

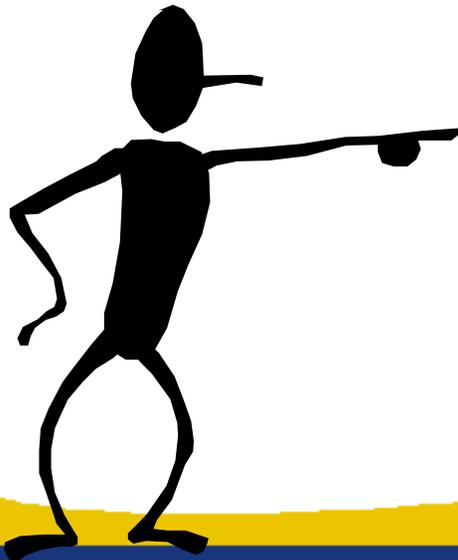
# Non-repudiation (origin)



# Non-repudiation (receipt)



Alice



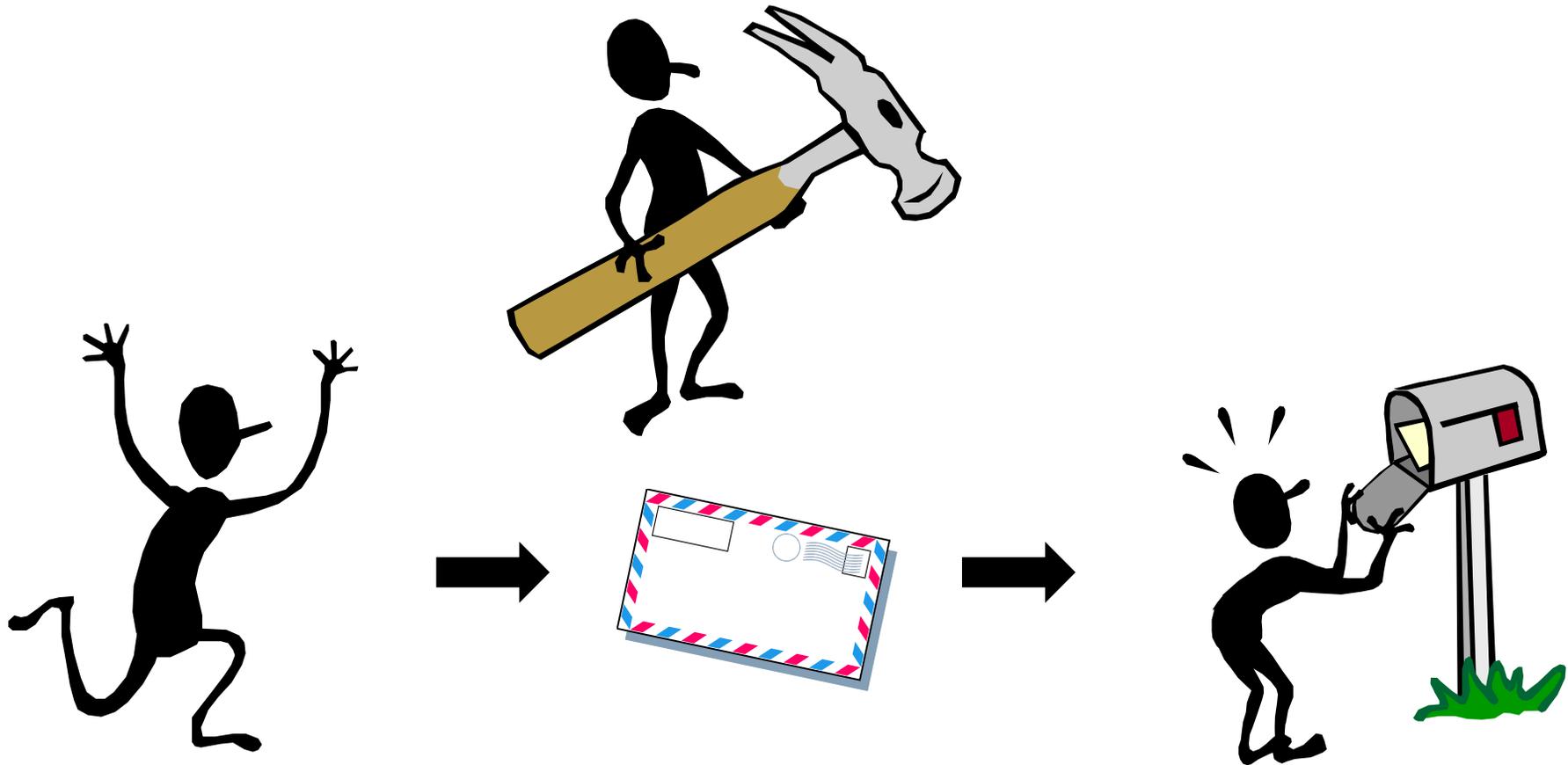
I never  
received  
this message



Bob

# Denial of service

Eve



Alice

Bob

# Definitions

	<b>data</b>	<b>entities</b>
<b>confidentiality</b>	encryption	anonymity
<b>authentication</b>	data authentication	identification

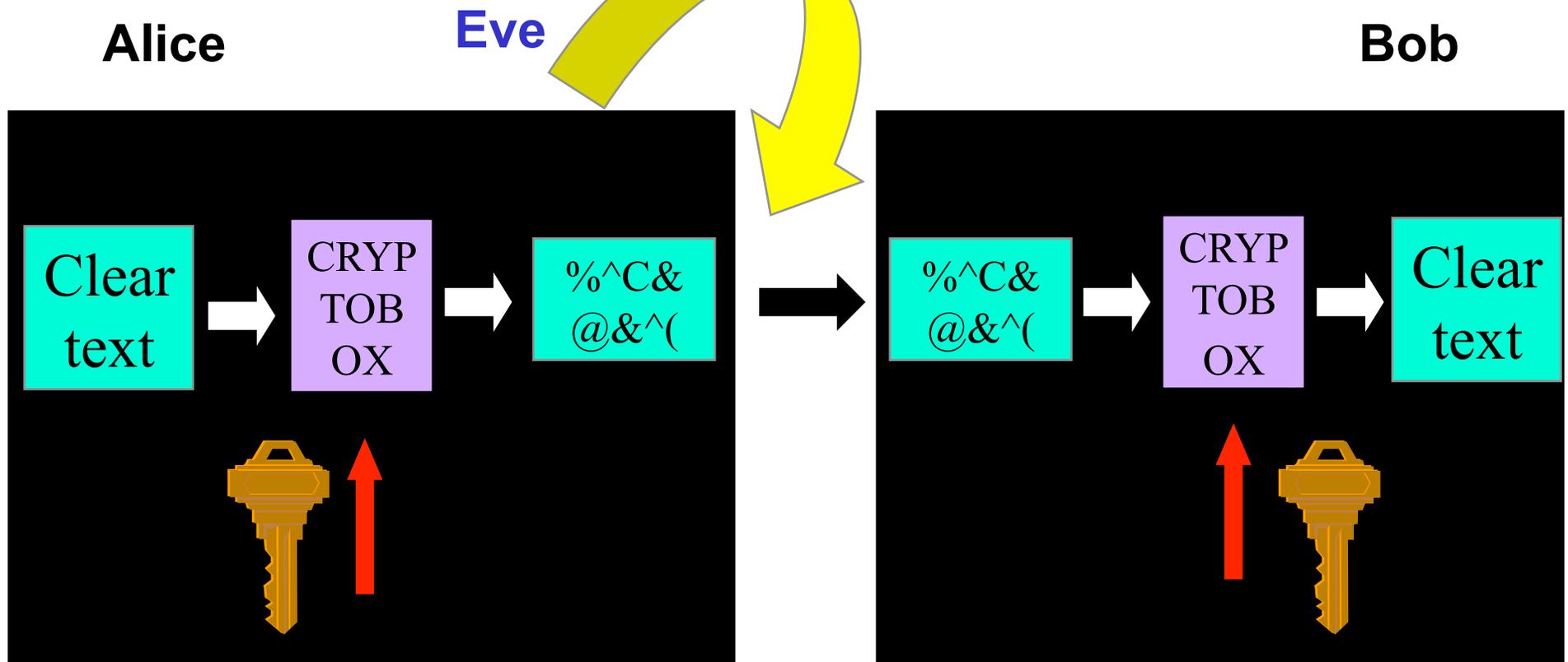
Non-repudiation of origin, receipt

Contract signing

Notarisation and Timestamping

# Cryptology: basic principles

*Listen or Modify*



# Symmetric cryptology: confidentiality

- old cipher systems:
  - transposition, substitution, rotor machines
- the opponent and her power
- the Vernam scheme
- A5/1, Bluetooth, RC4
- DES and triple-DES
- AES

# Old cipher systems (pre-1900)

- Caesar cipher: shift letters over  $k$  positions in the alphabet ( $k$  is the secret key)

THIS IS THE CAESAR CIPHER

**WKLV LV WKH FDHVDU FLSKHU**

- Julius Caesar never changed his key ( $k=3$ ).



# Cryptanalysis example:

HJAEG JAWFW FNGQW JMKMJ

IKBFH KBXGX GOHRX KNLNK

JLCGI LCYHY HPISY LOMOL

KMDHJ MDZIZ IQJTZ MPNPM

LNEIK NEAJA JRKUA NQOQN

MOFGL OFBKB KSLVB ORPRO

NPGHM PGCLC LTMWC PSQSP

OQHIN QHDMD MUNXD QTRTQ

PRIMO RIENE NVOYE RUSUR

QSJNP SJFOF OWPZF SVTVS

RTKOQ TKGPG PXQAG TWUWT

# Old cipher systems (pre-1900) (2)

- Substitutions

—

ABCDEFGHIJKLMN**OPQRSTU**VW

XYZ

—

MZNJSOAXFQGYKHLUCTDVWBI

PER

TRANS

ORI S

POSIT

NOTIT

- Transpositions

IONS

OSAN

P

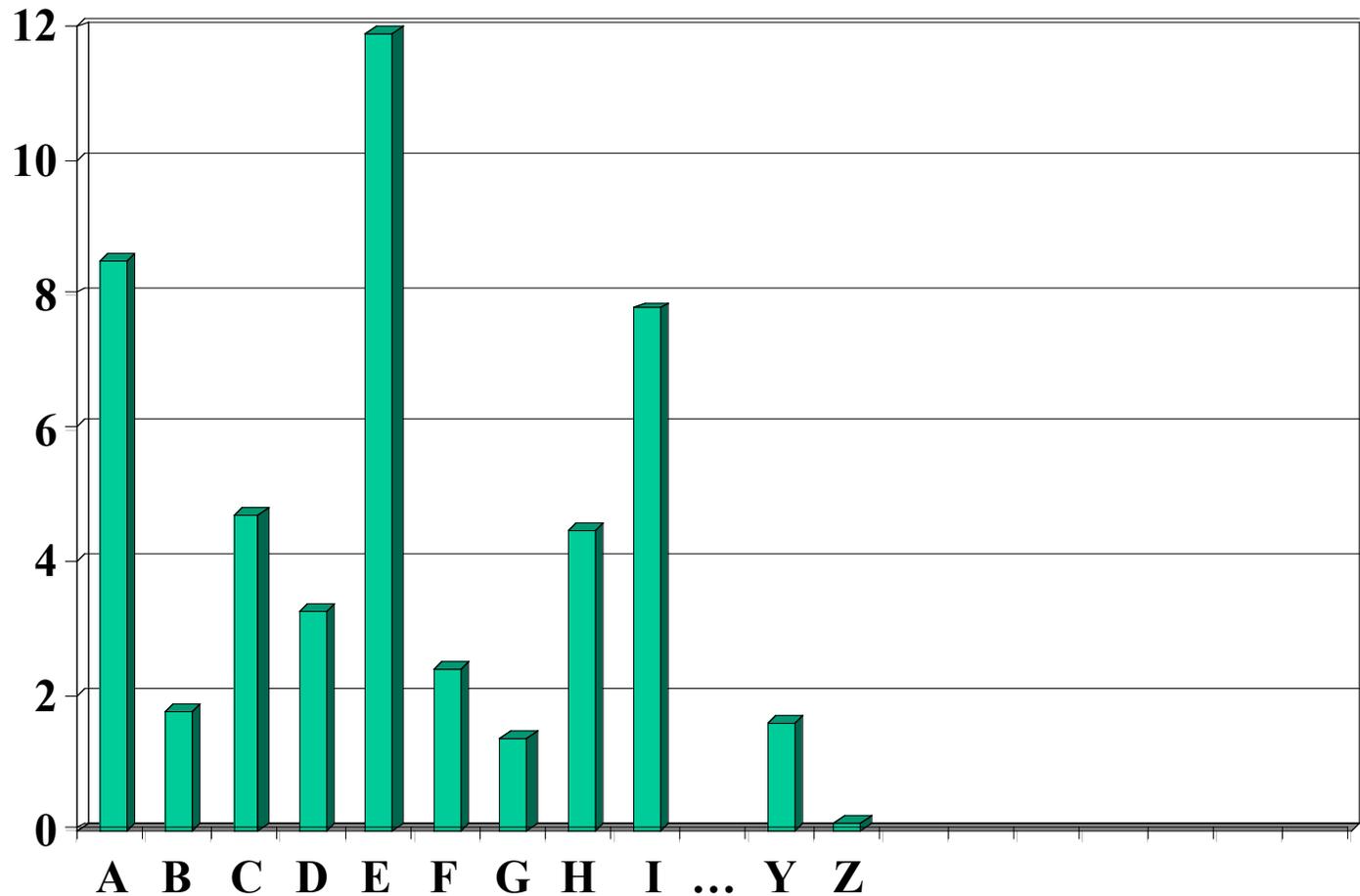


# Security

- there are  $n!$  different substitutions on an alphabet with  $n$  letters
- there are  $n!$  different transpositions of  $n$  letters
- $n=26$ :  $n!$   
 $=403291461126605635584000000 = 4 \cdot 10^{26}$  keys
- trying all possibilities at 1 nanosecond per key requires....

