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1 **Seismic Evidence for Widespread Serpentinized Forearc Mantle**
2 **Along the Mariana Convergence Margin**

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12 **Abstract**

13 Seismic imaging of subduction zones can provide constraints on mineral reactions
14 in the slab and surrounding regions. We use *P*-to-*S* converted phases from teleseisms
15 recorded at broadband stations in the Mariana Islands to image the forearc and arc regions
16 of the Mariana convergent margin. The subducting oceanic crust is observed between 75
17 and 110 km depth as a thin low velocity zone overlying the subducting Moho,
18 demonstrating that the basalt to eclogite phase transition must occur at a greater depth. A
19 low velocity zone (LVZ), approximately 10–25 km thick, whose upper boundary is imaged
20 at about 40–55 km depth, is detected in the forearc region of the mantle wedge along the
21 entire margin. The anomaly is located too shallow to represent subducted oceanic crust.
22 We interpret the LVZ as a serpentinized region in the forearc mantle, resulting from
23 hydration by slab-expelled water. The inferred *S*-wave velocity in the LVZ of as low as
24 ~3.6 km/s represents a level of serpentinization of 30–50%, corresponding to a chemically
25 bound water content of about 4–6 wt%.

26

27 **1. Introduction**

28 Fluids are a critical ingredient for a variety of processes occurring in subduction
29 zones. For instance, slab-derived fluids are thought to promote partial melting in the warm
30 part of the overlying mantle wedge, causing magmatism. In the cold forearc region of the
31 mantle wedge, hydration of mantle peridotite by slab-expelled fluids may lead to
32 serpentinization [e.g., *Peacock*, 1990]. Thermal models for subduction zones predict
33 temperatures of about 100–600°C in the forearc mantle, with the lower values of the range
34 expected to occur in island arcs with thin forearc crust such as the Izu-Bonin and Mariana
35 arcs [*Hyndman and Peacock*, 2003]. Antigorite, a high-temperature mineral of the
36 serpentine group, is stable to temperatures of about 600–700°C [*Ulmer and Trommdorff*,
37 1995]. Hence, it is expected to be stable in the cold forearc mantle of these convergence
38 margins. Seismic evidence for serpentinization of the forearc mantle has been observed in
39 several subduction zones, including Japan, Central Andes, Cascadia, Izu-Bonin, Middle
40 America [*Kamiya and Kobayashi*, 2000; *Graeber and Asch*, 1999; *Bostock et al.*, 2002;
41 *Kaminura et al.*, 2002; *DeShon and Schwartz*, 2004]. In each case, serpentinization in the
42 mantle wedge has been identified from the detection of unusually low velocities and/or,
43 more importantly, high Poisson's ratios.

44 The forearc region of the Mariana margin contains numerous active serpentinite
45 mud volcanoes [e.g., *Fryer*, 1996]. Samples collected from these volcanoes show evidence
46 for slab-derived fluids [*Fryer et al.*, 1999], clearly suggesting that forearc hydration is
47 occurring there. Despite this compelling geochemical evidence, however, a strong seismic
48 argument for subduction-related serpentinization of the Mariana forearc has yet to be
49 reported. In this study, we use *P*-to-*S* converted waves from teleseisms recorded in the

50 Mariana Islands and show evidence for widespread serpentinization of the forearc mantle
51 along this margin.

52

53 **2. Data and Analysis**

54 We applied the *P*-wave receiver function methodology [e.g., *Kind et al.*, 1995;
55 *Yuan et al.*, 1997] using teleseisms from 241 earthquakes with m_b 5.4 or greater, recorded
56 at a temporary network of broadband stations on the Mariana Islands. The network
57 consisted of 20 IRIS-PASSCAL stations and the Global Seismic Network station GUMO
58 located in the island of Guam (Fig. 1). The former instruments operated from May 2003 to
59 May 2004 as part of the MARGINS Subduction Factory Experiment of the Mariana system
60 [*Tibi et al.*, 2006]. For the permanent station GUMO, we used data recorded in the time
61 period of 1991 to 2005.

