

An Infrared Investigation of Volatiles in Comet 21P/Giacobini–Zinner

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We obtained high resolution ($\lambda/\delta\lambda \sim 10,000$ – $20,000$) infrared (IR) spectra of Comet 21P/Giacobini–Zinner (GZ) at five different wavelengths between 1.9 and 5.0 μm during 25–29 October 1998 using CSHELL at the NASA Infrared Telescope Facility (IRTF) on Mauna Kea. We also obtained a moderate resolution ($\lambda/\delta\lambda \sim 680$) spectrum covering the wavelength range from 3.082 to 3.720 μm on 29 October 1998 using CGS4 at the United Kingdom Infrared Telescope (UKIRT) on Mauna Kea. Five rovibrational lines in three different vibrational bands of H_2O were detected in the CSHELL spectra. Assuming that the rotational temperature was ~ 50 K, we derive a H_2O production rate of ~ 2 – 3×10^{28} molecules s^{-1} , which is ~ 2 times smaller than the value derived from nearly simultaneous radio observations of OH. After continuum subtraction, the CGS4 spectrum displays significant excess flux that we attribute mainly to CH_3OH fluorescence, and we derive that the CH_3OH

production rate was $\sim 2.7 \times 10^{26}$ molecules s^{-1} . The corresponding $\text{CH}_3\text{OH}/\text{H}_2\text{O}$ relative abundance is ~ 0.9 – 1.4% , which falls within the range of values observed in other comets, albeit at the low end. The CGS4 spectrum also has significant excess flux near 3.43 μm that is not explained by our CH_3OH fluorescence model; a similar feature has been observed in several other comets, but its origin remains a mystery. We did not detect any excess emission near 3.28 μm , where some comets show a feature that may be associated with polycyclic aromatic hydrocarbons. We also searched for emissions from C_2H_6 , CO, HCN, C_2H_2 , and H_2CO but did not detect any of these molecules. The 3σ upper limits for the abundances relative to H_2O are 0.05–0.08% for C_2H_6 , 2–3% for CO, 0.2–0.3% for HCN, 0.3–0.4% for C_2H_2 , and 0.5–0.8% for H_2CO , assuming that all species are parent molecules and that their rotational temperature in the coma is 50 K. C_2H_6 is depleted by a factor of ~ 15 or more compared to its relative abundance in Comets Hale–Bopp

(C/1995 O1) and Hyakutake (C/1996 B2); this depletion is similar to that observed for C₂ and C₃ from optical observations of GZ (A'Hearn *et al.* 1995) and suggests that the formation of volatile carbon-chain molecules was inhibited in GZ. We are unable to find any clear correlation between the C₂H₆ and the C₂ and C₃ abundances in a sample of nine other comets, assuming that the residual emission near 3.35 μm in moderate resolution spectra of seven of the comets provides an accurate indicator of the C₂H₆ abundance. However, this latter assumption is questionable and highlights the need to obtain high spectral resolution data in order to make accurate abundance measurements of C₂H₆. © 1999 Academic Press

Key Words: comets; composition.

1. INTRODUCTION

21P/Giacobini–Zinner (GZ) is a short-period (6.6 yr), Jupiter-family comet that has been in a relatively stable orbit since its discovery in 1900. As one of the brighter comets in this dynamical family, GZ has been extensively observed throughout this century (see Sekanina 1985). Interest in this comet was especially heightened in 1985 when the Third International Sun–Earth Explorer (ISEE-3) was retargeted and renamed the International Comet Explorer, or ICE, to fly through the tail of GZ at a distance of ~7800 km from the nucleus.¹ In support of the flyby mission, an intensive observational campaign was mounted to observe GZ at ultraviolet (UV; McFadden *et al.* 1987), optical (Cochran and Barker 1987, Schleicher *et al.* 1987), infrared (IR; Telesco *et al.* 1986, Hayward and Grasdalen 1987, Hanner *et al.* 1992), and radio (Gérard *et al.* 1988) wavelengths. The spacecraft and campaign results, together with earlier observations, have provided a particularly rich database for GZ and formed an excellent foundation for further studies during its recent 1998 apparition, at which time the dramatically improved technical capabilities at IR and radio wavelengths could be applied.

In their survey of 85 comets, A'Hearn *et al.* (1995) describe GZ as the “prototypical depleted comet.” GZ has C₂ and C₃ abundances, relative to H₂O, that are ~10 times smaller than the values measured in most comets, which define the “normal” cometary composition. About one-half of the Jupiter-family comets in the survey exhibited similar depletions, and A'Hearn *et al.* hypothesized that the depletion is associated with formation in the Kuiper Belt. It is currently thought that most comets, including some currently in the Jupiter family, formed in the vicinity of the giant planets, were ejected into the Oort Cloud, and were subsequently reintroduced into the inner Solar System by various types of gravitational perturbations. Any compositional differences between the Kuiper Belt and Oort Cloud comets might then be reasonably attributed to their different formation locations in the solar nebula.

While the results from A'Hearn *et al.* certainly represent an important advance in our understanding of comets as a popula-

tion, their data only *indirectly* provided information on cometary nuclei because the observed species have an unknown progeny (i.e., the reactions that produce C₂ and C₃ in the coma are not yet well understood). Furthermore, the CN abundance in GZ was normal, leaving open the possibility that other carbon-bearing molecules in the nucleus may not be depleted. One would really like to measure the abundances of the *parent* molecules that sublime from the nucleus, thus providing *direct* information on the formation conditions of comets and the physical and chemical state of the solar nebula. Since measurements at infrared wavelengths provide the possibility of detecting various parent molecules via fluorescence in their strong rovibrational transitions (Yamamoto 1982, Crovisier and Encrenaz 1983, Weaver and Mumma 1984), we mounted an observational campaign to investigate the IR spectrum of GZ during late October 1998, when the activity of the comet was expected to peak. Our results, including the first direct detection of H₂O in GZ, the detection of CH₃OH, and sensitive searches for C₂H₆ and CO, are described in this paper.

We note that previous IR and radio detections of parent molecules have generally been limited to bright, long-period comets. Our investigation of volatiles in GZ is an attempt to extend parent molecular searches to the much fainter, short-period comets, which are thought to be remnants of the Kuiper Belt. Systematic compositional comparisons of Oort Cloud and Kuiper Belt comets will not be possible until parent molecules can be probed in many objects of *both* classes.

2. OBSERVATIONS

Our IR observations of Comet GZ were made from the NASA Infrared Telescope Facility (IRTF) and the United Kingdom Infrared Telescope (UKIRT), both of which are part of the Mauna Kea Observatories in Hawaii. We observed shortly after sunset each evening during the period UT 25–29 October 1998. Perihelion was on 21 November, and we chose to observe about one month earlier because observations in 1985 indicated that the comet's activity peaked at that time. The IRTF observations covered small wavelength intervals (~0.005–0.0125 μm) centered at five specific wavelengths (Table I). The UKIRT observations covered the entire spectral region from 3.08 to 3.72 μm.

During our observations, the comet's heliocentric distance (*r*) ranged from 1.08 to 1.11 AU, the geocentric distance (Δ) ranged from 0.93 to 0.96 AU, the solar phase angle (Earth–comet–Sun) ranged from 57° to 58°, the heliocentric radial velocity (\dot{r}) ranged from –8.6 to –7.3 km s^{–1}, and the geocentric radial velocity ($\dot{\Delta}$) ranged from –8.8 to –8.2 km s^{–1}. Unfortunately, $\dot{\Delta}$ remained relatively small for a couple of months on either side of perihelion, and this severely compromised our investigation of CO and eliminated the possibility of searching for CH₄, because of strong interference from the corresponding transitions in the terrestrial atmosphere. Typically we would observe a calibration star between UT 3:30 and 4:30 (i.e., between 5:30 pm and 6:30 pm local time) and then observe the comet for the

¹ A number of ICE papers on GZ appear in the March and April 1986 issues of *Geophysical Research Letters* and in the 18 April 1986 issue of *Science*.

TABLE I
Log of 21P/Giacobini–Zinner Observations

Date (UT 1998 HH:MM)	Start	Stop	Instrument	Objective	Grating (cm ⁻¹)	Setting (μm)	Coadded frames (number)	Exposure time (minutes)	Airmass
25 October	04:49	07:50	CSHELL	C ₂ H ₆	2989	3.346	170	170	1.26–4.89
26 October	06:14	07:17	CSHELL	CO, H ₂ O	2150	4.651	288	48	1.81–3.05
27 October	05:37	07:15	CSHELL	H ₂ O	2003	4.993	454	76	1.51–2.99
28 October	04:35	06:53	CSHELL	HCN, C ₂ H ₂	3303	3.028	108	108	1.23–2.46
29 October	04:44	05:58	CSHELL	H ₂ O	5084	1.967	22	66	1.29–1.68
29 October	04:49	06:15	CGS4	CH ₃ OH, H ₂ CO, organics	2940	3.40	48	32	1.28–1.80

next few hours. A second calibration star was always observed after the cometary observations. The weather conditions were good throughout the period of our observations, with a seeing of $\sim 0''.6\text{--}0''.8$. The column density of atmospheric H₂O decreased monotonically from ~ 2.4 to ~ 1 mm of precipitable H₂O during the course of our observing run. Table I provides a log of our observations.

2.1. IRTF CSHELL

Most of our observations were made from the NASA Infrared Telescope Facility (IRTF) using the Cryogenic Echelle Spectrometer (CSHELL; Greene *et al.* 1993). The resolving power of CSHELL varies from $\sim 5,400$ to $\sim 43,000$ depending on the width of the slit used. We primarily used the $2''$ slit for our cometary observations, which yields a resolving power of $\sim 10,000$ for a source filling the slit in the dispersion direction, but our search for CO on 26 October was made using the $1''$ slit to provide better discrimination between the cometary emission and the corresponding terrestrial CO emission. All of the CSHELL slits extend $\sim 30''$ perpendicular to dispersion, and analysis of data along this latter direction can, in principle, provide information on the spatial distribution of the cometary emissions (see Weaver *et al.* 1999). However, owing to the faintness of GZ,² we decided to nod $10''$ along the slit to keep the nucleus on the detector at all times to improve the sensitivity. The slit was always oriented with the long dimension, which is the spatial dimension, along the celestial north–south direction. The celestial position angle of the projected solar vector was $\sim 77^\circ$, which is nearly due east. Individual CSHELL pixels are square and are $0''.2$ on a side, which projected to ~ 135 km at the comet during our observations.