Easy to  
break simple  
substitution  
using  
statistical  
techniques

# Letter distributions



# Assumptions on Eve (the opponent)

- Cryptology = cryptography + cryptanalysis
- Eve knows the algorithm, except for the key (Kerckhoffs's principle)
- increasing capability of Eve:
  - knows some information about the plaintext (e.g., in English)
  - knows part of the plaintext
  - can choose (part of) the plaintext and look at the ciphertext
  - can choose (part of) the ciphertext and look at the plaintext

# Assumptions on Eve (the opponent)

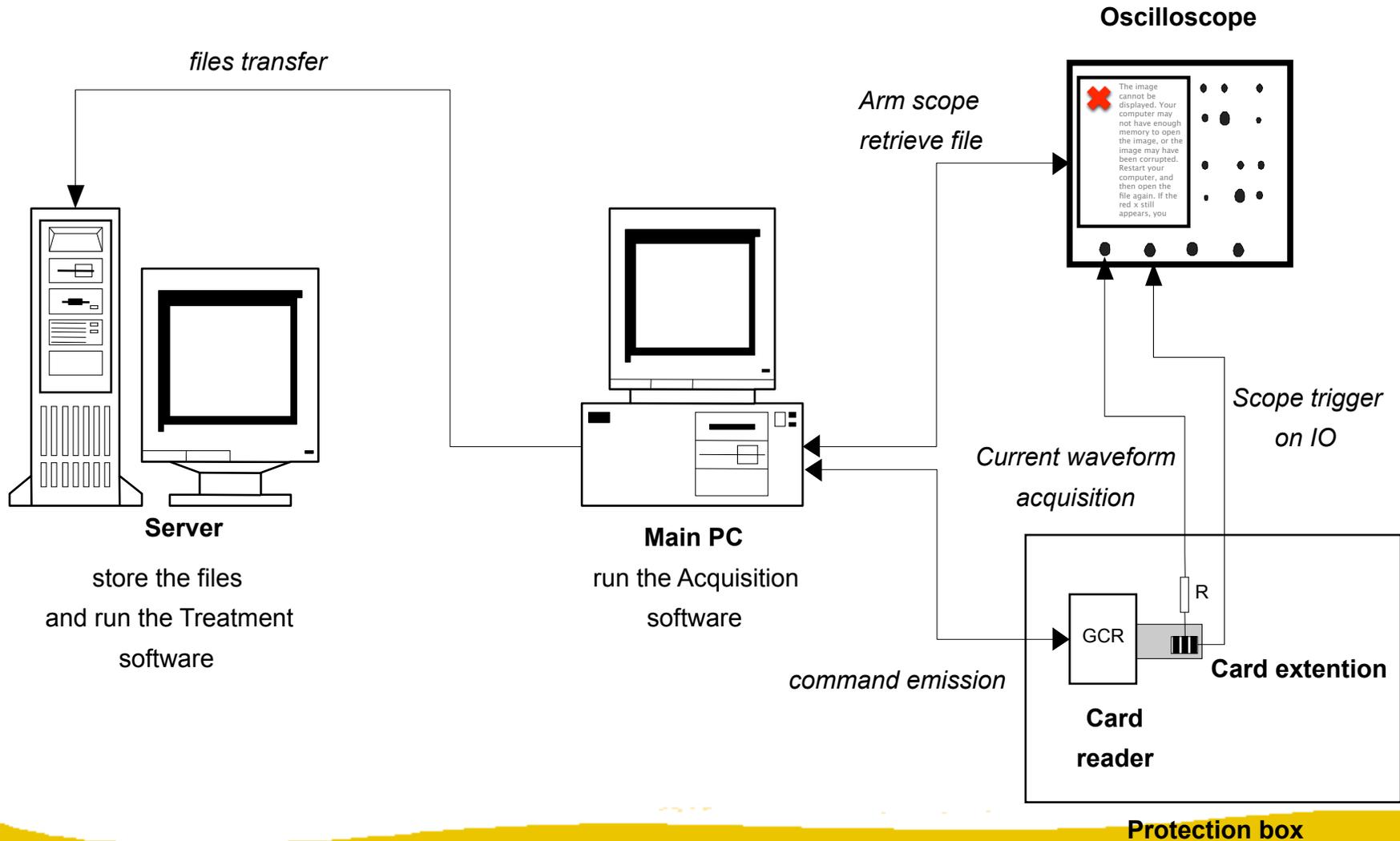
- A scheme is broken if Eve can deduce the key or obtain additional plaintext
- Eve can always try all possible keys till “meaningful” plaintext appears:  
a brute force attack
  - solution: large key space
- Eve will try to find shortcut attacks (faster than brute force)
  - history shows that designers are too optimistic about the security of their cryptosystems

# New assumptions on Eve

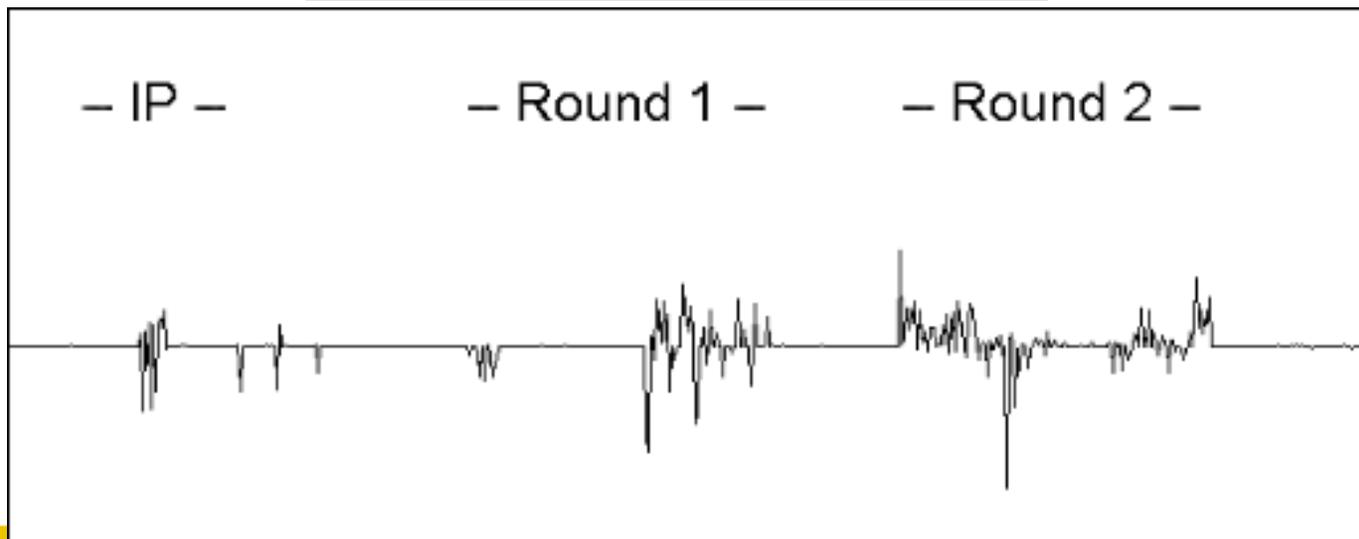
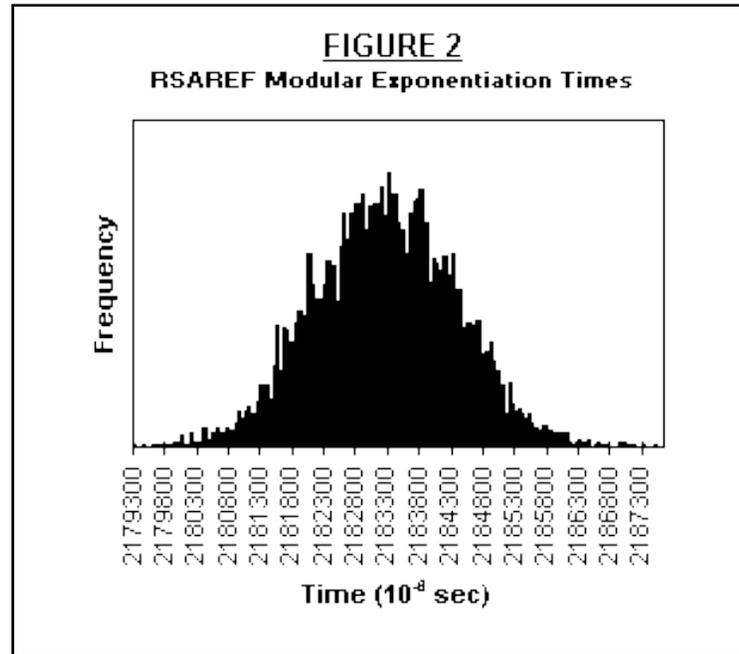
- Eve may have access to **side channels**
  - timing attacks
  - simple power analysis
  - differential power analysis
  - differential fault analysis
  - electromagnetic interference



