

TLEN7000/ECEN7002: Analytical Foundations of Networks

Random Access Games and  
Medium Access Control Design

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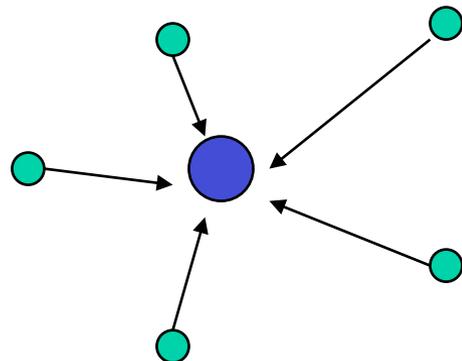
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# Agenda

- Contention-based medium access control (contention control)
- A game theoretic approach to contention control

# Medium access control (MAC)

- ❑ Wireless channel is shared medium and interference-limited
- ❑ Medium access control: coordinate channel access
  - ❑ Reduce/avoid interference/collision
  - ❑ Efficient utilization of wireless spectrum
  - ❑ Quality of Service control



a multiple access network

# Two kinds of methods

## ❑ Schedule-based

- ❑ Establish transmission schedules *a priori* or dynamically
- ❑ Usually requires centralized implementation
- ❑ High complexity, not practical in real networks

## ❑ Contention-based

- ❑ Wireless nodes contend for the channel
- ❑ Simple, distributed implementation
- ❑ High statistical multiplexing gain
- ❑ Aloha, CSMA/CA, 802.11 DCF, ...

# Aloha

- ❑ Very simple: if a node has a packet to send, it just transmits
- ❑ Listen for an amount of time
  - ❑ If an ACK is received, done.
  - ❑ Otherwise, resend the packet
- ❑ Low-delay in light-load scenarios
- ❑ Low channel utilization ( $\leq 18\%$ )
  - ❑ Collision window is equal to transmission time (TT) plus propagation delay (PD)



# Slotted Aloha

- ❑ Time is slotted
  - ❑ slot duration is equal to transmission time plus maximum propagation delay
- ❑ Begin transmission at the slot boundaries
- ❑ Higher channel utilization ( $\leq 1/e$ )
  - ❑ Collision window is a point -- the slot boundary

# Carrier Sensing multiple access (CAMA)

- ❑ Infer channel state through carrier sensing
  - ❑ Sense carrier before transmission
  - ❑ If idle, transmit the whole packet
  - ❑ Wait for ACK
- ❑ Higher channel utilization
  - ❑ Collision window is equal to maximum propagation delay
- ❑ When finding a busy channel
  - ❑ Non-persistent: sense the channel again after a random amount of time; if idle, send immediately
  - ❑ P-persistent: sense continuously; if idle, send with probability  $p$

# Contention/collision resolution

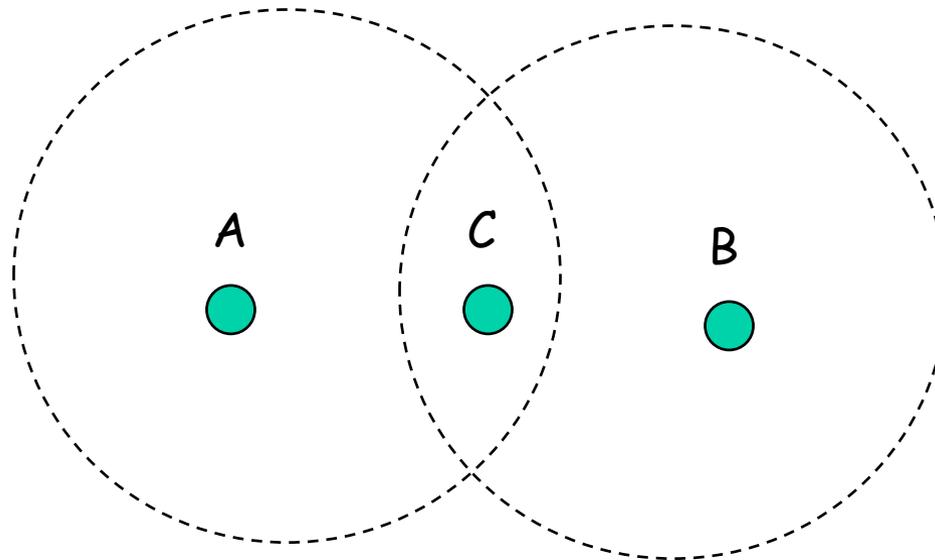
- ❑ What to do upon a collision
  - ❑ If the colliding nodes transmit immediately when the channel is idle after a collision, another collision is guaranteed
- ❑ Two collision resolution mechanisms
  - ❑ Persistence: transmit with a probability  $p$
  - ❑ Backoff: wait for a random amount of time bounded by CW before retransmission
- ❑ **Contention resolution algorithm** (i.e., how to decide  $p$  and CW values dynamically in response to contention) is the key

# CSMA/CD

- ❑ Collision detection (CD): immediately stop the transmission when sensing a collision
  - ❑ Detect at the senders
  - ❑ Not wait for an ACK
- ❑ Contention resolution: Binary exponential backoff
  - ❑ Wait a random amount of time bounded by CW before retransmission
  - ❑ Double CW upon every collision
  - ❑ Packet collision is the **feedback signal**
- ❑ Invented for Ethernet

