



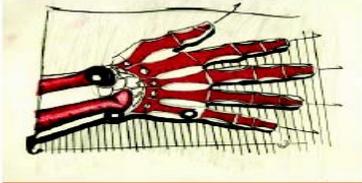
# JAST Opening Conference

15-16 February 2005

## Neural Circuits Underlying Action Understanding

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# Artefact Structural Learning Through Imitation



## Cognitive Psychology

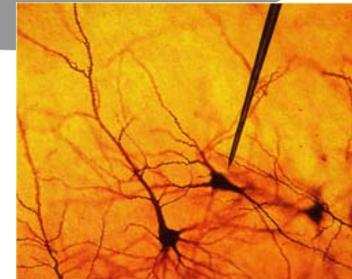
Reaction Time



H. Bekkering et al  
Uni Nijmegen

## Electrophysiology

Single Unit Activity, EEG, etc.



G. Rizzolatti et al, Uni Parma  
P. Thier et al, Uni Tübingen

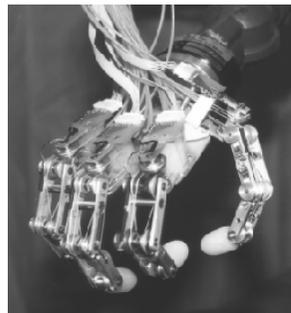
## Modeling/Theory

Uni Minho

Dynamic Field Theory, Optimization

## Robotics

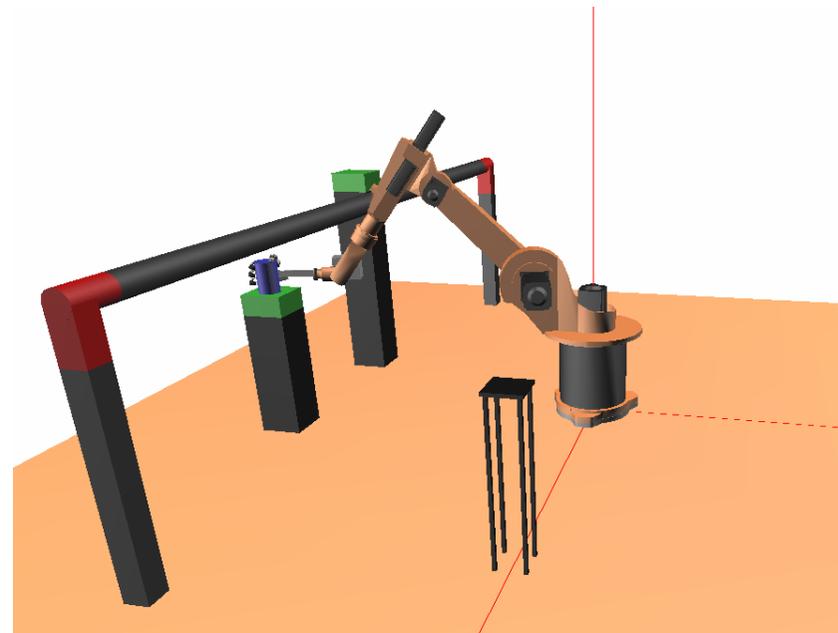
Control of Arm and Hand Movement,  
Goal-directed Imitation  
Action Understanding



A. Knoll et al, TU München  
E. Bicho et al, UMinho

# Dutch Bridge Paradigm

- Motor action composed of two motor acts:
  - 1) grasping an object (*proximate goal*),
  - 2) placing the object at one of two possible target positions (*ultimate goal*) thereby avoiding an obstacle.
- Trajectory above (AT) or below (BT) the bridge
- Grasping from the side (FG) or from above (PG)



# Main hypotheses which guided our work

- Imitation is fundamentally goal-directed, as opposed to trajectory-oriented „replay“ over via points. (Bekkering and colleagues)
- Action understanding based on “motor simulation”, existence of an action observation/execution matching system (Rizzolatti and colleagues)

## However.....

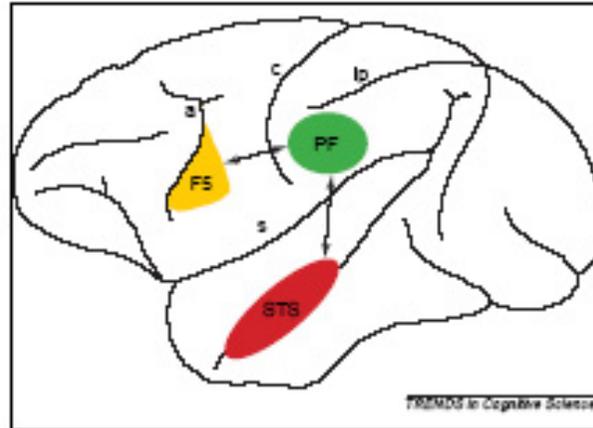
very often, the matching cannot be automatic and direct due to differences

- in embodiment (child-adult, robot-human)
- environmental constraints (obstacles), and/or
- motor skills.

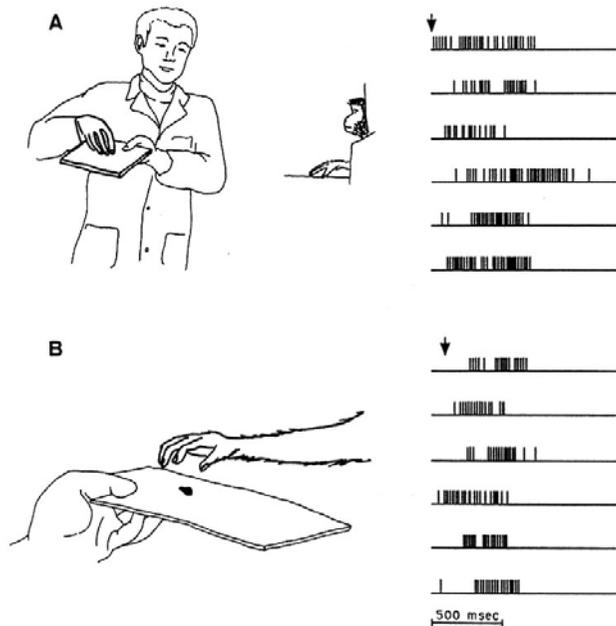
# Structure of the talk

- Neural circuits underlying goal inference and imitation
- The dynamic model
- Simulation examples (bridge paradigm)
- The real artifact in action

