

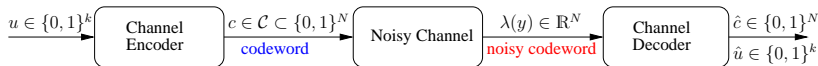
# Linear-Programming Decoding of Tanner Codes with Local-Optimality Certificates

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# Communication Over a Noisy Channel



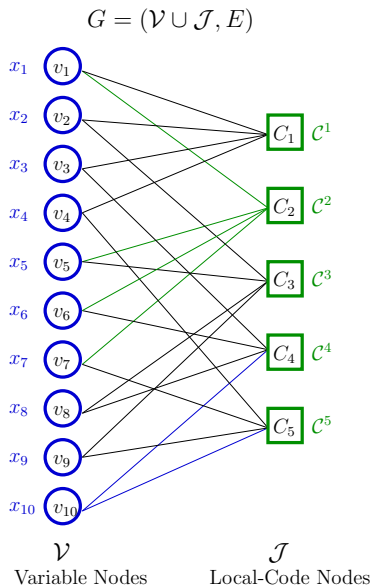
- **MBIOS channel:** memoryless, binary-input, output-symmetric
- **Log-Likelihood-Ratio (LLR):**

$$\lambda_i(y_i) \triangleq \ln \left( \frac{\Pr(y_i | c_i = 0)}{\Pr(y_i | c_i = 1)} \right)$$

- **Linear Code:**  $\mathcal{C} \subseteq \{0, 1\}^N$  is subspace of  $\mathbb{F}_2^N$  of dimension  $k$ .
- **Optimal decoding:** Maximum Likelihood decoding. Input:  $y$ . Output:  $\text{ML}(y)$ .

$$\begin{aligned} \text{ML}(y) &\triangleq \arg \max_{x \in \mathcal{C}} \Pr\{y | c = x\} \\ &= \arg \min_{x \in \mathcal{C}} \langle \lambda(y), x \rangle \end{aligned}$$

# Tanner Graphs and Tanner Codes



- Tanner code  $\mathcal{C}(G, \mathcal{C}^{\mathcal{J}})$  represented by bipartite graph
- $x \in \mathcal{C}(G, \mathcal{C}^{\mathcal{J}})$  iff  $x \in \mathcal{C}^j$  for every  $j \in \{1, \dots, J\}$
- **degrees**: can be regular, irregular, bounded, or arbitrary
- can allow **arbitrary linear local codes**
- minimum local distance  $d^* \triangleq \min_j d_j$
- Examples: LDPC codes [Gallager'63], Expander codes [Sipser-Spielman'96]

# Linear Programming (LP) Decoding

- $\text{conv}(X) \subseteq \mathbb{R}^N$  - the convex hull a set of points  $X \subseteq \mathbb{R}^N$ .
- **ML-decoding** can be rephrased:

$$\text{ML}(y) \triangleq \arg \min_{x \in \text{conv}(\mathcal{C})} \langle \lambda(y), x \rangle$$

- **Generalized fundamental polytope** of a Tanner code  $C(G, \mathcal{C}^{\mathcal{J}})$   
- **relaxation** of  $\text{conv}(\mathcal{C})$  [following  
Feldman-Wainwright-Karger'05]

$$\mathcal{P}(G, \mathcal{C}^{\mathcal{J}}) \triangleq \bigcap_{\mathcal{C}^j \in \mathcal{C}^{\mathcal{J}}} \text{conv}(\mathcal{C}^j)$$

- **LP-decoding**:

$$\text{LP}(y) \triangleq \arg \min_{x \in \mathcal{P}(G, \mathcal{C}^{\mathcal{J}})} \langle \lambda(y), x \rangle$$

LP-decode( $\lambda$ )

solve LP:  $\hat{x}^{\text{LP}} \leftarrow \arg \min_{x \in \mathcal{P}(G, \mathcal{C}\mathcal{J})} \langle \lambda, x \rangle$ .

**if**  $\hat{x}^{\text{LP}} \in \{0, 1\}^N$  **then**

**return**  $\hat{x}^{\text{LP}}$  is an ML codeword

**else**

**return** fail

**end if**

- Polynomial time algorithm
- Applies to any MBIOS channel!
- Integral solution  $\Rightarrow$  ML-certificate

# Goal: Analysis of Finite Length Codes

## Problem (Finite Length Analysis)

**Design:** *Constant rate code  $\mathcal{C}(G, \mathcal{C}^{\mathcal{J}})$  and an efficient decoding algorithm DEC.*

**Analyze:** *If  $SNR > t$ , then*

$$Pr(\text{DEC}(\lambda) \neq x | c = x) \leq \exp(-N^\alpha)$$

*for some  $0 < \alpha$ .*

**Goal:** *Minimize  $t$  (lower bound on SNR).*

Remarks:

- Not an asymptotic problem
- Code is not chosen randomly from an ensemble
- Successful decoding  $\neq$  ML decoding

## Problem (Optimality Certificate)

**Input:** Channel observation  $\lambda$  and a codeword  $x \in \mathcal{C}$

**Question 1:** Is  $x$  ML-optimal with respect to  $\lambda$ ? is it unique?  
(NP-Hard)

**Question 2:** Is  $x$  LP-optimal with respect to  $\lambda$ ? is it unique?

Relax: one-sided error test

- A positive answer = **certificate** for the optimality of  $x$  w.r.t.  $\lambda$
- A negative answer = don't know if optimal or not (allow one sided error)
- Prefer: efficient test via local computations  $\Rightarrow$  "Local-Optimality" criterion

# Definition of Local-Optimality

- [Feldman'03] For  $x \in \{0, 1\}^N$  and  $f \in [0, 1]^N \subseteq \mathbb{R}^N$ , define the **relative point**  $x \oplus f$  by  $(x \oplus f)_i \triangleq |x_i - f_i|$
- Consider a finite set of **"deviations"**  $\triangleq \mathcal{B} \subset [0, 1]^N$

Definition (following [Arora-Daskalakis-Steurer'09])

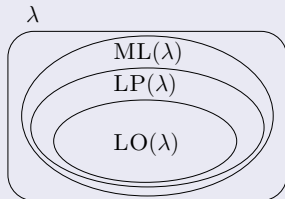
A codeword  $x \in \mathcal{C}$  is **locally-optimal** w.r.t.  $\lambda \in \mathbb{R}^N$  if for all vectors  $\beta \in \mathcal{B}$ ,

$$\langle \lambda, x \oplus \beta \rangle > \langle \lambda, x \rangle$$

## Goal

Find a set  $\mathcal{B}$  such that:

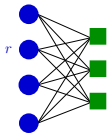
- 1  $x \in \text{LO}(\lambda) \Rightarrow x = \text{ML}(\lambda)$  & unique
- 2  $x \in \text{LO}(\lambda) \Rightarrow x = \text{LP}(\lambda)$  & unique
- 3  $\Pr_{\lambda}\{x \in \text{LO}(\lambda) \mid c = x\} = 1 - o(1)$



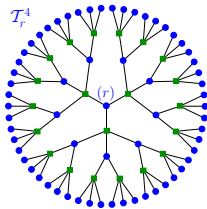


# Set $\mathcal{B}$ : Projections of Normalized Weighted Subtrees in Computation Trees of the Tanner Graph

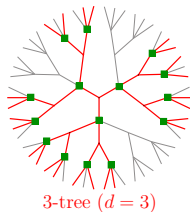
1. Tanner graph  $G$



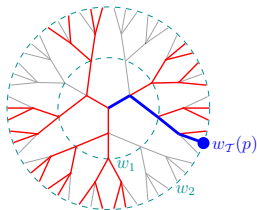
2. Computation tree of  $G$ ,  
height =  $2h$ , root = var node  $r$



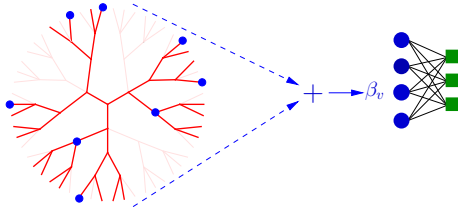
3.  $d$ -tree: subtree  $\mathcal{T}$   
deg(local-code node) =  $d$



4. Weight function  
 $w_{\mathcal{T}} : \hat{\mathcal{V}}(\mathcal{T}) \rightarrow \mathbb{R}$



5. Projection of weighted  $d$ -tree to Tanner graph.  
Deviation  $\beta \in \mathbb{R}^N =$  projection assignment to var. nodes



- Set of deviations  $\mathcal{B}_d^{(w)}$  = projections of  $w$ -weighted  $d$ -trees

$$\mathcal{B}_d^{(w)} \triangleq \left\{ \beta \in \mathbb{R}^N \mid \exists w\text{-weighted } d\text{-tree } \mathcal{T} : \beta = \pi(\mathcal{T}) \right\}$$

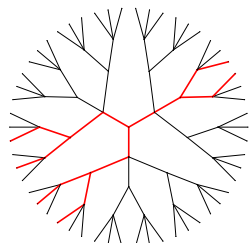
## Definition

A codeword  $x \in \mathcal{C}$  is  **$(h, w, d)$ -locally optimal** w.r.t.  $\lambda \in \mathbb{R}^N$  if for all vectors  $\beta \in \mathcal{B}_d^{(w)}$ ,

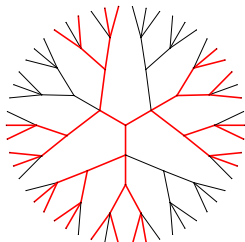
$$\langle \lambda, x \oplus \beta \rangle > \langle \lambda, x \rangle$$