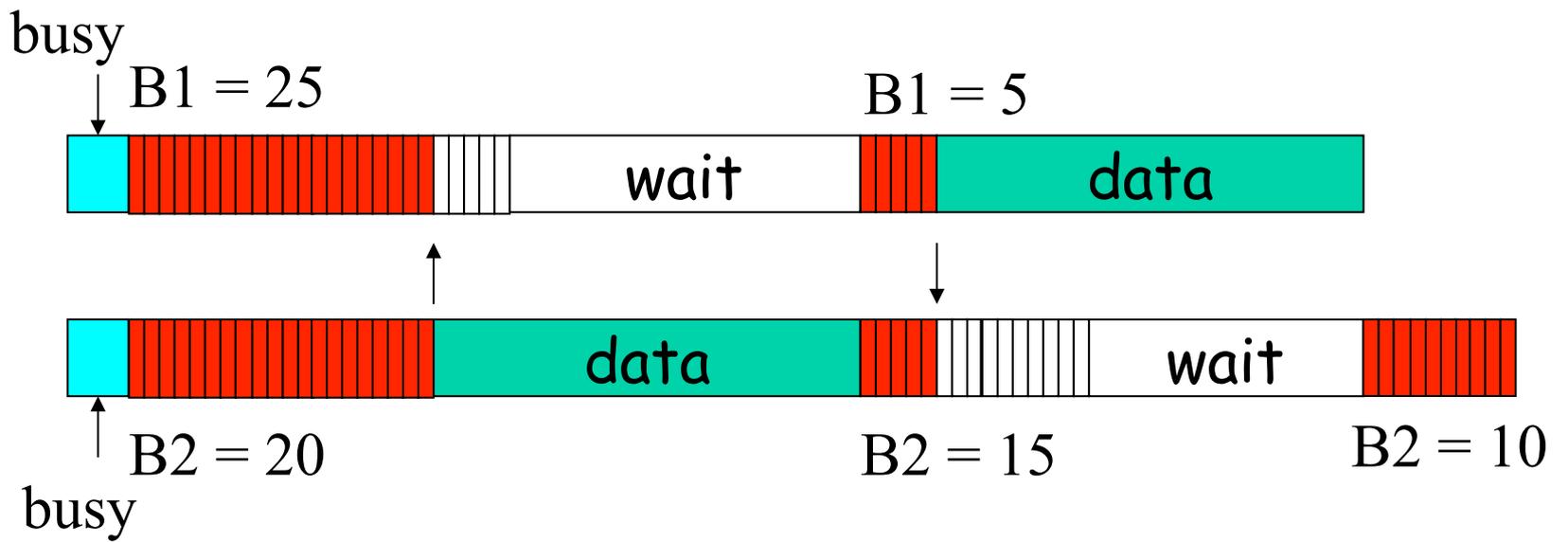
# CSMA/CA

- Why collision avoidance (CA)?
  - CD is difficult in wireless networks: sender cannot effectively distinguish incoming weak signals from noise and the effects of its own transmission
  - Hidden terminal problem



# Approaches for CA

- ❑ Randomized “backoff”
  - ❑ Slotted contention period
  - ❑ Operation
    - Each node selects a random backoff number
    - Waits that number of slots while sensing the channel
    - If channel stays idle and reaches zero then transmit
    - If channel becomes active wait until transmission is over then resumes backoff counter again



CW=32

# Wireless 802.11 DCF (basic)

- ❑ DCF stands for distributed coordination function
- ❑ A CSMA/CA medium access protocol
  - ❑ CSMA: sense before transmission
  - ❑ CA: random backoff to reduce collision probability
    - when transmitting a packet, choose a backoff interval in the range  $[0, CW-1]$
  - ❑ Count down the backoff interval when medium is idle
    - count-down is suspended if medium becomes busy
  - ❑ Transmit when backoff interval reaches 0

- ❑ Contention resolution: contention window CW is adapted dynamically depending on collision occurrence
  - ❑ binary exponential backoff: double CW upon every collision
  - ❑ Set to base value (CW=32) after a successful transmission
  - ❑ Packet collision is the **feedback signal**

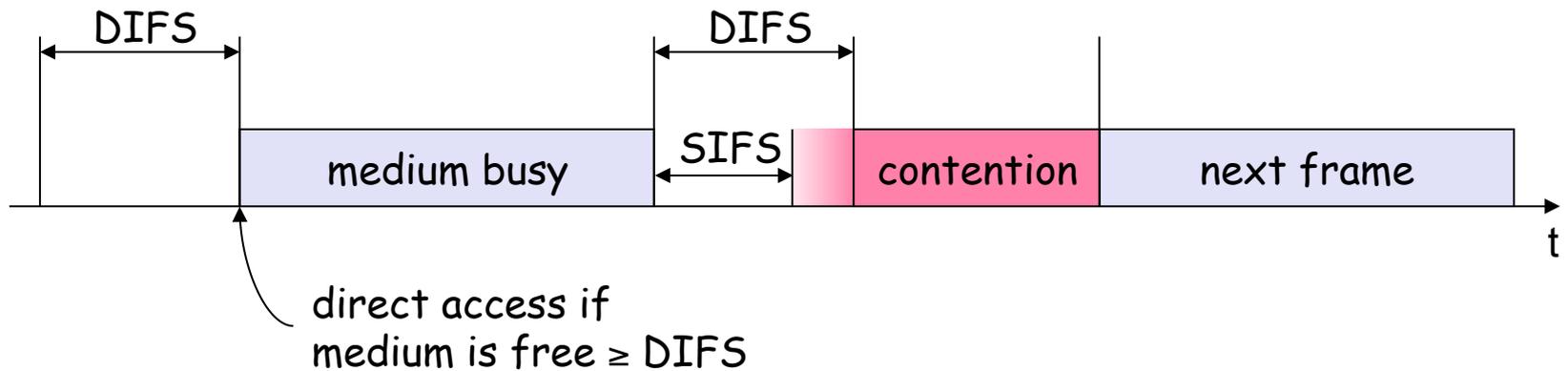
## ❑ Slotted system: Inter Frame Spacing