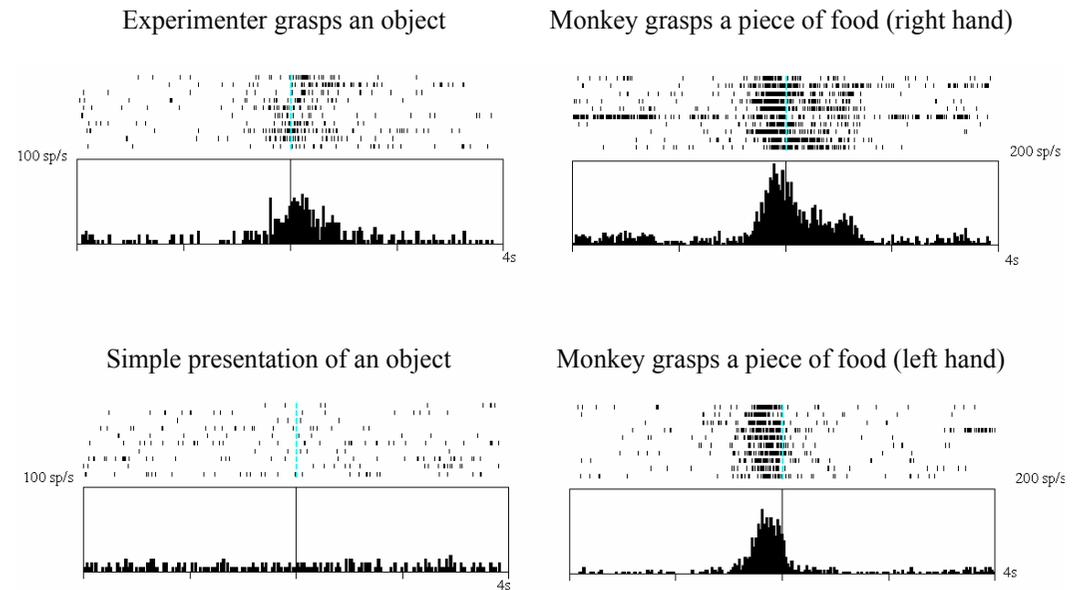
# The mirror neuron circuit



## Mirror neuron in the premotor cortex



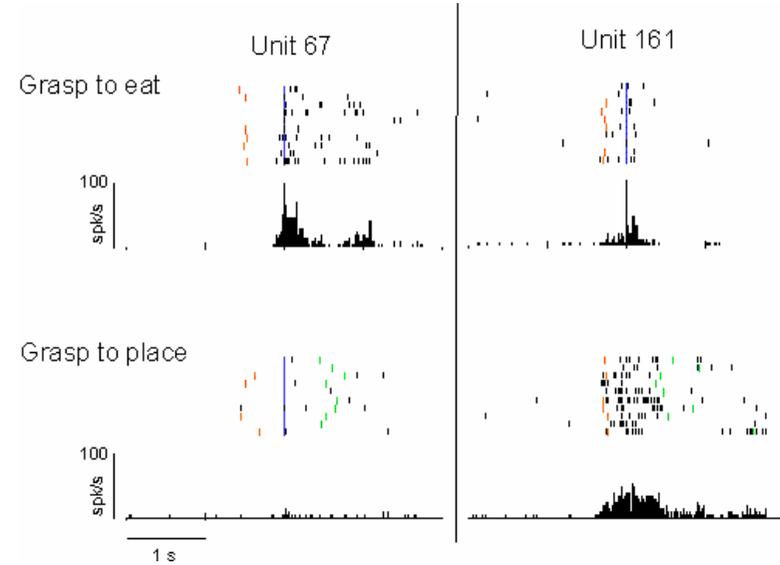
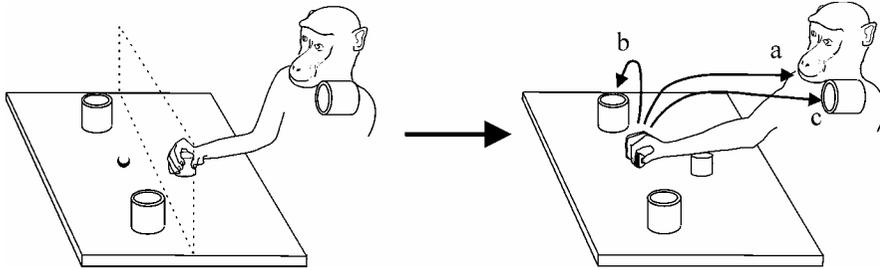
## Mirror neuron in the parietal cortex



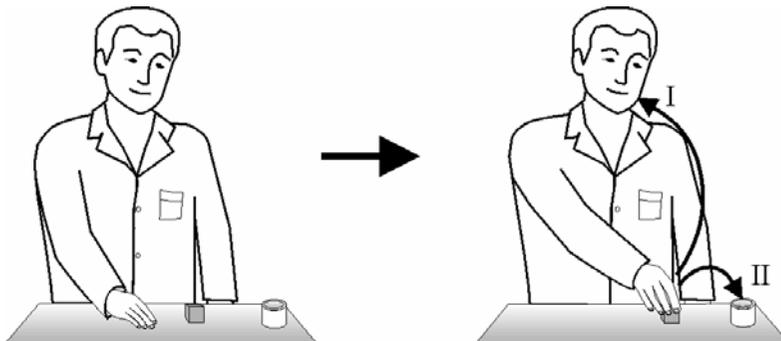
*(Rizzolatti et al, 2001)*

## Motor responses of parietal neurons

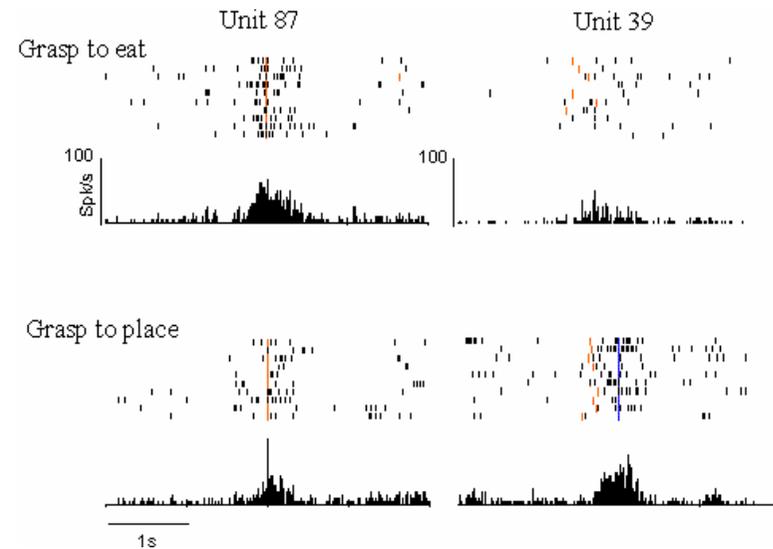
### Motor task



### Observation task



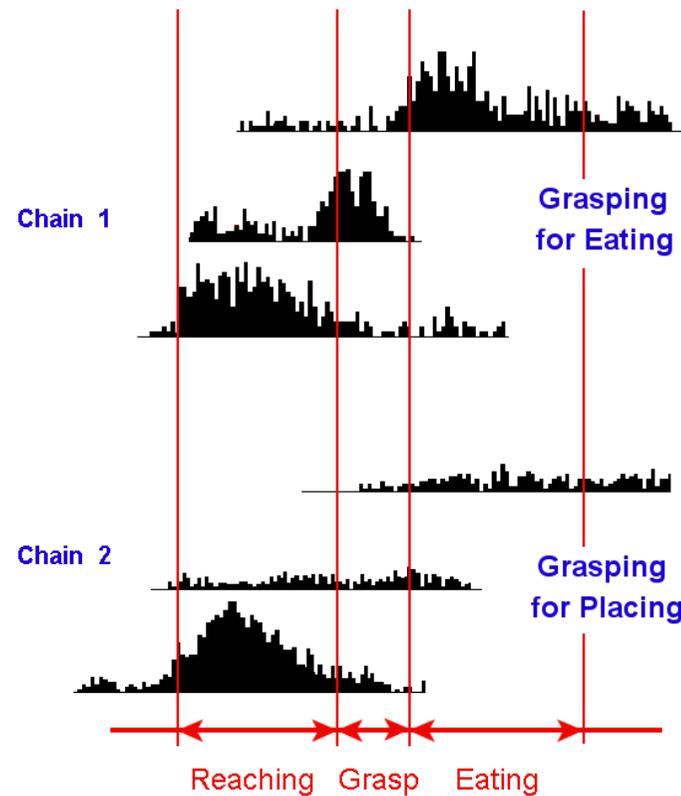
## Visual responses of parietal mirror neurons



Fogassi et al, *Science*, in press

# Action organization in the parietal cortex

- Neurons of inferior parietal cortex appear to be organized in chains, each of which is aimed to a final action goal.



*(Fogassi et al, in press)*

# Beyond the mirror circuit

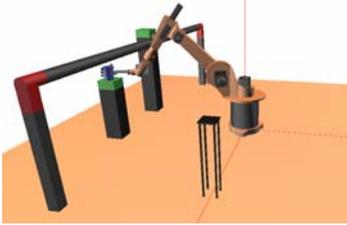
- Integration of contextual information
- Establishing a link between means and goal (physical outcome) of an action sequence.

# Role of Prefrontal Cortex (PFC)

- Combine *sensory* and *contextual* information to organize the means represented in other brain areas to achieve an intentional goal.  
⇒ strong connections to IPL (areas PF/PFG)
- Cognitive control, for instance, to override prepotent responses (e.g., a direct matching).
- Form associations between events separated in time (“Learning object meaning”).
- Learning novel complex actions by combining existing motor primitives.

