



Last update: 20 March 2007

Software Architecture

Bertrand Meyer

ETH Zurich, March-July 2007

Lecture 1: Introduction

Goal of the course



Introduce you to the techniques of building large software systems of high quality, in particular:

- Reliability
- Extendibility
- Reusability

This includes in particular:

- Principles of software quality
- Object technology principles and methods; the practice of object-oriented analysis, design and implementation
- Design patterns
- Principles of building reusable software
- Some key techniques of concurrent programming

Six key topics



- Modularity and reusability
- Abstract Data Types
- Design by Contract and other O-O principles
- Design Patterns
- Component-Based Development
- Introduction to concurrency



Practical information

Course material



Course page:

<http://se.inf.ethz.ch/teaching/ss2007/0050/>

→ Check it at least twice a week

Lecture material:

- Lecture slides

- Textbook:

Object-Oriented Software Construction,
2nd edition -- Prentice Hall, 1997

Available from Polybuchhandlung (≈ CHF 63 with Legi)

Exercise material:

- Exercise sheets

- Master solutions

Electronic forums



Discussion forums:

Inforum:

<http://forum.vis.ethz.ch>

Mailing list for each group

Usual advice and rules:

- Use the forums and mailing lists! Take advantage of every help you can get.
- Don't be shy. There are no stupid questions.
- Criticism welcome, but always be polite to every participant and observe the **etiquette**.
- To email the whole teaching team (professor and assistants):

soft-arch-assi@se.inf.ethz.ch

Exercise sessions and project



Make sure to attend all sessions

Exercise sheets will be distributed by your assistant during the exercise session

Do all exercises and the project

Start of semester



No exercise session this week

Next week: single-group exercise session led by Bernd Schoeller; room will be announced

Exercise groups will be formed next week

Project



Details to be given early April

You will have the choice between four topic categories:

- TRAFFIC extension or improvement
- Games using EiffelMedia
- Open project to be discussed with assistant
- EiffelStudio extension or improvement

All projects will be done in Eiffel

EiffelStudio download:

<http://www.eiffel.com/downloads/>

Open-source version available for Windows, Linux and MacOS

This is a software architecture project



Design quality is essential

Group project, must be managed properly

Configuration management

Documentation

Quality standards (analysis, design, implementation)

Should be useful ("*Eat your own dog food!*")

The public presentation



All projects will be demonstrated

The best projects will be selected for presentation



Exam: **end of semester**



Tuesday, 19 June 2007, 14-16 (normal class time)

2-hour exam

No material allowed

Covers all material in the semester



Teaching staff

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Secretary: Claudia Günthart, (01) 632 83 46

Exercise sessions



All groups have **one session a week:**

- **Thursday, 15:00-16:00**

The assistants



Martin Nordio (Coordinating Assistant)

English

Ilinca Ciupa

English

Michela Pedroni

German

Bernd Schoeller

German

Till Bay

German (French)

Jason (Yi) Wei

English



End lecture 1



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Lecture 2: A basic architecture example

Our first pattern example



Multi-panel interactive systems

Plan of the rest of this lecture:

- Description of the problem: an example
- An unstructured solution
- A top-down, functional solution
- An object-oriented solution yielding a useful design pattern
- Analysis of the solution and its benefits

A reservation panel



Flight sought from:

To:

Depart no earlier than:

No later than:

ERROR: Choose a date in the future

Choose next action:

0 – Exit

1 – Help

2 – Further enquiry

3 – Reserve a seat

A reservation panel



Flight sought from: To:

Depart no earlier than: No later than:

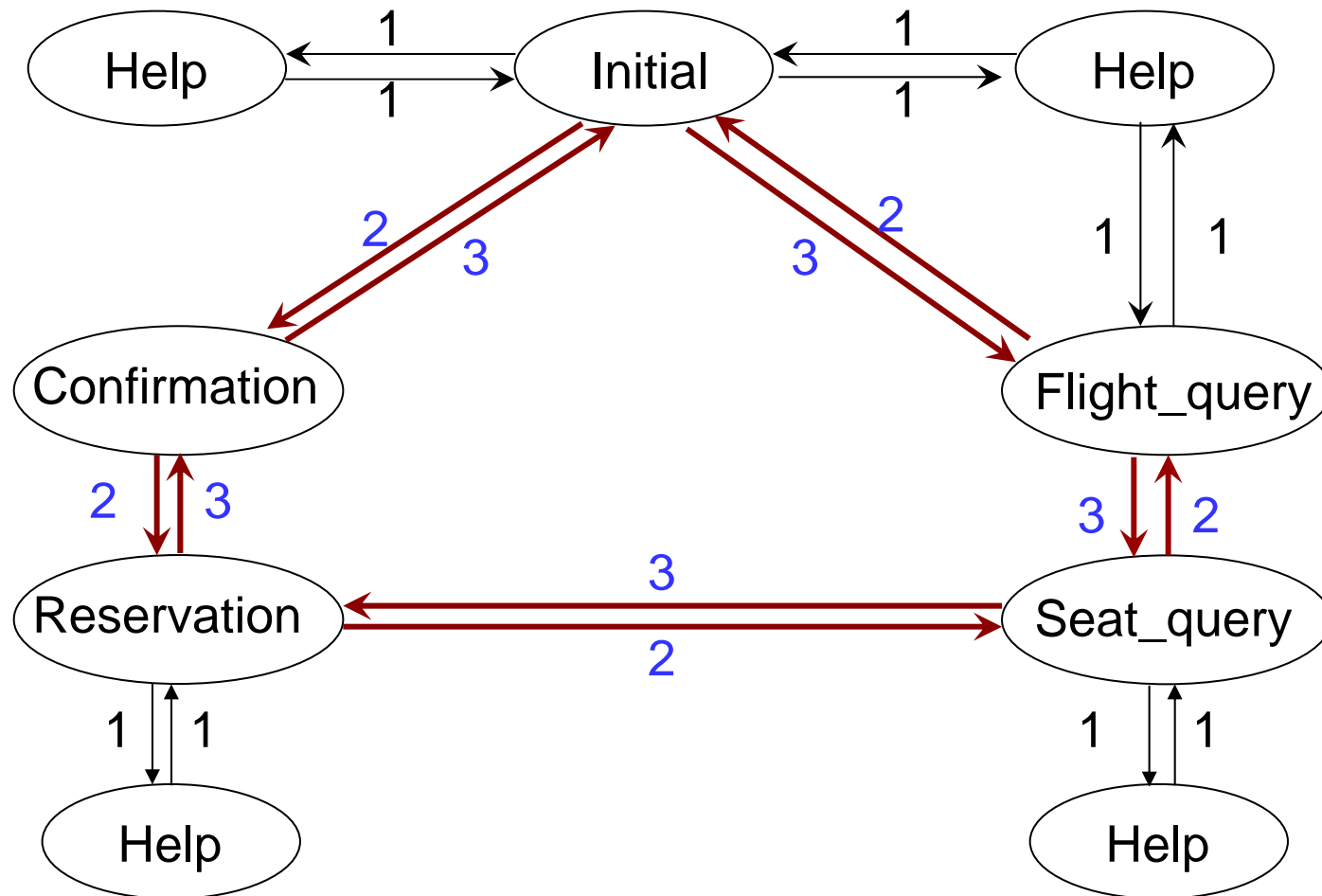
AVAILABLE FLIGHTS: 2

Flt# LH 425	Dep 8:25	Arr 7:45	Thru: Shanghai
Flt# CP 082	Dep 7:40	Arr 9:15	Thru: Hong Kong

Choose next action:

- 0 – Exit
- 1 – Help
- 2 – Further enquiry
- 3 – Reserve a seat

The transition diagram



A first attempt



A program block for each state, for example:

$P_{\text{Flight_query}}$:

```
display "enquiry on flights" screen
repeat
    Read user's answers and his exit choice  $C$ 
    if Error_in_answer then output_message end
until
    not Error_in_answer
end

process answer

inspect  $C$ 
    when 0 then goto  $P_{\text{Exit}}$ 
    when 1 then goto  $P_{\text{Help}}$ 
    ...
    when  $n$  then goto  $P_{\text{Reservation}}$ 
end
```

What's wrong with the previous scheme?



- Intricate branching structure ("spaghetti bowl").
- Extendibility problems: dialogue structure "wired" into program structure.

A functional, top-down solution



Represent the structure of the diagram by a function

transition(*i*, *k*)

giving the state to go to from state *i* for choice *k*.

This describes the transitions of any particular application.

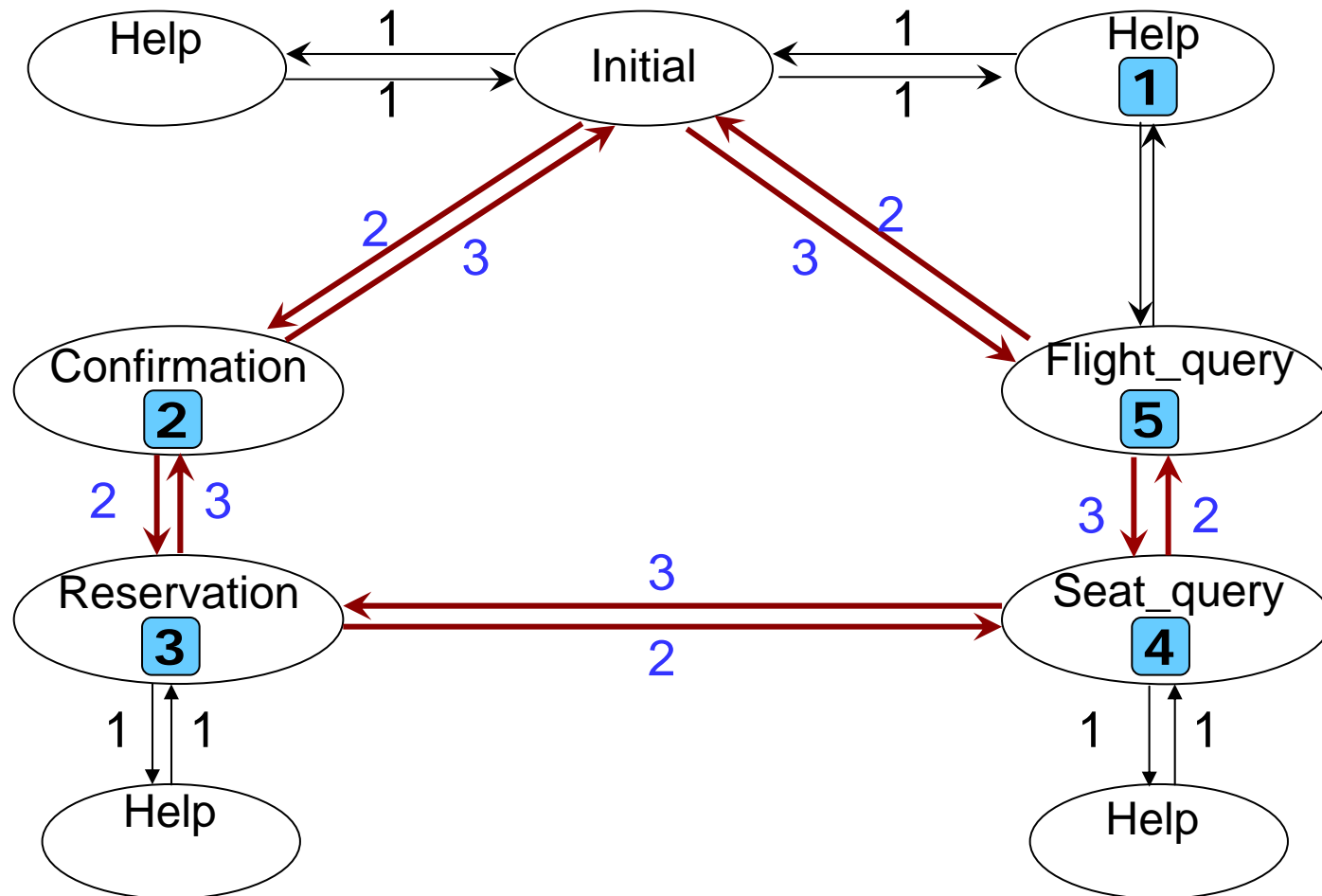
Function *transition* may be implemented as a data structure, for example a two-dimensional array.