### ❑ SIFS (Short Inter Frame Spacing)

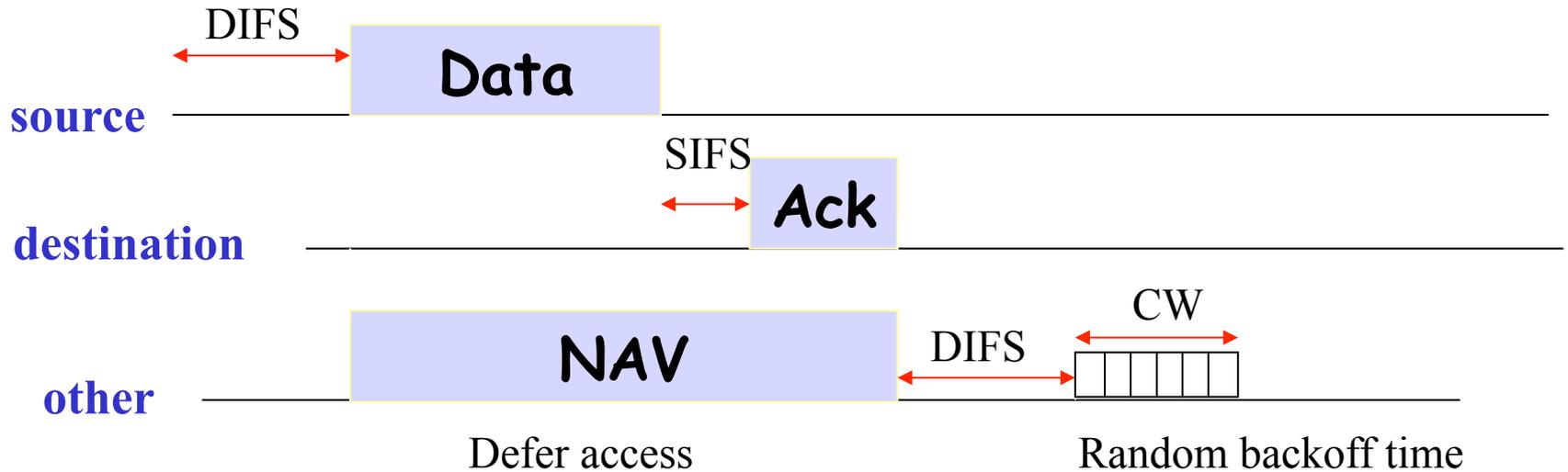
- highest priority, for ACK, CTS

### ❑ DIFS (Distributed Coordination Function IFS)

- lowest priority, for asynchronous data service



# DCF basic access method



# Agenda

- Contention-based medium access control  
(contention control)
- A game theoretic approach to contention control

# Contention-based MAC (contention control)

- ❑ Medium access control (MAC): coordinate channel access
  - ❑ avoid collision
  - ❑ efficient utilization of wireless spectrum
  - ❑ Quality of Service control
- ❑ Contention resolution mechanisms
  - ❑ persistence: transmit with a probability  $p$
  - ❑ backoff: wait a random amount of time bounded by contention window  $CW$  before transmission
- ❑ **Contention resolution algorithm** is the key
  - ❑ i.e., decide  $p$  or  $CW$  value in response to network contention

# Wireless 802.11 distributed coordination function (DCF)

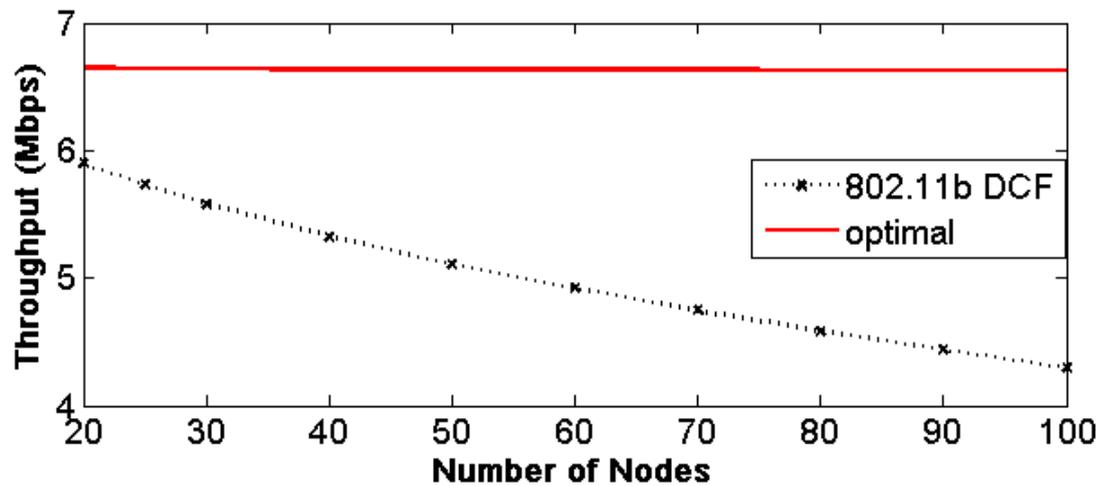
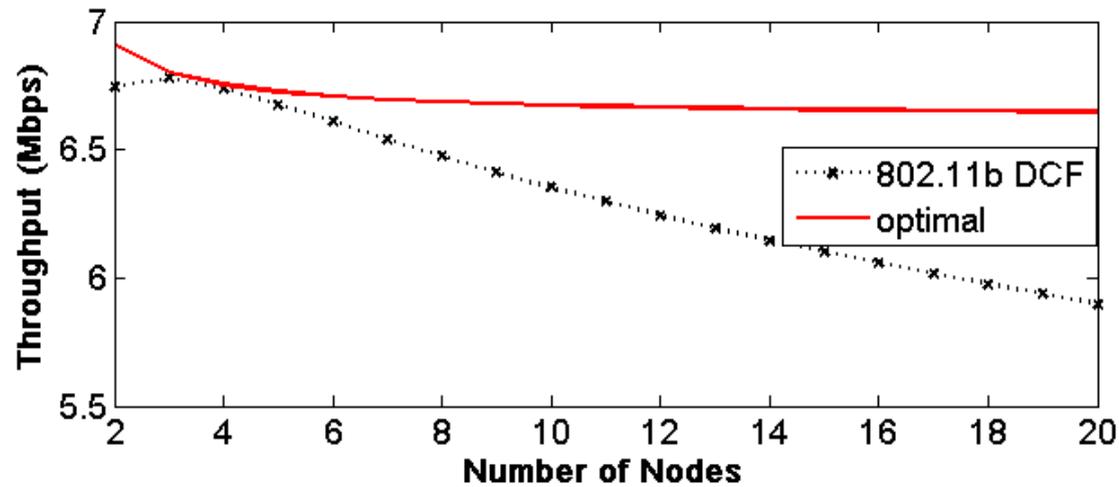
- Contention resolution algorithm: Binary exponential backoff

$$CW \leftarrow 2CW, \quad \text{if (collision)}$$

$$CW \leftarrow CW_0, \quad \text{if (successful transmission)}$$

- respond to a binary **feedback signal** - packet collision
- Performance problems
  - excessive collision and low throughput
  - poor short-term fairness
  - cannot distinguish packet collision from corrupted frame

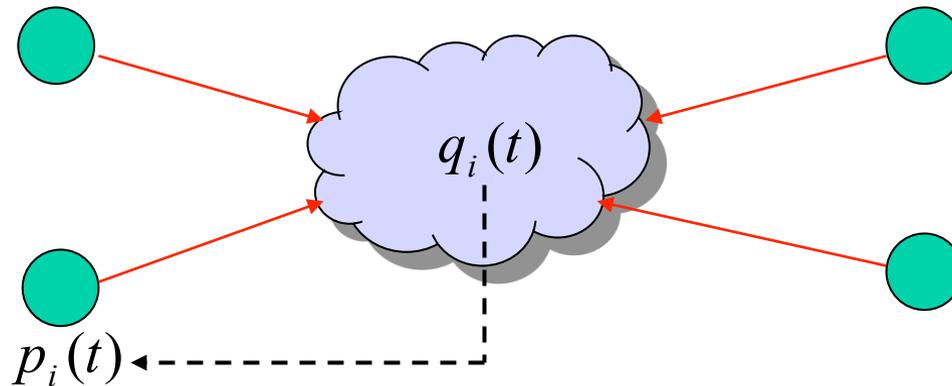
# DCF throughput



# Better design

- ❑ Many works exist
  - ❑ mostly based on intuition and heuristics and evaluated by simulation
  - ❑ optimal design, but with sophisticated methods to estimate the number of contending nodes
- ❑ Our “theory-based” approach
  - ❑ **reverse engineering**: see what mathematical problem contention control implicitly solves
  - ❑ **forward engineering**: understand and engineer the underlying problem to derive the design in a formal and structured way