The spectral coverage for a given CSHELL grating setting is only $\sim 2.5 \times 10^{-3}\lambda$, where λ is the central wavelength in the spectrum. This limited free spectral range, and the faintness of the comet, meant that we had to focus on a single scientific objective each evening (see Table I).

All of the CSHELL observations were obtained in ABBA mode, where “A” and “B” refer to integrations having identical

exposure times but with a telescope displacement of $10''$ in the north–south direction. Thus, the nucleus of the comet would appear centered in the aperture at one position on the detector for the A integration and then would be moved $10''$ along the slit, but still centered in the aperture, for the B integration. Many ABBA sequences were obtained each evening (Table I). The “B” spectral images were coadded and subtracted from the coadded “A” spectral images to obtain a single net spectral image for each date. For the CSHELL observations taken on 26, 27, and 29 October, clear evidence of the cometary continuum could be seen in the net spectral images as positive and negative “streaks” along the dispersion direction, which is the row direction on the IR arrays, separated from each other by $10''$. Detection of the cometary continuum on 25 and 28 October was marginal, being seen only in the extracted spectra produced by adding data in 20 rows, which corresponds to an effective aperture height of $4''$. None of the spectral lines discussed in this paper were obvious in the final spectral images, but statistically significant features were detected in the spectral extractions in several cases (see Table II).

The cometary emission from parent molecules at $\sim 10''$ from the nucleus is expected to be lower by about an order of magnitude compared to its value for a nucleus-centered observation. Even for CO emission, which comes partly from an extended source in the coma, the signal at an offset of $10''$ should not be more than $\sim 30\%$ of its value in an aperture centered on the nucleus (see Weaver *et al.* 1999). Since we could only barely detect the cometary emission at the nucleus, we neglected any contamination of the A beam by the B beam, and vice-versa. However, we note that our technique for extracting spectra could underestimate significantly the signal from any daughter or granddaughter species.³

Observations of calibration stars, flat-fields, and darks were also obtained at each CSHELL grating setting. All absolute fluxes for the CSHELL data presented here were derived from observations of the star HR 6707 (ν Her, spectral type F2II, $T_{eff} \sim 7000$ K), which is a calibration standard at both the IRTF and the United Kingdom Infrared Telescope (UKIRT). The

² The total visual magnitude of GZ was ~ 9 during our observing period.

³ These are species that are produced in the coma, rather than coming directly from the nucleus.

TABLE II
Fluxes and Production Rates for 21P/Giacobini–Zinner Observations

Date (UT 1998)	Line or band ID ^a	Frequency ^b (cm ⁻¹)	FOV ^c (arcsec)	Line or band flux ^d (10 ⁻¹⁸ W m ⁻²)	g factor ^e (10 ⁻⁵ s ⁻¹)	Production rate ^f (10 ²⁸ s ⁻¹)	Abundance ^g (%)	Continuum flux (10 ⁻¹⁵ W m ⁻² μm ⁻¹)
25 October	C ₂ H ₆ ^r Q ₀	2986.749	2 × 4	≤0.37	5.6	≤0.0015	≤0.05–0.08	3.5 ± 1.8
26 October	CO R0	2147.082	1 × 4	≤1.3	1.1	≤0.064	≤2.1–3.2	3.8 ± 1.9
26 October	CO R1	2150.856	1 × 4	≤2.2	1.8	≤0.068	≤2.3–3.4	3.8 ± 1.9
26 October	H ₂ O 2 ₂₁ –1 ₁₀ ν ₁ –ν ₂	2148.187	1 × 4	1.0 ± 0.7	0.011	5.3 ± 2.6	100	3.8 ± 1.9
26 October	H ₂ O 1 ₁₁ –1 ₁₀ ν ₃ –ν ₂	2151.194	1 × 4	1.3 ± 0.7	0.057	1.2 ± 0.6	100	3.8 ± 1.9
27 October	H ₂ O 2 ₁₂ –3 ₀₃ ν ₁ –ν ₂	2002.999	2 × 4	3.0 ± 1.5	0.024	4.3 ± 2.2	100	12 ± 4.2
27 October	H ₂ O 1 ₀₁ –2 ₁₂ ν ₁ –ν ₂	2003.391	2 × 4	4.2 ± 2.1	0.058	2.4 ± 1.2	100	12 ± 4.2
28 October	HCN P3	3302.546	2 × 4	≤0.90	3.3	≤0.0054	≤0.18–0.27	2.2 ± 1.1
28 October	C ₂ H ₂ R3	3304.167	2 × 4	≤0.59	1.6	≤0.0083	≤0.28–0.42	2.2 ± 1.1
29 October	H ₂ O 2 ₀₂ –3 ₀₃ ν ₁ + ν ₂ + ν ₃ –ν ₁	5083.937	2 × 4	0.43 ± 0.22	0.0024	2 ± 1	100	10 ± 3.5
29 October	CH ₃ OH ν ₃	2825–2874	1.2 × 2.4	10 ± 3	15	0.027 ± 0.008	0.9–1.4	2.0 ± 0.2
29 October	3.43 μm	2857–2985	1.2 × 2.4	21 ± 7	—	—	—	1.8 ± 0.2
29 October	H ₂ CO ν ₁ , ν ₅	2778–2801	1.2 × 2.4	≤6.6	18	≤0.015	≤0.5–0.8	2.1 ± 0.2

^a ID: “3.43 μm” refers to the residual emission feature centered at 3.43 μm.

^b Frequency: rest values.

^c FOV: effective aperture size.

^d Fluxes: errors include absolute calibration and continuum uncertainties.

^e g factor: line values assume $T_{\text{rot}} = 50$ K; H₂CO value is 25% of the sum of the ν₁ and ν₅ band g factors, which is the fraction within the integration limits.

^f Production rate: Errors do not include the uncertainty in T_{rot} ; upper limits are 3σ values.

^g Abundance: relative to H₂O, assuming $Q_{\text{H}_2\text{O}} = 2 - 3 \times 10^{28}$ molecules s⁻¹, which is our best estimate (see text for further discussion).

magnitudes of HR 6707 are 3.21, 3.16, 3.18, and 3.17 at K ($\lambda_{\text{eff}} = 2.21$ μm, $\Delta\lambda = 0.39$ μm), L ($\lambda_{\text{eff}} = 3.50$ μm, $\Delta\lambda = 0.61$ μm), L' ($\lambda_{\text{eff}} = 3.78$ μm, $\Delta\lambda = 0.59$ μm), and M ($\lambda_{\text{eff}} = 4.85$ μm, $\Delta\lambda = 0.62$ μm), respectively, with an error of ~0.01 mag for each. For the stellar observations using CSHELL, we observed through the 1'', 2'', and 4''-wide slits to gauge the effect of seeing and tracking on the observed signal. Typically, the flux from the star in a 4'' × 4'' aperture was about twice as large as that in a 1'' × 4'' aperture and ~20–50% larger than the flux measured in a 2'' × 4'' aperture. We always used the stellar flux in a 4'' × 4'' slit to define the absolute calibration. We did *not* make any aperture loss correction for the cometary data, even though the latter were usually taken through a 2'' slit⁴ because the spatial brightness distribution for a comet is more diffuse than that from the star, and no correction is required in the limiting case of an emission that uniformly fills the extraction aperture. Furthermore, unlike the stellar observations which were made during the day, the cometary observations were made at night when an optical image of the comet could be viewed through a coaligned CCD camera while taking its IR spectrum, and active guiding was used to keep the comet centered in the CSHELL slit to an accuracy comparable to the size of the seeing disk. Using a model that takes into account the expected spatial distribution

of the cometary emission, we estimate that the signal collected in a 2'' × 4'' aperture would decrease by only ~5% when the nucleus is displaced by 0''.6 in the dispersion direction from the slit center. For a 1'' × 4'' aperture, a 0''.6 displacement in the dispersion direction would decrease the observed flux by ~25%.⁵ The decrease in the aperture transmittance due to the finite size of the point spread function of the telescope is estimated to be ~2% for the cometary data taken through a 2'' × 4'' slit and ~8% for the cometary data taken through a 1'' × 4'' slit. In summary, the CSHELL cometary fluxes quoted in this paper might be systematically underestimated, particularly when using the 1'' slit, because we have neglected aperture-loss corrections, but this error is generally not expected to be the dominant error affecting the observed absolute fluxes, as discussed in later sections. Furthermore, since this systematic error applies to all parent molecular emissions, our *relative* abundances should not be affected. We note also that both the CSHELL and CGS4 observations (discussed below) were made with similar-sized apertures, so any loss factor that applies to the CSHELL results probably also must be applied to the CGS4 results.

To convert the observed cometary signals to absolute fluxes for the CSHELL data, we did not follow the standard technique

⁴ The cometary data on 26 October were taken through a 1'' slit.

⁵ Note that similar motions perpendicular to dispersion cause essentially no loss in flux as we integrate over 4'' in that direction.

of dividing the observed cometary spectrum by the observed stellar spectrum and then multiplying by the absolute stellar flux. That procedure produces artifacts near strong terrestrial features, especially when the airmass and atmospheric conditions are not perfectly matched for the star and comet. Instead, we first computed a model stellar spectrum, including the atmospheric absorption calculated using the Fast Atmospheric Signature Code (FASCOD2) radiative transfer software (Clough *et al.* 1986) and the High-resolution Transmission molecular Absorption (HITRAN) database (Rothman *et al.* 1992). Then we computed “inverse sensitivity factors” at each data point by fitting a smoothly varying spline function to the ratio of the model and observed stellar spectrum.⁶ Multiplying the observed cometary spectrum by the inverse sensitivity factors gives the absolutely calibrated cometary spectrum. Next, we divided the absolutely calibrated cometary spectrum by a model atmospheric transmittance spectrum at the same airmass and resolution as the cometary spectrum, fit a smoothly varying spline function to that ratio in the regions of good atmospheric transmittance, and multiplied the atmospheric transmittance spectrum by this spline function to obtain an estimate of the *observed* cometary continuum (i.e., the cometary continuum at the telescope). Subtraction of the latter from the full cometary spectrum yields an *emission* spectrum, from which integrated line fluxes were calculated. Division of the integrated line flux by the monochromatic atmospheric transmittance at the center of the observed line then gives the integrated line flux at the top of the atmosphere. Similarly, division of the estimated cometary continuum at the telescope by the model atmospheric transmittance gives the estimated cometary continuum at the top of the atmosphere. Examples of the estimated cometary continuum spectra at the telescope, and of the emission spectra, are shown later in the paper.

