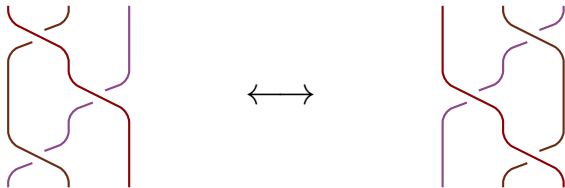


# New aspects of the Yang-Baxter equation

**Victoria LEBED**

Jean Leray Mathematics Institute, University of Nantes

Symposium on Mathematical Physics  
November 10, 2014



# 1 Yang-Baxter equation

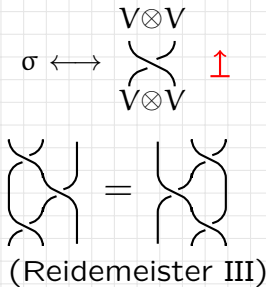
- ✓ A vector space  $V$  (or an object in any monoidal category)
- ✓  $\sigma: V^{\otimes 2} \rightarrow V^{\otimes 2}$

Yang-Baxter equation (YBE):

$$\sigma_1 \circ \sigma_2 \circ \sigma_1 = \sigma_2 \circ \sigma_1 \circ \sigma_2: V^{\otimes 3} \rightarrow V^{\otimes 3}$$

$$\text{where } \sigma_i = \text{Id}_V^{\otimes i-1} \otimes \sigma \otimes \text{Id}_V^{\otimes \dots}$$

A map  $\sigma$  satisfying YBE is a braiding.





# 1 Yang-Baxter equation

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where  $\sigma_i = \text{Id}_V^{\otimes i-1} \otimes \sigma \otimes \text{Id}_V^{\otimes \dots}$ .

A map  $\sigma$  satisfying YBE is a braiding.

$$(V, \sigma) \quad \rightsquigarrow$$

$$\sigma \text{ is invertible} \quad \rightsquigarrow$$

$$\sigma \longleftrightarrow \begin{array}{c} V \otimes V \\ \text{X} \\ V \otimes V \end{array} \quad \uparrow$$

$$\begin{array}{c} \text{X} \\ \text{X} \end{array} = \begin{array}{c} \text{X} \\ \text{X} \end{array}$$

(Reidemeister III)

rep. of  $B_n^+$  (pos. braid monoid):

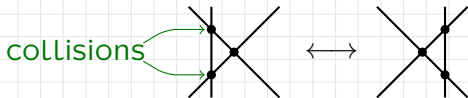
$$\begin{array}{c} i \quad i+1 \quad n \\ | \quad | \quad | \\ \text{X} \\ | \quad | \quad | \end{array} \mapsto \sigma_i$$

rep. of  $B_n$  (braid group)

$$\begin{array}{c} | \quad | \quad | \\ \text{X} \\ | \quad | \quad | \end{array} \mapsto \sigma_i^{-1}$$

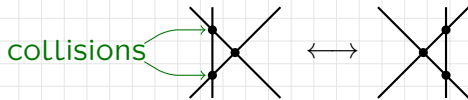
## 2 YBE in physics

✓ Particle physics: factorization condition for the dispersion matrix in the 1-dim.  $n$ -body problem (McGuire, Yang, 60').



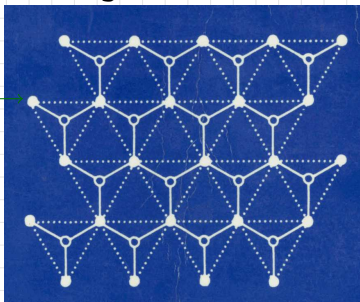
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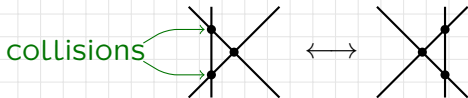
✓ Statistical mechanics: partition function for exactly solvable **lattice models** (Onsager, 1944, Ising model; Baxter, 70', 8-vertex, hard hexagon & chiral Potts models).

Boltzmann weights



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- ✓ **Quantum inverse scattering method** for completely integrable systems (Faddeev et al., 1979).
- ✓ Factorizable S-matrices in **2-dim. quantum field theory** (Zamolodchikov, 1979).
- ✓ **Quantum group** (Drinfel'd, 80').
- ✓ **C\* algebras** (Woronowicz, 80').
- ✓ **Conformal field theory**.