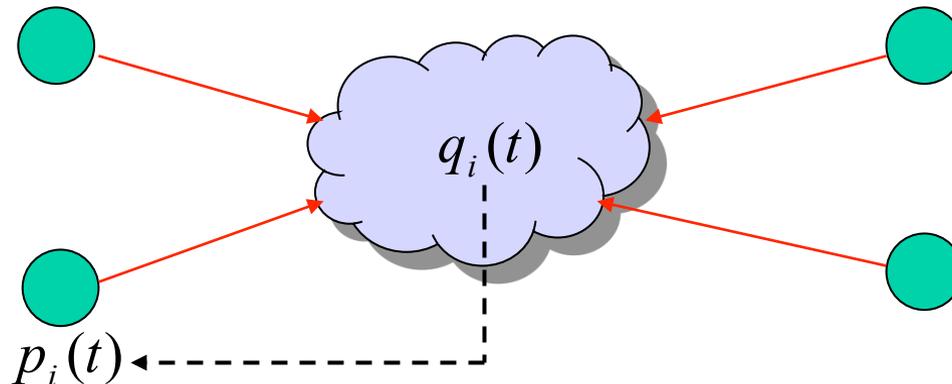
# Contention control: dynamical model



## □ Two components

- **contention resolution algorithm:** adjusts channel access probability in response to contention
  - e.g., DCF uses binary exponential backoff
- **feedback mechanism:** updates a contention measure and sends it back to wireless nodes
  - e.g., DCF uses a binary contention measure - packet collision

# Contention control: dynamical model



## □ Dynamical model

$$p_i(t+1) = \mathcal{F}_i(p_i(t), q_i(t))$$

$$q_i(t+1) = \mathcal{G}_i(p(t))$$

- the exact form of  $\mathcal{F}_i$  and  $\mathcal{G}_i$  are determined by or can be designed for the specific MAC protocol
- Present a game-theoretic model to understand the above dynamical system and use it to design new protocols

# Random access game

$$p_i(t+1) = F_i(p_i(t), q_i(t))$$

$$q_i(t+1) = G_i(p(t))$$

↓ fixed point

$$p_i = F_i(p_i, q_i)$$



$$q_i = F_i(p_i)$$



$$U_i(p_i) = \int F_i(p_i) dp_i$$

- determined by the contention resolution algorithm
- usually continuous, increasing, and concave

# Random access game

**Definition** (Chen et al '06; '10): A random access game is defined as a quadruple

$$\mathbf{G} := \{N, (S_i)_{i \in N}, (u_i)_{i \in N}, (q_i)_{i \in N}\}$$

- $N$  is a set of players (wireless nodes)
- strategy  $S_i := \{p_i \mid p_i \in [v_i, w_i]\}$  with  $0 \leq v_i \leq w_i < 1$
- payoff function  $u_i(p) := U_i(p_i) - p_i q_i(p)$  with certain contention measure  $q_i = G_i(p)$

# Random access game

Contention control can be seen as a distributed strategy update algorithm solving the random access game

- the steady state properties can be understood and designed through the specification of  $U_i$  and  $q_i$ 
  - conditional collision probability  $q_i(p) = 1 - \prod_{j \neq i} (1 - p_j)$  as contention measure
- the adaptation of channel access probability can be specified through  $(\mathcal{F}_i, \mathcal{G}_j)$ , corresponding to different strategies to approach the equilibrium

- Conditional collision probability as contention measure

$$q_i(p) = 1 - \prod_{j \neq I_i} (1 - p_j)$$

- Assumptions (single cell wireless LANs):

- A0:  $U_i(\cdot)$  is continuously differentiable, strictly concave, and with bounded curvature away from zero, i.e.,

$$1/\mu \geq -1/U_i''(p_i) \geq 1/\lambda > 0$$

- A1: let  $\gamma(p) = \prod_i (1 - p_i)$  and denote the smallest eigenvalue of  $\nabla^2 \gamma(p)$  by  $\nu_{\min}^i$ . Then,  $\mu + \nu_{\min}^i > 0$ .
  - A2: functions  $\Gamma_i(p_i) = (1 - p_i)(1 - U_i'(p_i))$  are all strictly increasing or all strictly decreasing

# Equilibrium

**Theorem**: The random access game has a unique Nash equilibrium (NE).

- a channel access probability  $p^*$  is a Nash equilibrium of random access game, if

$$u_i(p_i^*, p_{-i}^*) \geq u_i(p_i, p_{-i}^*), \quad \forall p_i \in S_i, \quad \forall i \in N.$$

- Proof: Equilibrium condition

$$(U'_i(p_i^*) - q_i(p^*))(p_i - p_i^*) \leq 0, \quad \forall p_i \in S_i$$

is optimality condition for a strictly convex optimization

# Symmetric equilibrium

**Definition**: A NE  $p^*$  is said to be symmetric if  $p_i^* = p_j^*$  for wireless nodes  $i, j$  in the same class, and an asymmetric equilibrium otherwise.