The frequency scale for each CSHELL spectrum was determined by identifying several atmospheric absorption lines in the spectrum and calculating a linear dispersion formula that fit the known absolute positions of the atmospheric lines in a least-squares sense. When the continuum was too weak to identify absorption lines clearly (e.g., for the cometary spectra taken on 25 and 28 October), we fit the corresponding atmospheric emission lines in the “A” or “B” frame. We estimate that the dispersion relation so determined was accurate to ~ 1 pixel, which corresponds approximately to $9.6 \times 10^{-6} \nu \text{ cm}^{-1}$ where ν is the central frequency (cm^{-1}) for a particular grating setting.⁷ For the data taken on 27 October, there was only one atmospheric absorption/emission line in the entire spectrum. In this particular case, we derived a dispersion relation using this single atmospheric line and the strongest observed line in the cometary emission spectrum, which we *assumed* to be the $1_{01}-2_{12}$ line in the $\nu_1-\nu_2$ band of H_2O . If our identification of the cometary

H_2O emission line is correct, then the frequency scale for the 27 October data should have an accuracy of ~ 2 pixels.

2.2. UKIRT CGS4

On UT 29 October 1998 we also obtained a spectrum of GZ using the Cooled Grating Spectrometer 4 (CGS4) at the UKIRT and covering the wavelength range 3.08–3.72 μm . Individual CGS4 pixels are square and are $0''.61$ on a side, which projected to ~ 410 km at the comet during our observation. The 40 lines mm^{-1} grating was used along with a $1''.2$ wide slit, resulting in a resolution of 0.0050 μm , corresponding to $\lambda/\delta\lambda \sim 680$ at 3.40 μm . The UKIRT data were obtained in ABBA mode, using nodding along the slit, which was oriented east–west. Spectra were obtained with nods of both $7''.32$ and $14''.64$. The four positive and negative rows with the largest signals were extracted from the final coadded spectral image and combined, resulting in an effective aperture size of $1''.2 \times 2''.4$ (NS \times EW). Comparison of the extracted spectra with the smaller and larger nods showed little or no reduction of signal in the former due to the subtraction of extended cometary emission. They were averaged to provide the final spectrum.

Spectra of HR 7272 (spectral type G1V, $T_{\text{eff}} = 5900$ K) at two airmasses were obtained for the purpose of flux calibration and removal of telluric and instrumental spectral features. The star was assumed to have an L magnitude of 5.26, using the color relations in Tokunaga (2000). From the intensity profile of the star along the slit, we estimate that the extracted spectrum contains $50 \pm 5\%$ of the stellar flux incident on the slit. Wavelength calibration was obtained from an argon lamp observed in second order and is accurate to better than 0.0010 μm . The flux-calibrated spectrum of GZ presented in this paper was rebinned to a resolution of 0.01 μm , corresponding to $\lambda/\delta\lambda \sim 340$ at 3.40 μm .

3. RESULTS AND DISCUSSION

3.1. H_2O

Water had never been directly detected in GZ, although its presence has been inferred from UV and radio observations of OH (McFadden *et al.* 1987, Schleicher *et al.* 1987, Gérard *et al.* 1988). These previous studies of OH demonstrated conclusively that H_2O is the dominant volatile constituent in GZ, a property that GZ probably shares with all other comets. Since H_2O is so much more abundant than other cometary volatiles, its sublimation is primarily responsible for the observed activity of GZ, at least for $r \leq 3$ AU when temperatures at the surface of the nucleus are expected to exceed ~ 150 K. We detected several IR transitions of H_2O during our CSHELL observations on 26, 27, and 29 October.

On 29 October, we searched for a H_2O line in the $\nu_1 + \nu_2 + \nu_3 - \nu_1$ band near 2 μm and found a significant emission feature at exactly the predicted Doppler-shifted position (Fig. 1). Although the peak flux has a signal-to-noise ratio of only $\sim 3-4$,

⁶ Use of the spline function avoids singularities in the regions of poor atmospheric transmittance.

⁷ The dispersion in velocity space is $\sim 2.9 \text{ km s}^{-1} \text{ pixel}^{-1}$.

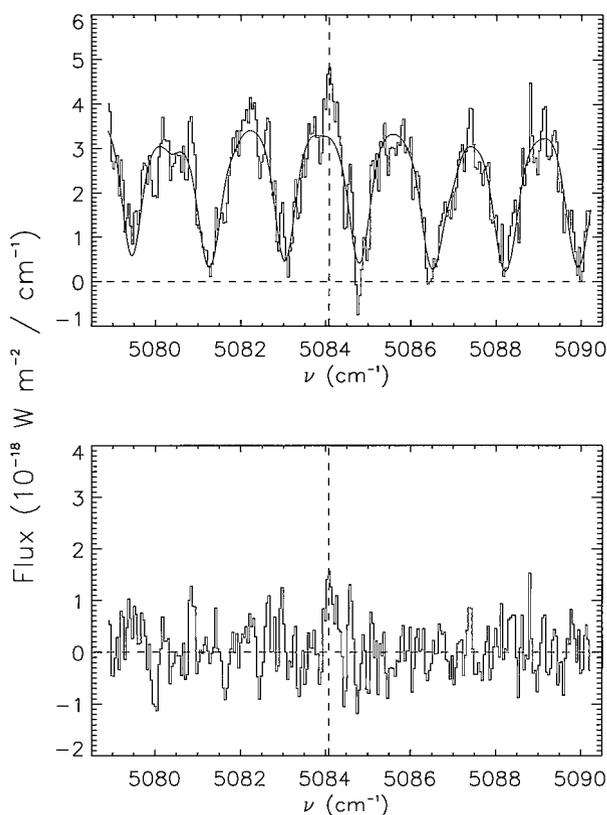


FIG. 1. The top panel shows a spectrum of Comet 21P/Giacobini-Zinner taken on UT 29 October 1998 using CSHELL at the NASA IRTF. The predicted location of the 2_{02} – 3_{03} H₂O line in the $\nu_1 + \nu_2 + \nu_3 - \nu_1$ band is shown by the dashed vertical line. The solid curve is a model for the observed cometary continuum, including the atmospheric absorption. Subtraction of the estimated continuum from the observed spectrum yields the emission spectrum shown in the bottom panel. The integrated flux in the H₂O line is detected with a signal-to-noise ratio of ~ 8 .

the feature has a spectral shape consistent with that expected for a real cometary emission observed through the $2''$ slit (the slit width is 10 pixels), and the signal-to-noise ratio for the line-integrated flux is ~ 8 . The many absorption features in the spectrum are due to an overtone band of CO₂ in the terrestrial atmosphere, and no cometary emission can be detected in the cores of these lines because the transmittance goes to zero there. The identified line (2_{02} – 3_{03}) is expected to be the strongest one in the band and is the only reasonably strong H₂O line within the spectral range covered by this grating setting. The success of our observation depended critically on the favorable Doppler shift, which put the cometary emission at a wavelength where the atmospheric transmittance was $\sim 85\%$. The main source of error in determining the absolute flux of the H₂O line is the uncertainty in estimating the continuum. By experimenting with various choices for the continuum, we conclude that the emission line flux has an accuracy of $\sim 50\%$, and the continuum flux uncertainty is $\sim 35\%$ (see Table II). From the flux for the cometary continuum, we derive $Af\rho \sim 720 \pm 250$ cm, where

$Af\rho$ is the product of the grain albedo, the grain filling factor,⁸ and the radius of the equivalent circular aperture used during the observations (A’Hearn *et al.* 1984). Our value of $Af\rho$ at $\lambda = 2 \mu\text{m}$ is somewhat larger than, but comparable to, the values derived from optical observations at $\lambda = 4845 \text{ \AA}$ and $r = 1.08 \text{ AU}$ during the 1985 apparition ($Af\rho \sim 420$ cm; Schleicher *et al.* 1987).

Using the absolute flux (F in W m^{-2}) of the observed H₂O line at the top of the atmosphere, we calculated the H₂O production rate ($Q_{\text{H}_2\text{O}}$, molecules s^{-1}) according to

$$Q_{\text{H}_2\text{O}} = \frac{2.48 \times 10^{43} \nu r^2 \Delta F}{g v \theta},$$

where v is the outflow velocity ($= 0.8/\sqrt{r}$ km s^{-1}), g is the fluorescence efficiency factor at $r = 1 \text{ AU}$ (photons s^{-1} molecule $^{-1}$), ν is the frequency of the line (cm^{-1}), and θ is the diameter of the equivalent circular aperture (arcsec) used for the spectral extraction.⁹ The above formula will also be used to calculate the other molecular production rates discussed in this paper. The formula ignores the finite lifetime of the molecule, but the effect of including the lifetime is negligible for all the small-aperture observations discussed here.

We use the detailed, multiband excitation model of Bockelée-Morvan and Crovisier (1988) to calculate g factors for the H₂O lines discussed in this paper. The model has been extended to include more vibrational bands, including all of the bands observed in GZ. The model has previously been applied successfully in the analysis of several medium-to-high resolution cometary spectra showing H₂O rovibrational lines (e.g., Crovisier *et al.* 1997). For our analysis of the GZ data, we assume that the population of the rotational levels in the ground vibrational state can be described by a thermal distribution.¹⁰ Therefore, the only input parameter to the model is the effective rotational temperature of the H₂O molecules, which we could not independently determine because we only observed one to three lines in any particular vibrational band and their relative intensities are not very sensitive to the rotational temperature. Given that the outside edge of the aperture used for the spectral extraction was only ~ 1400 km from the nucleus, we would expect thermalized molecules in that region of the coma to be very cold due to the strong expansion cooling (see Bockelée-Morvan and Crovisier 1987). Even if collisional equilibrium was not achieved because GZ has such

⁸ The grain filling factor is effectively the optical depth.

⁹ We used $3''.2$ for the $2'' \times 4''$ case and $1''.8$ for the $1'' \times 4''$ case; these were determined from a model analysis in which we calculated which circular aperture gives the same integrated flux as the rectangular apertures employed during the observations.