62 Seismograms from earthquakes at epicentral distances between 30° and 95° are
63 deconvolved to ground displacement and filtered at 2–30 sec. The waveforms are rotated
64 from the ZNE recording system into an LQT ray coordinate system. A source equalization
65 scheme is applied by deconvolving the *P* energy on the L component from the rotated
66 traces. The resulting Q and T components are termed Q and T receiver functions (RF's),
67 respectively. For a horizontally layered, isotopic medium, there should be no converted
68 energy on the tangential (T) component. Presence of energy on this component is
69 diagnostic of heterogeneity or anisotropy.

70 *P*-to-*S* conversions are low-amplitude phases hardly visible in individual RF's. In
71 order to enhance these phases, the RF's are stacked along their moveout curve. Prior to
72 stacking, RF's from different epicentral distances are moveout-corrected for primary

73 conversion P_s using a reference distance of 67° . For the moveout-correction, we use a
74 modified IASP91 velocity model [Kennett and Engdahl, 1991]. The modification includes
75 a crustal P velocity model for the Mariana arc obtained from an active-source study
76 [Takahashi *et al.*, 2007]. The crustal S velocities were derived from that model assuming
77 V_P/V_S of 1.73. To constrain the average 1-D S -wave velocity structure beneath the
78 stations, traces resulting from stacked Q component RF's are inverted using the approach
79 described by Kind *et al.* [1995].

80

81 **3. Results and Discussion**

82 Fig. 2A,B shows the first 20 sec after P onset of moveout-corrected and stacked Q
83 and T component RF's, respectively, for stations on the islands of Tinian and Saipan. Four
84 coherent phases appear in the stacked Q traces (Fig. 2A). (1) The large, positive phase
85 immediately after 0 sec results from interference between converted energy from shallow
86 interfaces. (2) The negative phase at about 4–6 sec delay time, observed between the
87 longitude range of 145.6 – 146.1°E , represents a P_s conversion from an interface at about
88 50 km depth. Fig. 2B shows that no significant SH energy is associated with this feature,
89 consistent with a subhorizontal interface and predominantly isotropic layer above it. This is
90 in agreement with shear wave splitting measurements, which show small average splitting
91 times in the upper 80–100 km for the region [Pozgay *et al.*, 2007]. (3) A positive phase,
92 which can be correlated between the longitude range of 145.3 – 145.7°E at ~ 10 – 11 sec
93 delay time, is preceded ~ 1.4 sec earlier by (4) a negative phase (Fig. 2A). The timings of
94 both the negative and positive phases seem to be consistent with shallowly westward-
95 dipping interfaces. The presence of corresponding significant SH energy on the transverse

96 traces unequivocally supports this view (Fig. 2B). On the transverse traces, the two phases
97 can be correlated throughout the sampled longitude range from 145.3 to 146.1°E. As
98 discussed below, we interpreted these phases as being conversions from the top of the
99 subducting low velocity Pacific crust and Pacific Moho, respectively.

100

101 **3.1 Nature of the 50-km Interface**

102 Sufficient data were recorded by the nine stations located on the islands of Tinian
103 and Saipan, allowing generation of migrated sections of RF's [Kind *et al.*, 2002]. Fig. 2C
104 shows the result of the migration procedure for an east-west section along AB profile. The
105 location of the profile is indicated in Fig. 1. The 50-km interface undulates between about
106 40 and 55 km depth, and is observed only east of 145.6°E. We could not infer the
107 easternmost extent of this feature, as the available data do not sample it beyond about
108 146°E (Fig. 2C). Ocean bottom seismographs deployed in the northern part of the arc do
109 not produce clean RF's due to reverberations in the water layer, and thus do not allow
110 examination of the outer forearc.