**Theorem** (CLD '06; CLD '10): The random access game has a unique and symmetric NE.

Implications:

- guarantees fair sharing of wireless channel among the same class of wireless nodes
- provides service differentiation among different classes of wireless nodes

# Dynamics (learning algorithms)

- ❑ Studies how interacting players (wireless node) could converge to a NE
- ❑ In setting of random access
  - ❑ players (wireless nodes) can observe outcome of others' actions (i.e., to sense the carrier)
  - ❑ players do not have direct knowledge of other players' actions or payoffs
- ❑ Consider repeated play of the random access game, and look for distributed strategy update mechanism to achieve NE

# Gradient play

$$p_i(t+1) = [p_i(t) + \varepsilon_i (U'_i(p_i(t)) - q_i(p(t)))]^{s_i}$$

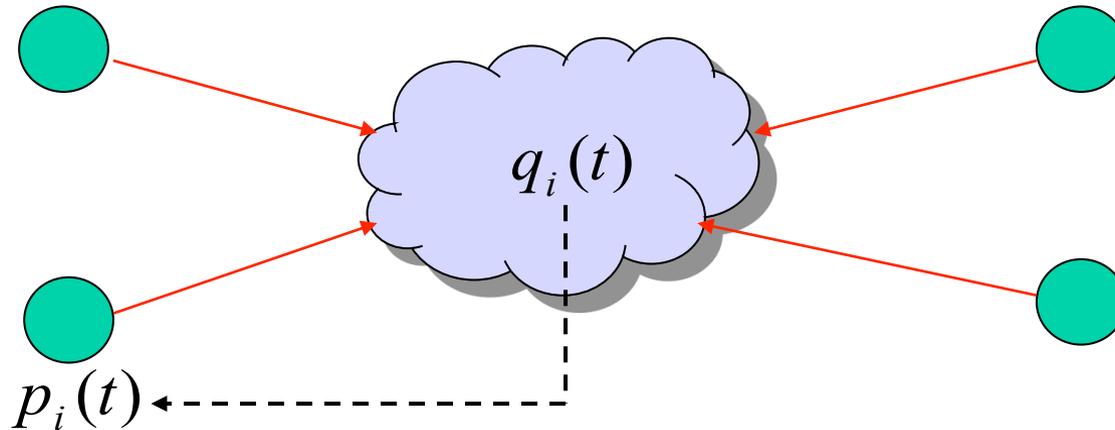
Theorem (CLD '06; CLD '10): The gradient play converges to the unique NE if stepsize  $\varepsilon_i < \frac{2}{\lambda_+ + |N| - 1}$  for any  $i \in N$ .

- proof by Lyapunov method.
- also studied its robust verification to estimation error (CLD '10)
- extensions to multi-cell networks (CLD '10)

# MAC design

- Design MAC according to distributed strategy update algorithm to achieve the equilibrium of random access game
  - by appropriately choosing utility function and contention measure, we can achieve different performance objectives
  - can choose to implement different converging algorithms to the same equilibrium
    - same equilibrium property but different dynamical properties

# Medium access method via gradient play



each wireless node estimates its conditional collision probability and updates its channel access probability according to the gradient play

- by appropriately choosing utility functions, we can achieve different performance objectives
- conditional collision probability can be estimated by sensing idle periods

# Medium access method via gradient play

```
After each transmission
{
  /* Wireless node observes  $n$  idle slots before a transmission*/
   $isum \leftarrow isum + n$ 
   $ntrans \leftarrow ntrans + 1$ 
  if (  $ntrans \geq maxtrans$  ) {
    /*compute the estimator*/
     $\bar{n} \leftarrow \beta \bar{n} + (1 - \beta) isum / ntrans$ 
     $q_i \leftarrow (1 - (\bar{n} + 1) p_i) / ((\bar{n} + 1)(1 - p_i))$ 
    /*update access probability*/
     $p_i \leftarrow p_i + \varepsilon_i (U'_i(p_i) - q_i)$ 
    /*update contention window*/
     $cw_i \leftarrow (2 - p_i) / p_i$ 
    /*reset variables*/
     $isum \leftarrow 0$ 
     $ntrans \leftarrow 0$ 
  }
}
```

- Adapt to continuous feedback signal
- Equation-based control

# A concrete MAC design

- Consider a single-cell network with  $L$  classes of users
- Each class  $l$  associated with a weight  $\phi_l$
- Want to achieve maximal throughput under the weighted fairness constraint

$$\frac{T_l}{T_m} = \frac{\phi_l}{\phi_m}, 1 \leq l, m \leq L.$$

# Utility design

- Let  $\xi = \sum_i p_i$ , under the assumption of Poisson arrival, the throughput achieves maximum at  $\xi^*$  that satisfies

$$(1 - \xi^*)e^{\xi^*} = 1 - \sigma / T_c$$

- $\sigma$  the duration of idle slot,  $T_c$  the duration of a collision
- Under the decoupling approximation, to achieve weighted fairness requires

$$\frac{p_l}{p_m} = \frac{\phi_l}{\phi_m}, 1 \leq l, m \leq L.$$

- Utility function

$$U_l(p_l) = \left(1 + \frac{e^{-\xi^*}}{\phi_l}\right) p_l + e^{-\xi^*} \left(1 + \frac{1}{\phi_l}\right) \ln(1 - p_l)$$

$$p_l \in [0, w]$$

# Equilibrium and dynamics

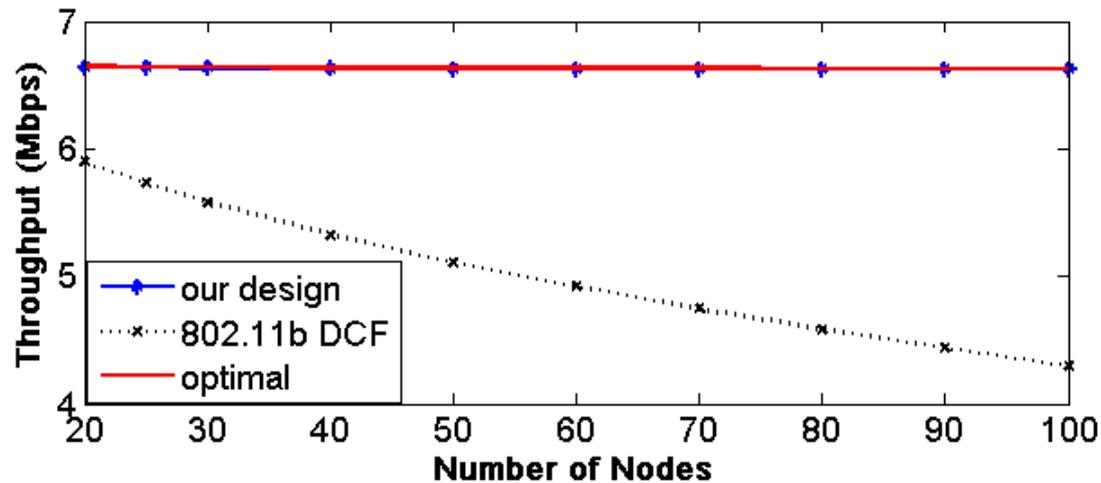
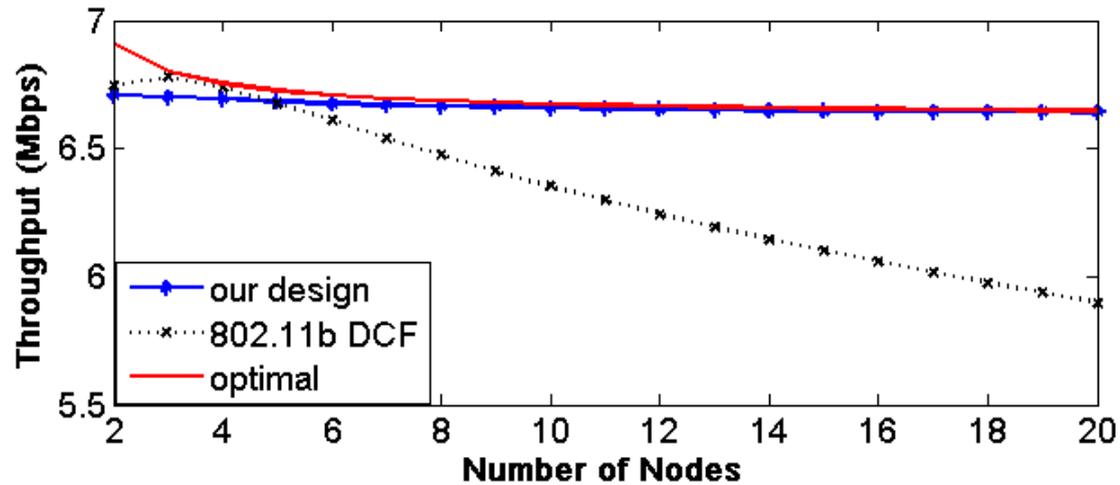
**Theorem** (Chen et al '10): Suppose