¹⁰ We also assume that the ortho-to-para ratio (OPR) is the statistical equilibrium value of 3, which is achieved in thermal equilibrium whenever $T \geq 50 \text{ K}$. This choice is justified if the H₂O molecules equilibrate at the temperature of the outer surface of the nucleus, since the ratio cannot be changed by coma processes. In any event, our value of $Q_{\text{H}_2\text{O}}$ is not very sensitive to the exact value of the OPR; for example, using $\text{OPR} = 2.4$ only changes $Q_{\text{H}_2\text{O}}$ by $\sim 6\%$. See Mumma *et al.* (1987) for further discussion.

low gas production rates, the rotational temperature of H_2O is still expected to be low because fast rotational cooling, owing to the large permanent electric dipole moment of H_2O , dominates the radiative pumping induced by infrared fluorescence of solar light. We have calculated the evolution of the rotational population distribution with cometocentric distance using the comprehensive model of Bockelée-Morvan (1987) and find that collisional equilibrium should apply within the field-of-view of our observations. Throughout this paper we will calculate production rates using $T_{\text{rot}} = 50$ K, as this is an appropriate choice for a low-activity comet like GZ (see Bockelée-Morvan and Crovisier 1987).

Assuming that T_{rot} is 50 K, the nominal H_2O production rate derived from our observation of the $2_{02}-3_{03}$ line in the $\nu_1 + \nu_2 + \nu_3 - \nu_1$ band is 2.0×10^{28} molecules s^{-1} (Table II). If T_{rot} is 100 K, then $Q_{\text{H}_2\text{O}}$ increases to 2.4×10^{28} molecules s^{-1} .

We must also point out that the calculation of g factors for the $\nu_1 + \nu_2 + \nu_3 - \nu_1$ band of H_2O might have some systematic error because the intensities of the lines in this “hot” band have never been measured in the laboratory. Our calculations assume that the Einstein A values for the lines in this band are identical to the Einstein A values for the lines in the $\nu_2 + \nu_3$ band, an assumption that was used previously by Mumma *et al.* (1996) in their analysis of the H_2O lines they observed in Comet Hyakutake (1996 B2) and which gave production rates that were comparable to those derived using other techniques (e.g., observations of OH). A recent *ab initio* calculation of the intensities of H_2O lines (Partridge and Schwenke 1997) also gives excellent agreement (within 2%) with our estimate for the strength of the $2_{02} - 3_{03}$ line.

All production rates listed in Table II include a conservative estimate of the total error due to measurement uncertainty and the absolute flux calibration, but they do *not* include the error associated with the uncertainty in T_{rot} .¹¹ Since the dominant error is generally that associated with the continuum removal, the limits given for the production rates listed in Table II should be regarded as plausible ranges for the values and not 1σ statistical limits.

The cometary spectra obtained on 26 and 27 October were taken in the $5\text{-}\mu\text{m}$ wavelength region, where the thermal emission from the terrestrial atmosphere is orders of magnitude larger than the terrestrial emission observed in the $2\text{-}\mu\text{m}$ region. In order to provide for good subtraction of this high background, we beam-switched every 10 s. The observations on 27 October were taken through the $2''$ slit, while a $1''$ slit was used on 26 October to provide better discrimination between the cometary and terrestrial lines of CO.

The grating setting used on 27 October covered a spectral range that included two strong lines in the $\nu_1 - \nu_2$ band of H_2O . The P10 transition of the CN (1, 0) vibrational band (the rest frequency is 2003.07 cm^{-1}) also lies within this wavelength

¹¹ Note, however, that the production rates based on *band* intensities are independent of T_{rot} .

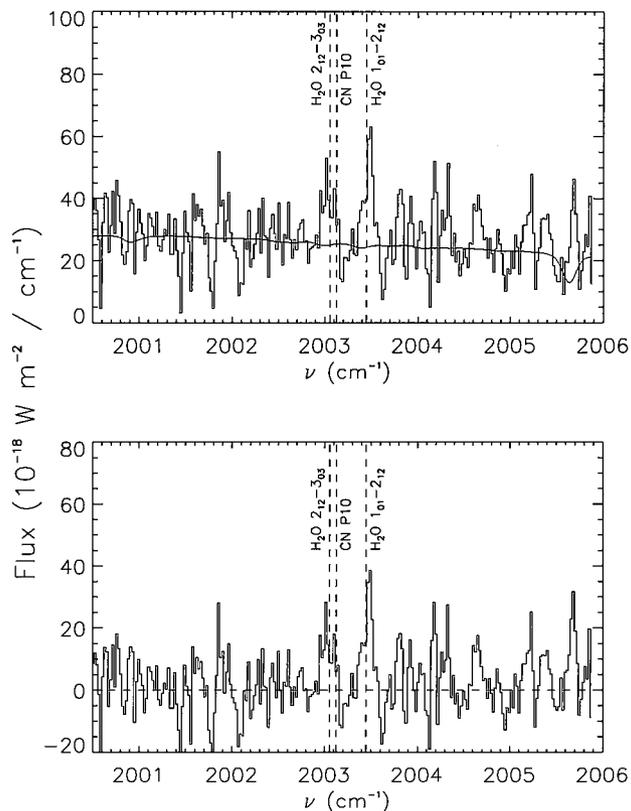


FIG. 2. The top panel shows a spectrum of Comet 21P/Giacobini-Zinner taken on UT 27 October 1998 using CSHELL at the NASA IRTF. The predicted locations of three cometary lines are shown by the dashed vertical lines. The solid curve is a model for the observed cometary continuum, including atmospheric absorption. Subtraction of the latter from the observed spectrum yields the emission spectrum shown in the bottom panel. The integrated flux in the stronger H_2O line is detected with a signal-to-noise ratio of ~ 6 .

range and is nearly coincident with one of the H_2O lines (the $2_{12} - 3_{03}$ line at 2002.999 cm^{-1}). Our observing procedure (i.e., performing nods of only $10''$) discriminates against emission from photolysis products like CN, and, indeed, the relevant feature in Fig. 2 is better aligned with the H_2O line than with the CN line. However, as discussed in Section 2, the frequency scale for this spectrum is more uncertain than for our other cometary spectra, which makes us somewhat reluctant to rule out a possible contribution to this emission feature by CN. Assuming that T_{rot} is 50 K, the production rate derived from the stronger, and uncontaminated, H_2O line is 2.4×10^{28} molecules s^{-1} , which is similar to the value derived above for the H_2O line in the $2\text{-}\mu\text{m}$ band. Although the weaker H_2O line in the $5\text{-}\mu\text{m}$ band may be contaminated by CN emission, we note that its flux relative to the stronger line appears to be consistent with the theoretical value of ~ 0.4 at 50 K.

Our primary objective on 26 October was to search for CO lines, but we targeted specific CO transitions that also allowed us to search for two relatively strong H_2O lines: one in the $\nu_1 - \nu_2$ band and one in the $\nu_3 - \nu_2$ band. Both of these H_2O lines

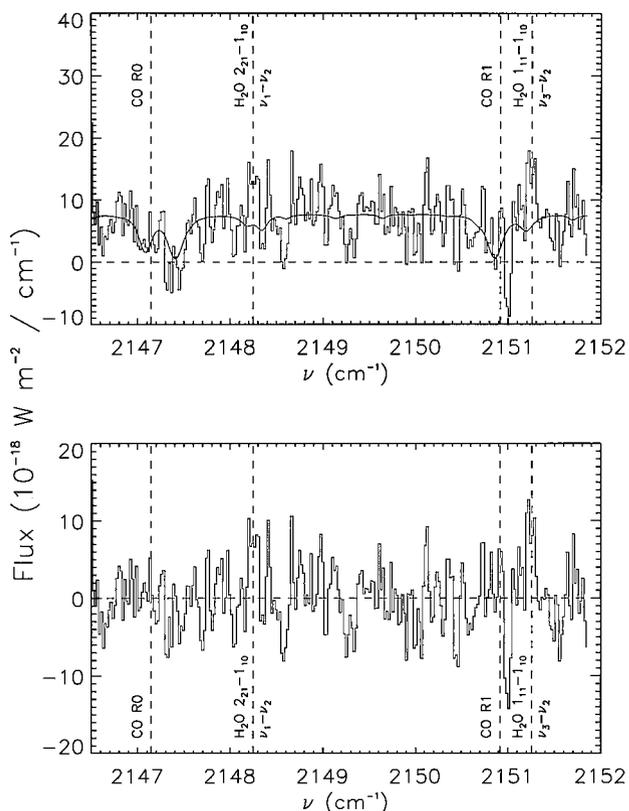


FIG. 3. The top panel shows a spectrum of Comet 21P/Giacobini-Zinner taken on UT 26 October 1998 using CSHELL at the NASA IRTF. The predicted locations of four cometary lines are shown by the dashed vertical lines. The solid curve is a model for the observed cometary continuum, including the atmospheric absorptions. Subtraction of the latter from the observed spectrum yields the emission spectrum shown in the bottom panel. The integrated flux in the stronger H₂O line was detected with a signal-to-noise ratio of ~ 8 . No significant cometary CO emission was detected.

appear to be present in our spectrum (Fig. 3), at their expected Doppler-shifted positions and with the expected spectral shape (i.e., a width of ~ 5 pixels for these observations, which were made with a $1''$ slit). However, the relative intensity of the two H₂O lines is very different from the model g-factor ratio. The observed lines have a flux ratio of ~ 1.3 (i.e., have comparable intensities), whereas the predicted value is 5.3 for $T_{\text{rot}} = 50$ K and 3.4 for $T_{\text{rot}} = 100$ K. For our Hale-Bopp observations at $r = 1.1$ AU (Weaver *et al.* 1999), the observed intensity ratio was ≥ 4 , as we could not even clearly detect the $2_{21} - 1_{10}$ line. Assuming that $T_{\text{rot}} = 50$ K, the flux in the $1_{11} - 1_{10}$ implies a H₂O production rate of $\sim 1.2 \times 10^{28}$ molecules s^{-1} , whereas the flux in the $2_{21} - 1_{10}$ line gives a H₂O production rate of $\sim 5.3 \times 10^{28}$ molecules s^{-1} . Interestingly, the average of these two values is similar to the H₂O production rate derived earlier during our analysis of the H₂O lines at other wavelengths. Perhaps the apparent inconsistency in the relative flux ratios of the H₂O lines observed in the CO region is due to the poor signal-to-noise of the data.