# Model architecture

## Bridge Paradigm



### **PFC:**

Goal representations  
Task input  
Object properties (e.g. colour)

### **STS:**

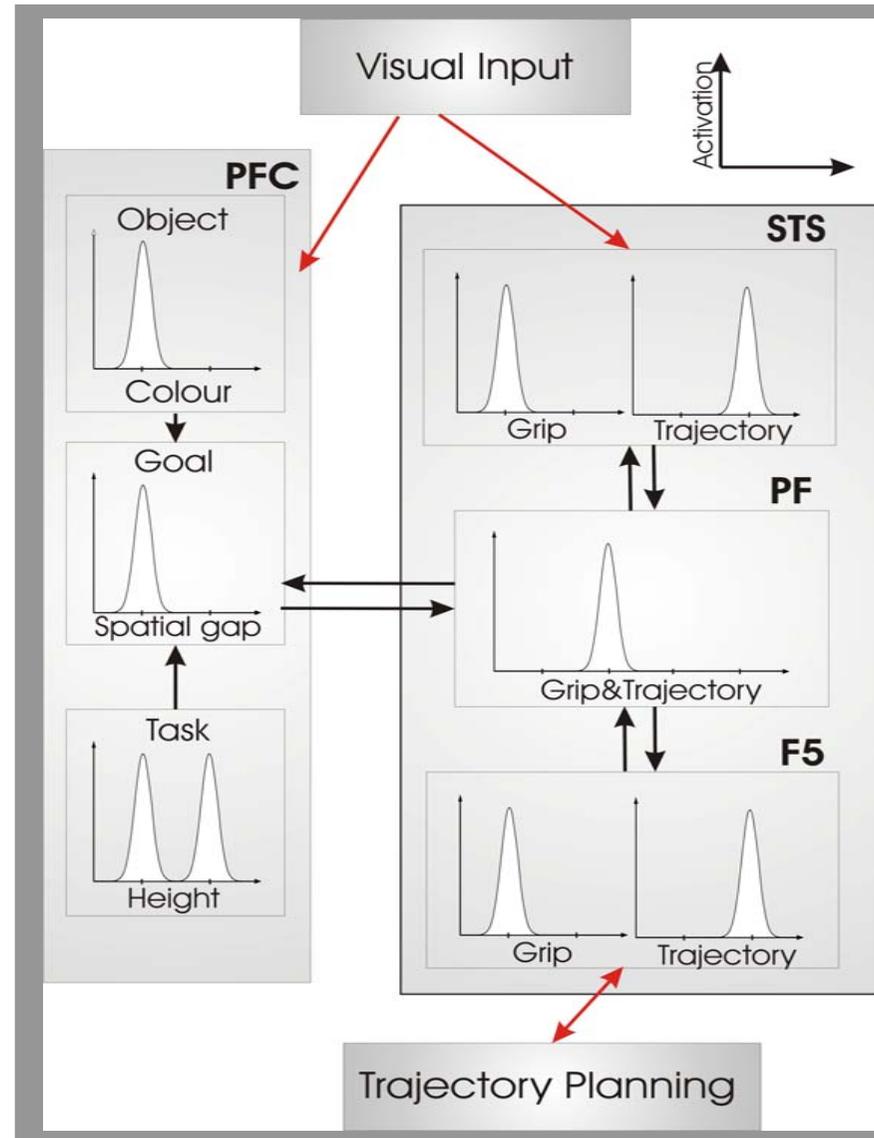
Visual description of grip and trajectory

### **PF:**

Sequence of means

### **F5:**

Movement primitives

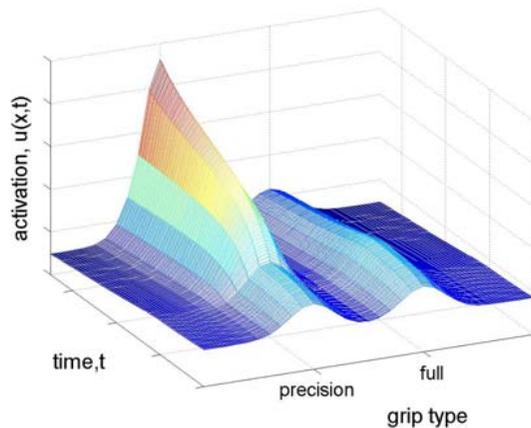
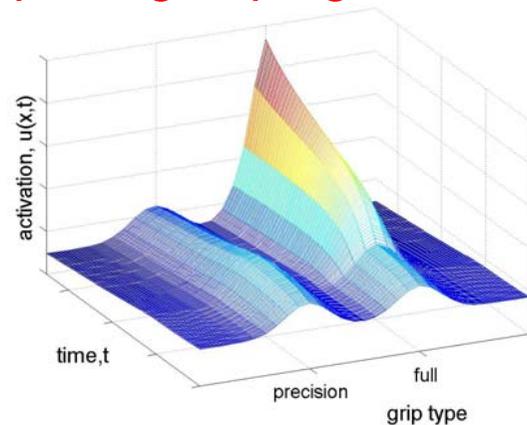


*(Erlhagen et al., 2005)*

# Basic Concepts of the Dynamic Model

- in each layer, neuronal activation patterns encode task relevant information

## Type of grasping behaviour



## Mean-Field Rate Model

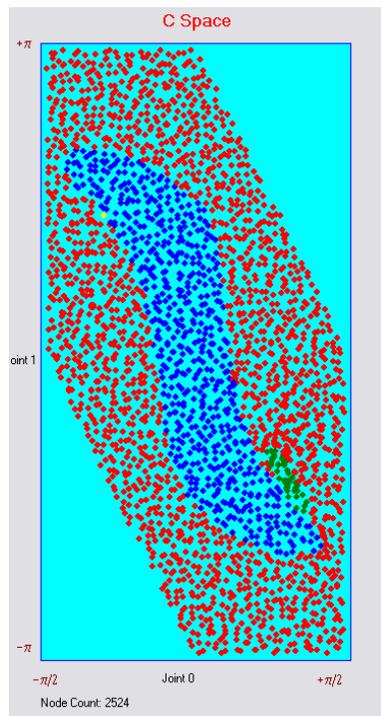
$$\frac{du(x,t)}{dt} = -u(x,t) + G(u(x,t)) \int W_u(x-x') F(u(x',t)) dx' + h + S(x,t) - v(x,t)$$
$$\frac{dv(x,t)}{dt} = -v(x,t) + \int W_v(x-x') F(u(x',t)) dx'$$

- Integration: representations evolve under the influence of multiple information sources  $S(x,t)$  (visual input, input from other layers...)
- Decision making in ambiguous situations through recurrent inhibition

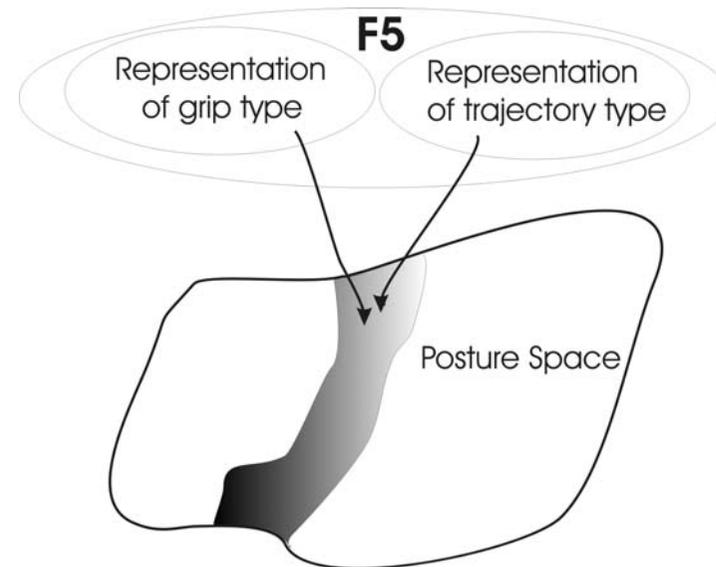
(e.g., Erlhagen & Schöner, 2002)

# Path planning in posture space

- Planning provides a posture sequence linking the initial posture to the desired end-posture.
- Movement primitives in F5 serve to pre-select relevant parts of the posture space.
- Obstacles are mapped into posture space.