The transition function

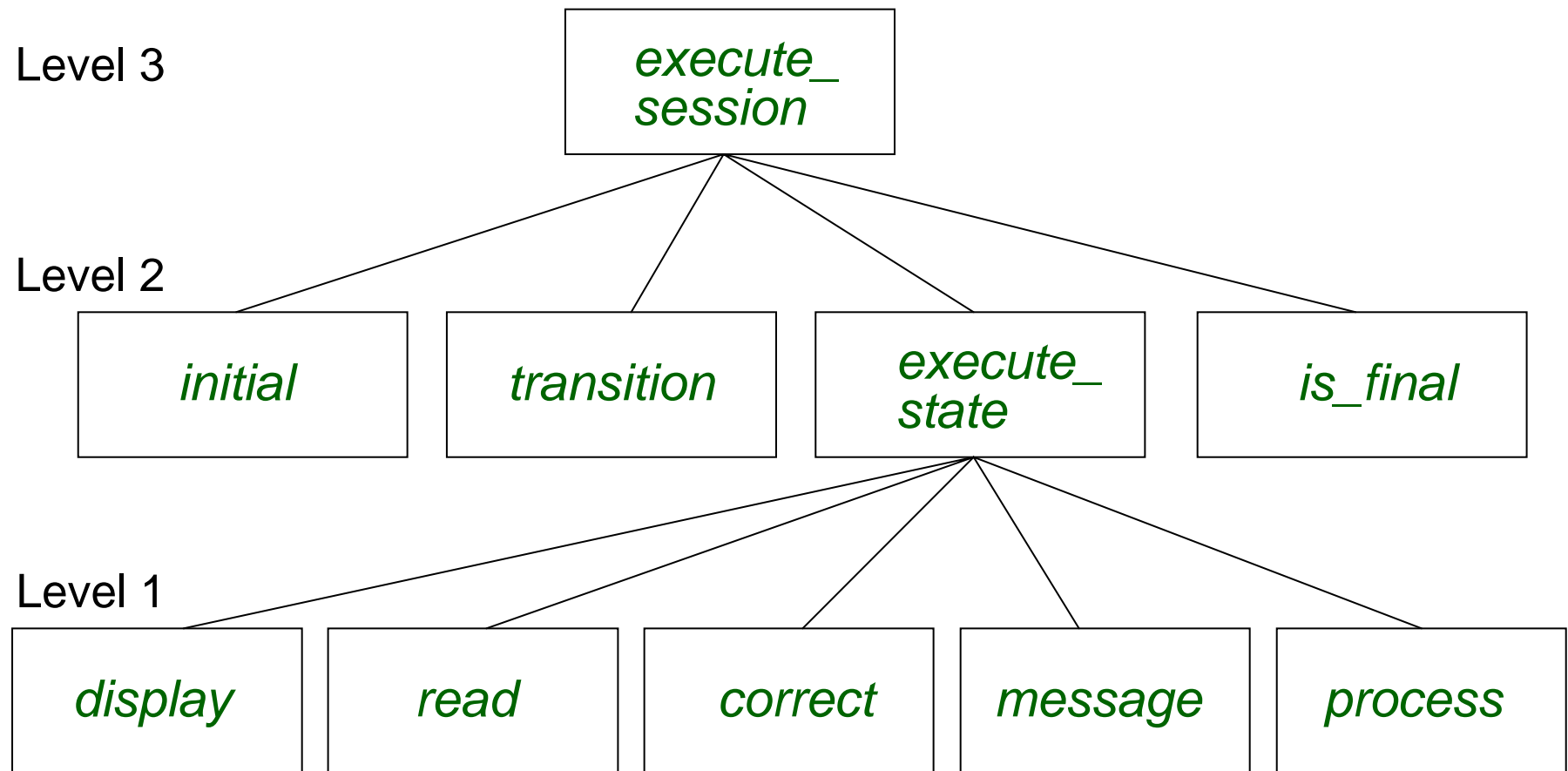


	0	1	2	3
0 (Initial)			2	
1 (Help)	<i>Exit</i>	<i>Return</i>		
2 (Confirmation)	<i>Exit</i>		3	0
3 (Reservation)	<i>Exit</i>		4	2
4 (Seats)	<i>Exit</i>		5	3
5 (Flights)	<i>Exit</i>		0	4

The transition diagram



New system architecture



New system architecture



Procedure *execute_session* only defines graph traversal.

It knows nothing about particular screens of a given application; it should be the same for all applications.

```
execute_session is
    -- Execute full session
    local
        current_state, choice: INTEGER
    do
        current_state := initial
        repeat
            choice := execute_state(current_state)
            current_state := transition(current_state, choice)
        until
            is_final(current_state)
        end
    end
end
```

To describe an application



- Provide *transition* function
- Define *initial* state
- Define *is_final* function

Actions in a state



```
execute_state (current_state : INTEGER): INTEGER is
    -- Execute actions for current_state; return user's exit choice.
local
    answer : ANSWER
    good : BOOLEAN
    choice : INTEGER
do
    repeat
        display (current_state)
        [answer, choice] := read (current_state)
        good := correct (current_state, answer)
        if not good then message (current_state, answer) end
    until
        good
    end
    process (current_state, answer)
return
    choice
end
```

Specification of the remaining routines



- *display*(*s*) outputs the screen associated with state *s*.
- [*a*, *e*] := *read*(*s*) reads into *a* the user's answer to the display screen of state *s*, and into *e* the user's exit choice.
- *correct*(*s*, *a*) returns true if and only if *a* is a correct answer for the question asked in state *s*.
- If so, *process*(*s*, *a*) processes answer *a*.
- If not, *message*(*s*, *a*) outputs the relevant error message.

Going object-oriented: The law of inversion

How amenable is this solution to change and adaptation?

- New transition?
- New state?
- New application?

Routine signatures:

<i>execute_state</i>	(<i>state</i> : INTEGER): INTEGER
<i>display</i>	(<i>state</i> : INTEGER)
<i>read</i>	(<i>state</i> : INTEGER): [ANSWER, INTEGER]
<i>correct</i>	(<i>state</i> : INTEGER; <i>a</i> : ANSWER): BOOLEAN
<i>message</i>	(<i>state</i> : INTEGER; <i>a</i> : ANSWER)
<i>process</i>	(<i>state</i> : INTEGER; <i>a</i> : ANSWER)
<i>is_final</i>	(<i>state</i> : INTEGER)

Data transmission



All routines share the state as input argument. They must discriminate on it, e.g. :

```
display(current_state: INTEGER) is
do
    inspect current_state
    when state1 then
        ...
    when state2 then
        ...
    when staten then
        ...
end
```

Consequences:

- Long and complicated routines.
- Must know about one possibly complex application.
- To change one transition, or add a state, need to change all.

The flow of control



Underlying reason why structure is so inflexible:

Too much DATA TRANSMISSION.