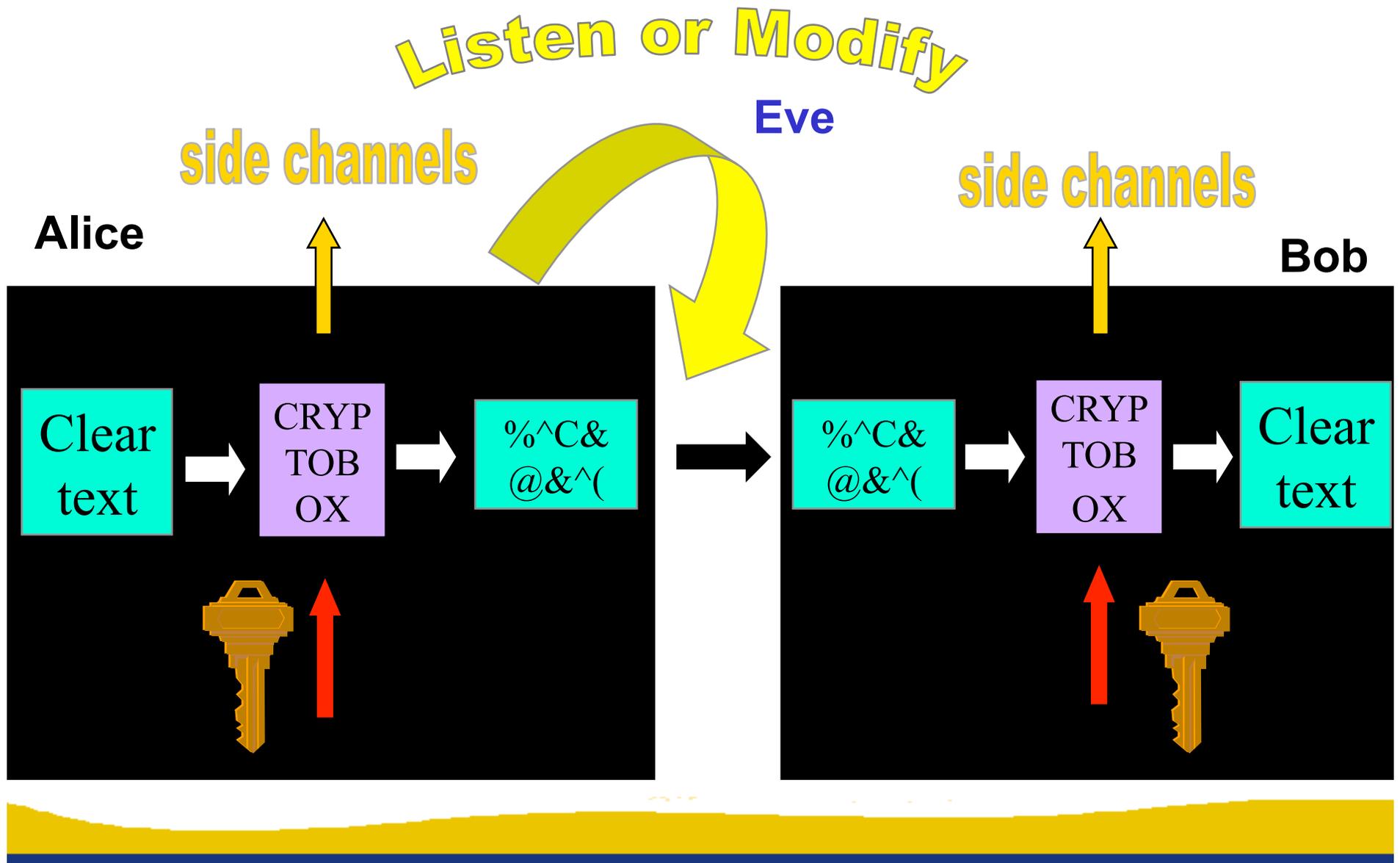
# Side channel analysis



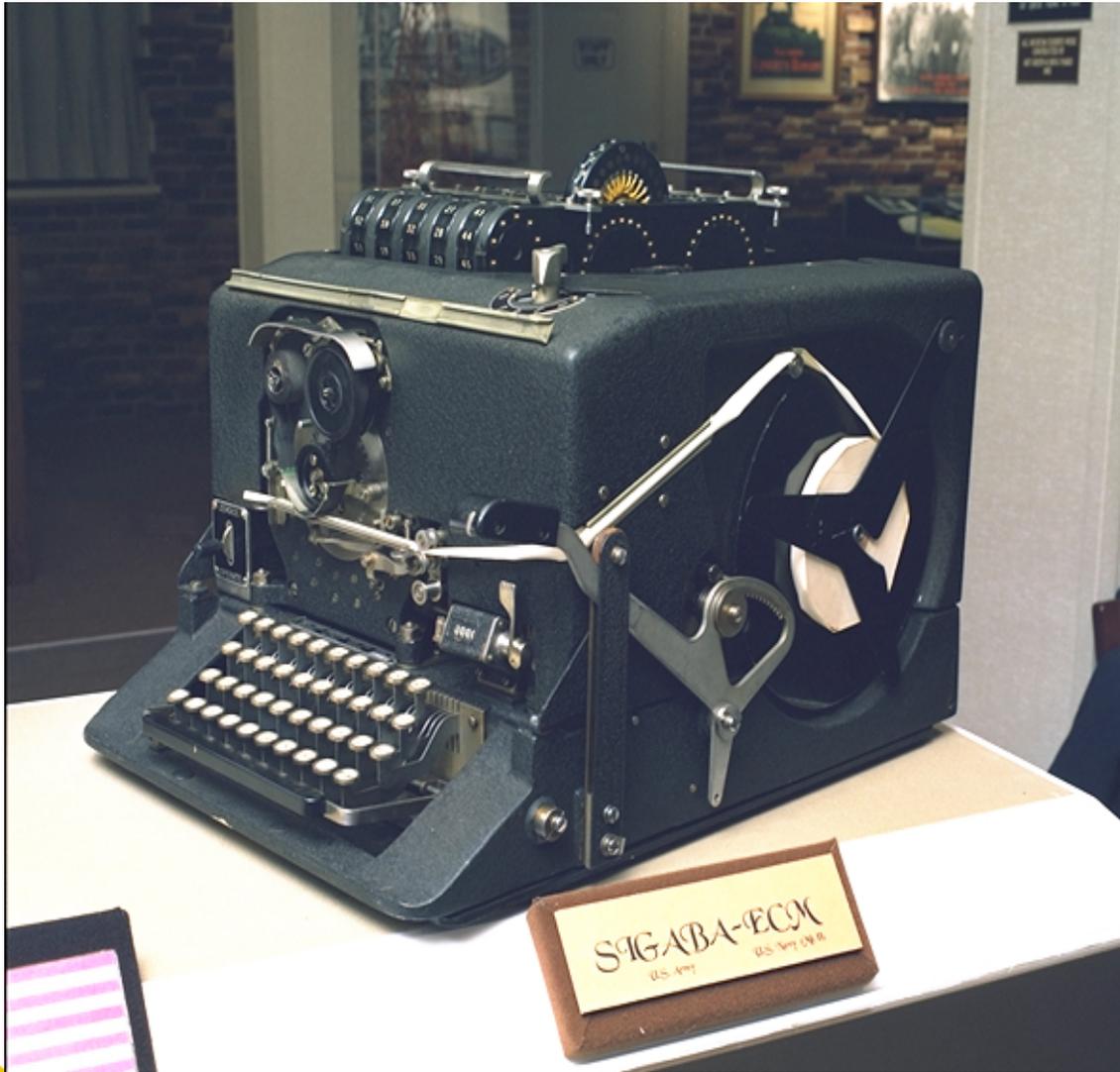
# Timing attacks and power analysis



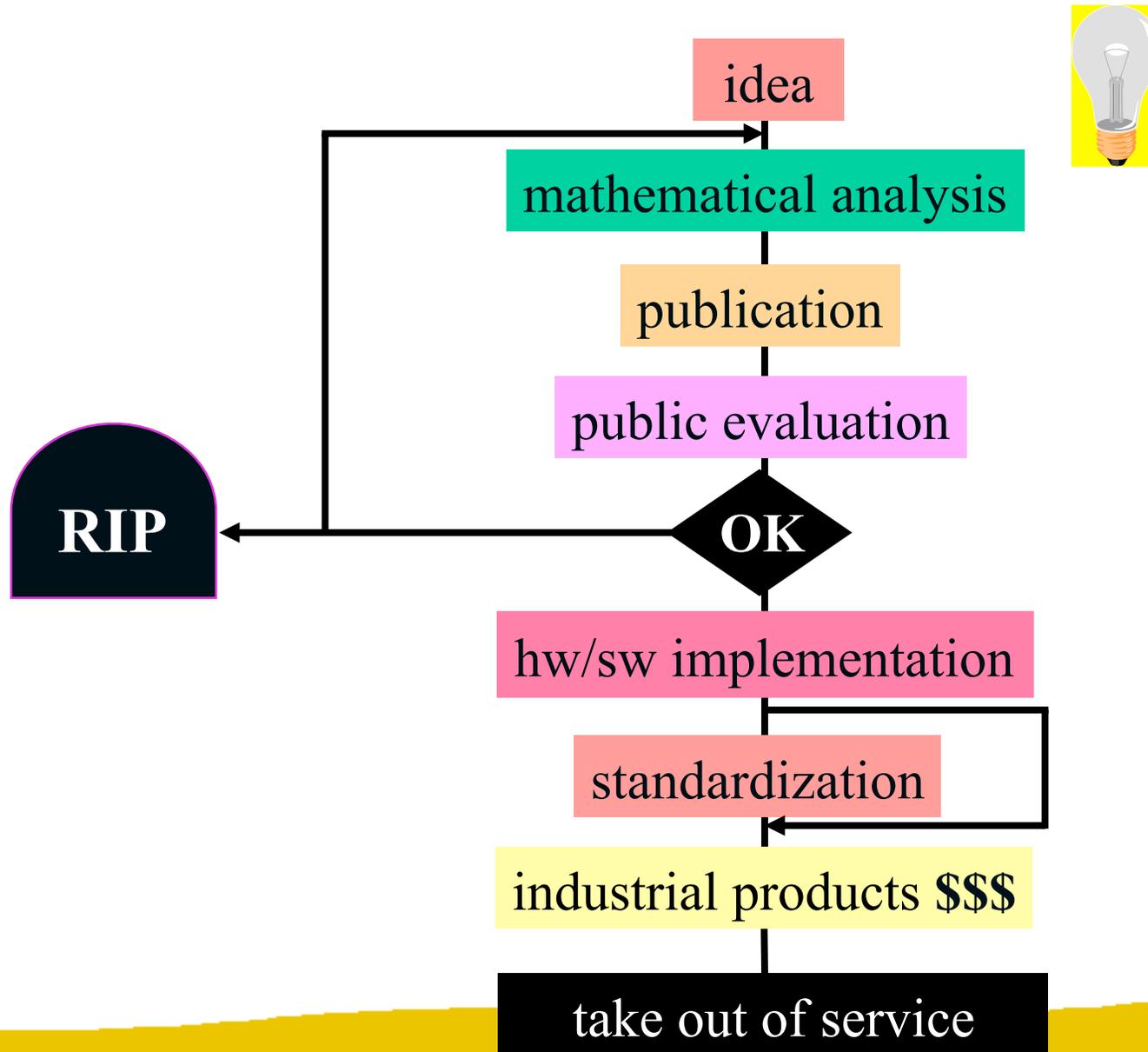
# Cryptology + side channels



# The Rotor machines (WW II)

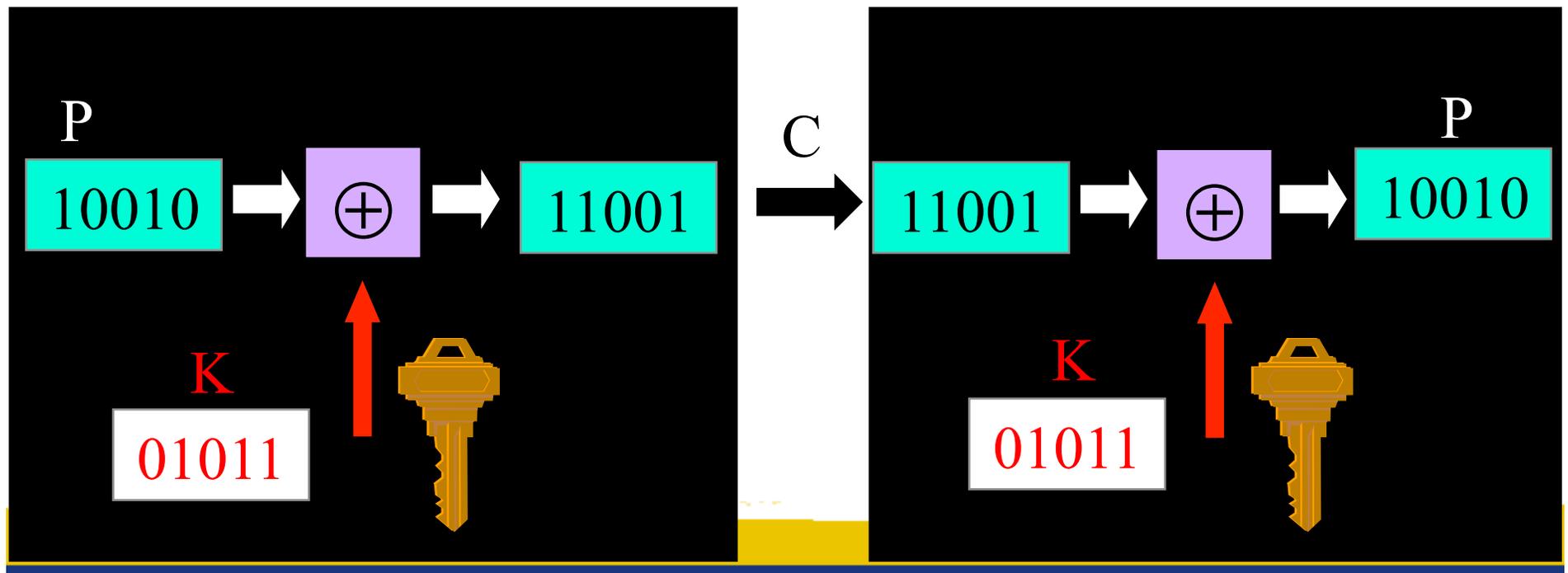


# Life cycle of a cryptographic algorithm



# Vernam scheme (1917) + Shannon (1948)

- key is random string, as long as the plaintext



# Vernam scheme

- perfect secrecy: ciphertext gives opponent no additional information on the plaintext or  $H(P|C)=H(P)$
- impractical: key is as long as the plaintext
- but this is optimal: for perfect secrecy  $H(K) \geq H(P)$

# Three approaches in cryptography

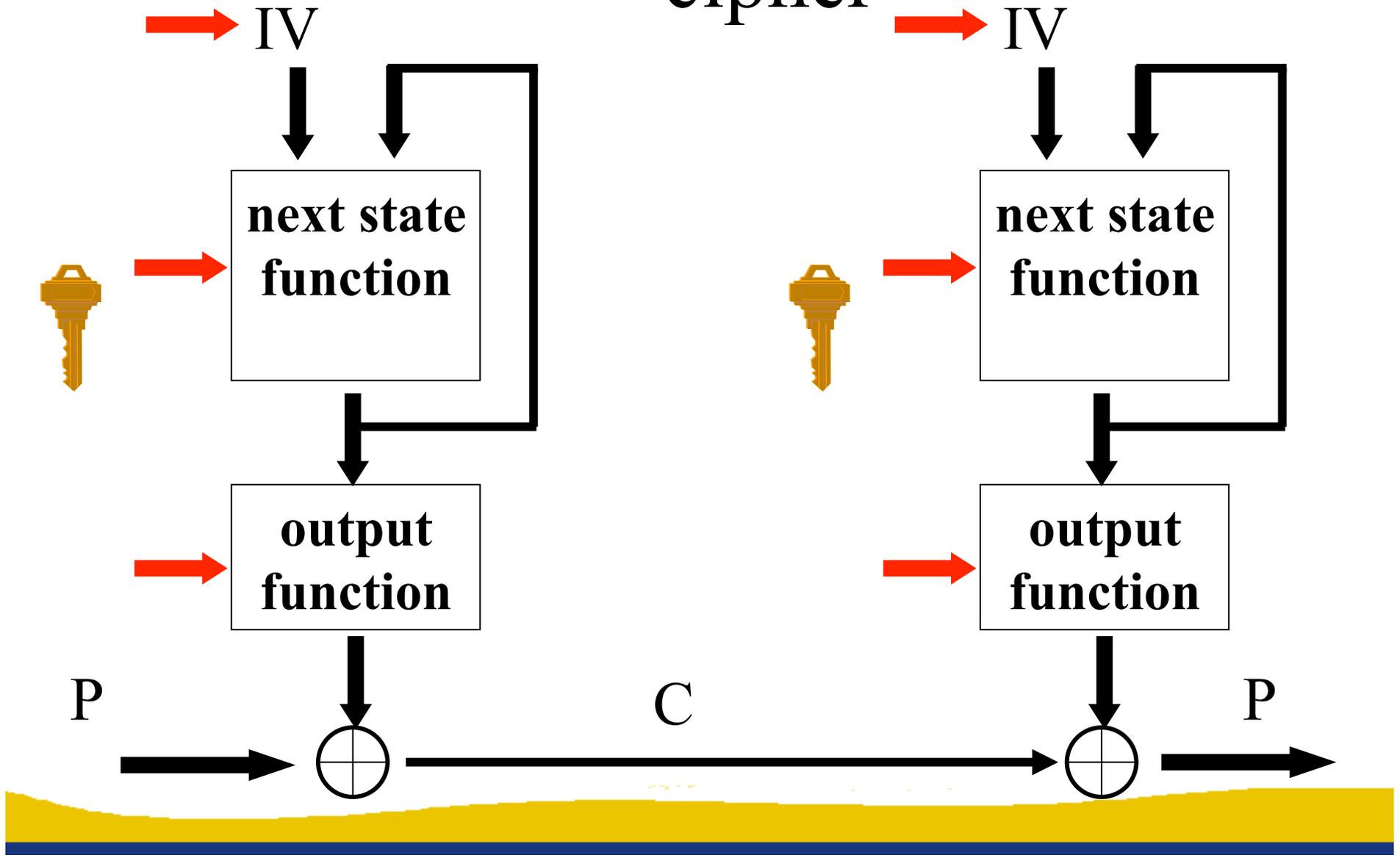
- **information theoretic** security
  - ciphertext only
  - part of ciphertext only
  - noisy version of ciphertext
- **system-based** or practical security
  - also known as “prayer theoretic” security
- **complexity theoretic** security:
  - model of computation, definition, proof
  - variant: quantum cryptography

# Design of ciphers

- More on this in a week
- For now, the high-level details
  - Symmetric key cryptography
    - Stream ciphers
    - Block ciphers
    - Message authentication codes (MACs)
    - Hash functions
  - Public key cryptography
    - Encryption
    - Digital signatures