- Active Node
- Inhibited Node
- Target Node

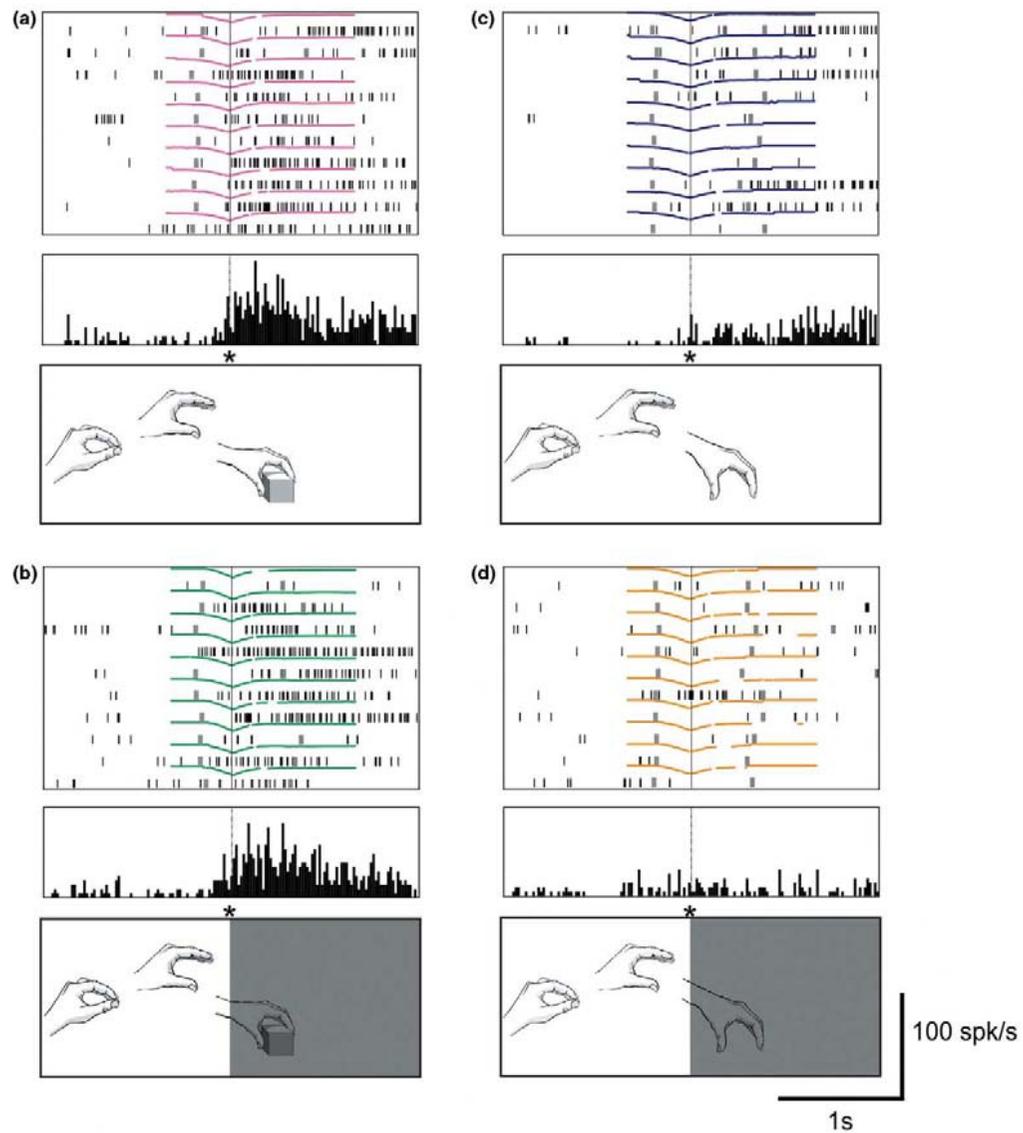


# Model Simulations

- Goal inference and choice of means
- Growth of cognitive skills through learning

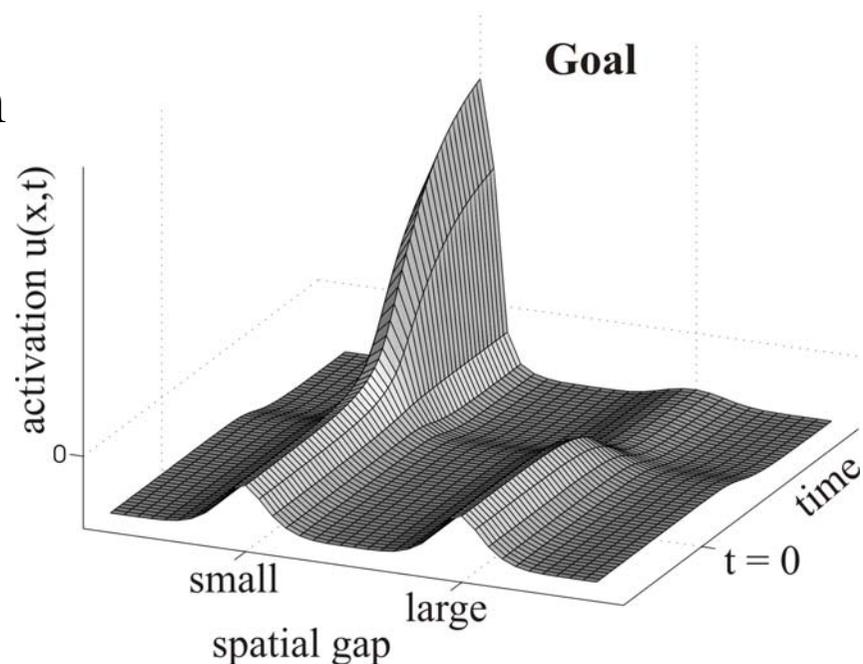
# “I know what you are doing”

(Umiltà et al, 2001)

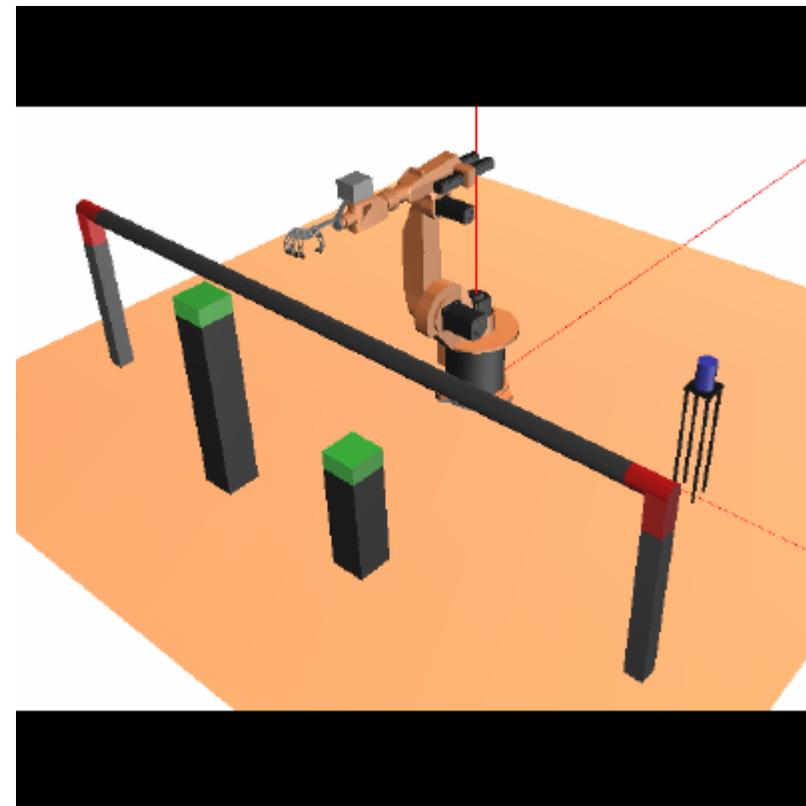
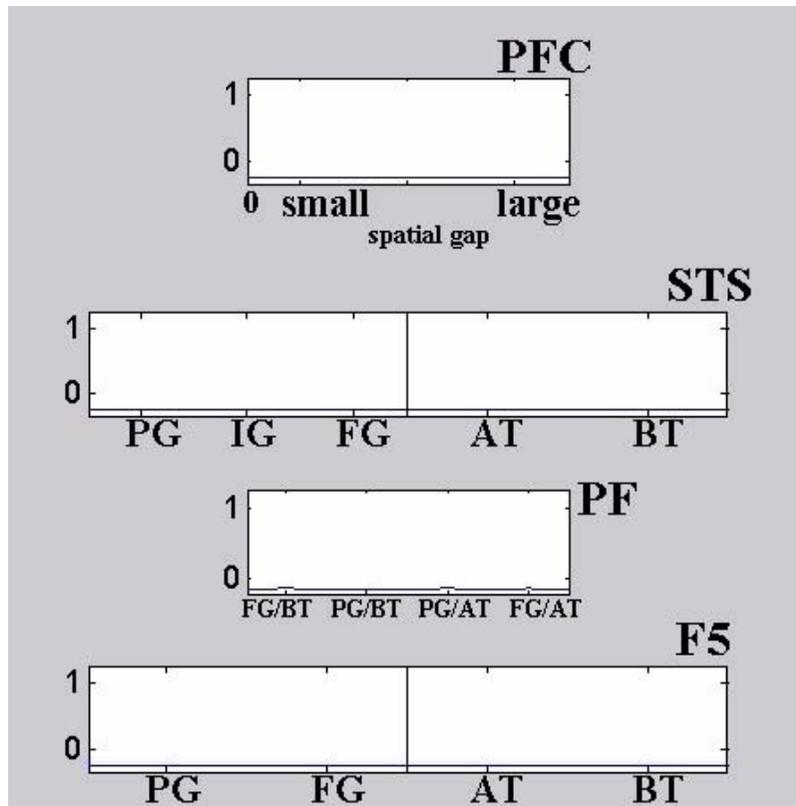