111 The 50-km interface, which we observe beneath all the stations along the Mariana
112 margin (Fig. 3A), is restricted to the forearc region, sampled mostly by earthquakes from
113 the Tonga subduction zone. A subset of data composed only of non-Tonga events sampling
114 the forearc shows the 50-km interface too, suggesting that this feature is not associated
115 with a near-source structure in the Tonga region. The interface is not an artifact associated
116 with a particular ray parameter and back-azimuth, as it is imaged throughout a range of
117 these parameters. The 50-km interface does not represent a discontinuity directly related to
118 the subducting plate, such as the top of the slab, for two reasons. (1) Unlike the top of the

119 slab, which is dipping westward, the 50-km interface is subhorizontal. (2) And, more
120 importantly, there are areas where both the 50-km interface and the top of the subducted
121 plate are imaged as two distinct features, with the former lying ~30 km above the latter
122 (Fig. 2C). The restriction of the 50-km interface to the forearc region suggests that a
123 process, which is taking place exclusively in the forearc mantle, is the likely cause for this
124 feature. Considering all these characteristics, we interpret the 50-km interface as marking
125 the upper boundary of a LVZ that extends down to immediately above the descending
126 Pacific plate. We believe that the LVZ represents a region in the forearc mantle hydrated
127 (serpentinized) by slab-expelled fluids. Serpentinization of the forearc mantle causes the
128 velocity there to decrease. The negative conversion associated with the top side of the LVZ
129 is consistent with that, and indicates that velocity in the LVZ has become slower than that
130 in the region above it. We do not image the lower boundary of the serpentinized region,
131 which would show a velocity increase with depth, probably because the low-velocity
132 subducting crust bounds this region downward. The presence of the low-velocity crust
133 reduces the velocity contrast across that boundary making it invisible in the data.

134 In order to constrain the structure of the serpentinized zone, Q component RF's
135 sampling predominantly the forearc were stacked in 1-degree latitude bins of piercing
136 points at 50 km depth. These are traces from events with back-azimuth from 0 to 180°.
137 The stacked RF's for each bin were subsequently inverted for average *S*-wave velocities.
138 The inversions reveal both the upper and lower boundaries of the serpentinized zone.
139 Results suggest that, for the longitude range sampled by the data, the thickness of the
140 serpentinized zone varies from ~10 km beneath Rota, Tinian and Saipan in the southern
141 region of the margin to ~25 km beneath Guguan, Alamagan and Pagan in the northern

142 region (Fig. 3A, bottom). The occurrence of the serpentinized zone along the entire margin
143 suggests that serpentinization of the forearc mantle is a widespread phenomenon in the
144 Mariana arc. *S*-wave velocities in the serpentinized zone are estimated to be as low as ~3.6
145 km/s. *S*-wave velocities alone cannot rule out other possible causes for the observed
146 anomaly. Provided our proposition is correct and following *Christensen* [1966] and
147 *Carlson and Miller* [2003], the estimated lower bound of *S*-wave velocity within the
148 serpentinized zone represents a degree of serpentinization as high as 30–50% for the
149 Mariana forearc mantle. This corresponds to bound water content of about 4–6 wt%. A
150 similarly high level of serpentinization of the forearc mantle wedge has been inferred for
151 the Cascadia margin [*Bostock et al.*, 2002]. Serpentinization of the Mariana forearc mantle
152 is evidenced by the occurrence in the forearc of numerous mud volcanoes, composed
153 mainly of unconsolidated flows containing clasts of serpentinized mantle peridotite [*Fryer*,
154 1996; *Fryer et al.*, 1999].

155 Beneath Tinian and Saipan, the western boundary of the serpentinized region in the
156 mantle wedge is located ~40 km east of the volcanic front (Fig. 2C), and most likely
157 corresponds to the point beyond which arcward temperatures are too hot for serpentine to
158 be stable. Data limited to records from island stations does not allow us to constrain the
159 eastward extent of the serpentinized zone. However, presence of serpentine seamounts
160 within about 50 km from the trench axis [*Fryer et al.*, 1985] suggests that serpentinization
161 of the mantle wedge by slab-derived fluids is occurring across most of the entire forearc to
162 that location. Serpentine in the near-trench region may lubricate the plate interface,
163 reducing the seismogenic width for shallow thrust earthquakes. This provides an

164 explanation for the near-complete absence of large shallow thrust events in the Mariana
165 margin.