$$\frac{1 - e^{-\xi}}{1 + e^{-\xi} / \phi_{\max}} \leq w < 1 - \frac{e^{\xi}}{1 + 1 / \phi_{\max}}.$$

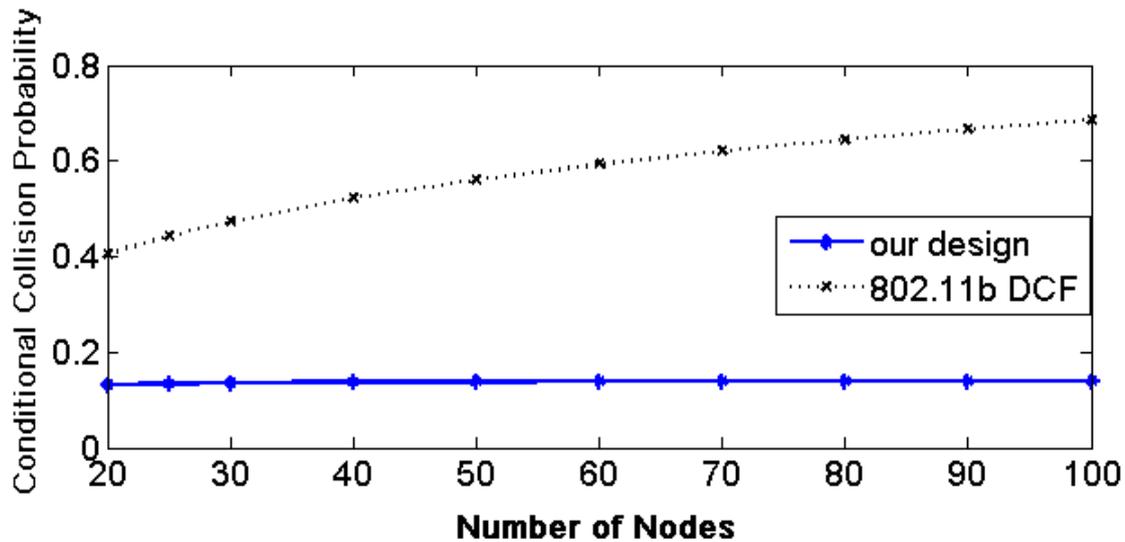
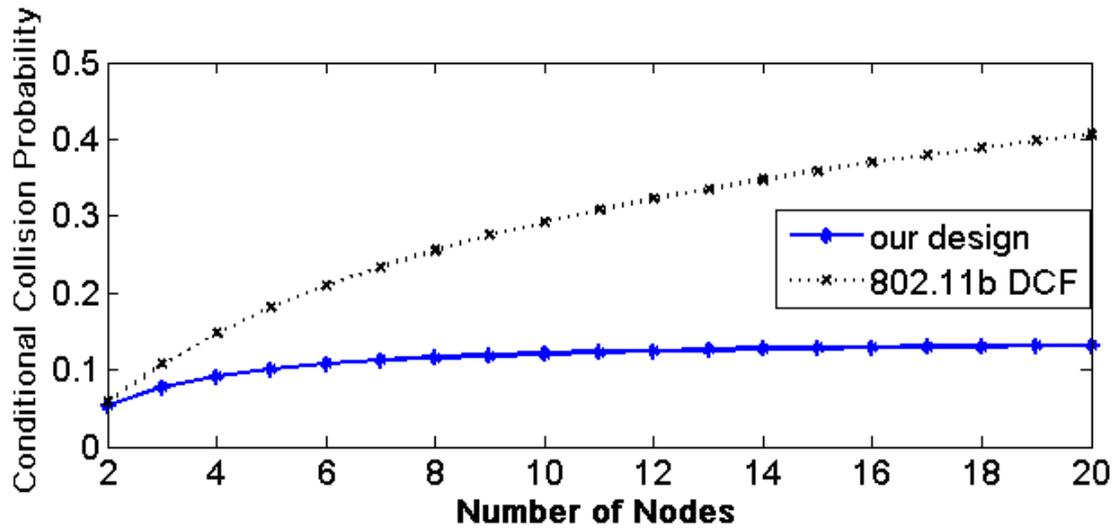
The random access game has a unique and symmetric NE, and the gradient play converges.