In summary, our CSHELL observations of H₂O lines in comet GZ indicate that $Q_{\text{H}_2\text{O}}$ was $\sim 2\text{--}3 \times 10^{28}$ molecules s^{-1} during the entire period of our observations. UV observations of GZ during its 1985 apparition, at the same heliocentric distance as our 1998 observations, gave a peak value for $Q_{\text{H}_2\text{O}}$ of $\sim 3.5\text{--}5.4 \times 10^{28}$ molecules s^{-1} (using data from McFadden *et al.* 1986 and Schleicher *et al.* 1987, but using updated vectorial density model parameters; the range reflects different choices for the H₂O and OH velocities and lifetimes). Radio observations of OH in GZ give a H₂O production rate of $5.5 \pm 0.4 \times 10^{28}$ molecules s^{-1} at $r \sim 1.1$ AU in 1985 (production rates from Gérard *et al.* 1988 were reevaluated using updated model parameters) and $5.6 \pm 0.4 \times 10^{28}$ mols in 1998 (average of observations made between 26 October and 31 October; Biver 1999c). Thus, the 1998 IR value for $Q_{\text{H}_2\text{O}}$ is 1.9–2.8 times smaller than the radio values, which are virtually identical in 1985 and 1998, and 1.2–2.7 times smaller than the 1985 UV values. Given the poor signal-to-noise ratio of the IR determinations of $Q_{\text{H}_2\text{O}}$, one could possibly accept that they are consistent with both the 1998 radio value and the 1985 radio and UV values. Although a variety of physical mechanisms (e.g., seasonal effects and mantling) could produce differences in activity between the 1985 and 1998 apparitions of GZ, the data above indicate a remarkable consistency in $Q_{\text{H}_2\text{O}}$ values for the two epochs.

3.2. CH₃OH, the 3.43- μm Feature, H₂CO, PAHs, and the Continuum

Figure 4 shows the CGS4 spectrum of GZ taken from the UKIRT on 29 October along with our estimate of the continuum contribution from cometary grains. The continuum was modeled as the sum of a scattered solar spectrum, which has a color temperature of 5800 K, and a thermal component. Photometry of GZ between 4.7 and 20 μm at $r = 1$ AU in 1985 indicated that the thermal continuum was well-fit by a blackbody spectrum at 300 K (Hanner *et al.* 1992). However, the combination of UKIRT and IRTF data (see Fig. 5) indicates that a 300 K blackbody curve is too steep in the 3- to 5- μm region to match the spectrum. We found that $T \sim 380$ K provides a much better fit to the data.

The estimated continuum was subtracted from the observed UKIRT spectrum to produce the “emission” spectrum displayed in Fig. 4. This figure also shows a model CH₃OH spectrum that includes fluorescence in the C–H stretch fundamental bands: ν_2 (centered near 3.334 μm), ν_9 (centered near 3.367 μm), and ν_3 (centered near 3.516 μm). The fluorescence model for CH₃OH includes collisional excitation of the rotational levels and vibrational pumping by solar infrared radiation (Bockelée-Morvan *et al.* 1994) and has been used to analyze the CH₃OH contribution to the IR spectra of several other comets (Bockelée-Morvan *et al.* 1995). Laboratory line assignments and intensities for the C–H stretch fundamentals are currently incomplete, and the model uses symmetric top approximations for these. In addition, overtone and combination bands of CH₃OH may also contribute significant emission in the 3.4- to 3.5- μm region,

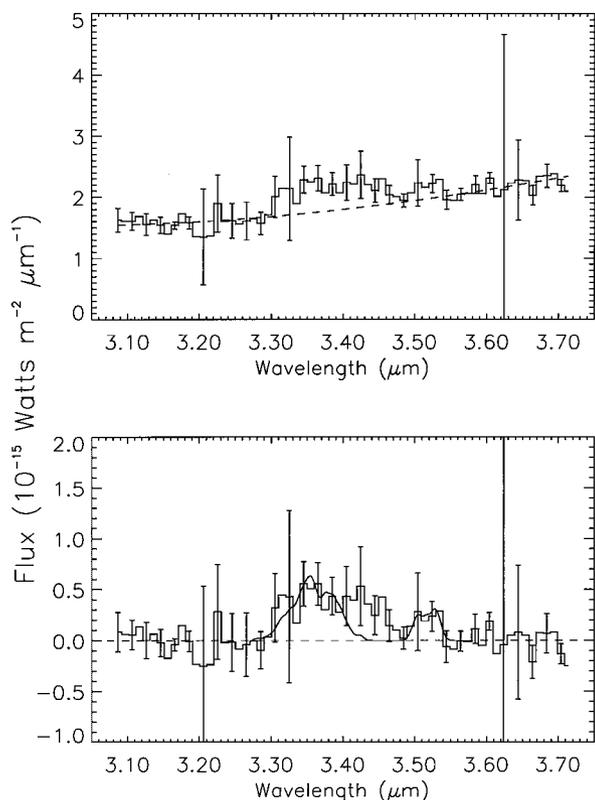


FIG. 4. The top panel shows the spectrum of Comet 21P/Giacobini-Zinner measured using CGS4 at UKIRT on 29 October 1998. The dashed curve is the best fit continuum, which consists of a scattered light component having a color temperature of 5800 K and a thermal component having a color temperature of 380 K. Subtraction of this continuum from the observed spectrum yields the emission spectrum displayed in the bottom panel. The solid curve in the bottom panel is a model CH_3OH fluorescence spectrum, which explains most of the observed emission. However, there is an additional emission feature near $3.43 \mu\text{m}$.

and these bands are not included in the model. Yet the CH_3OH production rates derived from the IR observations generally agree very well with the values derived from radio observations because the total strength of the ν_3 band is well determined, and this band is usually the one used to derive CH_3OH production rates. Assuming that all the residual emission near $3.52 \mu\text{m}$ is due to the CH_3OH ν_3 band, we derive a CH_3OH production rate of $2.7 \pm 0.8 \times 10^{26}$ molecules s^{-1} . Assuming that the H_2O production rate is $2\text{--}3 \times 10^{28}$ molecules s^{-1} , the $\text{CH}_3\text{OH}/\text{water}$ abundance ratio is $0.9\text{--}1.4\%$. Radio observations of CH_3OH in GZ on 31 October from the James Clerk Maxwell Telescope (JCMT) give $Q_{\text{CH}_3\text{OH}} = 6.9 \pm 1.1 \times 10^{26}$ molecules s^{-1} (Biver, personal communication, assuming $v = 0.8 \text{ km s}^{-1}$). However, since the radio-derived value for $Q_{\text{H}_2\text{O}}$ is also ~ 2 times larger than our value (see Section 3.1), we obtain similar CH_3OH abundances (the radio value is $1.3 \pm 0.3\%$). Thus, the IR and radio data give consistent CH_3OH abundance ratios and demonstrate that the CH_3OH abundance in GZ falls within the range of values observed in other comets ($1\text{--}7\%$; see Bockelée-Morvan 1997), albeit at the low end.

After subtraction of the model CH_3OH spectrum from the CGS4 data, significant residual emission remains near $3.43 \mu\text{m}$ (see Fig. 4). Fits to the spectra of other comets in this spectral region also showed a similar residual emission (Bockelée-Morvan *et al.* 1995, DiSanti *et al.* 1995). The residual flux correlates better with the H_2O production rate than with the dust continuum in this sample, suggesting that it is of gaseous origin. The residual flux correlates even better with the CH_3OH production rate. As discussed above, the spectrum of CH_3OH is not well known in this region and there is a possibility that all or part of this residual emission may be due to overtone and/or combination bands of CH_3OH itself (see Bockelée-Morvan *et al.* 1995 for a more detailed discussion). Here we simply examine how the residual flux in GZ compares to that in other comets.

Following the approach of Brooke *et al.* (1991), we computed the quantity $F^* = F(980/\rho)\Delta^2$, where ρ is the effective aperture radius in kilometers and Δ is the geocentric distance in AU. F^* is proportional to the production rate of the unknown species and can be compared to the values computed for other comets (see Bockelée-Morvan *et al.* 1995). We find that $F^*/Q_{\text{H}_2\text{O}}$ in comet GZ ($0.09\text{--}0.14 [10^{-15} \text{ W m}^{-2}/10^{29} \text{ s}^{-1}]$) is a factor of $\sim 3\text{--}4$ smaller than the value indicated by a global fit to the heliocentric dependence of this quantity (~ 0.4 in the same units, at $r \sim 1$ AU) for all of the comets discussed by Bockelée-Morvan *et al.* (1995). The value of $F^*/Q_{\text{CH}_3\text{OH}}$ in comet GZ, $\sim 0.1 [10^{-15} \text{ W m}^{-2}/10^{27} \text{ s}^{-1}]$, is only $\sim 40\%$ smaller than the global average for all comets and is essentially identical to the value determined

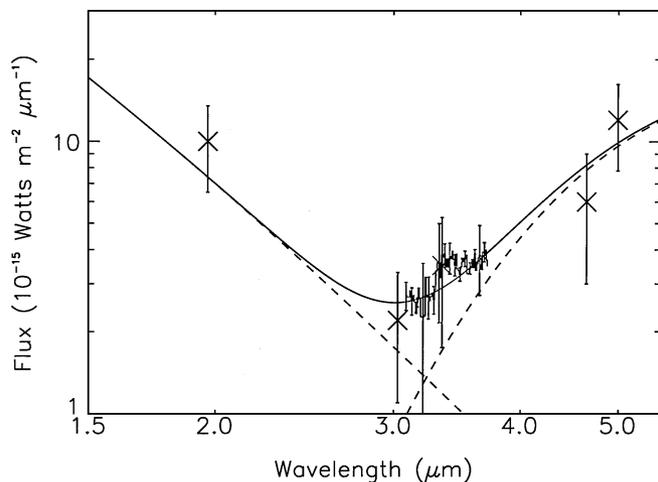


FIG. 5. The UKIRT CGS4 spectrum (histogram with error bars) of Comet 21P/Giacobini-Zinner taken on 29 October 1998 is plotted together with the average continuum levels derived from the IRTF CSHELL spectra (\times symbols) taken on (from left to right) 29 October, 28 October, 25 October, 26 October, and 27 October. All displayed fluxes have been normalized to an effective aperture size of $2'' \times 4''$. The solid curve is the best fit continuum, assuming that the scattered solar continuum has a color temperature of 5800 K (left dashed curve) and that the thermal continuum has a color temperature of 380 K (right dashed curve).

for 1P/Halley (~ 0.09 in the same units) at $r \sim 1$ AU.¹² Thus, the abundances of *both* CH₃OH and the species responsible for the 3.43- μ m emission appear to be at the low end of the range of values observed in other comets.