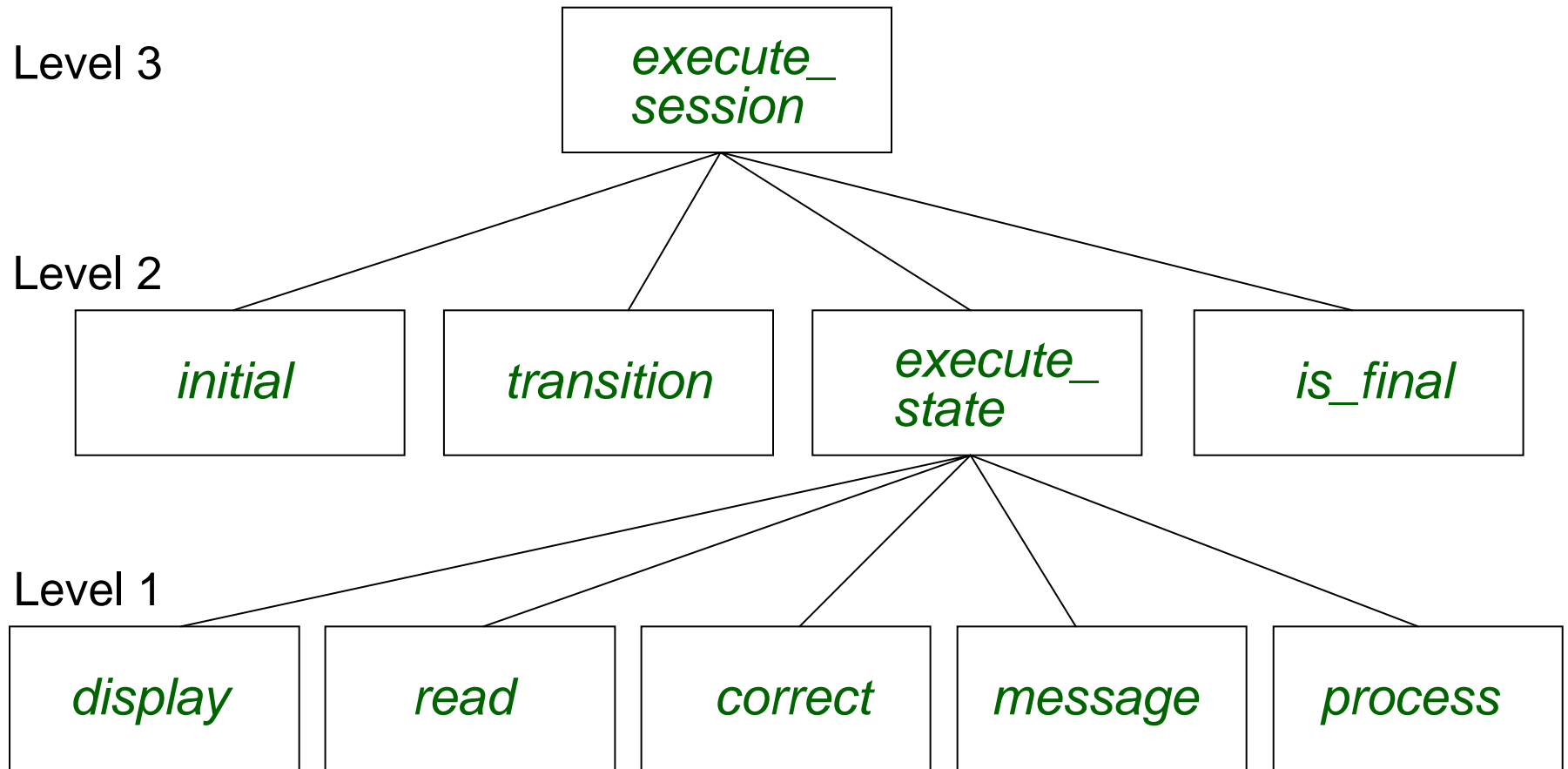
current_state is passed from *execute_session* (level 3) to all routines on level 2 and on to level 1

Worse: there's another implicit argument to all routines - application. Can't define

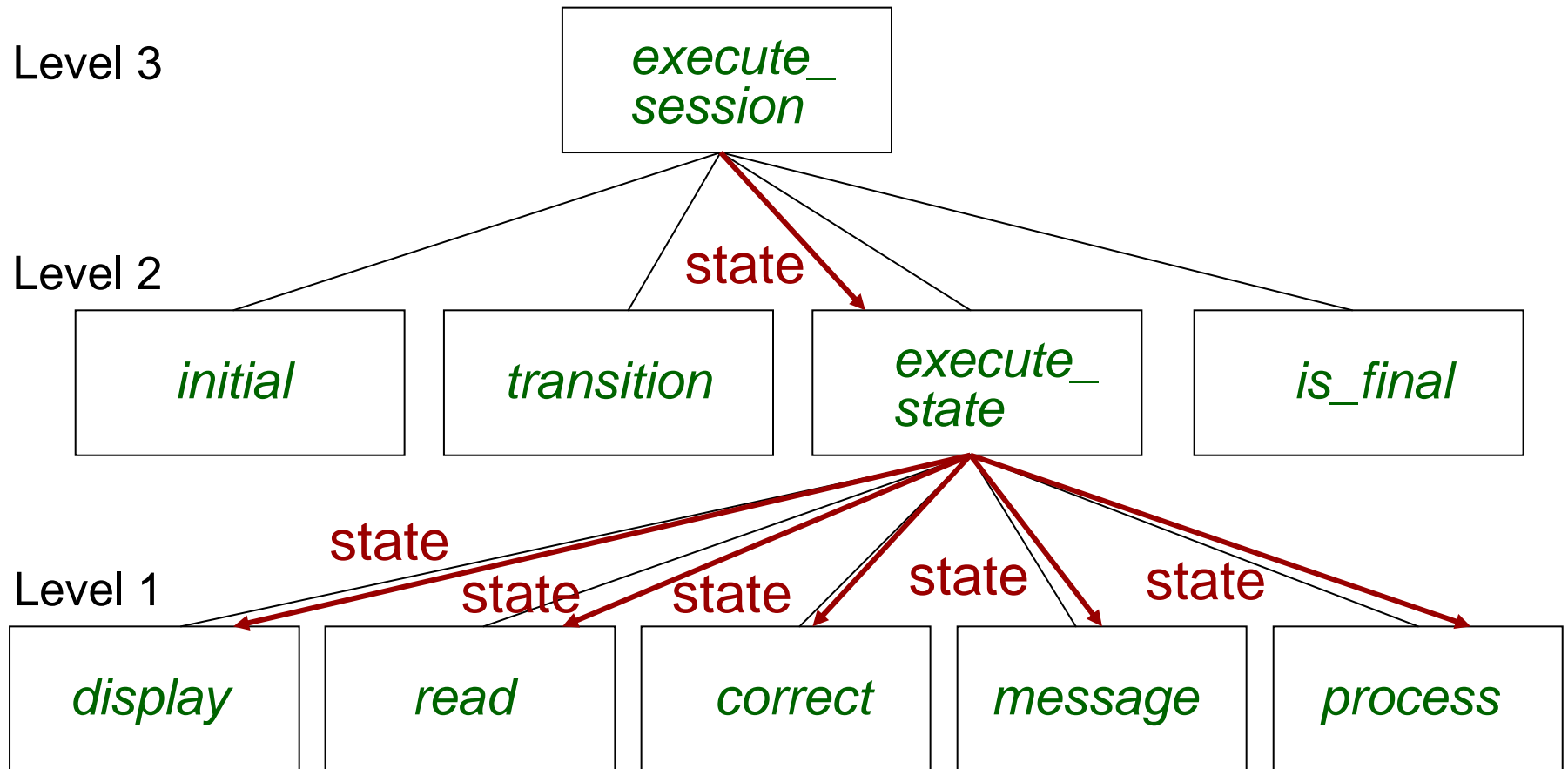
execute_session, display, execute_state, ...