# Goal Inference: Bridge Paradigm

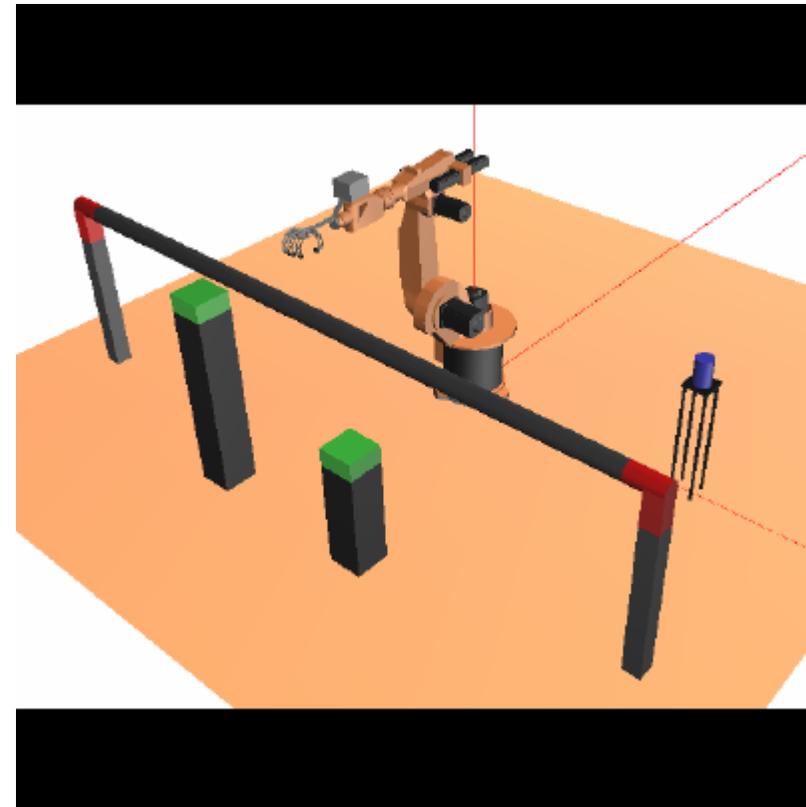
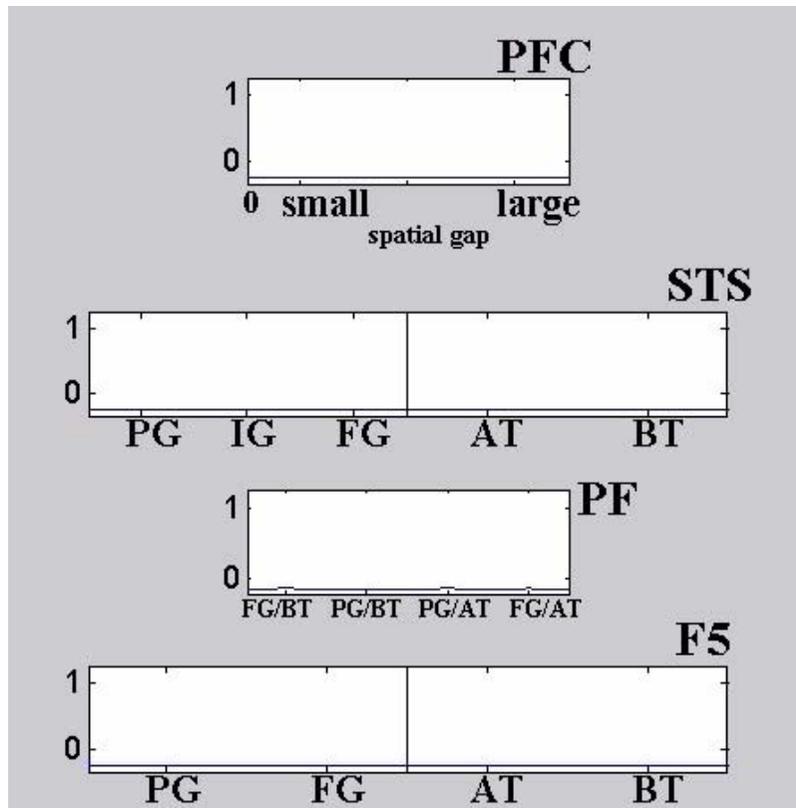
- Combination of partial visual information (grasping) with prior task information.
- Constant task input results in a “preshaping” of neural populations representing goals (in PFC) and associated sequences of means (in PF).



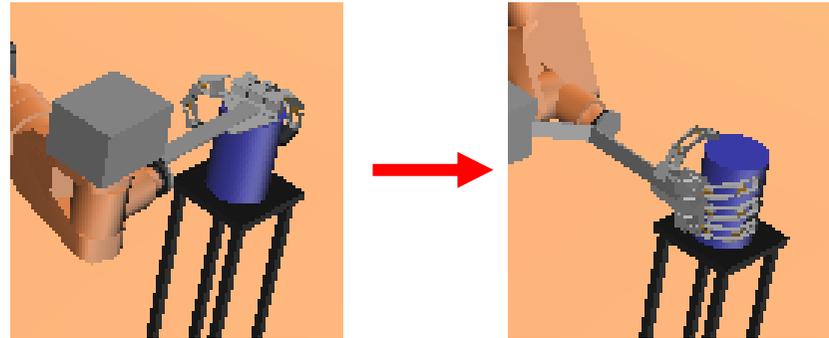
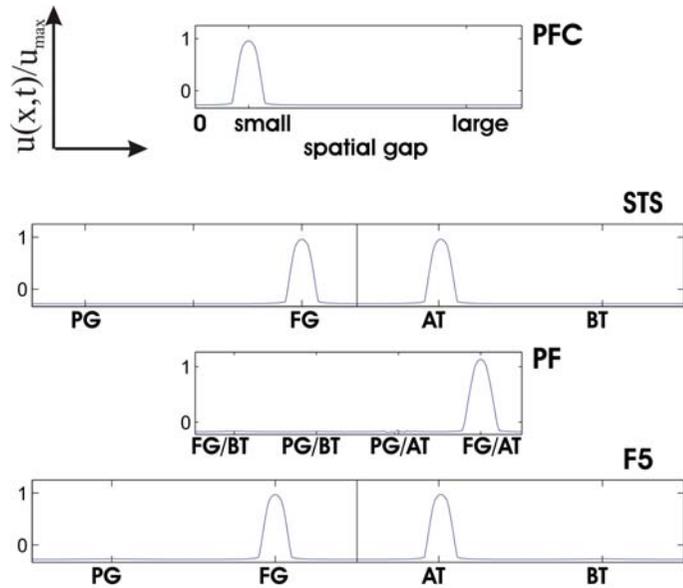
# Goal Inference Task