# Model of a practical stream cipher

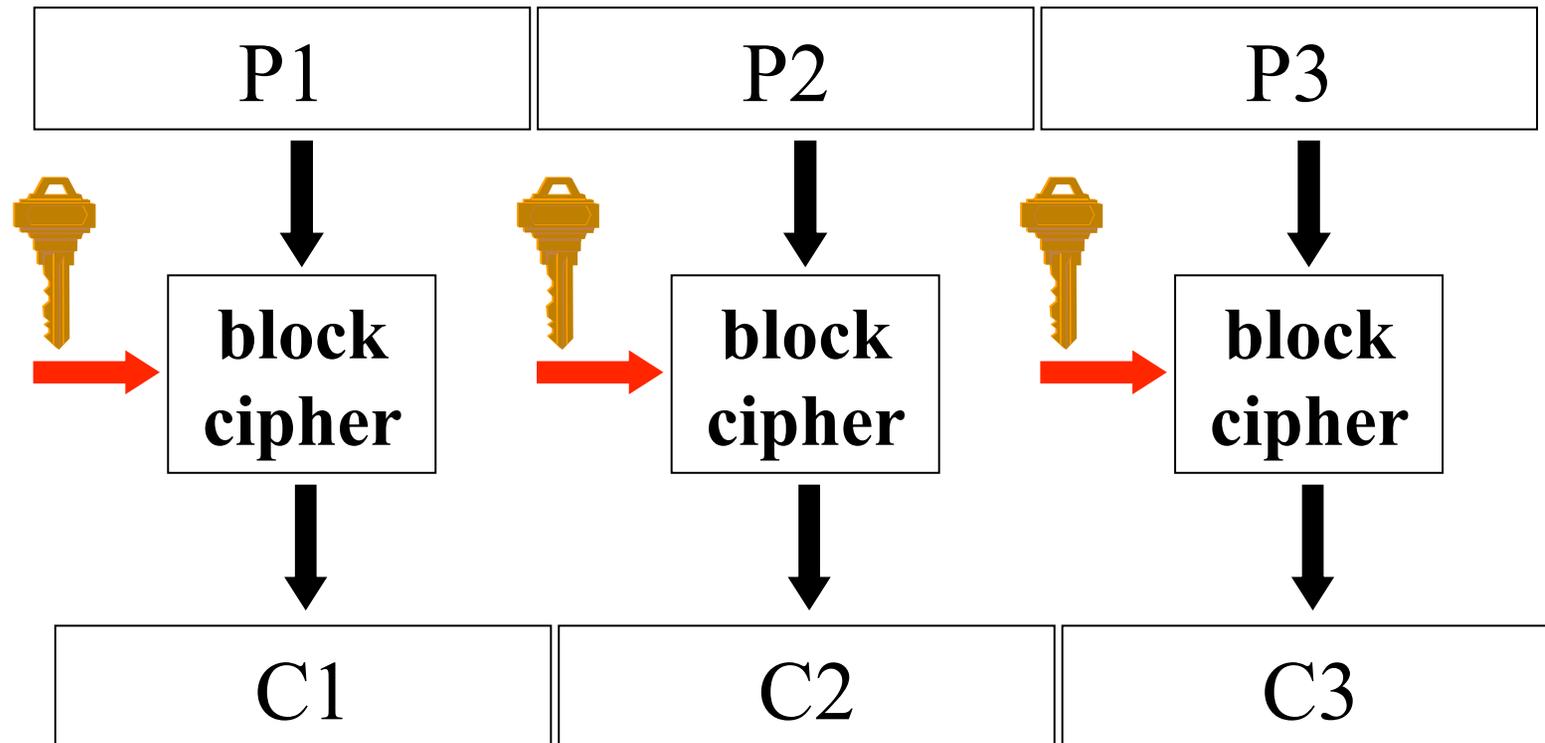


# Example stream ciphers

- Bluetooth
- A5 (used in GSM cel phones)
- RC4 (used by most SSL web sites)
  
- Generally faster than block ciphers
  - Often less secure
  - If you ever reuse a key, the system collapses



# Block cipher



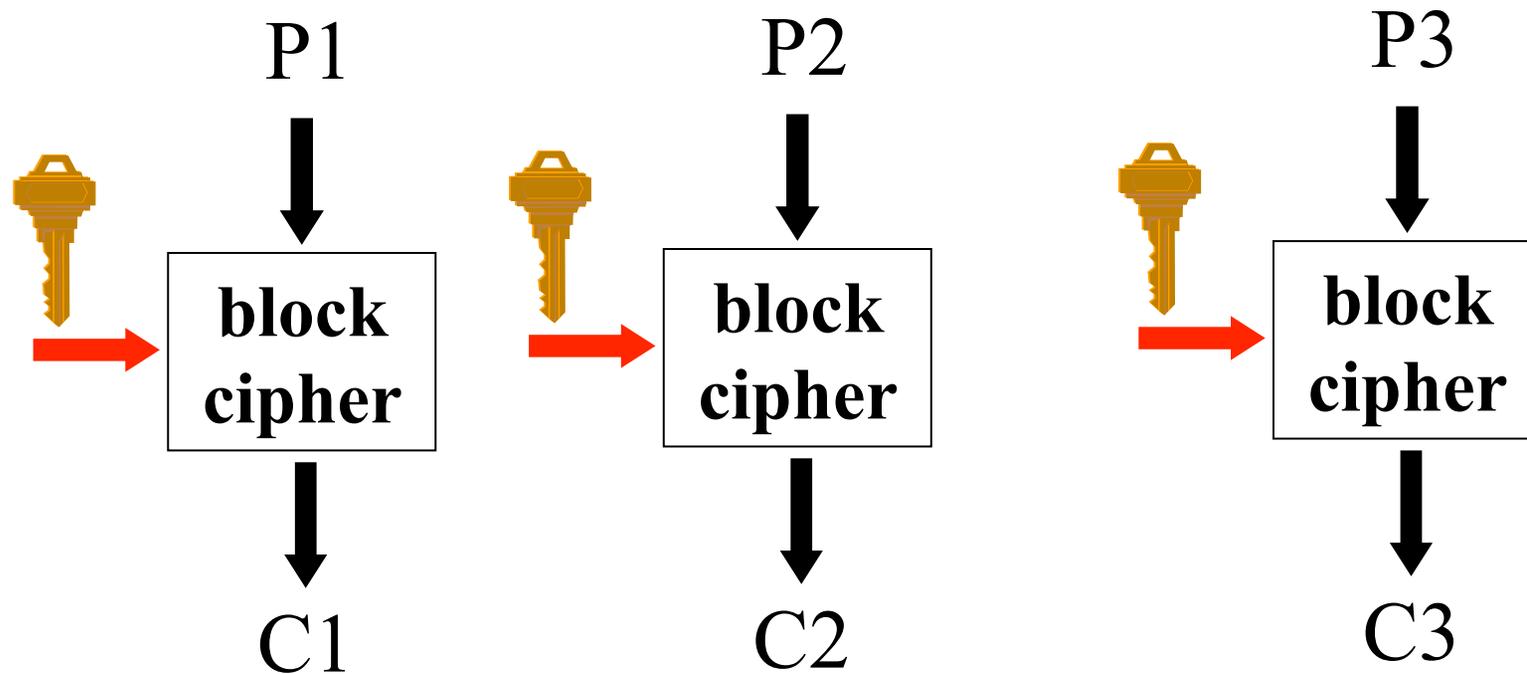
- larger data units: 64...128 bits
- memoryless
- repeat simple operation (round) many times

# Example block ciphers

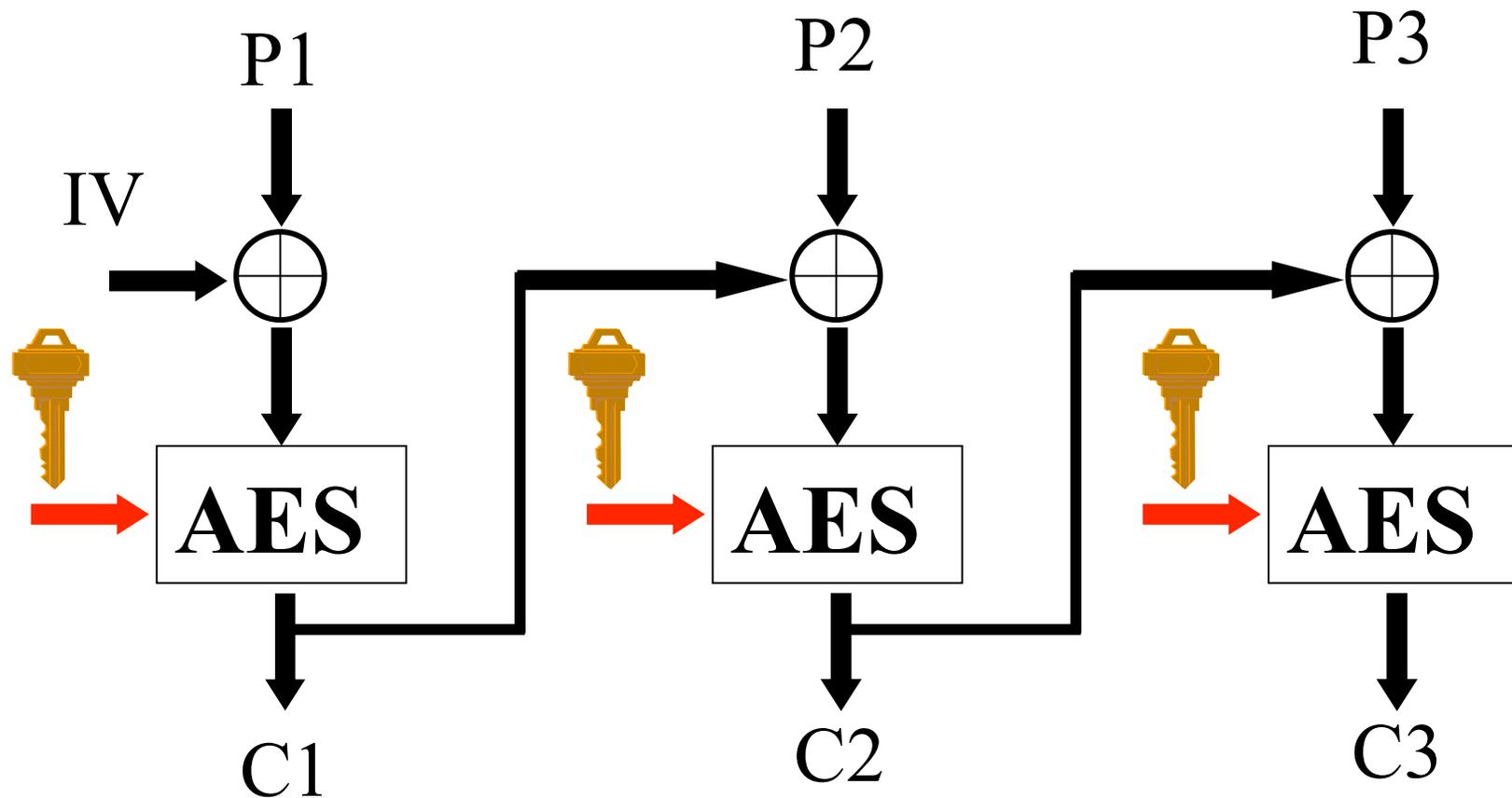
- DES (56-bit), Triple-DES (168-bit)
- AES / Rijndael (several key lengths)
- Many, many others
  
- Generally slower
- Very versatile: can make stream ciphers, hash functions, many other uses



# How NOT to use a block cipher: ECB mode



# How to use a block cipher: CBC mode



need random IV

# Symmetric cryptology: data authentication

- the problem
- hash functions without a key
  - MDC: Manipulation Detection Codes
- hash functions with a secret key
  - MAC: Message Authentication Codes



# Data authentication: the problem

- encryption provides confidentiality:
  - prevents Eve from learning information on the cleartext/plaintext
  - but does not protect against modifications (active eavesdropping)
- Bob wants to know:
  - the **source** of the information (data origin)
  - that the information has not been **modified**
  - (optionally) **timeliness** and **sequence**
- data authentication is typically more complex than data confidentiality

# Data authentication: MDC

- MDC (manipulation detection code)
- Protect short hash value rather than long text
- (MD5)
- SHA-1
- SHA-256, -512
- RIPEMD-160

*This is an input to a cryptographic hash function. The input is a very long string, that is reduced by the hash function to a string of fixed length. There are additional security conditions: it should be very hard to find an input hashing to a given value (a preimage) or to find two colliding inputs (a collision).*