as library components, since each must know about all interactive applications that may use it.

The visible architecture



The real story



The law of inversion



- If your routines exchange too much data, put your routines into your data.

In this example: the state is everywhere!

Going O-O

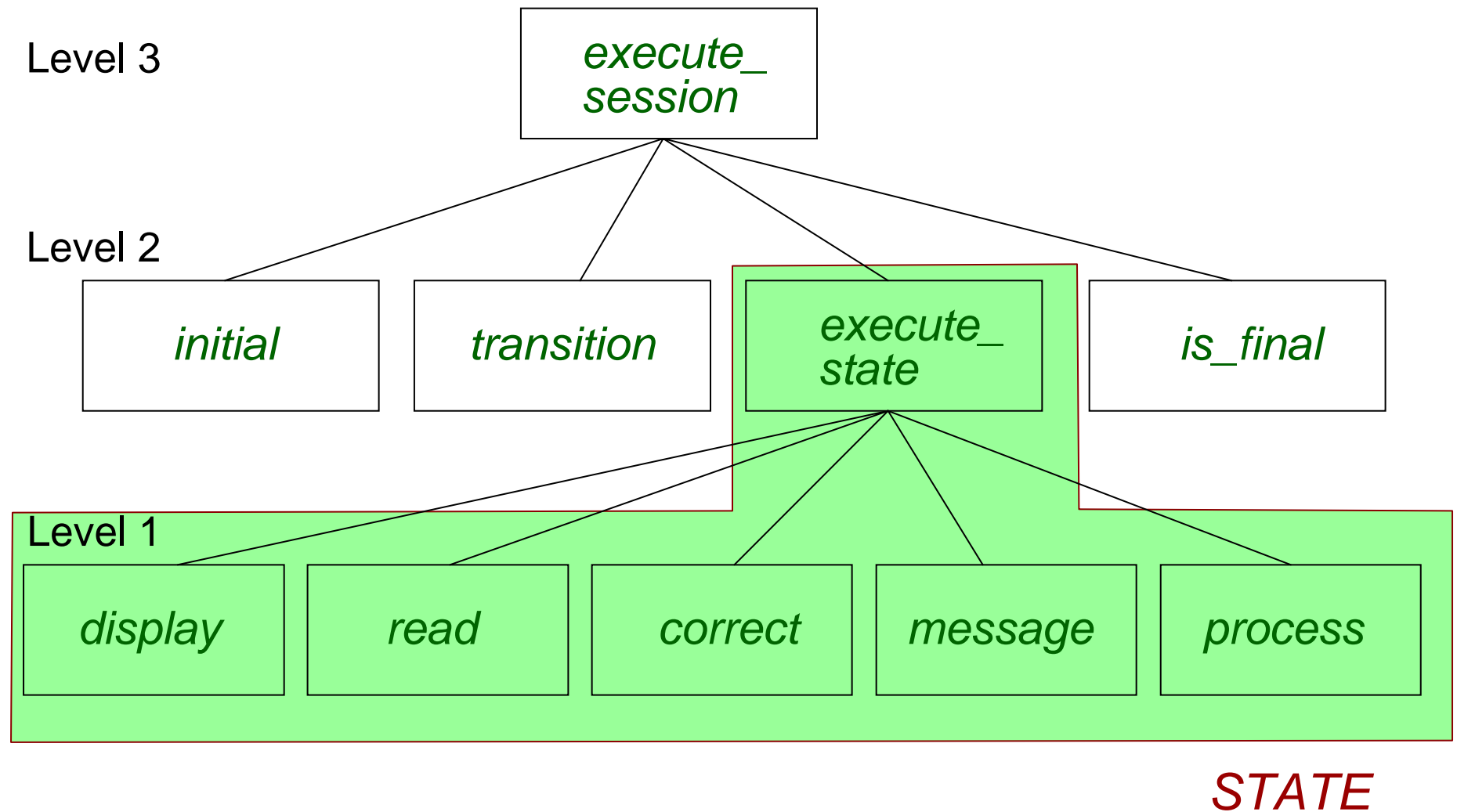


Use *STATE* as the basic **abstract data type** (and class).

Among features of every state:

- The routines of level 1 (deferred in class *STATE*)
- *execute_state*, as above but without the argument *current_state*

Grouping by data abstractions



Class *STATE*



deferred class

STATE

feature

choice: *INTEGER* -- User's selection for next step

input: *ANSWER* -- User's answer for this step

display is

-- Show screen for this step.

deferred

end

read is

-- Get user's answer and exit choice,
-- recording them into *input* and *choice*.

deferred

ensure

input /= *Void*

end

Class *STATE*



```
correct: BOOLEAN is
    -- Is input acceptable?
    deferred
end
```

```
message is
    -- Display message for erroneous input.
    require
        not correct
    deferred
end
```