166

167 **3.2 The Subducting Crust**

168 Using Q component RF's, both the top and bottom side of the subducting crust are
169 imaged as gently westward-dipping interfaces in the longitude range between about 145.3
170 and 145.8°E beneath Tinian and Saipan (Fig. 2C). In this longitude range, the interfaces
171 are sampled predominantly updip, generating strong *Ps* phases. Trenchward beyond
172 145.8°E, however, most of the rays are incident on the slab in the downdip direction,
173 resulting in weak, mostly invisible conversions from the subducting crust, consistent with
174 ray-theoretical predictions [e.g., *Cassidy*, 1992]. The dipping Pacific plate deflects waves
175 from the Q-L plane, thus generating slab energy on T component. Migrated T component
176 RF's clearly show both the top and bottom side of the subducting crust throughout the
177 range from 145.3 to 146.1°E (Fig. 2D). In this longitude range, the bottom of the
178 subducting crust (Pacific Moho) is observed between 75 and 110 km depth. Observation of
179 the Pacific Moho at 110 km depth, suggests that at that depth, the subducting crust is still a
180 low-velocity channel that has not yet eclogitized. Otherwise there would be little or no
181 impedance contrast to the surrounding mantle [*Helfrich et al.*, 1989; *Hacker et al.*, 2003].

182 To constrain the velocity in the subducting crust, Q component RF's from events in
183 the back-azimuth range from 180 to 360° were stacked in 1-degree latitude bins of piercing
184 points at 100 km depth, and subsequently inverted. Most of these events sample the
185 backarc region. Because their rays are incident in the updip direction of the slab, these
186 events should generate clear conversion from slab interfaces. Both the top and bottom side

187 of the subducting crust are observed only beneath the region extending from Rota to
188 Saipan (Fig. 3B, top). The fact that the slab is not continuously imaged along the margin
189 may be indicative of along-strike variability in eclogitization. Fig. 3B, bottom displays
190 inversion results for the two stacks showing conversion from slab interfaces. In the
191 inversions, we took into account the dip of the subducting crust by adjusting the incidence
192 angle for the theoretical traces in relation to the dip angle of the downgoing Pacific plate.
193 The subducting crust beneath Rota, Tinian and Saipan is modeled as a 12–15 km low
194 velocity layer with a reduction of *S*-wave velocity of ~10% relative to the surrounding
195 mantle (Fig. 3B, bottom). Unlike the thickness, which probably may have been
196 overestimated by the inversion procedure, the inferred velocity reduction in the subducting
197 crust is well within the range of 5–15% observed in other arcs [*Helfrich and Abers, 1997*;
198 *Abers, 2000*; *Yuan et al., 2000*], and consistent with mineral physics compilations [*Hacker*
199 *et al., 2003*].

200

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208

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274

275 **Figure Captions**

276 **Figure 1.** Bathymetric map of the Mariana region. Triangles indicate the locations of
277 PASSCAL broadband seismic stations, and square the location of the GSN station GUMO.
278 Gray lines are contours of seismicity [*Gudmundsson and Sambridge, 1998*], and numbers
279 indicate depth in km to the seismogenic zone. Dots are locations of the ray-theoretical *P_s*
280 piercing points at 100 km depth for the calculated RF's. Line A-B indicates the location of
281 the profiles shown in Figure 2C and D. Inset at the upper-left corner shows the locations of
282 the earthquakes used in this study (circles) and the Mariana network (triangle).

283

284 **Figure 2.** (A) Stacked Q component RF's recorded at nine stations on the islands of Tinian
285 and Saipan. The traces have been averaged over longitude bins of 0.1° , and sorted in order
286 of increasing mean longitude of *P_s* piercing points at 100 km depth. The longitude scale is
287 only valid for that depth. The number of RF's stacked for each bin is indicated in the box.
288 Positive and negative phases are shown in red and blue, respectively. Yellow circles mark
289 conversions from the upper boundary of the serpentinized zone. Green and black circles
290 mark conversions from the top and bottom side of the subducted crust, respectively. (B)
291 The same as in (A) but for T component RF's. Note that there is no *SH* energy associated
292 with the upper boundary of the serpentinized zone (see text). (C) East-west profile of
293 migrated Q component receiver function data. The location of the profile is shown in
294 Figure 1. Red (blue) indicates velocity increase (decrease) downward. Yellow dashed line
295 marks the upper boundary of the serpentinized zone. Green and black dashed lines mark
296 the top and bottom side of the subducted crust, respectively. Multiple reverberations from

297 the upper boundary of the serpentinized zone are labeled ‘multiples’. VF stands for
298 volcanic front. (D) The same as in (C) but for T component data.