The CGS4 spectrum can also be used to place a constraint on the H₂CO abundance in GZ. The ν_1 and ν_5 bands of H₂CO are centered at 3.594 and 3.517 μ m, respectively, and $\sim 25\%$ of the total emission in these bands lies between 3.57 and 3.60 μ m. There is no residual emission in the latter wavelength region after subtraction of the continuum, and we have used the 3σ noise level to place an upper limit on the H₂CO production rate of 1.5×10^{26} molecules s⁻¹, assuming that H₂CO is a parent molecule. This translates into an upper limit on the H₂CO abundance of ~ 0.5 – 0.8% . If we instead assume that the H₂CO comes from an *extended* source whose scalelength is 7000 km, we calculate an upper limit to the H₂CO abundance of ~ 5 – 8% . Both of these limits on the H₂CO abundance in GZ are considerably larger than what is typically observed in comets: $\sim 0.2\%$ if a parent species and $\sim 1\%$ if from an extended source (Bockelée-Morvan 1997). However, our limit on H₂CO production as a parent molecule is well below the value derived by Mumma and Reuter (1989) from the *Vega* infrared spectroscopy of Comet 1P/Halley ($\sim 4\%$).

We note that the CGS4 spectrum of GZ shows no evidence for any excess emission near 3.28 μ m. Since polycyclic aromatic hydrocarbon (PAH) molecules can radiate at this wavelength, there is a strong interest in identifying and characterizing any emission there. Excess emission at 3.28 μ m has been detected in several comets, but its strength relative to H₂O and CH₃OH production seems to be highly variable among comets (see Bockelée-Morvan *et al.* 1995). The absence of any significant excess emission in the spectrum of comet GZ near 3.28 μ m is certainly not unique.

In Fig. 5 we display all of the cometary continuum measurements made during our investigation of GZ. As discussed above, the continuum consists of a thermal component having a color temperature of ~ 380 K and a scattered solar component. Only the CSHELL point near 2 μ m and the CGS4 spectrum were obtained on the same day, yet all of the data are remarkably consistent.¹³ Apparently the continuum emission from GZ was fairly constant (to within $\sim 50\%$) during the entire period of our observations. The 1985 optical and UV observations of GZ (Schleicher *et al.* 1987) also showed little day-to-day variability.

3.3. C₂H₆

One of the biggest surprises during the observations of Comet Hyakutake (C/1996 B2) was the detection, using CSHELL, of

abundant C₂H₆ (Mumma *et al.* 1996). The Q subbranches of this molecule are very strong and many fall at wavelengths where there is good transmittance through the terrestrial atmosphere, so sensitive searches for C₂H₆ are possible. The comparable C₂H₆ abundances, relative to H₂O, in Comets Hyakutake and Hale–Bopp ($\sim 0.4\%$, Weaver *et al.* 1999, Kim *et al.* 2000) proved that Hyakutake was not unique in its C₂H₆ abundance and suggests that C₂H₆ might be similarly abundant in all “normal” comets. On 25 October we conducted a deep CSHELL search for the ¹Q₀ and ¹Q₁ subbranches in the ν_7 C₂H₆ band in comet GZ. In addition, the CGS4 spectrum taken on 29 October provided another probe of C₂H₆ emission.

The CSHELL spectrum (Fig. 6) does not show any evidence for C₂H₆ emission, despite the long exposure time employed (170 min). Even the cometary continuum was only marginally detected, as we were observing in a region near the minimum of the continuum flux (see Fig. 5). We calculated C₂H₆ g factors assuming that the populations of the ground-state rotational levels were in thermal equilibrium with $T_{\text{rot}} = 50$ K (Kim *et al.* 2000). The lack of emission in the ¹Q₀ subbranch provides the most

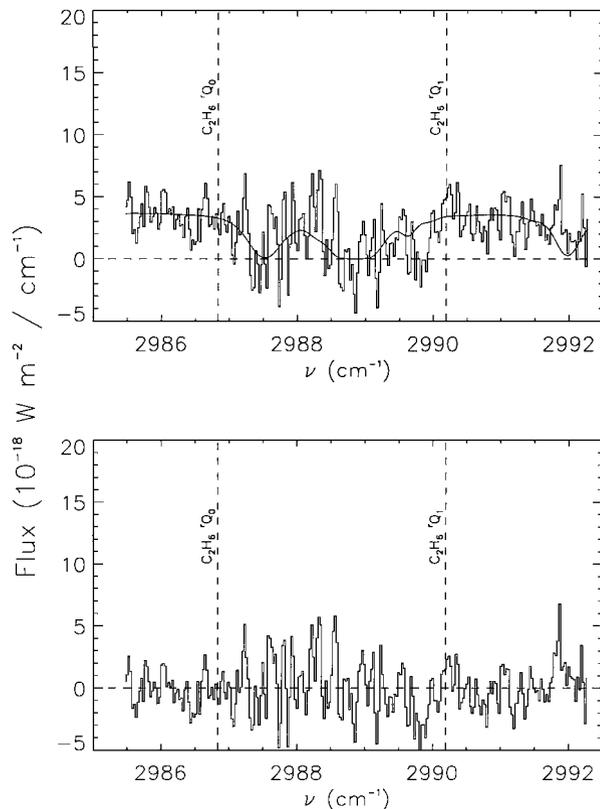


FIG. 6. The top panel shows a spectrum of Comet 21P/Giacobini–Zinner taken on UT 29 October 1998 using CSHELL at the NASA IRTF. The solid curve is a model for the observed cometary continuum, including the atmospheric absorptions. Subtraction of the latter from the observed spectrum yields the emission spectrum shown in the bottom panel. The predicted locations of two C₂H₆ subbranches (¹Q₀ and ¹Q₁) are shown by the dashed vertical lines, but no C₂H₆ emission was detected.

¹² This result is due to the relatively large CH₃OH abundance of $\sim 5\%$ assumed for Halley at $r = 1$ AU.

¹³ We have multiplied the CGS4 spectrum by a factor of 1.67 to scale its flux from what was observed in a $1''.2 \times 2''.4$ aperture to what would be expected in a $2'' \times 4''$ aperture. Similarly, the CSHELL point near 4.67 μ m was multiplied by 1.6 to convert from a $1'' \times 4''$ aperture to a $2'' \times 4''$ aperture.

sensitive limit on the C_2H_6 production rate, $Q_{C_2H_6} \leq 1.6 \times 10^{25}$ molecules s^{-1} (3σ). Assuming that the H_2O production rate was $\sim 2\text{--}3 \times 10^{28}$ molecules s^{-1} , we find that $C_2H_6/H_2O \leq 0.05\text{--}0.08\%$, which implies that C_2H_6 was depleted in GZ by a factor of at least 5–8 compared to Comets Hale–Bopp and Hyakutake. This depletion factor is similar to that found for the C_2 and C_3 abundances in GZ from ground-based optical observations: the C_2/OH and C_3/OH ratios are about one-tenth of the “typical” cometary value, and the C_2/CN and C_3/CN ratios are about one-fifth of the typical value (A’Hearn *et al.* 1995). Thus, our result on C_2H_6 strengthens the conclusion that “depleted” comets are underabundant in *volatile* carbon-chain molecules. However, we note the possibility that more complex carbon chain molecules might still be present in the nuclei of “carbon-chain depleted” comets; if those molecules have relatively high sublimation temperatures and are not released in gaseous form in the coma, then they would be difficult to detect even if they were relatively abundant. The organic residues produced during laboratory irradiation experiments on cometary ice analogs (see Greenberg 1982) are possible examples.

Mumma *et al.* (2000) detected emission in the 1Q_0 and PQ_1 subbranches of C_2H_6 during CSHELL observations of GZ during the first week of October 1998, but their C_2H_6 production rate of $\sim 8 \times 10^{25}$ molecules s^{-1} is ~ 5 times larger than our 3σ upper limit. Based on GZ’s behavior during the 1985 apparition, gas production rates in early October 1998 were expected to be $\sim 50\%$ smaller than at the end of the month,¹⁴ making the discrepancy between our results and those of Mumma *et al.* even more puzzling. Mumma *et al.* also detected CO emission in GZ (see further discussion below) but did *not* detect any H_2O lines, whereas we detected five H_2O lines but no CO emission. Both groups generally searched for the same lines, so changes in the molecular excitation are unlikely to explain these discrepancies. If we use their value for $Q_{C_2H_6}$ and our value for Q_{H_2O} , then $C_2H_6/H_2O \sim 0.3\text{--}0.4\%$ and there would be essentially no depletion of C_2H_6 . A factor of five depletion in the C_2H_6/H_2O abundance in early October would require $Q_{H_2O} = 7.2 \times 10^{28}$ molecules s^{-1} at that time, which is inconsistent with the non-detection of IR H_2O emission by Mumma *et al.* We have not yet been able to resolve the above discrepancies.

Our CGS4 spectrum of GZ can also be used to place an upper limit on the C_2H_6 abundance. Emission from the C_2H_6 ν_7 band would appear as a broad feature (FWHM $\sim 0.04 \mu m$) centered at a wavelength near $\sim 3.35 \mu m$ in the CGS4 spectrum. After subtraction of the modeled cometary continuum and CH_3OH emissions, the CGS4 spectrum shows no significant residual emission at $3.35 \mu m$. Adopting a band g factor of 7.3×10^{-4} photons s^{-1} molecule $^{-1}$ (Kim *et al.* 2000), we find that the 3σ limit on the residual flux translates into an upper limit on the C_2H_6 production rate of $\sim 8.8 \times 10^{25}$ molecules s^{-1} . The latter is

~ 5 times larger than the upper limit derived from our CSHELL data.¹⁵

Although the CGS4 spectrum does not provide a very constraining limit on C_2H_6 production in Comet GZ, moderate resolution spectra exist for a number of comets from which C_2H_6 abundance limits can be estimated. We used the spectra of the seven comets discussed in Bockelée-Morvan *et al.* (1995) and assumed that all of the residual emission they assigned to a broad feature centered at $3.35 \mu m$ after subtraction of a model of CH_3OH emission is due to C_2H_6 . The results are summarized in Table III.