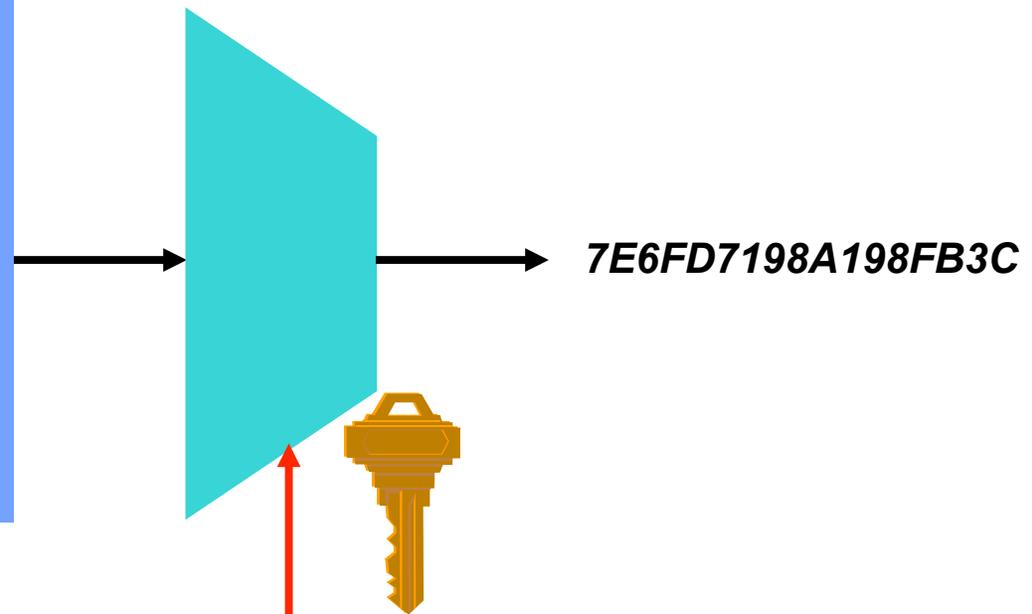


# Data authentication: MAC

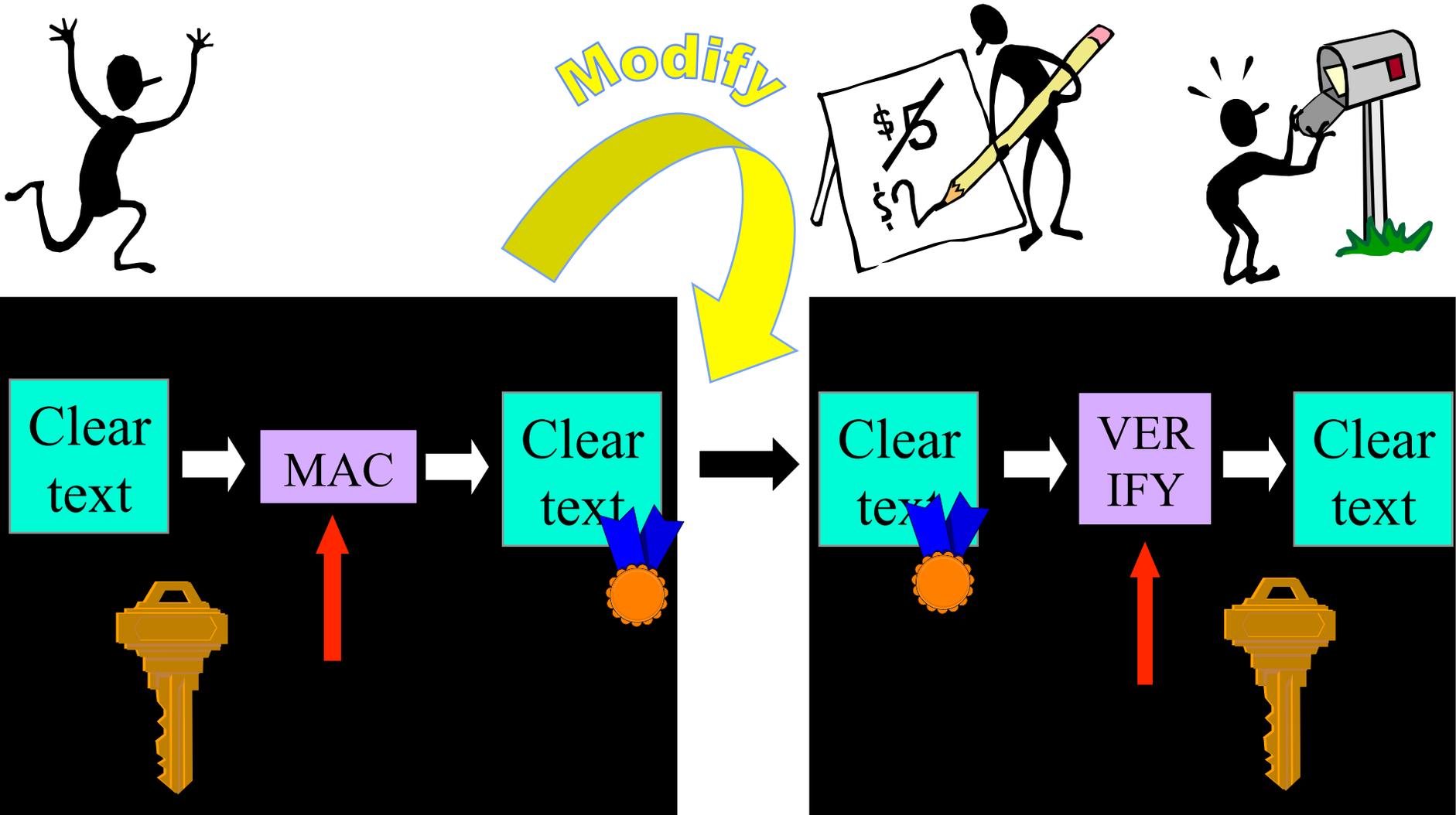
- Replace protection of authenticity of (long) message by protection of secrecy of (short) key
- Add MAC to the plaintext

- CBC-MAC
- HMAC

*This is an input to a MAC algorithm. The input is a very long string, that is reduced by the hash function to a string of fixed length. There are additional security conditions: it should be very hard for someone who does not know the secret key to compute the hash function on a new input.*



# MAC algorithms



# Public-key cryptology

- the problem
- public-key encryption
- digital signatures
- an example: RSA
- advantages of public-key cryptology



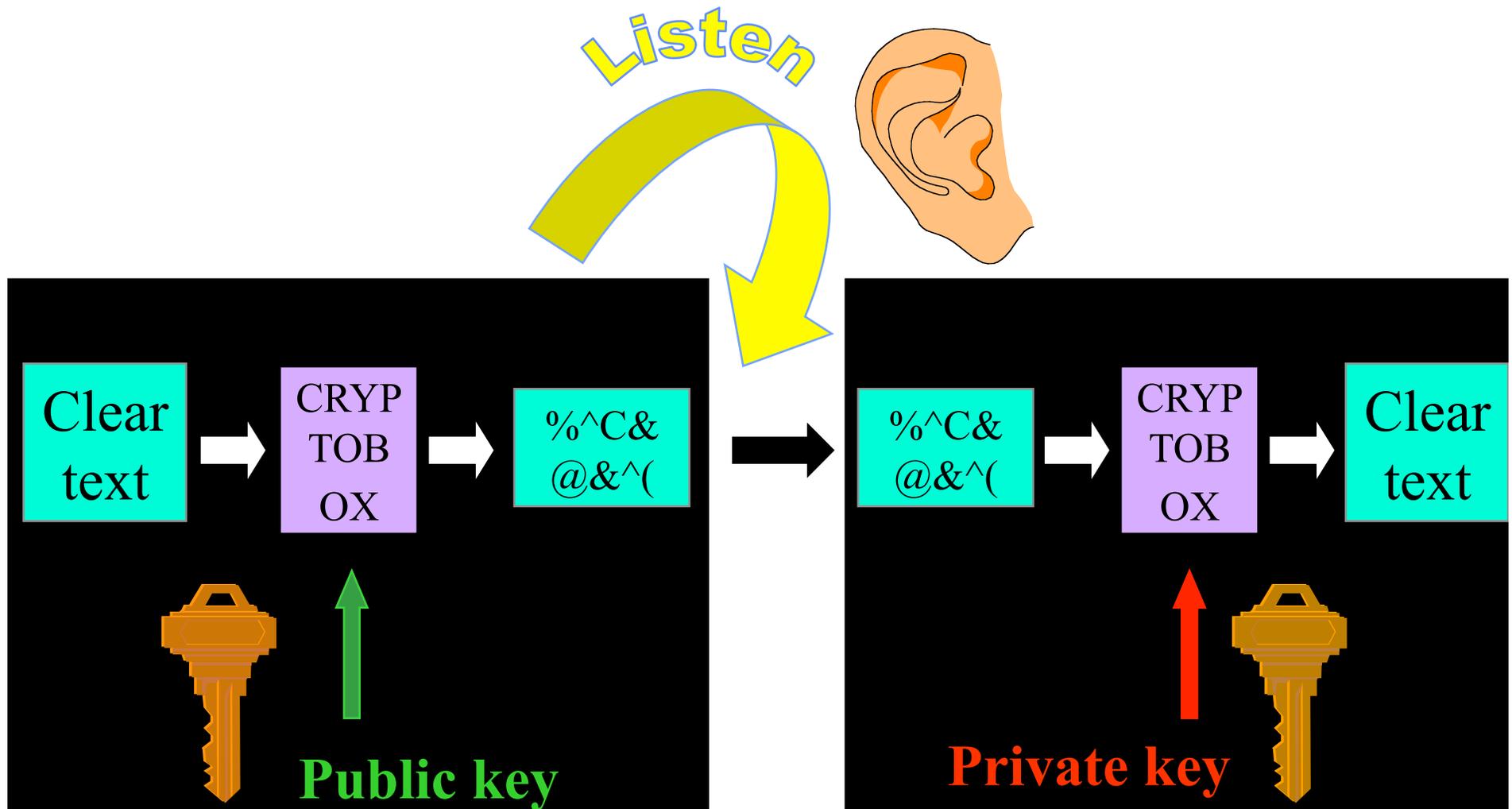
# Limitation of symmetric cryptology

- Reduce security of information to security of keys

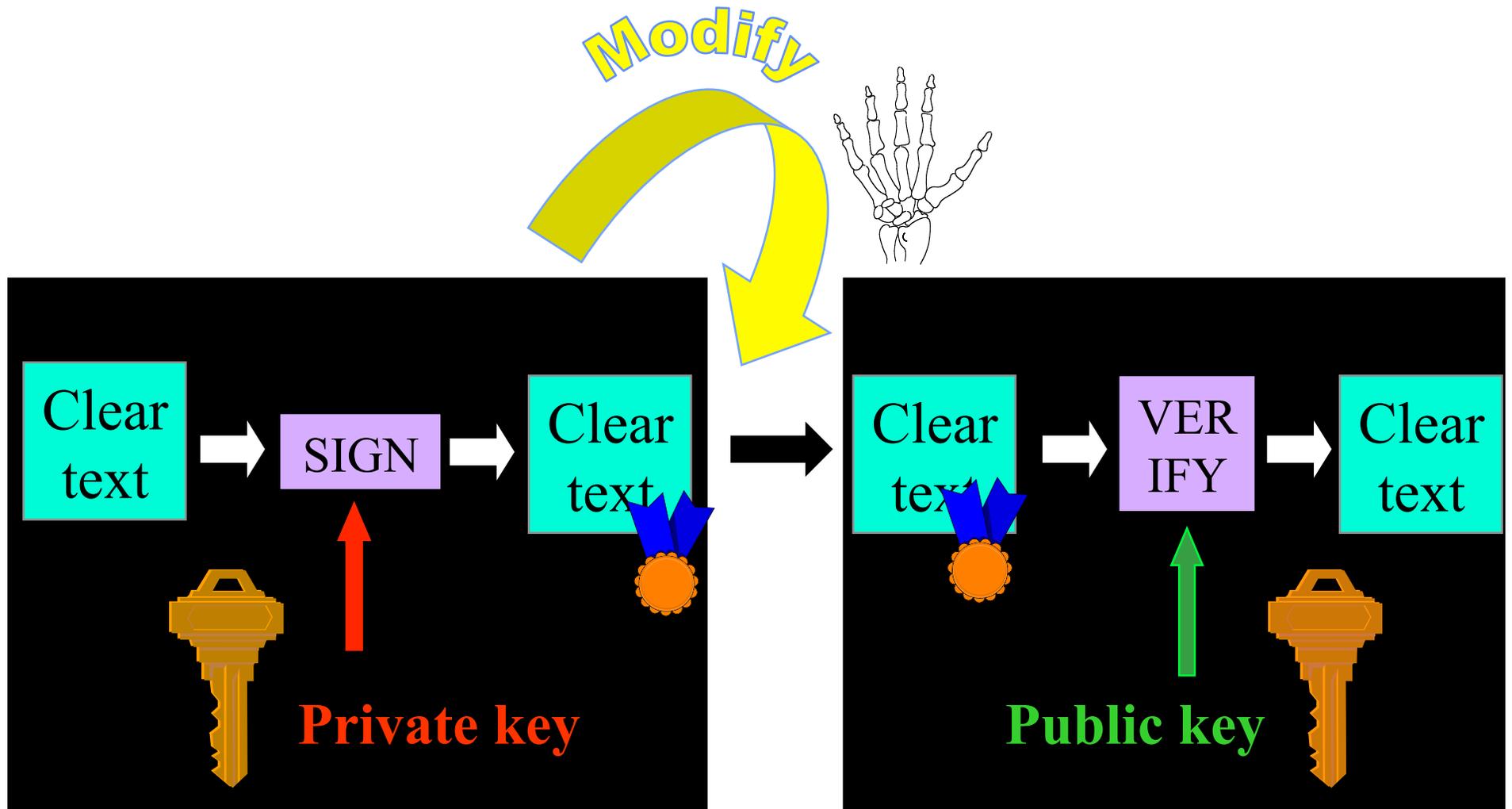


- But: how to establish these secret keys?
  - Cumbersome and expensive
  - Or risky: all keys in 1 place
- Do we really need to establish secret keys?

# Public-key cryptology: encryption



# Public key cryptology: digital signature



# Advantages of public-key cryptology

- Reduce protection of information to protection of authenticity of public keys
- Confidentiality without establishing secret keys
  - extremely useful in an open environment
- Data authentication without shared secret keys: digital signature
  - sender and receiver have different capability
  - third party can resolve dispute between sender and receiver

# Disadvantages of public-key cryptology

- Calculations in software or hardware **two to three orders of magnitude** slower than symmetric algorithms
- Longer keys: 1024 bits rather than 56...128 bits
- What if factoring is easy?