# Goal-directed imitation: Conflict in the grip type

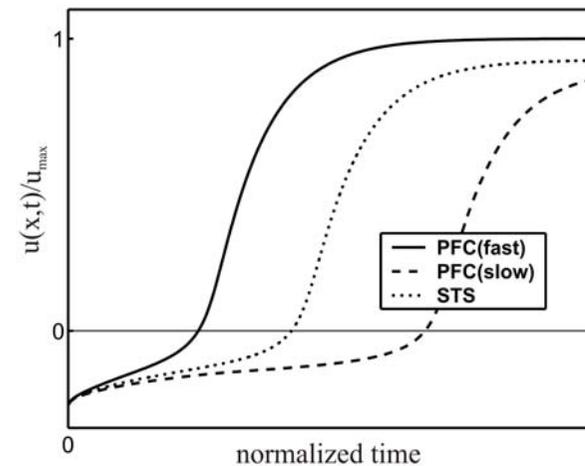


# Constraints allow to copy the means



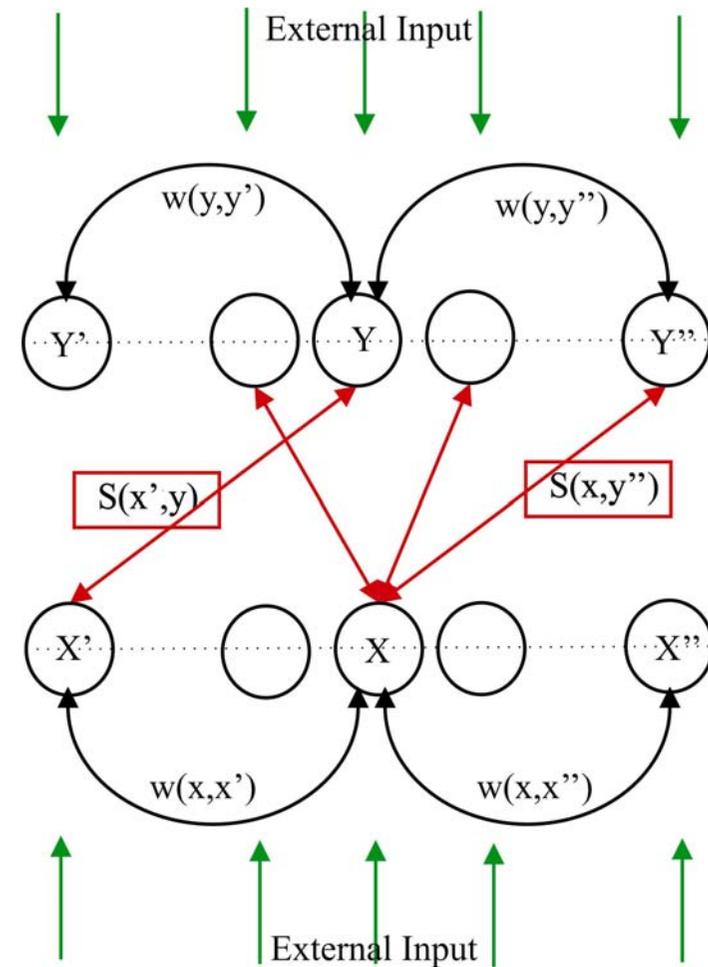
Purely temporal mechanism:  
Change in baseline firing rate  
affects time course  
(e.g., Asaad, Rainer & Miller, 2000)

Time course in PFC



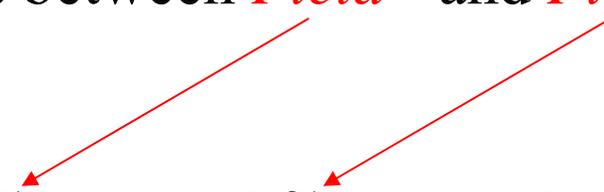
# Learning the synaptic links between Dynamic Fields

- Learning results from modifying synaptic connections between neurons (Hebb 1949).
- The modification of synapses is slow compared with the characteristic time scale of neuronal dynamics.
- Internally generated reinforcement signal representing a successful planning defines epochs of learning (goal-directed).



# Mathematical formalization

- Learning the connections between *Field*<sup>1</sup> and *Field*<sup>2</sup>

$$\frac{\partial s(x, y, \tau)}{\partial \tau} = -s(x, y, \tau) + \alpha \int g(\tilde{u}_1(y - y')) f(\tilde{u}_2(x - y')) dy'$$


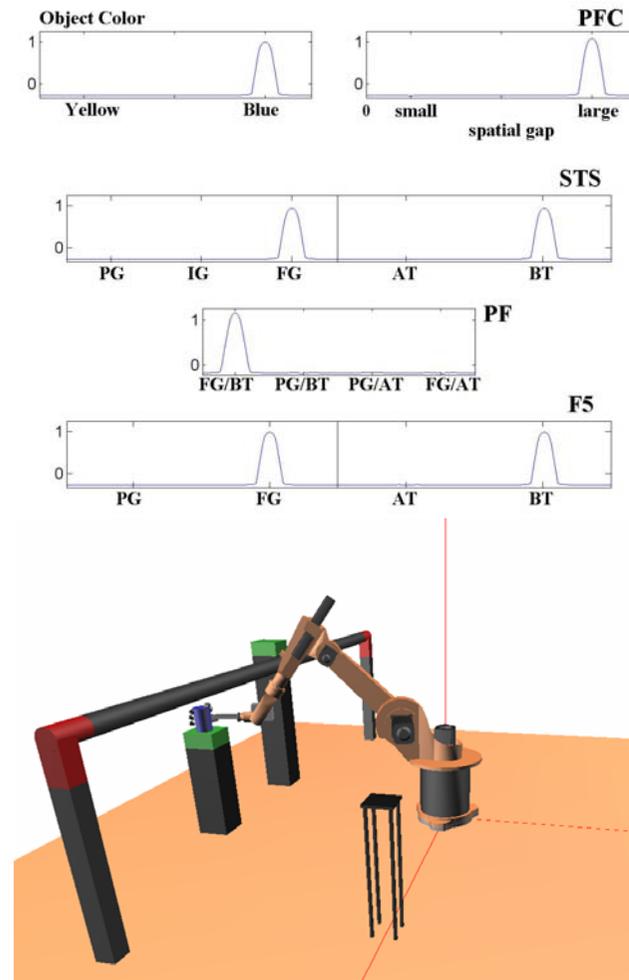
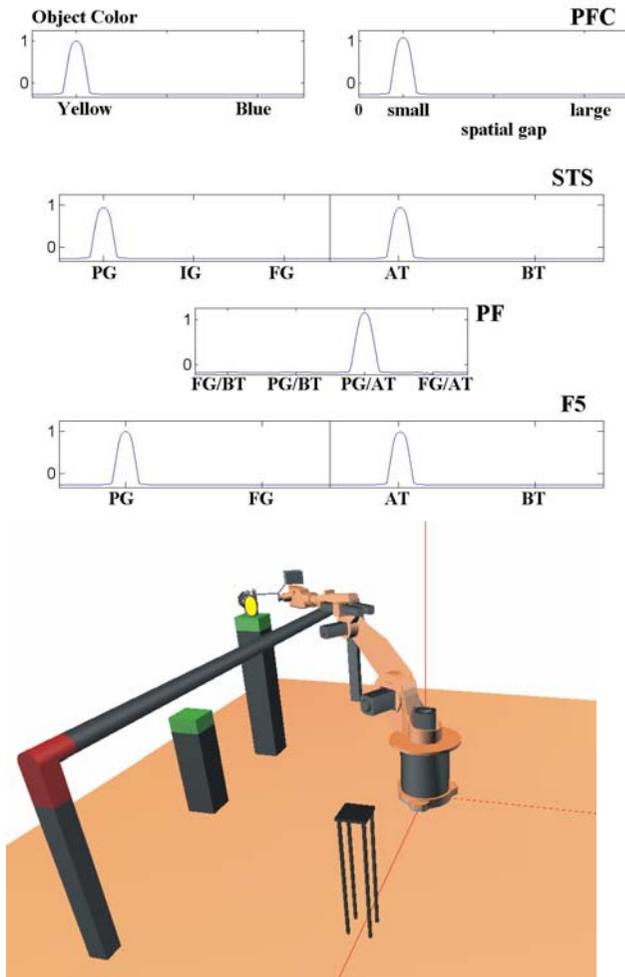
with  $\alpha = \text{const.}$  and  $\tilde{u}_1, \tilde{u}_2$  equilibrium solutions of the relaxation phase.