First, we note that Comet 1P/Halley shows evidence for compositional heterogeneity as two of its spectra (on 25 April 1986 and 19–20 May 1986) have residuals near $3.35 \mu m$ that could be explained by a relative C_2H_6 abundance of $\sim 1\text{--}2\%$, while the C_2H_6 abundance must be at least an order of magnitude smaller to explain the lack of any residual emission on 28 March 1986. Compositional heterogeneity was previously invoked to explain variations in the H_2CO abundance in Halley (Mumma and Reuter 1989). The residual emissions near $3.35 \mu m$ in Comets Levy (C/1990 K1), Okazaki–Levy–Rudenko (C/1989 XIX), Wilson (C/1986 P1), and Bradfield (C/1987 P1) apparently require C_2H_6 abundances equal to or greater than the C_2H_6 abundances in Comets Hyakutake (C/1996 B2) and Hale–Bopp (C/1995 O1). However, for these four comets the residual centered near $3.35 \mu m$ is less than half the total residual emission between 3.2 and $3.5 \mu m$, after subtraction of the predicted CH_3OH contribution, indicating that something other than C_2H_6 must also be contributing significantly and that the C_2H_6 production rates quoted in Table III should probably be regarded as upper limits. There is evidence for C_2H_6 depletion in the spectra of Comets Austin (C/1989 X1) and 23P/Borsen–Metcalf, although one spectrum of the Borsen–Metcalf may require a small amount of C_2H_6 emission.

Table III also gives the estimated C_2 and C_3 abundances for the various comets. Although the IR spectra indicate that comets 21P/Borsen–Metcalf and Austin (C/1989 X1) may be depleted in C_2H_6 , both have “normal” C_2 and C_3 abundances. All of the other comets in the table, except GZ, have normal C_2 and C_3 abundances, so the data presented in this paper do not provide any convincing evidence for a correlation between C_2H_6 depletion and the C_2 and C_3 depletions. We also do not find any correlation of the strength of the $3.35\text{-}\mu m$ emission band with dynamical origin.

Although our analysis indicates that C_2H_6 emission is probably a significant contributor to the excess flux observed in moderate resolution spectra of some comets near $3.35 \mu m$, we must also recognize the limitations of the approach used here. In

¹⁴ Indeed, the total visual magnitude was ~ 0.5 mags brighter at the end of October compared to early October.

¹⁵ Note that if our model overestimates the CH_3OH fluorescence in the ν_2 and ν_9 bands, as was suggested by Bockelée-Morvan *et al.* (1995), then $Q_{C_2H_6}$ derived from the CGS4 spectrum could be larger than the value given here and quoted in Table III. The same is true for the limits on $Q_{C_2H_6}$ derived for the other comets discussed later.

TABLE III
Limits on C₂H₆ Abundances in Comets

Comet	r^a (AU)	Δ^a (AU)	FOV ^b (arcsec)	$Q_{\text{H}_2\text{O}}^c$ (10^{28} s^{-1})	Flux at $3.35 \mu\text{m}^d$ ($10^{-16} \text{ W m}^{-2}$)	$Q_{\text{C}_2\text{H}_6}^e$ (10^{26} s^{-1})	C ₂ H ₆ /H ₂ O (%)	C ₂ H ₆ /CH ₃ OH ^f (%)	C ₂ /OH ^g (%)	C ₃ /OH ^g (%)	C ₂ /CN ^g (%)
1P/Halley	1.11	0.62	5	50	≤9.3	≤6.8	≤0.14	≤3	0.77	0.078	150
1P/Halley	1.56	0.65	2.5	20	16	45	2.3	120	0.5	0.05	130
1P/Halley	1.90	1.37	1.37	30	2.2	37	1.2	110	0.5	0.05	130
C/1986 P1 (Wilson)	1.31	1.09	1.35	11	3.6	23	2.1	55	0.14	0.02	54
C/1987 P1 (Bradfield)	0.89	0.97	1.35	7	2.5	6.7	0.96	28	0.69	0.069	120
23P/Brorsen–Metcalf	0.95	0.62	1.35	7	≤0.90	≤1.7	≤0.24	≤13	0.40	0.028	140
23P/Brorsen–Metcalf	0.61	0.80	1.35	9	≤2.1	≤2.2	≤0.25	≤6	0.44	0.026	130
23P/Brorsen–Metcalf	0.48	1.20	1.35	16	2.8	2.9	0.18	6	0.56	0.023	140
C/Okazaki–Levy–Rudenko	0.66	0.65	1.35	6	4.6	4.6	0.76	23	0.6	0.02	72
C/1989 X1 (Austin)	0.97	0.31	2.5	6	≤3.3	≤1.8	≤0.29	≤9	0.44	0.026	130
C/1990 K1 (Levy)	1.37	0.43	2.5	25	8.8	13	0.52	81	0.30	0.021	130
C/1996 B2 (Hyakutake)	1.06	0.11	0.67	23	—	10	0.43	27	0.48	0.035	150
C/1995 O1 (Hale–Bopp)	1.05	1.46	0.80	500	—	170	0.34	13	0.79	0.10	130
21P/Giacobini–Zinner	1.10	0.95	0.96	2–3	≤0.21	≤0.88	≤0.3–0.5	≤30	0.04	0.003	20

^a r , Δ : heliocentric and geocentric distances, respectively.

^b FOV: equivalent circular aperture radius.

^c $Q_{\text{H}_2\text{O}}$: from Bockelée-Morvan *et al.* (1995), except for Hyakutake (Dello Russo *et al.*, personal communication Hale–Bopp (Weaver *et al.* 1999), and GZ (this work).

^d Flux @ $3.35 \mu\text{m}$: from Bockelée-Morvan *et al.* (1995), except for GZ (this work).

^e $Q_{\text{C}_2\text{H}_6}$: assumes residual emission at $3.35 \mu\text{m}$ is due to the C₂H₆ ν_7 band; for Comets Hyakutake and Hale–Bopp, C₂H₆ production rates are based on clear identifications of several subbranches in the C₂H₆ ν_7 band (Mumma *et al.* 1996, Weaver *et al.* 1999, Kim *et al.* 2000) but the values are preliminary.

^f C₂H₆/CH₃OH: using $Q_{\text{CH}_3\text{OH}}$ from Bockelée-Morvan *et al.* (1995), except for Hyakutake (Biver *et al.* 1999b), Hale–Bopp (Biver *et al.* 1999a), and GZ (this work); for GZ, C₂H₆/H₂O ≤ 0.05–0.08% and C₂H₆/CH₃OH ≤ 5.9% from our high resolution data (see Table II).

^g C₂/OH, C₃/OH, and C₂/CN: from A’Hearn *et al.* (1995), Schleicher *et al.* (1991), Schleicher *et al.* (1998), and Schleicher (personal communication).

particular, the C₂H₆ abundance limits derived from these moderate resolution spectra could be wrong, if our CH₃OH fluorescence model incorrectly predicts the emission in the 3.35- μm region. Again, see the discussion in Bockelée-Morvan *et al.* (1995) for a detailed discussion of the limitations of the CH₃OH fluorescence model. There is no substitute for high spectral resolution observations that clearly detect individual subbranches in the ν_7 band of C₂H₆, and our statements above regarding cometary C₂H₆ abundances must be considered *preliminary*. Further progress must await future high spectral resolution detections of C₂H₆ in other comets and/or improvements in modeling CH₃OH fluorescence.

3.4. CO

The sublimation temperature of pure CO ice in outer solar nebula conditions is ~24 K (see Yamamoto 1985), which makes it one of the most sensitive indicators of a comet’s evolutionary history. This molecule has now been detected in eight comets, with abundances ranging from a few percent to ~30% (Feldman *et al.* 1997, Bockelée-Morvan 1997). However, this sample of comets is highly biased towards very bright, long-period objects. Prior to the 1998 observations of GZ, Schwassmann–Wachmann 1 (SW1) was the only Jupiter-family comet¹⁶ for which there had

been a definite detection of CO, but SW1 never passes within 5.7 AU of the Sun. At least one short-period comet, 103P/Hartley 2 with a period of only 6.3 years, does not show any evidence for CO in its nucleus to a 3σ limit of ~1% relative to H₂O (Weaver *et al.* 1994). Thus, searches for CO in other short-period comets may provide important insights into the ability of comets to retain this very volatile species that is so ubiquitous throughout the universe.

We searched for fluorescent emission from GZ in the CO (1, 0) fundamental band near $4.67 \mu\text{m}$ on 26 October. As previously discussed, we used the 1''-wide slit and beam-switched every 10 s to provide good discrimination between the cometary and terrestrial CO features. The average spectrum is displayed in Fig. 3 and does not show any evidence for CO emission in the R0 or R1 lines. From the 3σ upper limits on the CO fluxes at the top of the atmosphere, and using CO g factors calculated assuming that $T_{\text{rot}} = 50 \text{ K}$, we derive an upper limit on the CO production rate of $Q_{\text{CO}} \leq 6.5 \times 10^{26} \text{ molecules s}^{-1}$, assuming that CO is a parent molecule. The corresponding abundance ratio limit is ~2–3%. For $T_{\text{rot}} = 100 \text{ K}$, the abundance ratio limit is ~4–6%. Our limit on CO production in GZ is similar to the estimated abundance of CO in the nucleus of Comets 1P/Halley (3.5%, Eberhardt 1998), Bradfield (C/1979 Y1) (3.5%, Feldman *et al.* 1997), and Levy (C/1990 K1) (4.1–8.4%, Feldman *et al.* 1997), and is significantly lower than the estimated CO abundances in comets Hyakutake (C/1996 B2) (~14%, McPhate *et al.* 1996; ~20%, Biver *et al.* 1999b; Mumma *et al.* 1996

¹⁶ Defined as comets having a Tisserand parameter with respect to Jupiter of $T_J > 2$; see Levison and Duncan 1997.

derived a preliminary value of 7%) and Hale–Bopp ($\sim 10\%$ at $r \sim 1$ AU, according to Weaver *et al.* 1999 and DiSanti *et al.* 1999; $\sim 23\%$ at $r \sim 1$ AU, according to Biver *et al.* 1999a). The CO abundance limit for GZ is somewhat larger than the abundance ratio observed in Comet Austin (C/1989 X1) (1.7%, Feldman *et al.* 1997) and the upper limits derived for 103P/Hartley 2 ($\leq 1.2\%$, Weaver *et al.* 1994) and Shoemaker–Levy (C/1991 T2) ($\leq 1.8\%$, Feldman *et al.* 1997). Thus, CO in GZ is depleted relative to some comets, but GZ could have a CO abundance that is comparable to other comets considered “normal” in the A’Hearn *et al.* (1995) compilation.¹⁷

Unfortunately, the atmospheric transmittances at the Doppler-shifted CO R0 and R1 line positions for GZ were only ~ 0.45 and ~ 0.25 , respectively, which means that our observation would have been up to four times more sensitive if the absolute value of the geocentric radial velocity had been larger than ~ 15 km s⁻¹. Our observations demonstrate that CO can be probed to very sensitive abundance limits, even in relatively faint comets, if the comets have reasonably large Doppler shifts.