- $2h$  - tree height ( $h$  levels)
- $w \in \mathbb{R}_+^h$  - tree level weights
- $d \geq 2$  - local-code nodes degree

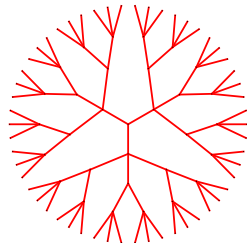
# $d$ -Trees Get “Fatter” As $d$ Increases



2-tree  
(skinny tree [ADS'09])



3-tree



4-tree

- Over an MBIOS channel, the probability of a local-optimality certificate increases as deviations become denser

# Local Optimality $\Rightarrow$ unique ML-codeword

## Theorem

Let  $d \geq 2$ . If  $x$  is  $(h, w, d)$ -locally optimal w.r.t.  $\lambda$ , then  $x$  is the unique ML-codeword w.r.t.  $\lambda$ .

- hard: is  $x$  the unique ML-codeword?
- easy: is  $x$  locally optimal? (dynamic programming)

## Proof method:

## Lemma (Decomposition Lemma)

Every codeword is a conic combination of projections of weighted  $d$ -trees in computation trees of  $G$

$$x = \alpha \cdot \mathbb{E}_{\beta \in_{\rho} \mathcal{B}_d^{(w)}}[\beta]$$

- Following [ADS'09]: decomposition lemma  $\Rightarrow$  unique ML



## Theorem

*If  $x$  is a  $(h, w, d)$ -locally optimal codeword w.r.t.  $\lambda$ , then  $x$  is also the unique optimal LP solution given  $\lambda$ .*

## Proof method:

- 1 Use graph cover decoding [Koetter-Vontobel'05]: In graph covers, realization of LP-opt and ML codeword are the same
- 2 **Lemma:** local-optimality is invariant w.r.t. lifting to covering graphs
- 3 Lift of locally optimal codeword is the unique ML-codeword in the graph cover.



# Local-Optimality for LP-decoding - Comparison

	[KV'06][ADS'09][HE'11]	Current
Deviation height	$h < \frac{1}{4}\text{girth}(G)$ . Local isomorphism	$h$ is <b>unbounded</b> . Characterization using computation trees
Regularity	<b>Regular</b> Tanner graph	<b>Irregular</b> Tanner graph. Add normalization factors according to node degrees [Von'10]
Constraints	<b>Single parity-check codes</b>	<b>Linear codes</b> . Tighter relaxation for the generalized fundamental polytope (also in [Von'10])
Deviations	<b>"skinny"</b> . Locally satisfies parity checks.	<b>"fat"</b> . Not necessarily satisfies local codes.
LP solution analysis	Dual/Primal LP. Polyhedral analysis.	Use <b>reduction to ML</b> via characterization of graph cover decoding

# Probabilistic Analysis for Regular Tanner Codes - Examples

- Form of **finite length bounds**:  $\exists c > 1. \exists t. \forall \text{noise} < t.$

$$\Pr\{\text{LP decoder fails}\} \leq \exp(-c^{\text{girth}})$$

- If  $\text{girth} = \theta(\log N)$ , then

$$\Pr\{\text{LP decoder fails}\} \leq \exp(-N^\alpha), \quad \text{for } 0 < \alpha < 1$$

- $N \rightarrow \infty$  :  $t$  is a **lower bound on the threshold** of LP-decoding with LO-certificate

	[Skachek-Roth'03]	[Feldman-Stein'05]	Current work
Decoder	Iterative	LP	LP
Channels	Bit-flipping (worst-case)	Bit-flipping (worst-case)	MBIOS (average-case)
Technique	Expansion	Expansion	Density evolution of sum-min-sum random process
Example: BSC( $p$ ) threshold ( $2, d_R$ )-reg Tanner code, Rate=0.375	$d_R \gg 2$  $d^* \gg 2$  $p^{\text{iterat.}} > 0.0016$	$d_R \gg 2$  $d^* \gg 2$  $p^{\text{LP}} > 0.0008$	$d_R = 16$  $d^* = 4$  $p^{\text{LP}} > 0.044$

## Conclusions

Follows line of works based on combinatorial characterizations of local-optimality [KV'06] [ADS'09] [Von'10] [HE'11]:

- 1 A new combinatorial characterization of local-optimality for irregular Tanner codes
- 2 Local-opt.  $\Rightarrow$  ML-opt.
- 3 Local-opt.  $\Rightarrow$  LP-opt.
- 4 Efficiently computed certificate (dynamic-programming)
- 5 Upper bounds on the word error probability of LP-decoding

## Open questions

- Prove bounds on noise thresholds for LP-decoding that are better than  $p^{\text{LP}} \geq 0.05$  for rate- $\frac{1}{2}$  codes [ADS'09]
- Probabilistic analysis for irregular Tanner codes
- Probabilistic analysis beyond the girth