- Total input to *Field*<sup>2</sup> after learning (equivalent for *Field*<sup>1</sup>):

$$\bar{S}(x, y) = \int g(\tilde{u}_1(y - y')) s(x, y') dy'$$

# Learning object meaning

Example: color  $\leftrightarrow$  goal



# A Hebbian perspective on how mirror properties evolve

- First learning phase (correct alignment):  
Pay attention to your own arm/hand,  
motor system provides stimulus for the visual system.
- Second learning phase (mirror properties):  
Generalization to goal-directed actions of others.

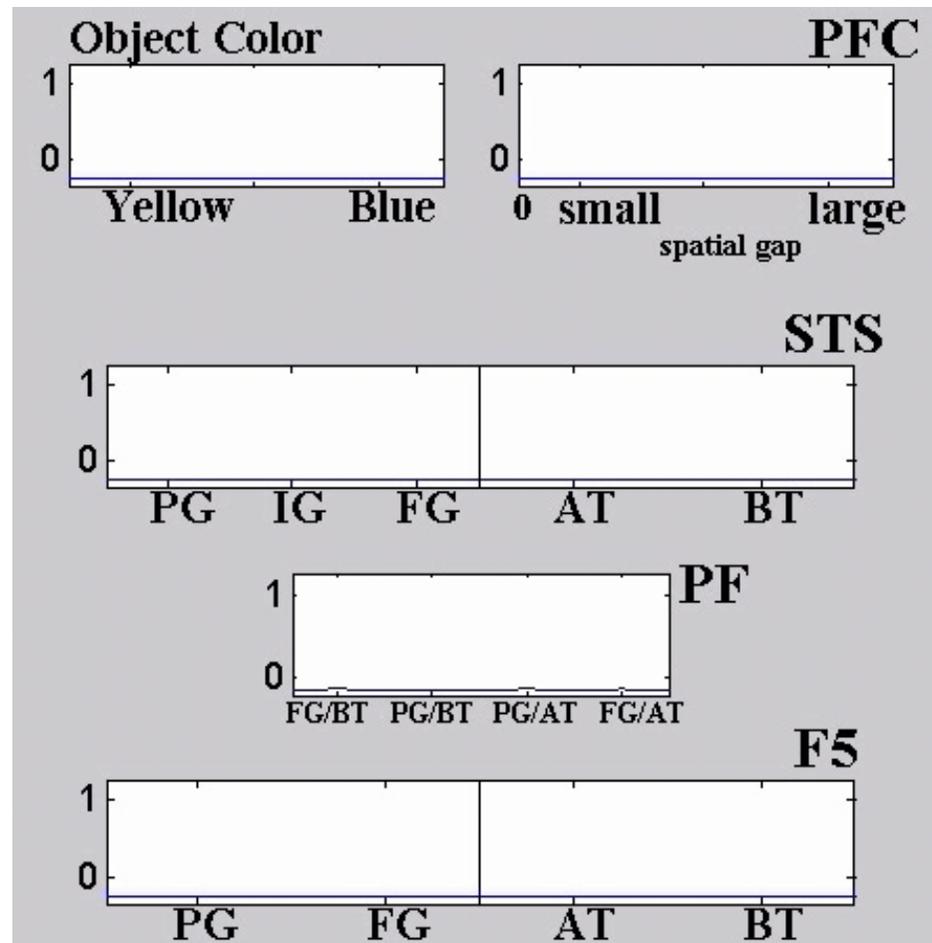
⇒ Action understanding requires high level of abstraction  
⇒ Learning a goal-directed matching

# Understanding actions made with tools

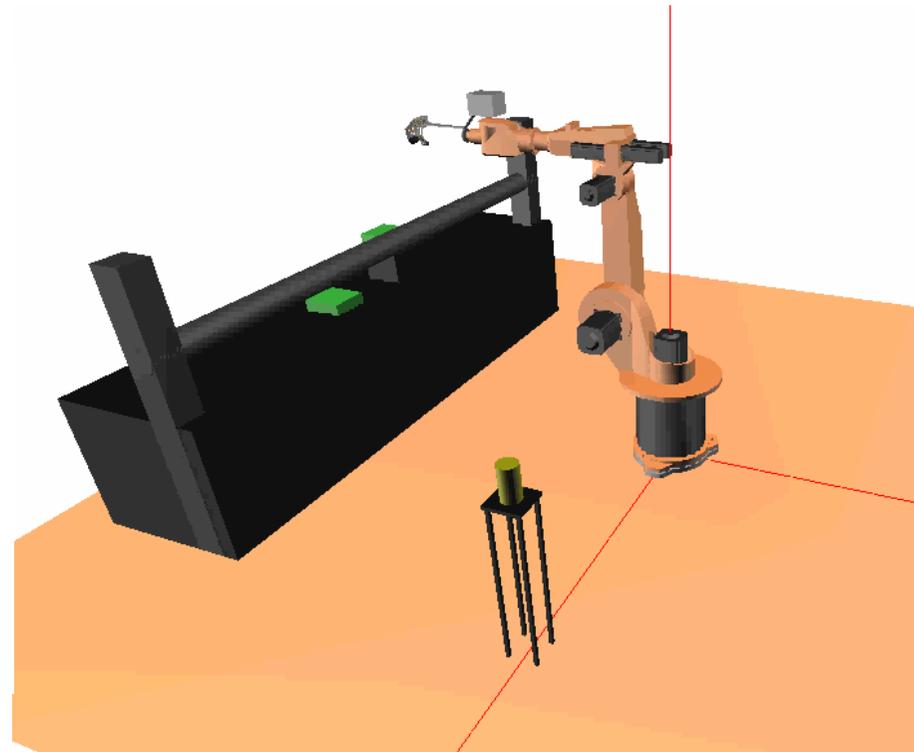
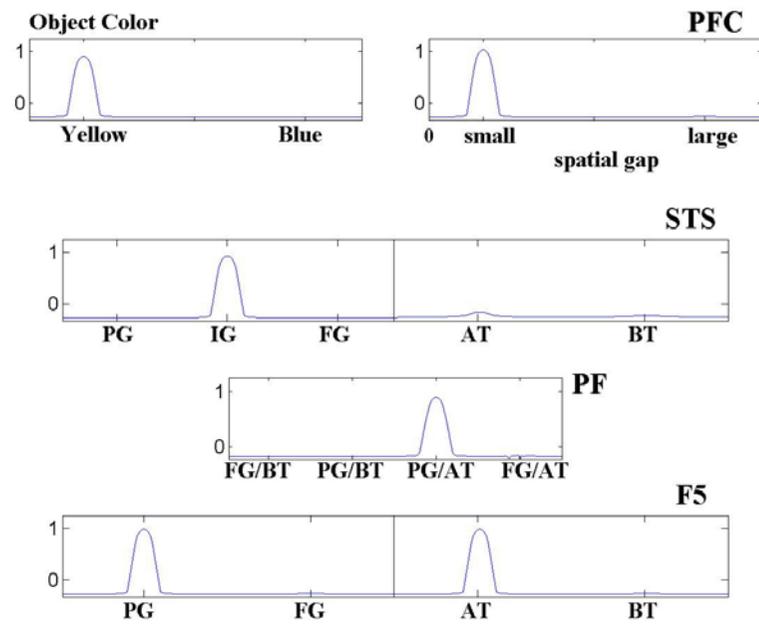
- Tool-use not in the repertoire of the observer
- Long visual exposure to represent the hand-tool motion in STS
- End-state/goal should be observable

## Inference task:

Only the tool-grip “IG” is observable, color information is ambiguous.