- allows a very large design space

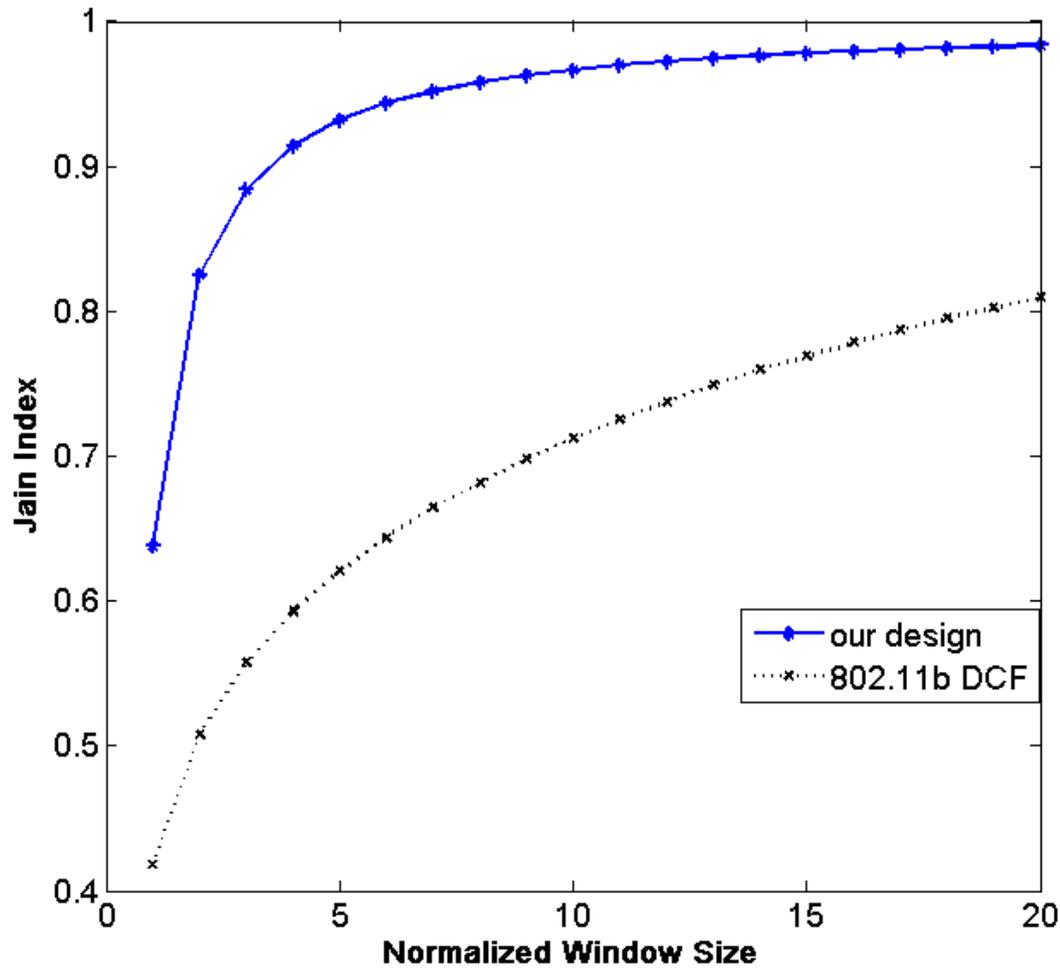
# Performance: throughput



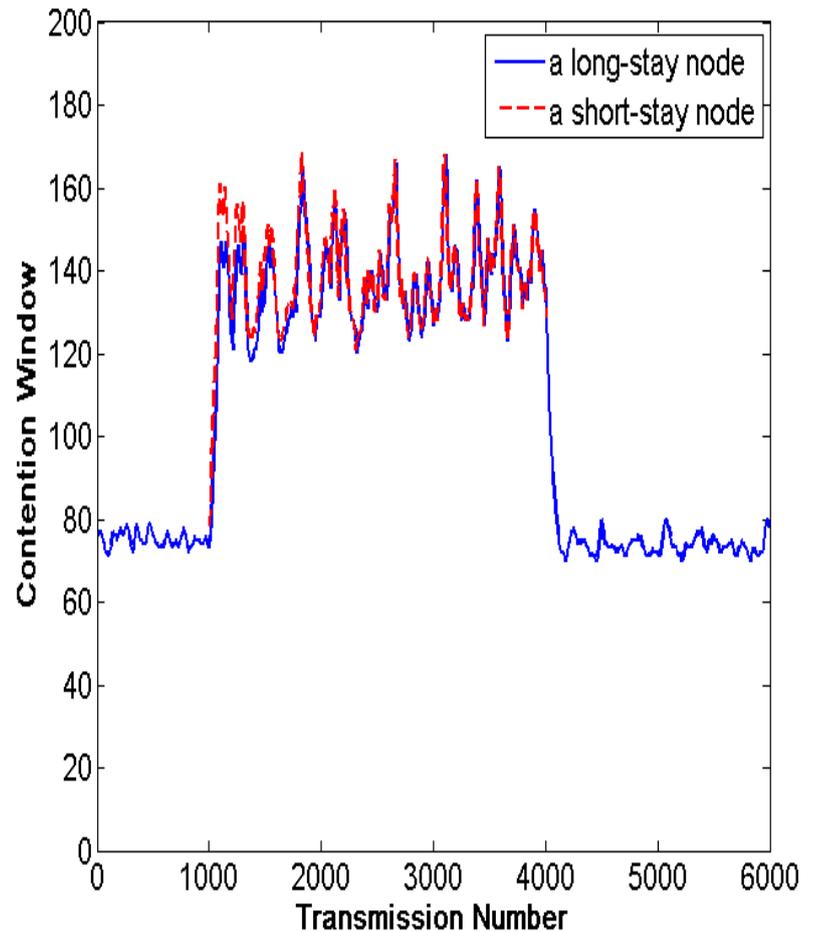
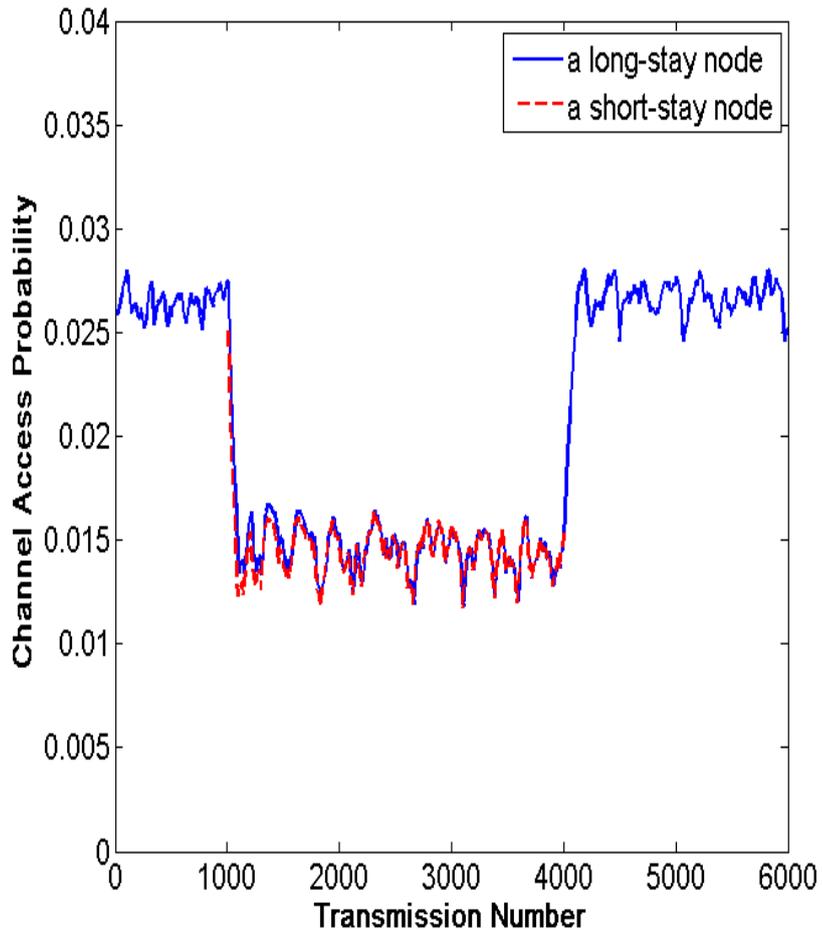
# Performance: collision