3

## A homology theory for the YBE

Aim: Unify homology theories for basic algebraic structures.





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Ingredients:

✓ A braided vector space  $(V, \sigma)$ ;

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$$\rho \circ \rho_1 = \rho \circ \rho_1 \circ \sigma_2:$$

$$M \otimes V \otimes V \rightarrow M$$

✓ a right braided V-module  $(N, \lambda: V \otimes N \rightarrow N)$ .

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$M \otimes V \otimes V$                        $M \otimes V \otimes V$

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**Theorem (L. 2013)**:  $M \otimes T(V) \otimes N$  carries a family of differentials  $\delta^{(\alpha, \beta)} = \alpha \bullet \delta + \beta \delta \bullet$ ,  $\alpha, \beta \in \mathbb{k}$ .

(I.e.,  $\delta^{(\alpha, \beta)} \circ \delta^{(\alpha, \beta)} = 0$ .)

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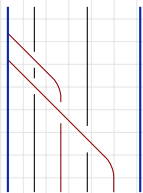
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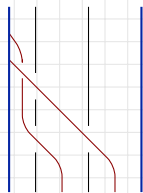
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Proof:



YBE  
=



br. mod.  
=



& sign =  
 $(-1)^{\# \text{cross.}}$

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Remarks:

- ✓ Functoriality.
- ✓ Interpretation in terms of **quantum shuffles** (Rosso, 1995).
- ✓ **Duality**  $\rightsquigarrow$  a cohomology theory.
- ✓ **Pre-cubical** structure.

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Cf. Reidemeister moves for knotted 3-valent graphs!

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⚠ Cf. Reidemeister moves for knotted 3-valent graphs!

**Theorem (L. 2013):** All  $\delta^{(\alpha, \beta)}$  restrict to  $\sum_i \text{Im}(\Delta_i)$ .  
 $\leadsto$  normalization



4

## Alg. structures via braidings

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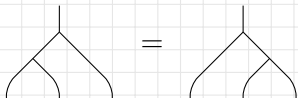
Ⓐ Associative algebras

### (A) Associative algebras

$(V, \mu: V \otimes V \rightarrow V, \xi: \mathbb{k} \rightarrow V), \xi(\alpha) = \alpha 1_V$ , s.t.

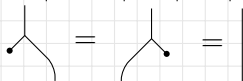
Associativity:

$$\mu \circ \mu_1 = \mu \circ \mu_2$$



Unit axiom:

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4

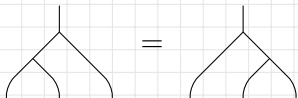
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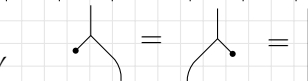
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"Associativity braiding"

$$\sigma_{Ass} = \xi \otimes \mu: \boxed{v \otimes w \mapsto 1 \otimes v \cdot w}$$



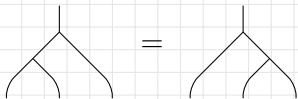
✓ YBE for  $\sigma_{Ass} \iff$  associativity for  $\mu$   
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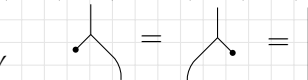
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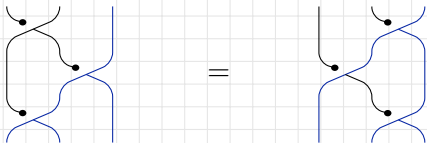
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Proof:



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- ✓ Braided homologies for  $(V, \sigma_{Ass})$  include
  - $\rightarrow$  bar differential;
  - $\rightarrow$  Hochschild;
  - $\rightarrow$  group hom.

## ⓑ Leibniz algebras

$(V, \mu: V \otimes V \rightarrow V, \xi: \mathbb{k} \rightarrow V)$ ,  $\xi(\alpha) = \alpha 1_V$ , s.t.

Leibniz identity:  $\mu \circ \mu_2 = \mu \circ \mu_1 - \mu \circ \mu_1 \circ \tau$ , where  $\tau: w \otimes u \rightarrow u \otimes w$

$$[v, [w, u]] = [[v, w], u] - [[v, u], w]$$

Lie unit axiom:  $\mu \circ \xi_2 = \mu \circ \xi_1 = 0$

$$[1, v] = [v, 1] = 0$$

(Bloh 1965, Loday & Cuvier 1991: a non-commutative generalization of Lie algebras.)