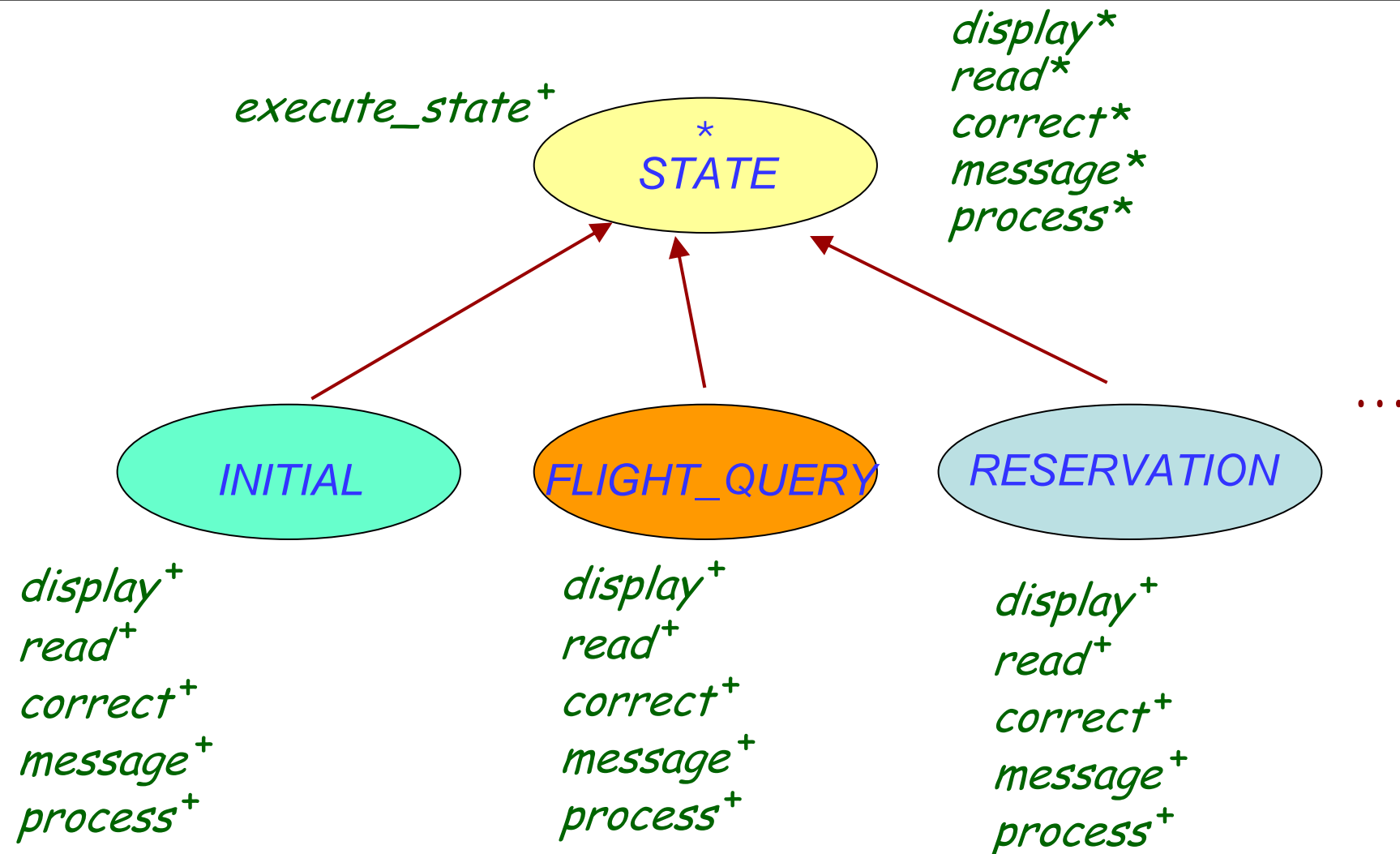
```
process is
    -- Process correct input.
    require
        correct
    deferred
end
```

Class *STATE*



```
execute_state is
  local
    good: BOOLEAN
  do
    from
    until
      good
    loop
      display
      read
      good := correct
      if not good then message end
    end
    process
    choice := input.choice
  end
end
```

Class structure



To describe a state of an application



Write a descendant of *STATE*:

```
class FLIGHT_QUERY inherit
  STATE
feature
  display is do ... end

  read is do ... end

  correct: BOOLEAN is do ... end

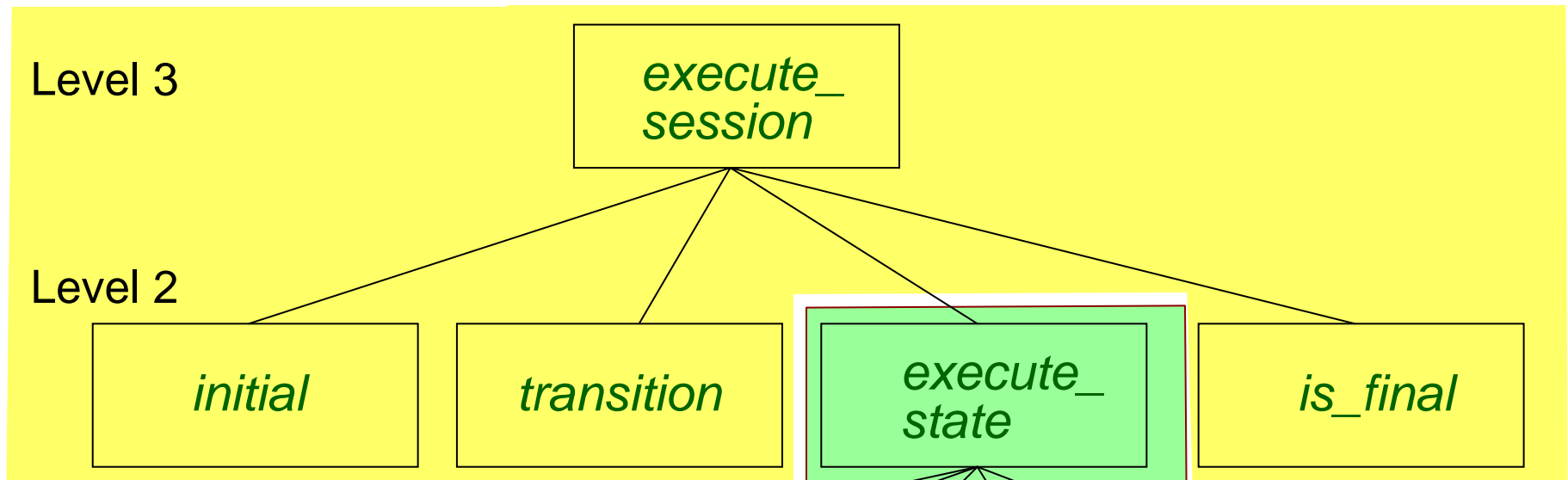
  message is do ... end

  process is do ... end
end
```