299

300 **Figure 3.** (A) (Top) Stacked Q component RF’s from events in the back-azimuth range
301 between 0 and 180°. The traces have been averaged over latitude bins of 1°, and sorted in
302 order of increasing mean latitude of *Ps* piercing points at 50 km depth. The number of
303 RF’s stacked for each bin is indicated in the box. Yellow circles indicate conversions from
304 the upper boundary of the serpentinized zone. (Bottom) 1-D *S*-wave velocity model for
305 each latitude bin obtained after inversion of the traces displayed on the top. Red arrows
306 mark the upper and lower boundary of the serpentinized region (SR), indicated in purple.
307 Black arrows mark the bottom side of the subducted crust (SC). Fits of theoretical RF’s
308 (red) to the observed data (black) are shown below each model panel. The 50-sec long
309 waveforms include direct conversions (*Ps*) and multiple reverberations (*PpPs* and *PpSs* +
310 *PsPs*) from the upper boundary of the serpentinized zone. (B) (Top) The same as in (A)
311 (top) but for events in the back-azimuth range between 180 and 360°, and the latitude of *Ps*
312 piercing points at 100 km depth. The gray rectangle highlights conversions from the top
313 (green circles) and bottom side (black circles) of the subducted crust beneath the region
314 extending from Rota to Saipan. (Bottom) 1-D velocity model for the two latitude bins
315 showing clear conversions from the subducted crust (top). Green and black arrows mark
316 the top and bottom side of the subducted crust (SC), respectively. Fits of theoretical RF’s
317 (red) to the observed data (black) are shown below each model panel.

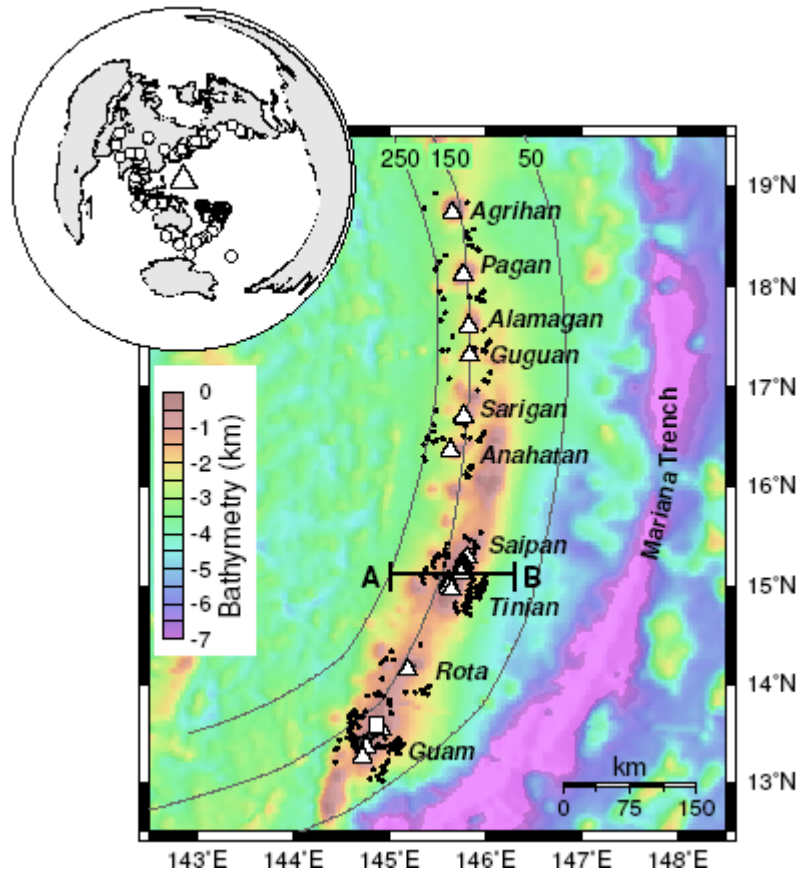


Figure 1: Tibi et al.