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$\leadsto$  “Leibniz braiding”  $\sigma_{\text{Lei}} = \tau + \xi \otimes \mu$ :  $v \otimes w \mapsto w \otimes v + 1 \otimes [v, w]$

✓ YBE for  $\sigma_{\text{Lei}}$   $\iff$  Leibniz identity for  $\mu$   
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✓ A fully faithful functor  $\text{Lei} \hookrightarrow \text{Br}_\bullet$ .

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✓ A fully faithful functor  $\text{Lei} \hookrightarrow \text{Br}_\bullet$ .

✓  $\sigma_{\text{Lei}}$  is invertible.

✓ Braided mod. for  $(V, \sigma_{\text{Lei}}) \iff$  Leibniz mod. for  $(V, \mu, \xi)$ .

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✓ Braided homologies for  $(V, \sigma_{\text{Lei}})$  include Leibniz homology.

$$\begin{array}{ccc}
 (M \otimes T(V), d_{\text{Lei}}) & & \text{Cuvier-Loday} \\
 \downarrow \text{anti-symm.} & & \\
 \text{Lie} \quad V \longmapsto (M \otimes \Lambda(V), d_{\text{CE}}) & & \text{Chevalley-Eilenberg}
 \end{array}$$

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|   |   |                     |
|---|---|---------------------|
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| $\begin{array}{c} \updownarrow \\ \text{anti-} \\ \text{symm.} \end{array}$ | $\begin{array}{c} \updownarrow \\ \text{anti-} \\ \text{symm.} \end{array}$ |                     |
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✓ Explains the choice of the lift of the Jacobi identity.



4

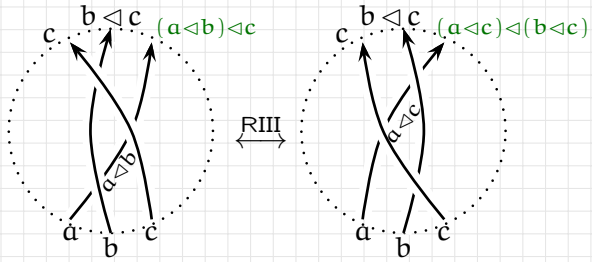
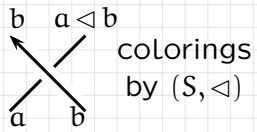
## Alg. structures via braidings

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- © Self-distributive structures

# 4 Alg. structures via braidings

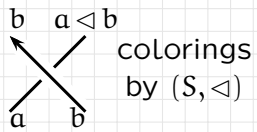
## © Self-distributive structures



|  |
|--|
| $\text{RIII} \iff (a \triangleleft b) \triangleleft c = (a \triangleleft c) \triangleleft (b \triangleleft c) \quad \text{(SD)}$ |
|--|

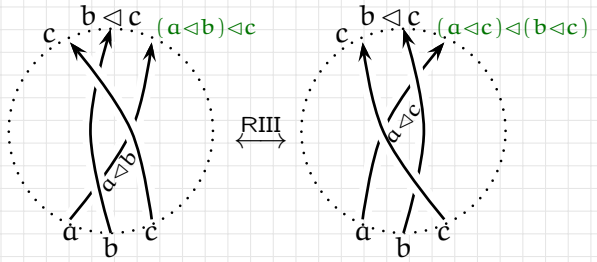
# 4 Alg. structures via braidings

## C Self-distributive structures



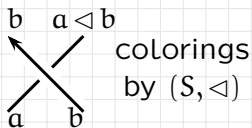
"SD braiding"

$$\sigma_{SD}: (a, b) \mapsto (b, a \triangleleft b)$$



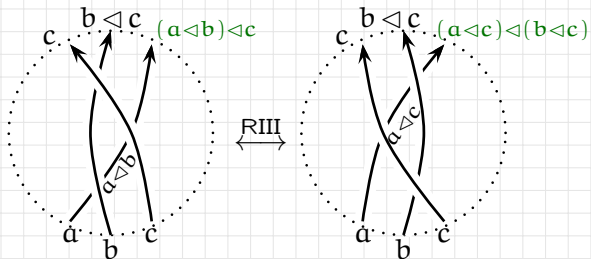
|     |                       |      |                       |   |             |
|-----|-----------------------|------|-----------------------|---|-------------|
| YBE | $\longleftrightarrow$ | RIII | $\longleftrightarrow$ | $(a \triangleleft b) \triangleleft c = (a \triangleleft c) \triangleleft (b \triangleleft c)$ | <u>(SD)</u> |
|-----|-----------------------|------|-----------------------|---|-------------|