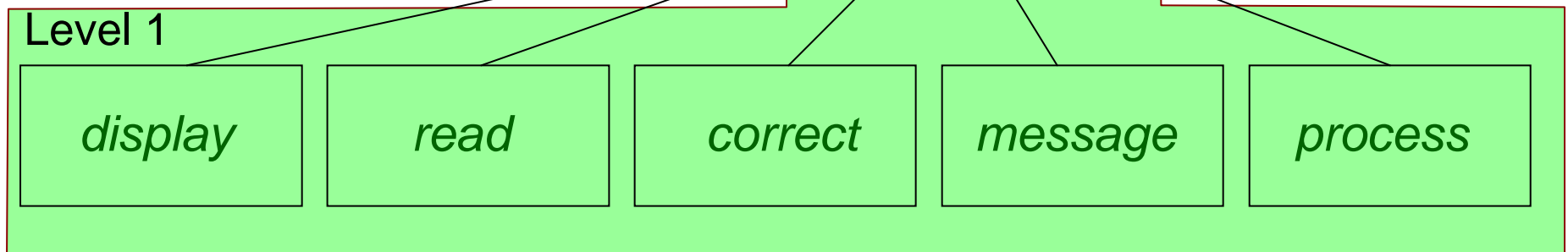
Rearranging the modules



APPLICATION



STATE



Describing a complete application



No "main program" but class representing a system.

Describe application by remaining features at levels 1 and 2:

- Function *transition*.
- State *initial*.
- Boolean function *is_final*.
- Procedure *execute_session*.

Implementation decisions



- Represent transition by an array *transition*: *n* rows (number of states), *m* columns (number of choices), given at creation
- States numbered from 1 to *n*; array *states* yields the state associated with each index

(Reverse not needed: why?)

- No deferred boolean function *is_final*, but convention: a transition to state 0 denotes termination.
- No such convention for initial state (too constraining). Attribute *initial_number*.

Describing an application



```
class
  APPLICATION
create
  make

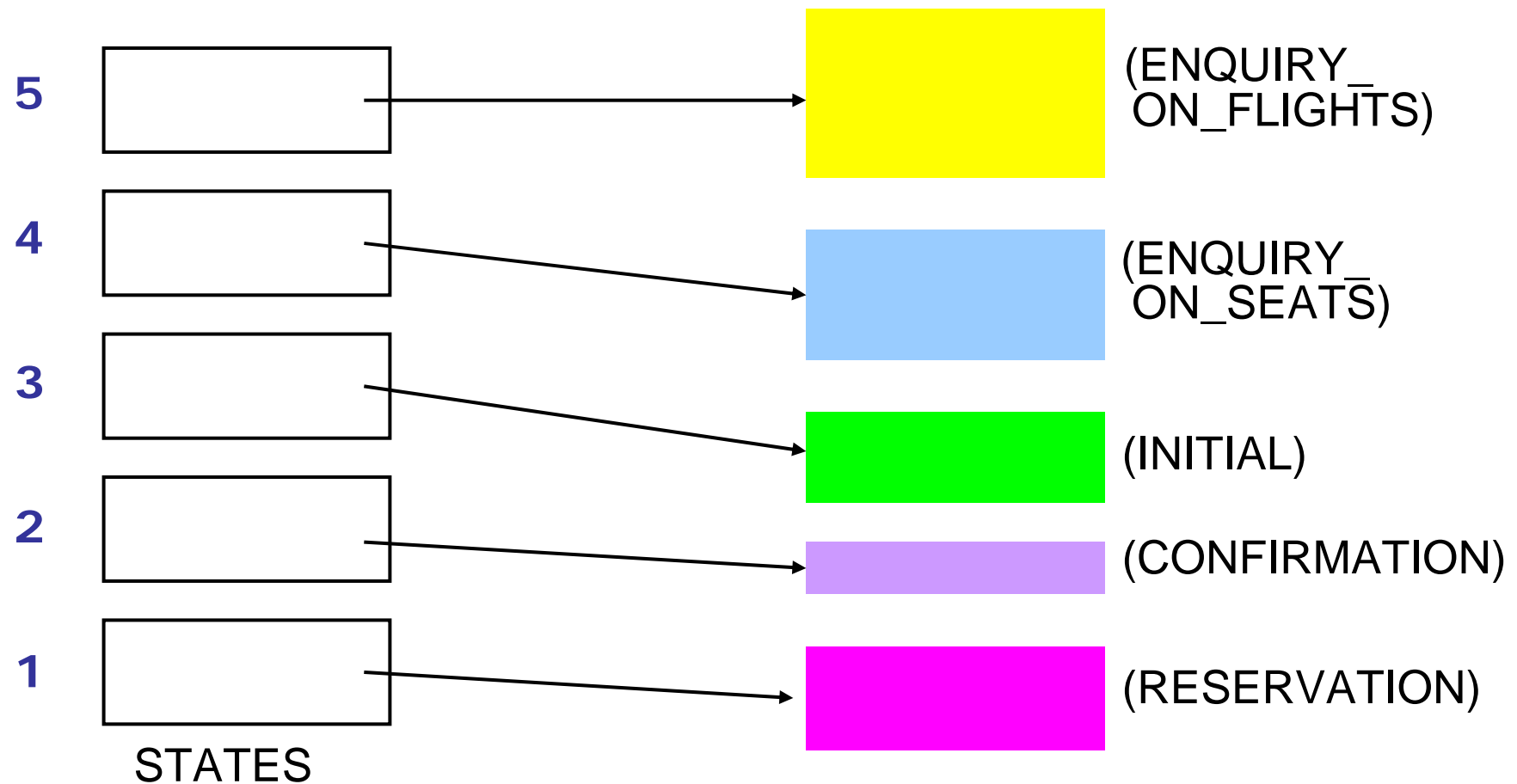
feature
  initial: INTEGER

  make (n, m: INTEGER) is
    -- Allocate with n states and m possible choices.
    do
      create transition.make (1, n, 1, m)
      create states.make (1, n)
    end

  feature {NONE} -- Representation of transition diagram
    transition: ARRAY2[STATE]
      -- State transitions

    states: ARRAY[STATE]
      -- State for each index
```

The array of states



A polymorphic data structure!