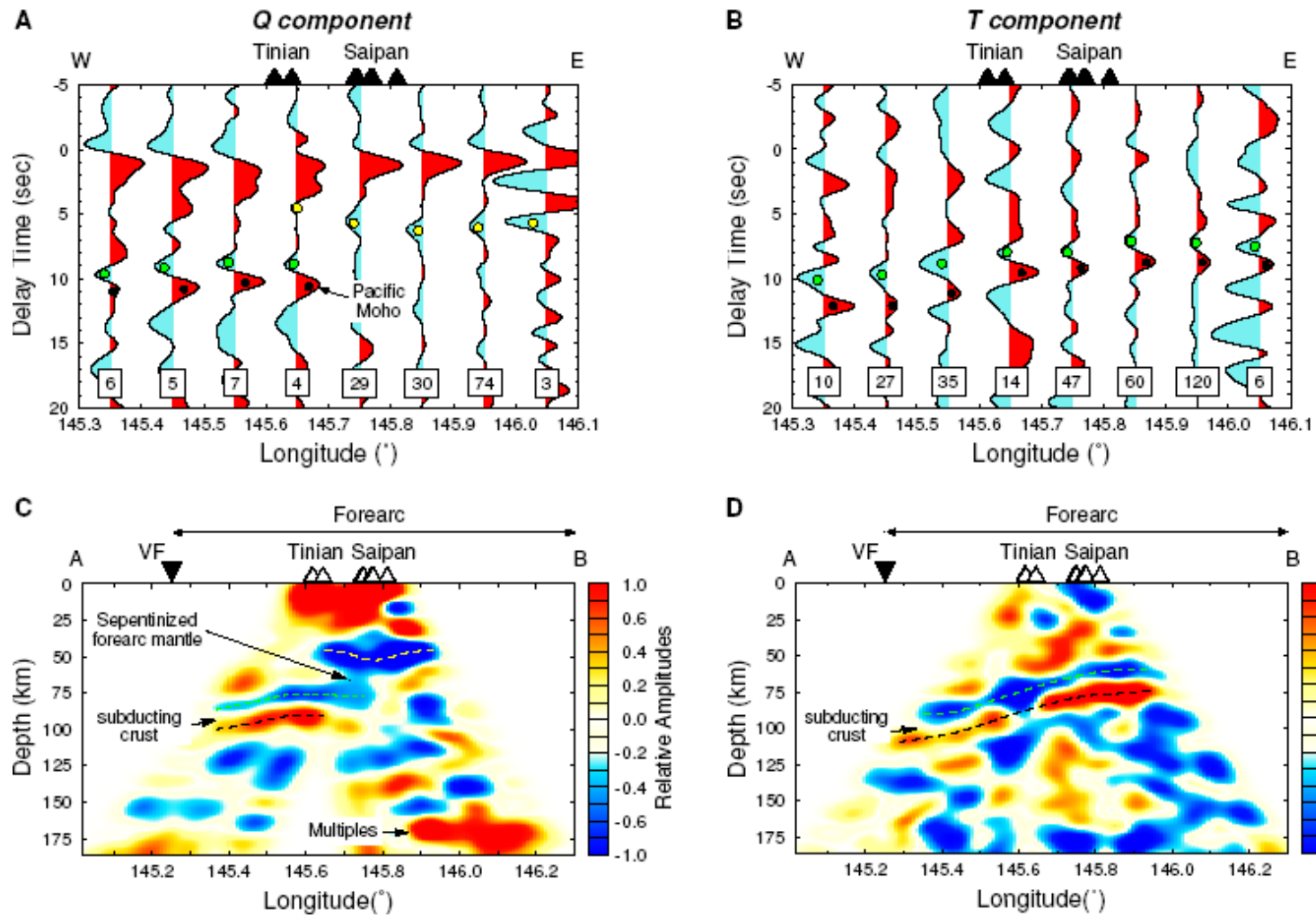


Figure 2: Tibi et al.