### (C) Self-distributive structures

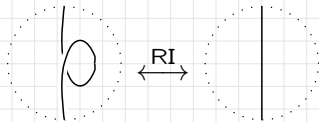
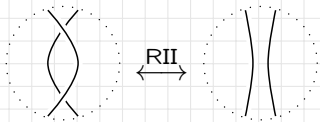


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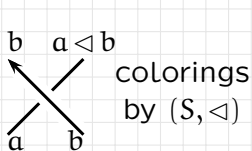
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|                            |                       |      |                       |   |             |
|----------------------------|-----------------------|------|-----------------------|---|-------------|
| YBE                        | $\longleftrightarrow$ | RIII | $\longleftrightarrow$ | $(a \triangleleft b) \triangleleft c = (a \triangleleft c) \triangleleft (b \triangleleft c)$ | <u>(SD)</u> |
| $\exists \sigma_{SD}^{-1}$ | $\longleftrightarrow$ | RII  | $\longleftrightarrow$ | $a \mapsto a \triangleleft b$ is bijective  | (Inv)       |
|                            |                       | RI   | $\longleftrightarrow$ | $a \triangleleft a = a$   | (Idem)      |

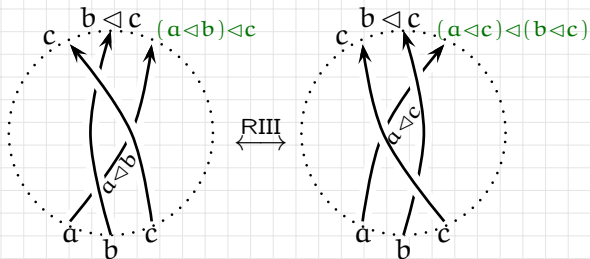


### Ⓒ Self-distributive structures



"SD braiding"

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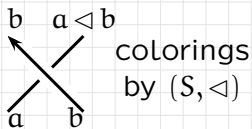


|                            |                       |      |                       |   |             |
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| $\Upsilon = \Upsilon$      | $\longleftrightarrow$ | RI   | $\longleftrightarrow$ | $a \triangleleft a = a$   | (Idem)      |



$$\Delta_{SD}: a \mapsto (a, a)$$

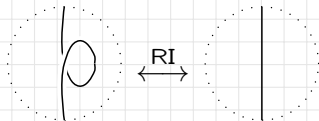
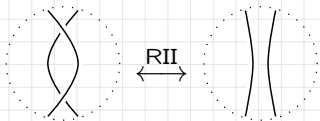
### © Self-distributive structures



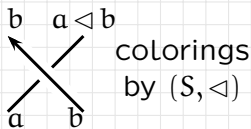
Joyce, Matveev 1982:

knot invariants  $\overset{\text{colorings}}{\rightsquigarrow}$  quandle

|             |      |                       |   |                |
|-------------|------|-----------------------|---|----------------|
| pos. braids | RIII | $\longleftrightarrow$ | $(a \triangleleft b) \triangleleft c = (a \triangleleft c) \triangleleft (b \triangleleft c)$ | <u>shelf</u>   |
| braids      | RII  | $\longleftrightarrow$ | $a \mapsto a \triangleleft b$ is bijective  | <u>rack</u>    |
| knots       | RI   | $\longleftrightarrow$ | $a \triangleleft a = a$   | <u>quandle</u> |



### © Self-distributive structures



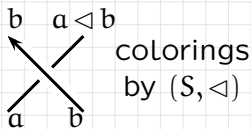
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Ex.:  $\rightarrow$  Conjugation quandles: (group  $G, g \triangleleft h = h^{-1}gh$ )  
 coloring rule  $\longleftrightarrow$  Wirtinger presentation rule,  
 colorings  $\longleftrightarrow \text{Rep}(\pi_1(\mathbb{R}^3 \setminus K), G)$ .