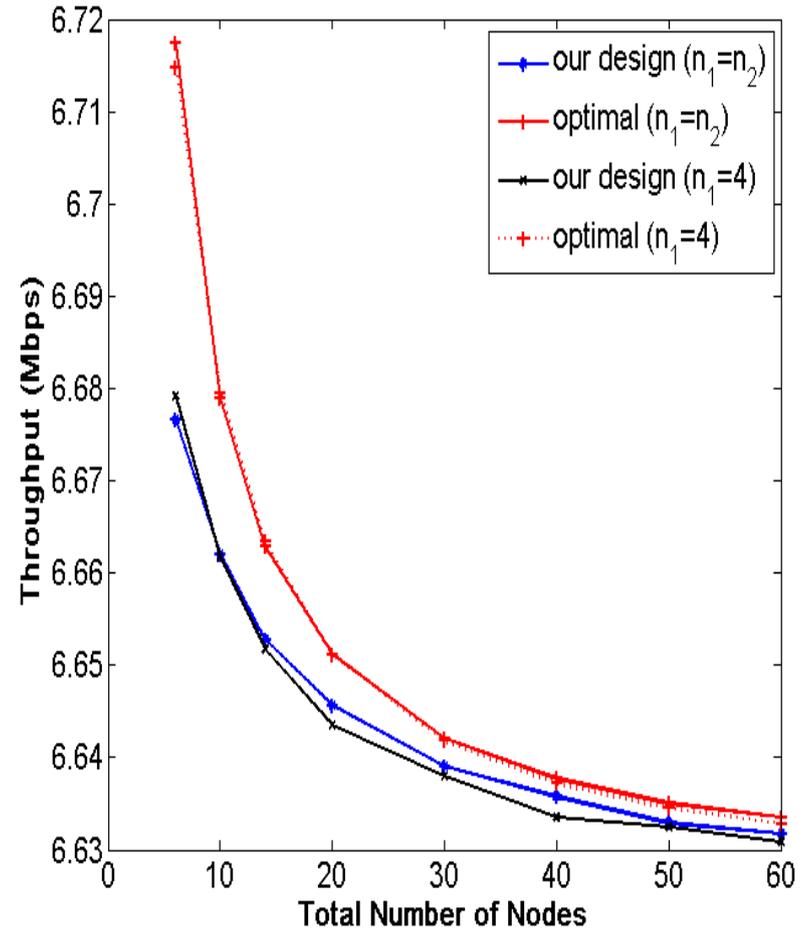
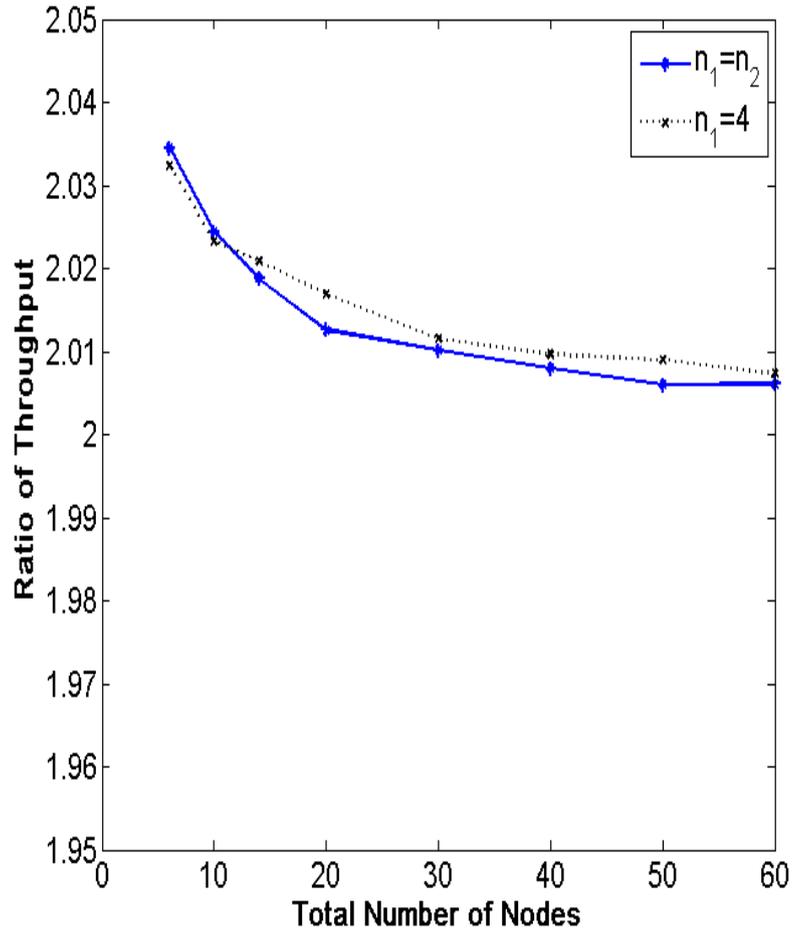
# Performance: short-term fairness



# Performance: dynamic scenario



# Performance: service differentiation



# Game theory based decomposition