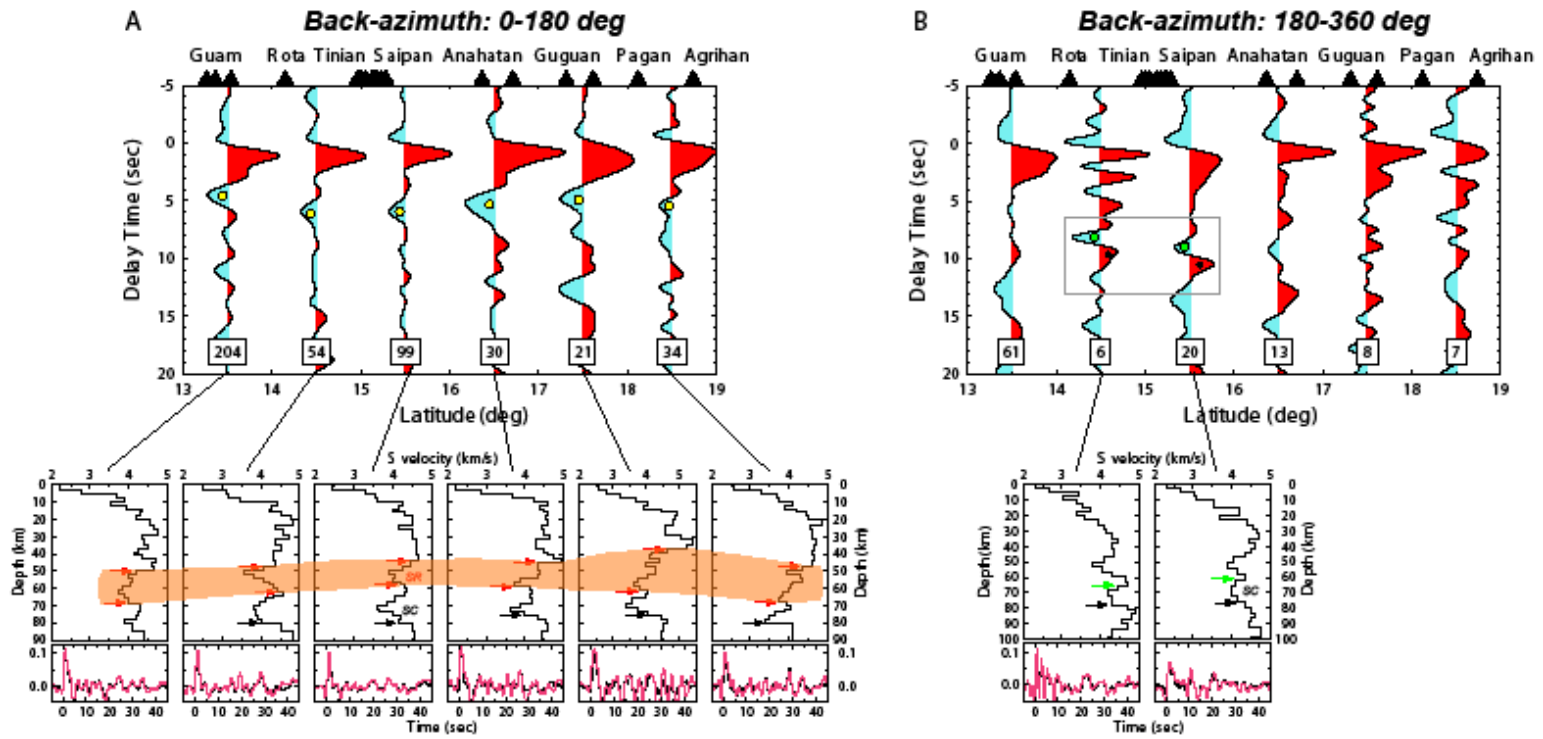


Figure 3: Tibi et al.