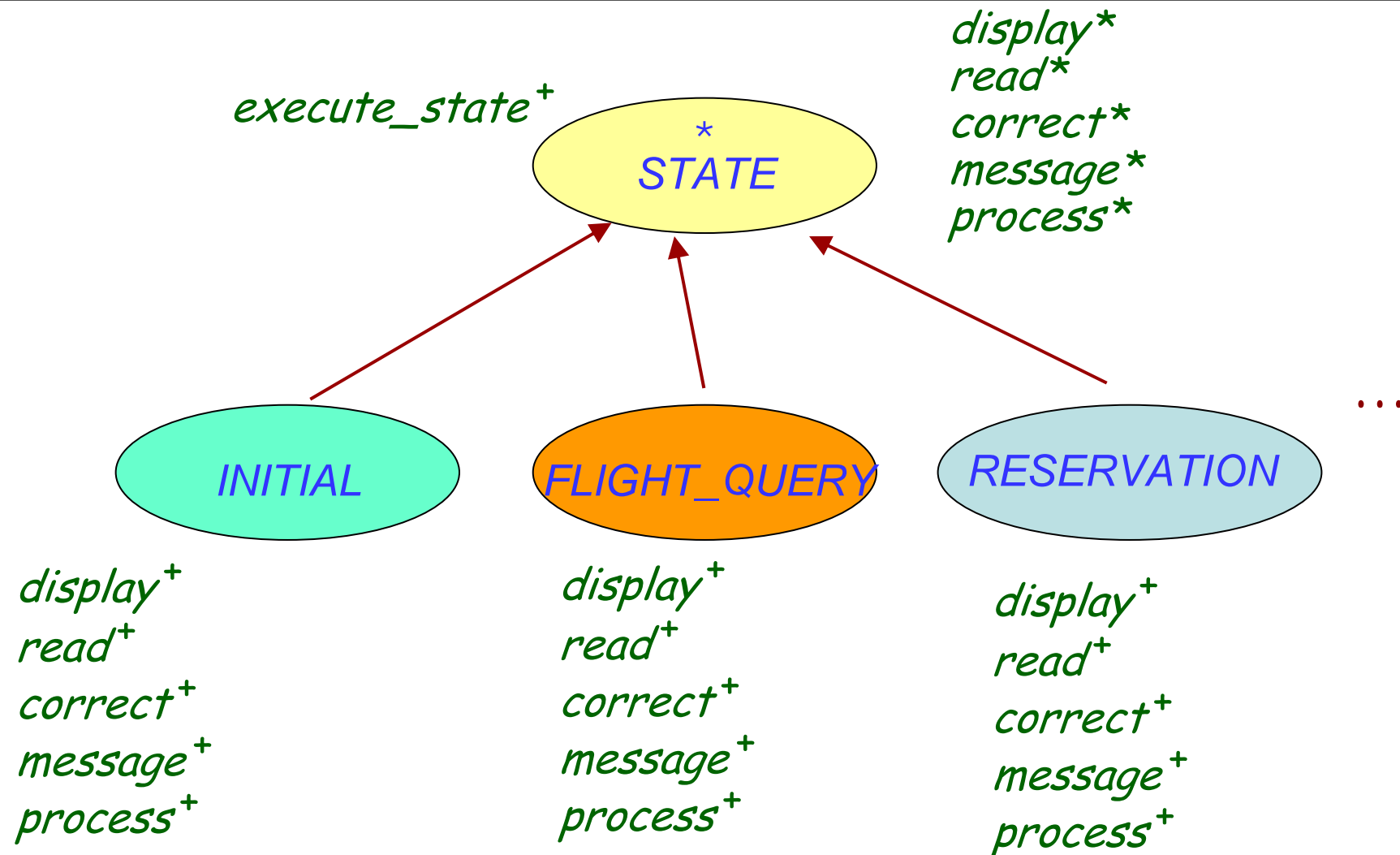
Executing a session



```
execute_session is
  -- Run one session of application
  local
    current_state : STATE           -- Polymorphic!
    index : INTEGER
  do
    from
      index := initial
    until
      index = 0
    loop
      current_state := states [index ]
      current_state.execute_state

      index := transition [index, current_state.choice]
    end
  end
end
```

Class structure



Other features of *APPLICATION*



```
put_state (s: STATE; number: INTEGER) is  
    -- Enter state s with index number.
```

```
    require  
        1 <= number  
  
    do  
        number <= states.upper  
    end  
    states.put (number, s)
```

```
choose_initial (number: INTEGER) is  
    -- Define state number number as the initial state.
```

```
    require  
        1 <= number  
        number <= states.upper  
  
    do  
        first_number := number  
    end
```

More features of *APPLICATION*



put_transition(*source*, *target*, *label*: *INTEGER*) is

-- Add transition labeled *label* from state
-- number *source* to state number *target*.

require

1 <= *source*; *source* <= *states.upper*

0 <= *target*; *target* <= *states.upper*

1 <= *label*; *label* <= *transition.upper2*

do

transition.put(*source*, *label*, *target*)

end

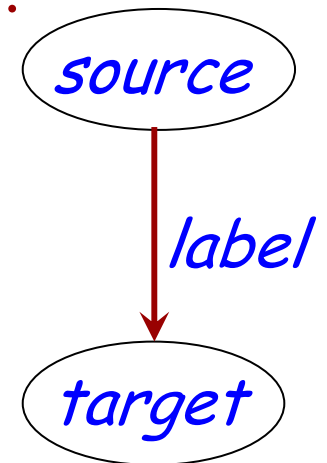
invariant

0 <= *st_number*

st_number <= *n*

transition.upper1 = *states.upper*

end



To build an application



Necessary states — instances of *STATE* — should be available.

Initialize application:

create a.make(state_count, choice_count)

Assign a number to every relevant state *s*:

a.put_state(s, n)

Choose initial state *n0*:

a.choose_initial(n0)

Enter transitions:

a.put_transition(sou, tar, lab)

May now run:

a.execute_session

Open architecture



During system evolution you may at any time:

- Add a new transition (*put_transition*).
- Add a new state (*put_state*).
- Delete a state (not shown, but easy to add).
- Change the actions performed in a given state
- ...

Note on the architecture



Procedure *execute_session* is not "the function of the system" but just one routine of *APPLICATION*.

Other uses of an application:

- Build and modify: add or delete state, transition, etc.
- Simulate, e.g. in batch (replaying a previous session's script), or on a line-oriented terminal.
- Collect statistics, a log, a script of an execution.
- Store into a file or data base, and retrieve.

Each such extension only requires incremental addition of routines. Doesn't affect structure of *APPLICATION* and clients.

The system is open



Key to openness: architecture based on types of the problem's objects (state, transition graph, application).

Basing it on "the" apparent purpose of the system would have closed it for evolution.

Real systems have no top

Object-Oriented Design



It's all about finding the right data abstractions