# Key establishment

- The problem
- How to establish secret keys using secret keys?
- How to establish secret keys using public keys?
  - Diffie-Hellman and STS
- How to distribute public keys? (PKI)

# Key establishment: the problem

- Cryptology makes it easier to secure information, by replacing the security of information by the security of **keys**
- The main problem is how to establish these **keys**
  - 95% of the difficulty
  - integrate with application
  - if possible transparent to end users

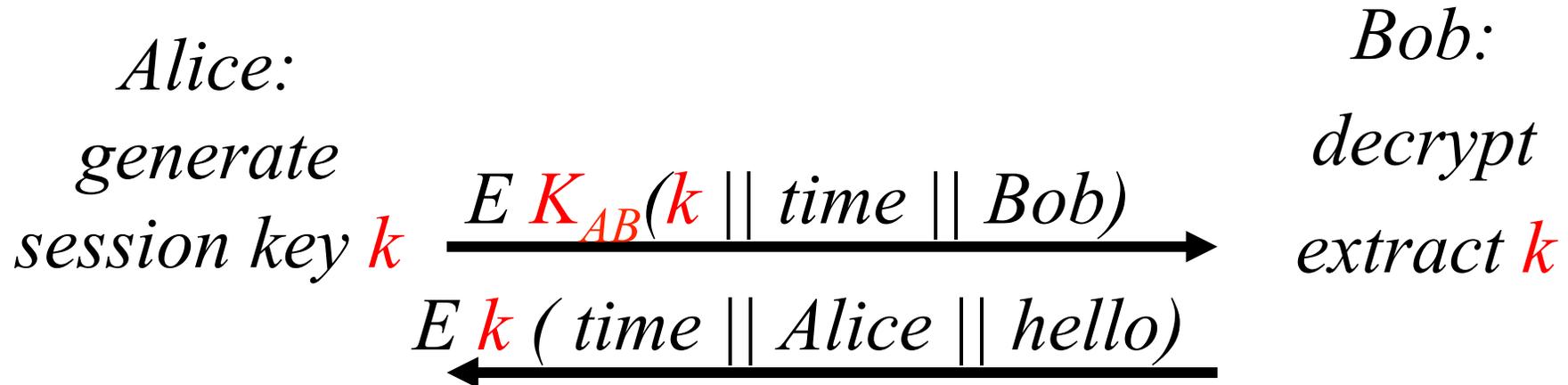
# Point-to point symmetric key distribution

- Before: Alice and Bob share long term secret  $K_{AB}$



- After: Alice and Bob share a short term key  $k$ 
  - which they can use to protect a specific interaction
  - which can be thrown away at the end of the session

# Attacks on the protocol



- Bob: proof that message is from Alice?
  - Proof that message isn't a repeat?
- Alice: proof that reply is from Bob?
  - Reply is in response to first message?

# Protocol disambiguation

- Be explicit about names in messages
  - Alice names Bob in message to him
    - Harder for an adversary to forward to 3<sup>rd</sup> party
- Be explicit about responses
  - A response should say what it responds to



# Message freshness

- Make sure a message isn't a repeat
  - Nonce handshakes (used by *cryptyc*, SSL)
    - $A \rightarrow B: N$
    - $B \rightarrow A: E_K(N)$
  - Timestamps (used by Kerberos)
  - Counters
    - $A \rightarrow B: E_K(i++)$
  - Hash chains (used by S/Key)
    - $A \rightarrow B: H(H(\dots H(x) \dots)) = H^{n-1}(x)$
    - $B: H(H^{n-1}(x)) \rightarrow H^n(x) ?$

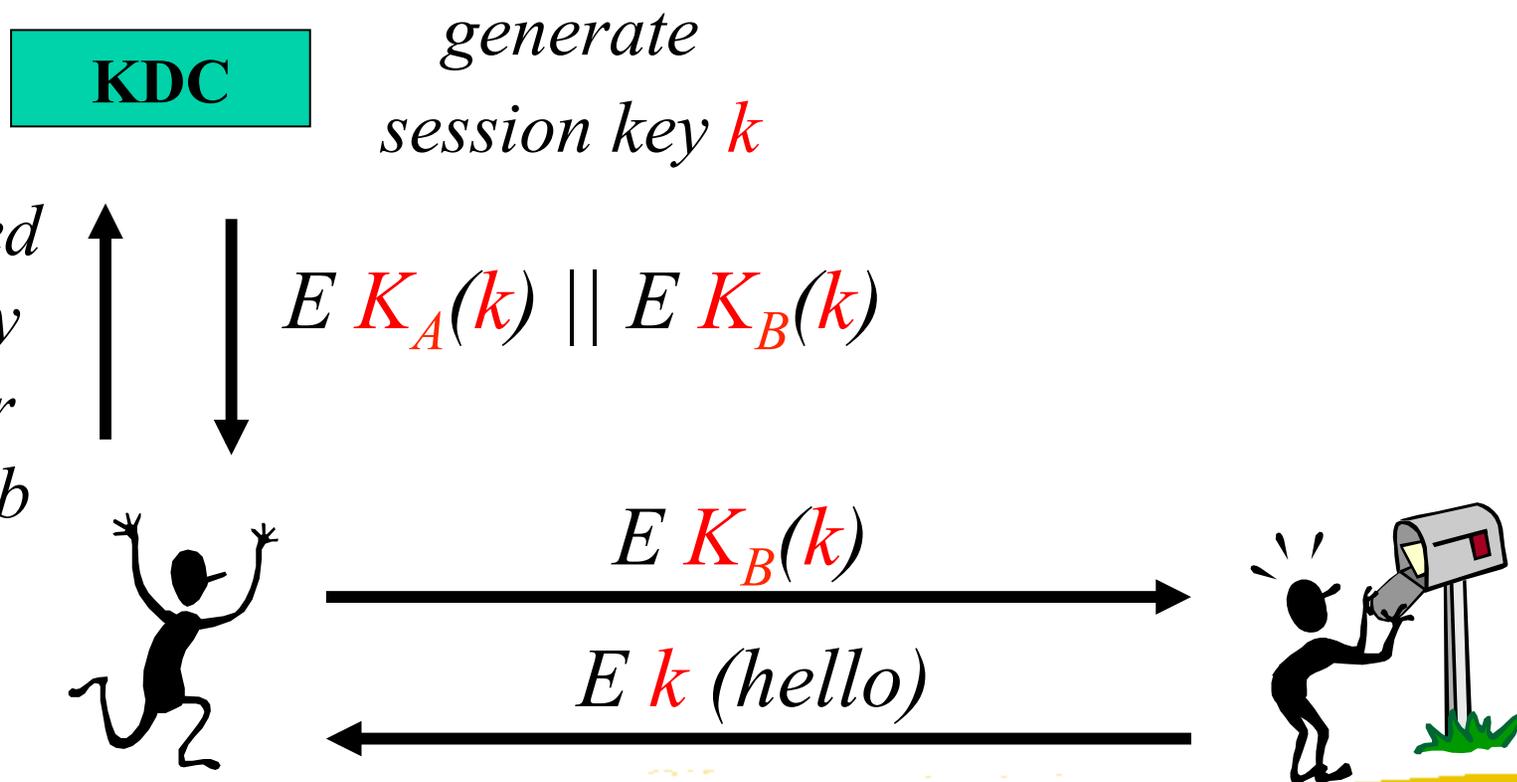
# Banking example

- Checks have:
  - Unique check number (can't deposit twice)
  - Handwritten signature (“hard” to forge)
  - Source account number
  - Destination account name
  - Destination signature
    - proof that payment was accepted



# Symmetric key distribution with 3rd party

- Before (KDC=Key Distribution Center)
  - Alice shares a long term secret with KDC:  $K_A$
  - Bob shares long term secret with KDC:  $K_B$

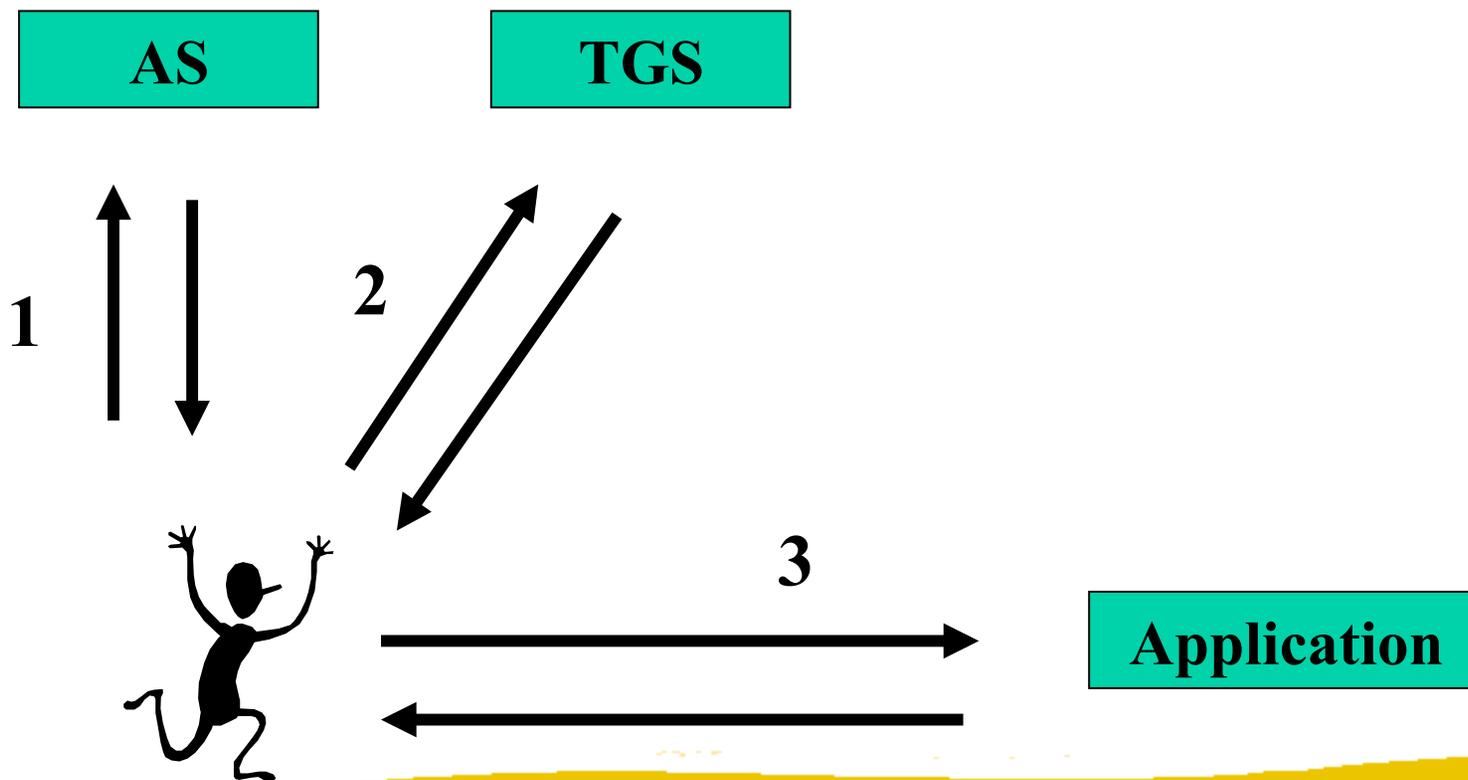


## Symmetric key distribution with 3rd party(2)

- After: Alice and Bob share a short term key  $k$
- Need to trust third party!
- Single point of failure in system

# Kerberos/Single Sign On (SSO)

- Alice uses her password only once per day

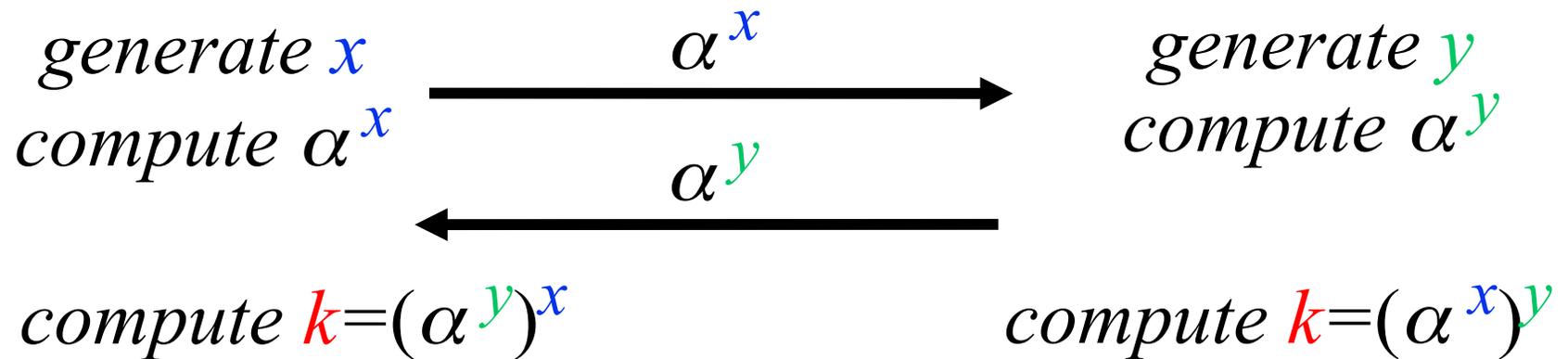


# Kerberos/Single Sign On (2)

- Step 1: Alice gets a “day key”  $K_A$  from AS (Authentication Server)
  - based on a Alice’s password (long term secret)
  - $K_A$  is stored on Alice’s machine and deleted in the evening
- Step 2: Alice uses  $K_A$  to get application keys  $k_i$  from TGS (Ticket Granting Server)
- Step 3: Alice can talk securely to applications (printer, file server) using application keys  $k_i$

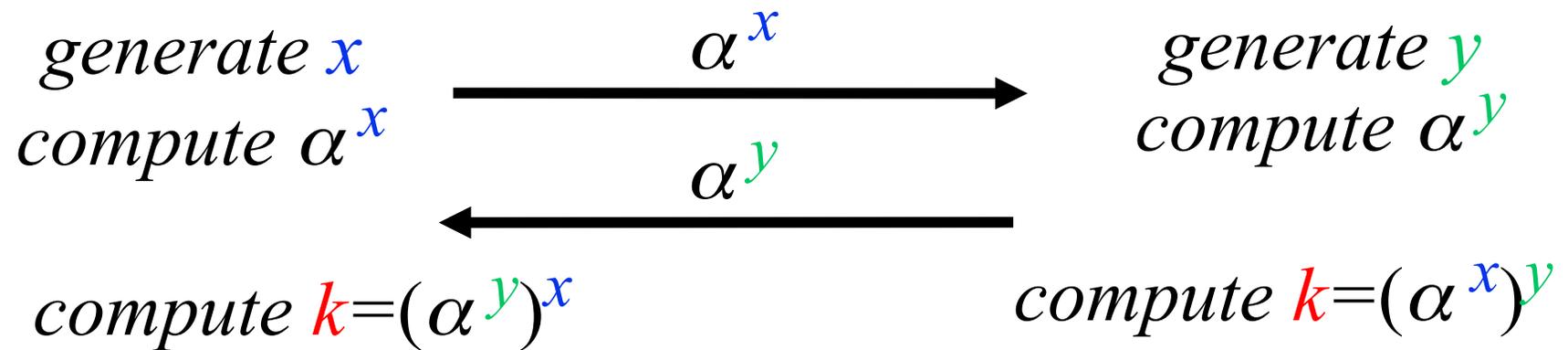
# A public-key distribution protocol: Diffie-Hellman