# Tool-use task: Overt behavior



# Tool-responsive mirror neurons

UNIT 088 F5

(*Ferrari et al., 2005*)



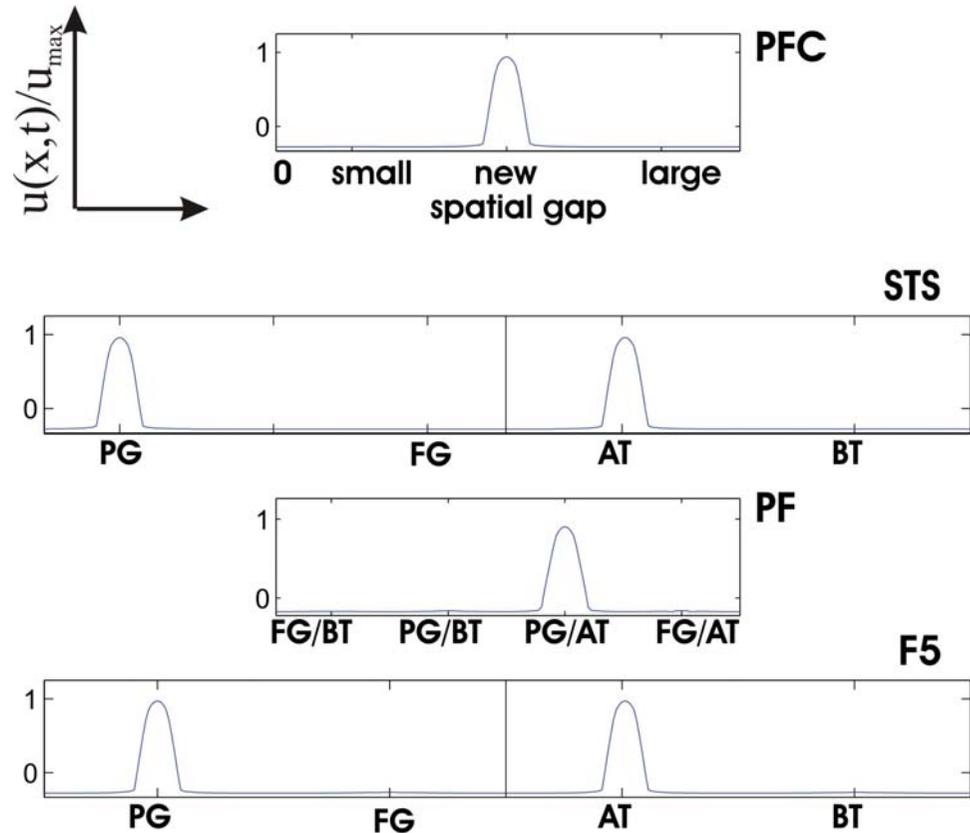
## Experimental conditions:

- Long exposure to actions made with tools.
- Tool can be associated by the monkey to the possibility to receive food.

# Learning the link between goal and means (PFC-PF)

## Copying the organizational structure of actions

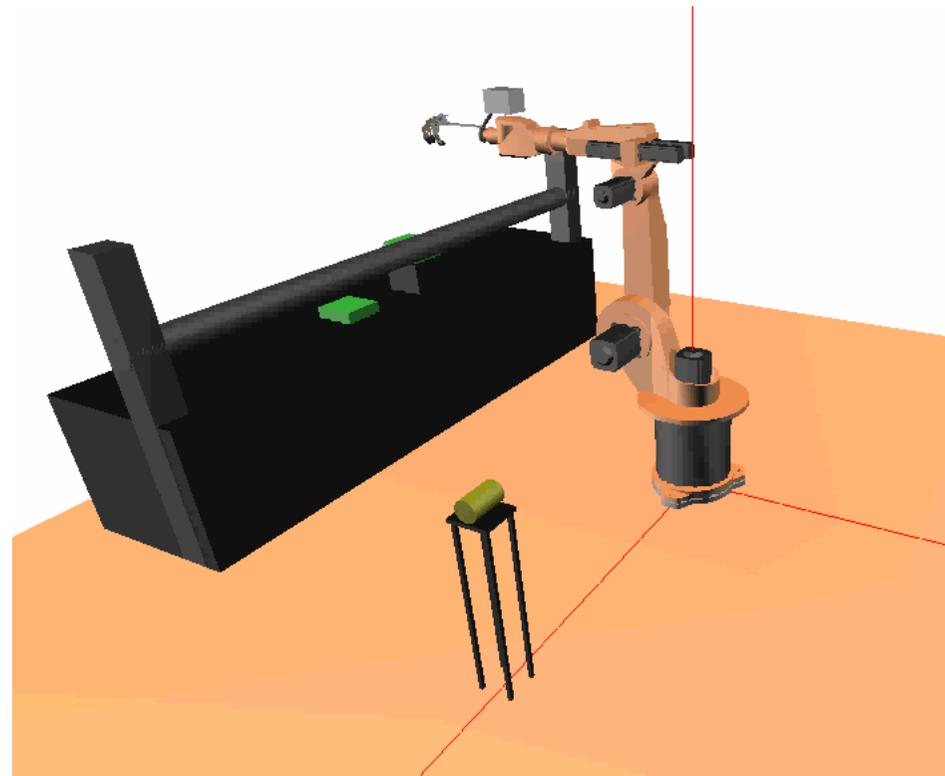
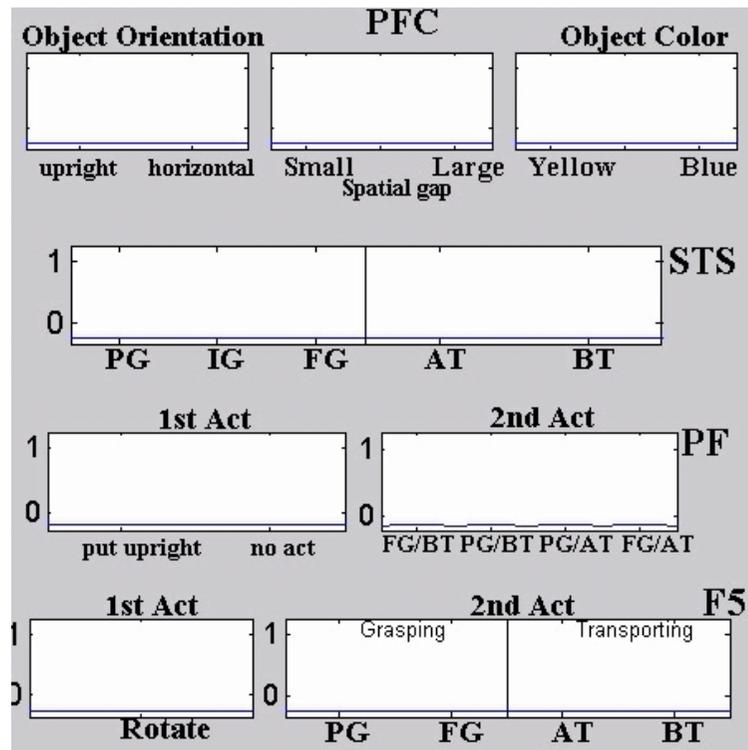
- New goal parametrized by an intermediate gap.
- Trying to copy the demonstrator's means (covert planning).
- If successful, association is learned between PFC and PF.



# Learning of a new action sequence

Example: object not in upright position

After learning (no teacher)



# Conclusions

Experimental and modelling results suggest that

- *action understanding* is a continuous process which combines sensory evidence, prior task knowledge and a goal-directed matching,
- a *goal-directed matching* between action observation and action execution may develop during practice using a *biologically plausible learning rule*.

The model architecture may be extended to allow also for inferring higher intentional goals.

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