### Ⓒ Self-distributive structures



Joyce, Matveev 1982:

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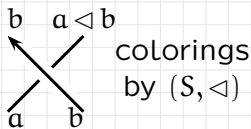
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$\rightarrow$  Dihedral quandles:  $(\mathbb{Z}_n, a \triangleleft b = 2b - a)$   
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### © Self-distributive structures



Joyce, Matveev 1982:

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$n=3$



$\neq$



### ③ Self-distributive structures

|                      |               |  |                |
|----------------------|---------------|--|----------------|
| diagrams:            | $D$           | $\xrightarrow{\text{R-move}} \rightsquigarrow$ | $D'$           |
| colorings:           | $\mathcal{C}$ | $\rightsquigarrow$                             | $\mathcal{C}'$ |
| coloring sets:       | $Col_S(D)$    | $\xleftrightarrow{\text{bij.}}$                | $Col_S(D')$    |
| counting invariants: | $\#Col_S(D)$  | $=$  | $\#Col_S(D')$  |

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Question: Extract more information?

Idea: Some "weight"  $\omega$  s.t.  $\omega(\mathcal{C}) = \omega(\mathcal{C}')$

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
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Answer: quandle cocycle invariants (Carter-Jelsovsky-Kamada-Langford-Saito 1999).

$\phi: S \times S \rightarrow A \rightsquigarrow$   
Boltzmann weight:

$$\omega_\phi(\mathcal{C}) = \sum_{\substack{a \\ b}} \pm \phi(a, b)$$


## © Self-distributive structures

### Rack & quandle cohomology theories

(Fenn-Rourke-Sanderson 1995, Carter et al. 1999)

#### Motivation:

- $\{\omega_\phi(\mathcal{C}) \mid \mathcal{C} \in \text{Col}_S(D)\}$  yields a braid / knot invariant when  $\phi$  is a rack / quandle 2-cocycle;
- this invariant is trivial when  $\phi$  is a coboundary;

## 4 Alg. structures via braidings

### © Self-distributive structures

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- adding coefficients allows to color diagram regions:

$$\begin{array}{c} \text{rack} \\ \text{mod.} \end{array} \quad M \ni \boxed{m} \xrightarrow{a} \boxed{m \cdot a} \quad \omega_\phi(\mathcal{C}) = \sum_{\substack{a \\ b}} \pm \phi(m, a, b)$$

- everything generalizes to  $K^{n-1} \hookrightarrow \mathbb{R}^{n+1}$ .

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Question (Przytycki): Explain the **parallels between the associative and the SD worlds?**

## 4 Alg. structures via braidings

### © Self-distributive structures

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Answer: Common braided interpretation.



### ③ Self-distributive structures

Shelf  $(S, \triangleleft) \rightsquigarrow \sigma_{SD}: \boxed{(a, b) \mapsto (b, a \triangleleft b)}$

- ✓ YBE for  $\sigma_{SD} \iff$  SD for  $\triangleleft$
- ✓ A fully faithful functor  $\boxed{\mathbf{Shelf} \longleftrightarrow \mathbf{Br}}$ .
- ✓  $\sigma_{SD}$  is invertible  $\iff (S, \triangleleft)$  is a rack.
- ✓ Braided modules for  $(V, \sigma_{SD}) \longleftrightarrow$  rack modules for  $(S, \triangleleft)$ .
- ✓  $\Delta_{SD}: \boxed{a \mapsto (a, a)}$   $\rightsquigarrow$  weak braided coalgebra if  $(S, \triangleleft)$  is a quandle.
- ✓ Braided homologies for  $(V, \sigma_{SD})$  include rack, quandle, and other SD homologies.



5

## Multi-component braidings

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Question: How to treat more complicated structures?