- Before: Alice and Bob have never met and share no secrets; they know a public system parameter  $\alpha$



- After: Alice and Bob share a short term key  $k$ 
  - Eve cannot compute  $k$ : in several mathematical structures it is hard to derive  $x$  from  $\alpha^x$  (this is known as the discrete logarithm problem)

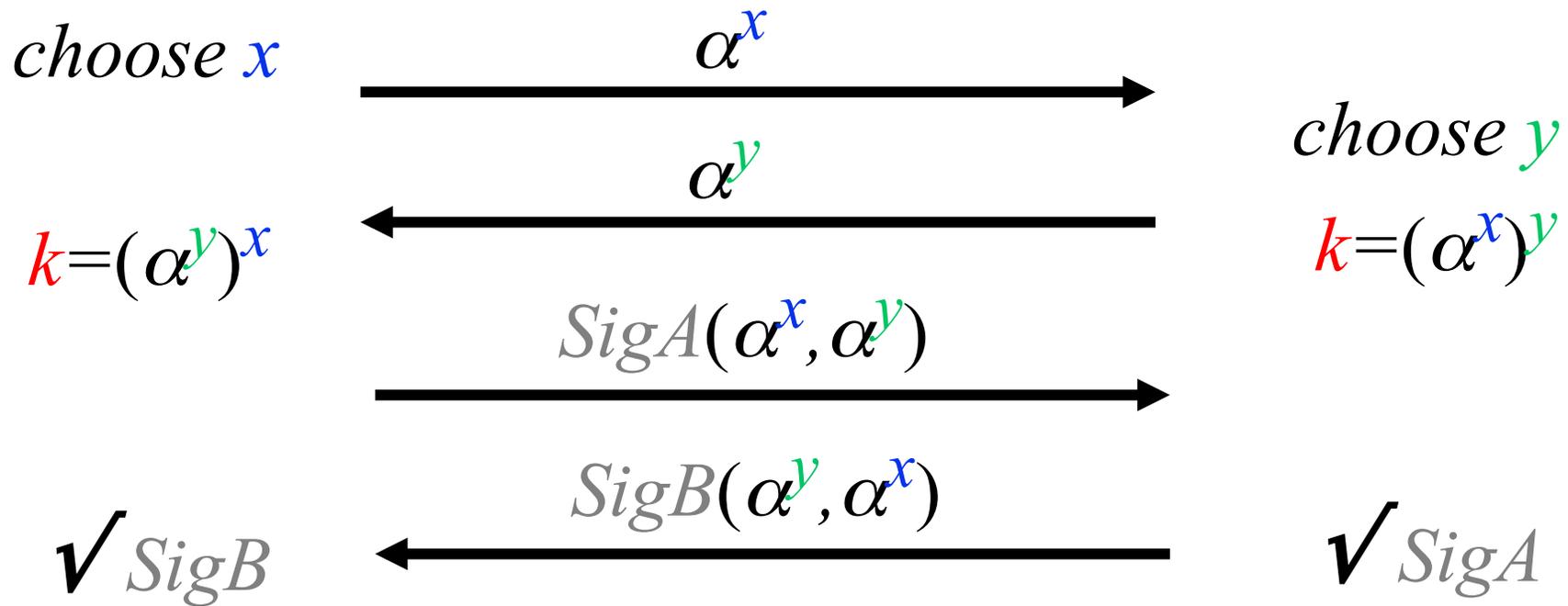
## Diffie-Hellman (continued)



- BUT: How does Alice know that she shares this secret key  $k$  with Bob?
- Answer: Alice has no idea at all about who the other person is! The same holds for Bob.

# Station to Station protocol (STS)

- The problem can be fixed by adding digital signatures
- This protocol plays a very important role on the Internet (under different names)



# Distribution of public keys

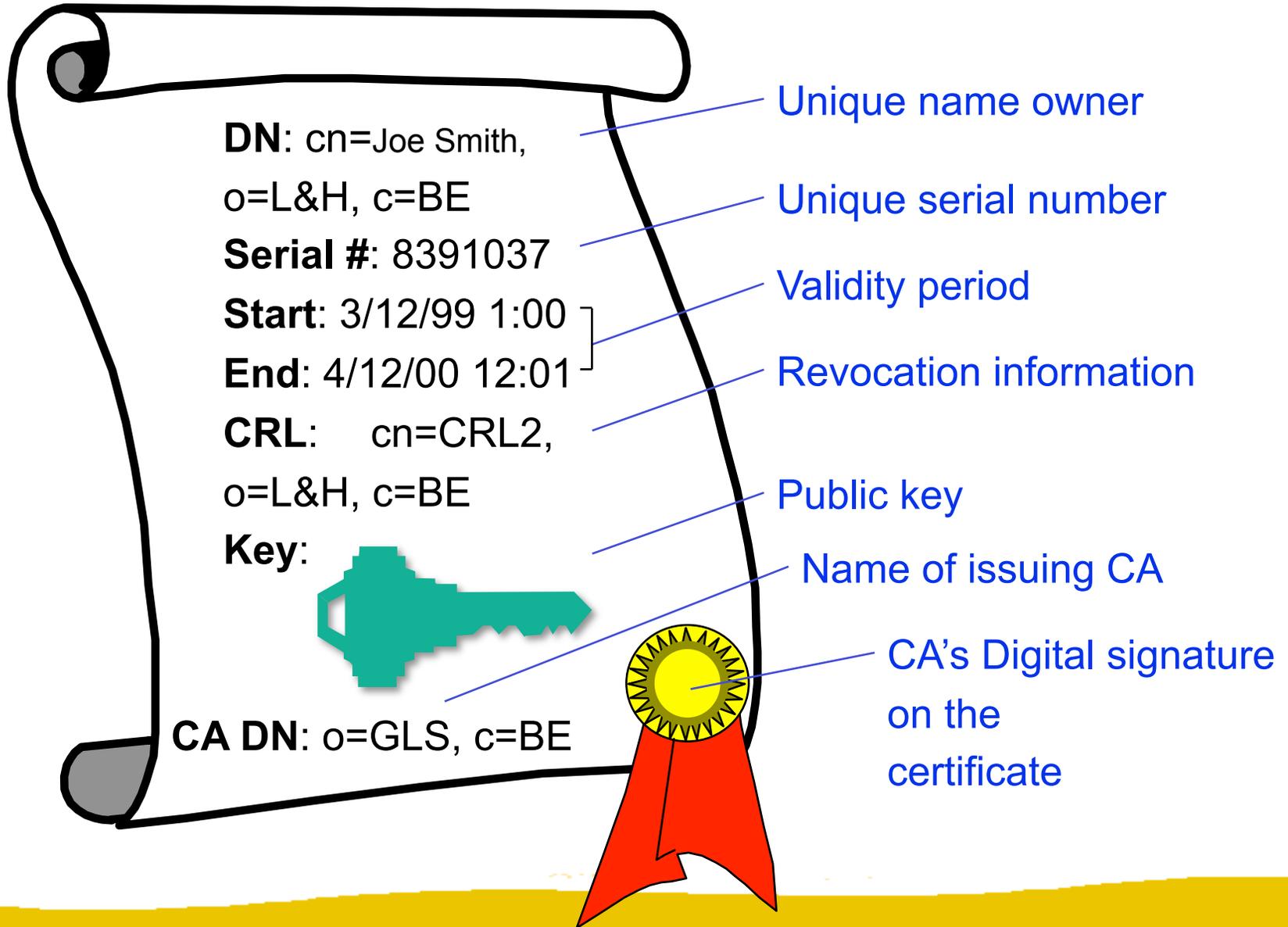
- How do you know whose public key you have?
- Where do you get public keys?
- How do you trust public keys?
- What should you do if your private key is compromised?

reduce protection of public key of many users to knowledge of a **single public key** of a Certification Authority (CA)

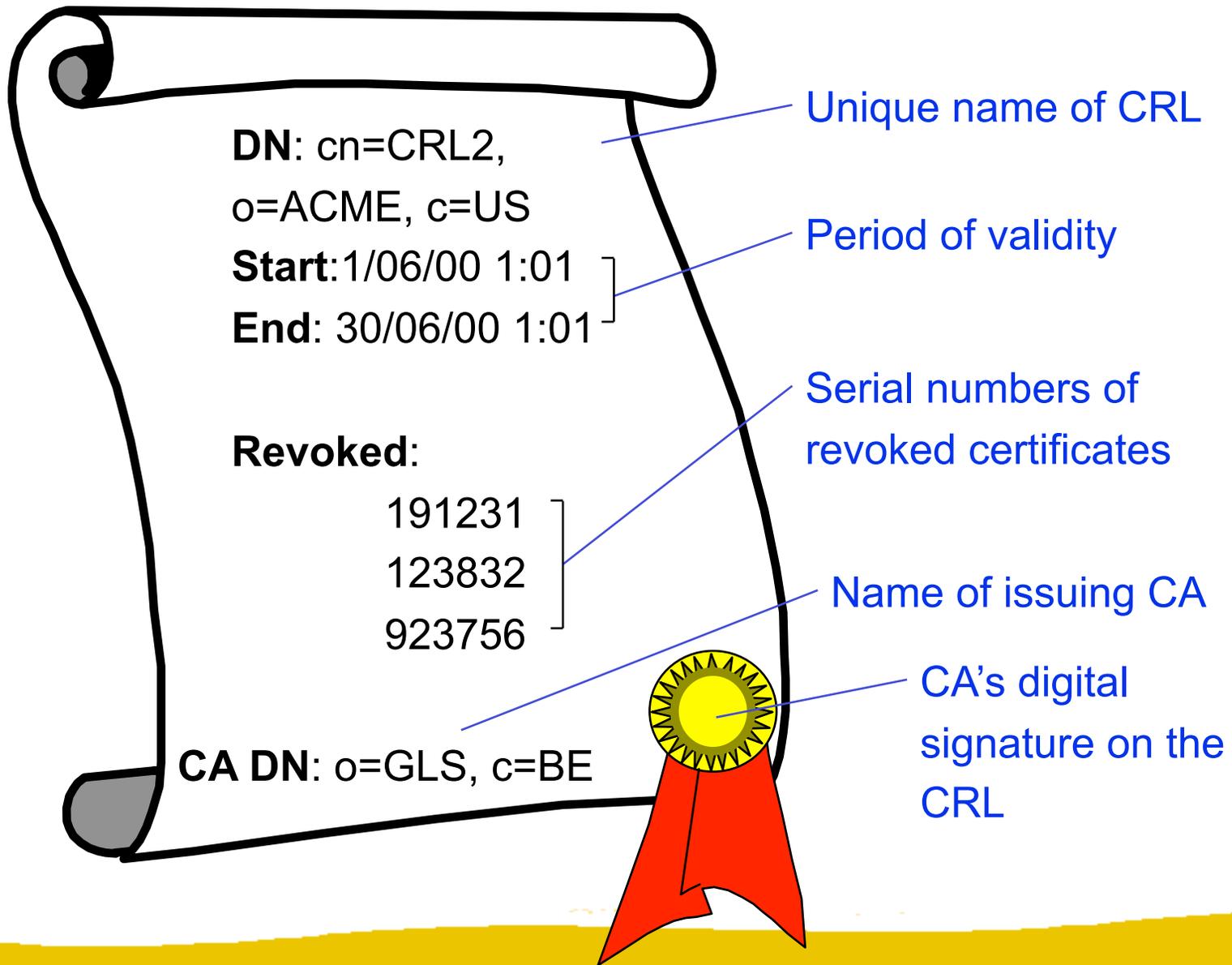
**digital certificates** &  
Public Key Infrastructure (PKI)



# Public Key Certificates



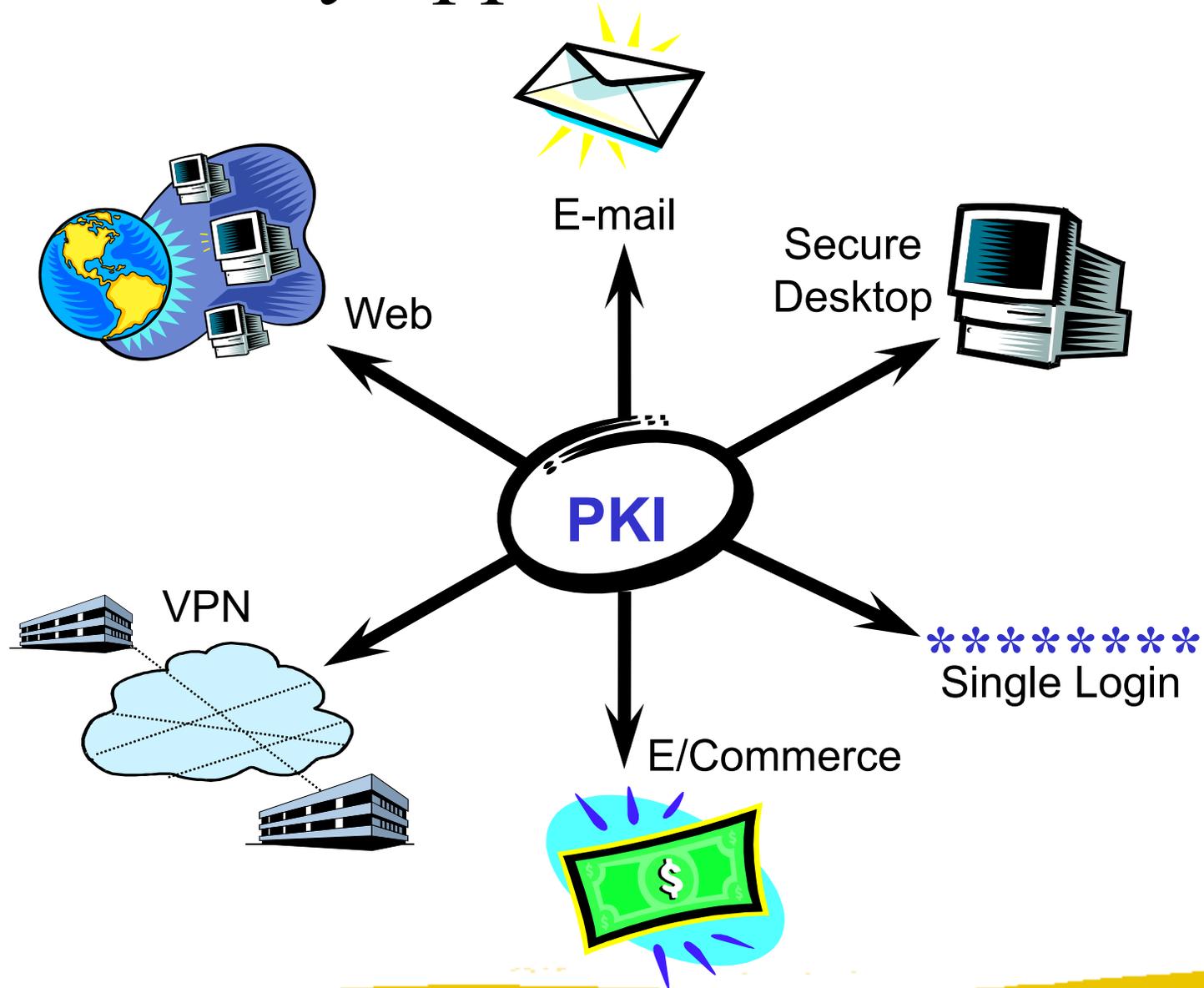
# Certificate Revocation List



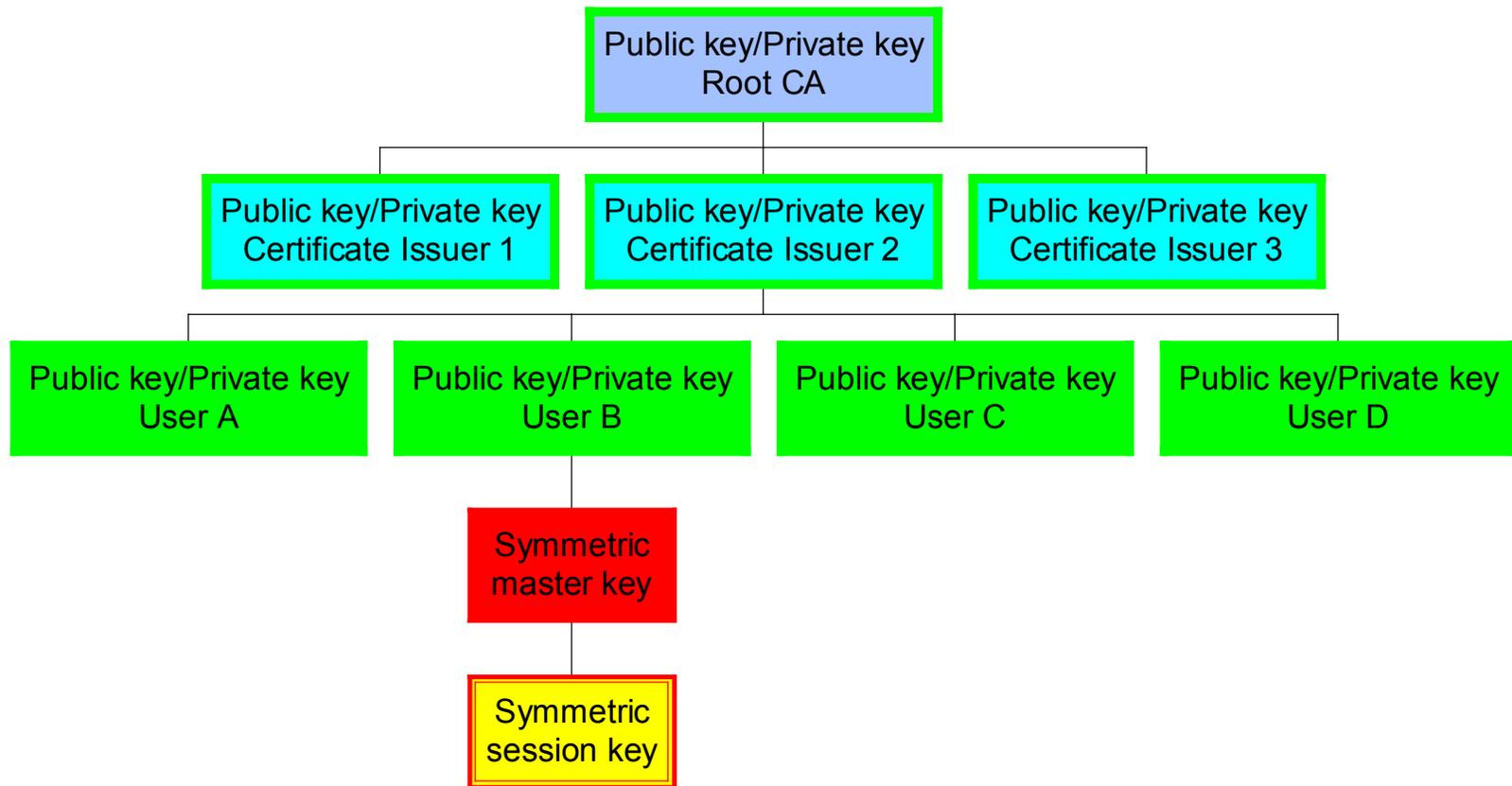
# Essential PKI Components

- Certification Authority
- Revocation system
- Certificate repository (“directory”)
  
- Key backup and recovery system
- Support for non-repudiation
- Automatic key update
- Management of key histories
- Cross-certification
- PKI-ready application software

# PKI-ready application software



# Example of a key hierarchy



# Identification

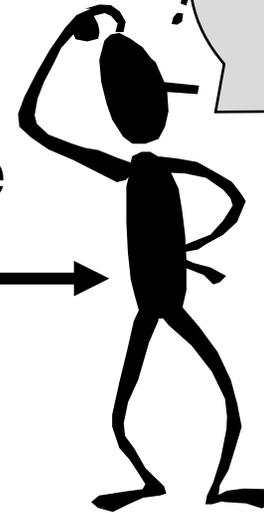
- the problem
- passwords
- challenge response with symmetric key and MAC
- challenge response with signatures



# Identification



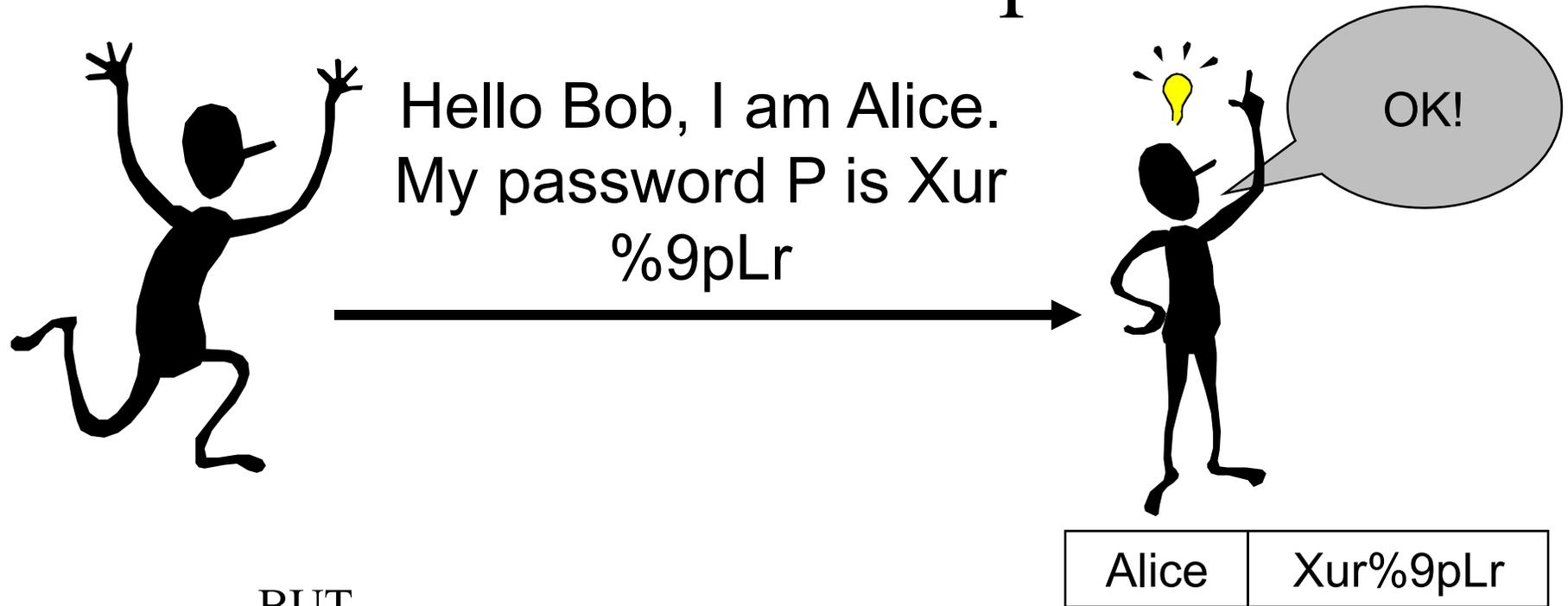
Hello Bob, I am Alice



Why should I believe her?



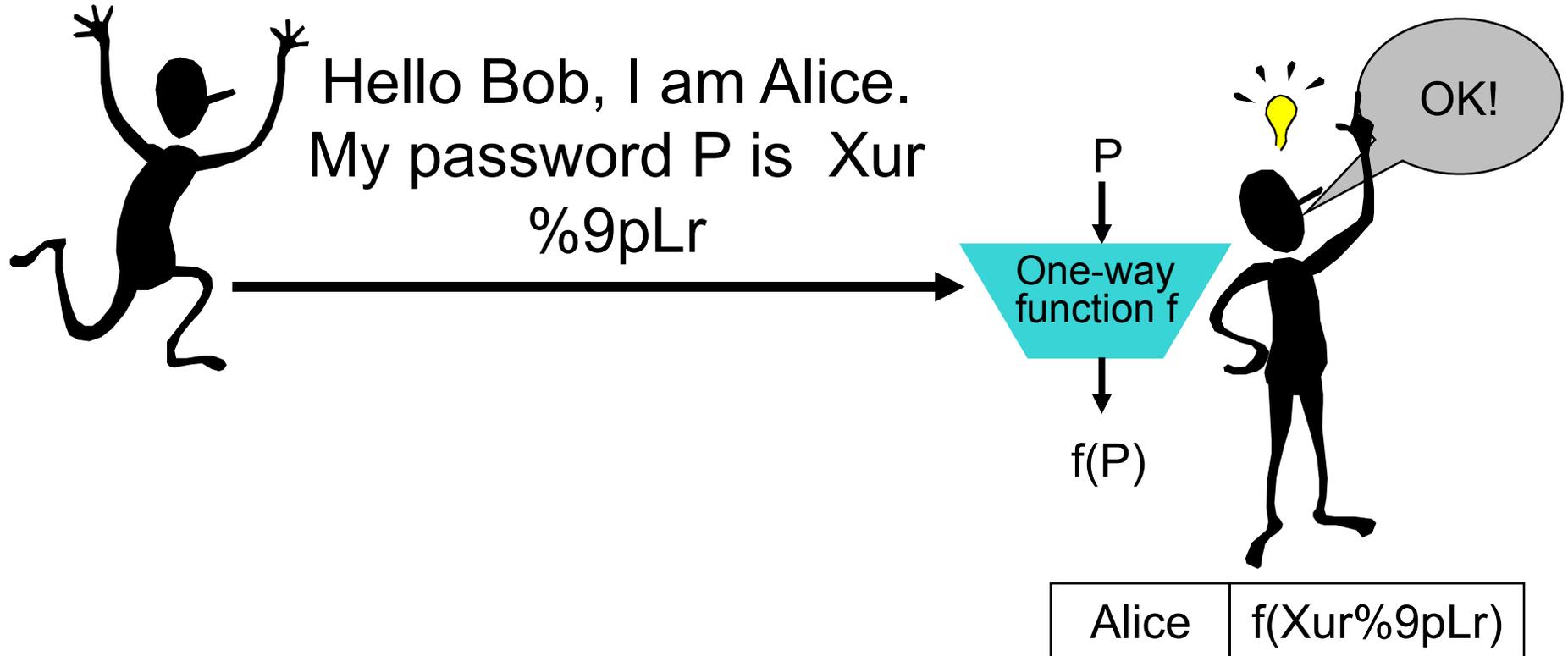
# Identification with passwords



BUT

- Eve can guess the password
- Eve can listen to the channel and learn Alice's password
- Bob needs to know Alice's secret
- Bob needs to store Alice's secret in a secure way

# Improved identification with passwords



Bob stores  $f(P)$  rather than Alice's secret  $P$

- it is difficult to deduce  $P$  from  $f(P)$

# Variations on passwords

- Challenge-response (Bob must know *pw*)
  - $A \rightarrow B$ : “Hi, I’m Alice”
  - $B \rightarrow A$ :  $N$
  - $A \rightarrow B$ :  $Hash(N, pw)$
- Many, many recent schemes
  - Variations on Diffie-Hellman, etc.