## 5

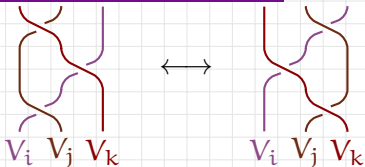
## Multi-component braidings

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Braided system:  $V_1, V_2, \dots, V_r$  and  $\sigma^{i,j} : V_i \otimes V_j \rightarrow V_j \otimes V_i$ ,  $i \leq j$ , satisfying the colored Yang-Baxter equation (cYBE):

$$\sigma_1^{j,k} \circ \sigma_2^{i,k} \circ \sigma_1^{i,j} = \sigma_2^{i,j} \circ \sigma_1^{i,k} \circ \sigma_2^{j,k}$$

$$V_i \otimes V_j \otimes V_k \rightarrow V_k \otimes V_j \otimes V_i, i \leq j \leq k$$



The collection  $(\sigma_i)$  satisfying cYBE is a multi-braiding.

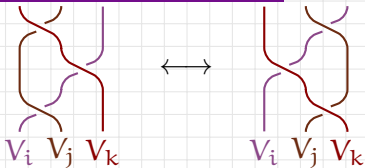
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Left braided V-module:

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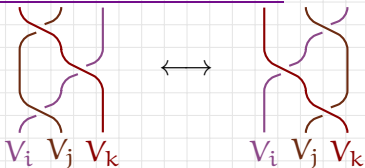
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Left braided V-module:  
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**Theorem (L., 2013)**:  $M \otimes T(V_1) \otimes \dots \otimes T(V_r) \otimes N$  carries a family of differentials  $\delta^{(\alpha, \beta)} = \alpha \bullet \delta + \beta \delta \bullet$ ,  $\alpha, \beta \in \mathbb{k}$ .


5


## Multi-component braidings

Finite-dim. bialgebra  $H \rightsquigarrow$ 

$$(H, H^*; \sigma_{H,H} = \sigma_{Ass}^r(H), \sigma_{H^*,H^*} = \sigma_{Ass}(H^*), \sigma_{H,H^*} = \sigma_{YD})$$

$$\sigma_{H,H} =$$


$$\sigma_{H^*,H^*} =$$


$$\sigma_{H,H^*} =$$


$$h \otimes l \mapsto \langle l_{(1)}, h_{(2)} \rangle l_{(2)} \otimes h_{(1)}$$

✓ YBE on  $H \otimes H^* \otimes H^*$   $\iff$  bialgebra compatibility  
(un. ax.)

5

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✓ A fully faithful functor  ${}^*Bialg \hookrightarrow {}^*_2BrSyst$ .

5

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✓  $\sigma_{H,H^*}$  is invertible  $\iff H$  is a Hopf algebra.



Finite-dim. **bialgebra**  $H \rightsquigarrow$

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✓ A fully faithful functor  $\mathbf{*Bialg} \hookrightarrow \mathbf{{}_2\text{BrSyst}}$ .

✓  $\sigma_{H,H^*}$  is invertible  $\iff H$  is a **Hopf algebra**.

✓ Braided modules  $\longleftrightarrow$  **Hopf modules** over  $H$ .

Finite-dim. **bialgebra**  $H \sim$

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✓ Braided modules  $\longleftrightarrow$  **Hopf modules** over  $H$ .

✓ Braided homologies include

$\rightarrow$  **Gerstenhaber-Schack**;

$\rightarrow$  **Panaite-Ştefan**.

5

## Multi-component braidings

Finite-dim. **bialgebra**  $H \rightsquigarrow (H, H^{\text{op}}, H^*, (H^*)^{\text{op}}; \dots)$ .

✓ A fully faithful functor  ${}^* \mathbf{Bialg} \hookrightarrow {}^* \mathbf{BrSyst} \bullet$ .

5

## Multi-component braidings

Finite-dim. **bialgebra**  $H \rightsquigarrow (H, H^{\text{op}}, H^*, (H^*)^{\text{op}}; \dots)$ .

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✓ Braided modules  $\longleftrightarrow$  **Hopf bimodules** over  $H$ .

Application:

→ Hopf bimodules are modules over the **Heisenberg double**

$$\mathcal{H}(H) = H \underline{\otimes} H^*$$

→ Cibils-Rosso 1998: “Hopf bimodules are modules” over

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✓ A fully faithful functor  ${}^*\mathbf{Bialg} \hookrightarrow {}^*\mathbf{BrSyst}^\bullet$ .

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- **multiple conjugation quandles** (Ishii)

knotted  
handle-bodies