As mentioned earlier, Mumma *et al.* (2000) detected CO emission in GZ during their observations in early October. However, their CO production rate of 4.2×10^{27} molecules s⁻¹ is ~ 6 times larger than our 3σ upper limit from late October and ~ 4 times larger than the 3σ upper limit derived from radio observations in later October (Biver *et al.* 1999c). We searched unsuccessfully for CO Fourth Positive Group emission in a UV spectrum taken five days postperihelion during the 1985 *IUE* observations of GZ (see McFadden *et al.* 1987) and derive $Q_{\text{CO}} \leq 2 \times 10^{27}$ molecules s⁻¹ (3σ) at $r = 1.03$ AU. If we use the Mumma *et al.* value for Q_{CO} and our value for $Q_{\text{H}_2\text{O}}$, then $\text{CO}/\text{H}_2\text{O} \sim 14\text{--}21\%$, which is very high for a short-period comet. As stated earlier, we find the discrepancies between our results and those of Mumma *et al.* puzzling and have not found a viable way to resolve them.

3.5. HCN and C₂H₂

On 28 October we searched for lines in the ν_3 band of C₂H₂ and for lines in the ν_3 band of HCN at a wavelength near 3 μm . The average spectrum for this region is displayed in Fig. 7. There is a marginally significant (signal-to-noise ratio of ~ 4) emission feature present near the expected position of the HCN P3 line, but we do *not* regard this as a definite detection of HCN because the HCN P2 and P4 lines were not detected even though they should have comparable intensities. Emission in the ν_1 band of NH₂ could possibly explain the slight excess emission near 3302 cm⁻¹, but we do not claim to detect *any* significant cometary emissions in this spectrum.

We adopt the measured integrated flux at the top of the atmosphere for the HCN P3 line as an upper limit on the brightness of this line, from which we derive $Q_{\text{HCN}} \leq 5.4 \times 10^{25}$ molecules s⁻¹,

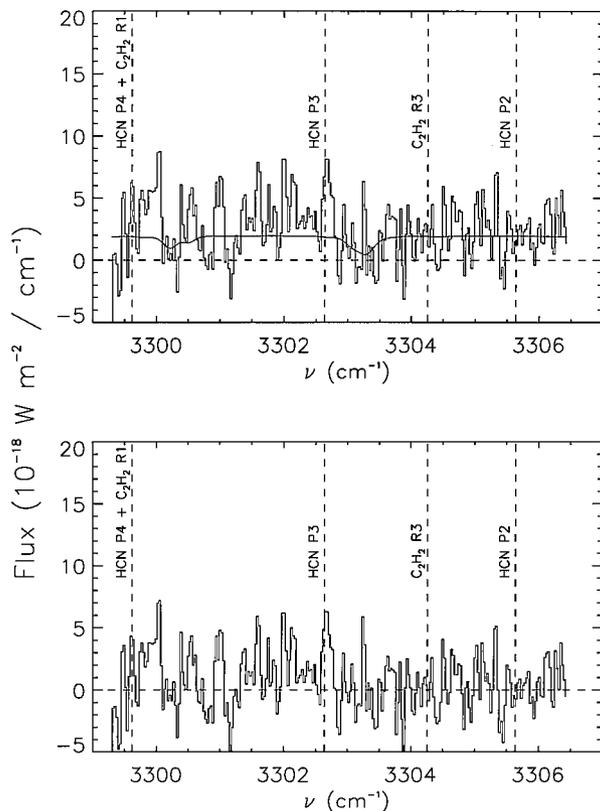


FIG. 7. The top panel shows a spectrum of Comet 21P/Giacobini–Zinner taken on UT 28 October 1998 using CSHELL at the NASA IRTF. The solid curve is a model for the cometary continuum, including atmospheric absorption. Subtraction of the latter from the observed spectrum yields the emission spectrum shown in the bottom panel. The dashed vertical lines show the predicted locations of three HCN lines and two C₂H₂ lines, but we do not claim any definite detections in this spectrum.

assuming $T_{\text{rot}} = 50$ K and using the HCN excitation model described in Crovisier (1987). HCN was clearly detected during radio observations of GZ on 30 October and 2 November, and the derived production rate was $\sim 3 \times 10^{25}$ molecules s⁻¹ (Biver, personal communication). Thus, our null IR result is consistent with the radio detection of HCN. Using the radio-derived (i.e., from OH) value for $Q_{\text{H}_2\text{O}}$ of $5.3 \pm 0.7 \times 10^{28}$ molecules s⁻¹, the radio observation indicates that GZ had a relative HCN abundance of $\sim 0.05\text{--}0.07\%$, which falls just below the “normal” range of $\sim 0.1\text{--}0.2\%$ (see Bockelée-Morvan 1997).

The C₂H₂ molecule was first reported in comets during CSHELL observations of Comet Hyakutake (C/1996 B2) (Brooke *et al.* 1996) and was subsequently observed in Comet Hale–Bopp (C/1995 O1) (Weaver *et al.* 1999, Magee-Sauer *et al.* 1999). The derived C₂H₂ abundance was 0.3–0.9% in Hyakutake, depending on the adopted values of T_{rot} and $Q_{\text{H}_2\text{O}}$, and was $\sim 0.09\%$ in Hale–Bopp.¹⁸ Assuming that the CSHELL spectrum of GZ between 3304 and 3305 cm⁻¹ is pure noise, we

¹⁷ C/1989 X1, 103P/Hartley 2, and C/1991 T2 have typical C₂, C₃, and CN abundances.

¹⁸ This is a preliminary value from Weaver *et al.* (1999).

estimate that the 3σ limit on the flux of the C_2H_2 R3 line¹⁹ is $5.9 \times 10^{-19} \text{ W m}^{-2}$. This translates into a production rate limit of 8.3×10^{25} molecules s^{-1} and an upper limit on the C_2H_2 abundance of $\sim 0.3\text{--}0.4\%$. As the latter is essentially identical to the observed C_2H_2 abundance in Hyakutake, our CSHELL observation does not provide much of a constraint on any possible C_2H_2 depletion in comet GZ.

4. SUMMARY

We detected five rovibrational lines in three different vibrational bands of H_2O during high spectral resolution observations of comet Giacobini–Zinner in late October 1998. Although each line was detected at low signal-to-noise, the appearance of multiple lines at the expected Doppler-shifted positions, their spectral widths, and their absolute intensities provide additional evidence that the identifications are correct. We derive a H_2O production rate of $\sim 2\text{--}3 \times 10^{28}$ molecules s^{-1} during the period of our observations, which was roughly 25 days preperihelion. Our H_2O production rate is ~ 2 times smaller than the value derived from nearly simultaneous 1998 radio observations of OH and is also ~ 2 times smaller than the values derived from radio and UV observations of OH made ~ 25 days preperihelion in 1985.

During moderate resolution observations of GZ on 29 October 1998, we detected excess emission between 3.3 and 3.6 μm . We demonstrate that the bulk of this emission can be accounted for by fluorescence from CH_3OH . The CH_3OH production rate was $\sim 2.7 \pm 0.8 \times 10^{26}$ molecules s^{-1} , which corresponds to an abundance ratio of 0.9–1.4% relative to H_2O . Our CH_3OH relative abundance is in excellent agreement with the value derived from radio observations made in late-October, but our absolute CH_3OH production rate is about one-half the radio value. Both the IR and radio results demonstrate that GZ has a “normal” CH_3OH abundance, although at the low end of the observed range.

CH_3OH alone cannot account for all the excess cometary emission between 3.3 and 3.6 μm ; additional residual emission appears centered near 3.43 μm . The latter feature in GZ is similar in its central wavelength and spectral shape to an unidentified spectral feature observed in the IR spectra of several other comets. The ratio of the intensity of the 3.43- μm feature to the H_2O production rate in GZ is a factor of $\sim 3\text{--}4$ smaller than the average value observed in other comets. However, the strength of the 3.43- μm feature compared to the CH_3OH production rate in GZ is similar to the average ratio in other comets. Thus, both the CH_3OH abundance and the abundance of the species producing the 3.43- μm feature, which could be CH_3OH , are near the low end of the ranges observed in other comets.

¹⁹ This is expected to be the strongest C_2H_2 line in the R-branch for $T_{\text{rot}} = 50$ K, using the excitation model of Crovisier (1987).

We did not detect any excess emission from GZ near 3.28 μm . Emission has been detected at this wavelength in a few comets and may possibly be associated with polycyclic aromatic hydrocarbons, although no definite identification has been made.

We did not detect C_2H_6 , C_2H_2 , CO, or HCN during our high spectral resolution observations of GZ. We find that C_2H_6 is depleted in GZ by a factor of at least 5 compared to comets Hyakutake and Hale–Bopp; this depletion is similar to the observed underabundance of C_2 and C_3 in GZ relative to “typical” cometary abundances. Thus, our result lends support to the hypothesis that *volatile* carbon-chain molecules are depleted in GZ. Our upper limit on the C_2H_2 abundance ($\leq 0.2\text{--}0.4\%$) is also consistent with this hypothesis, but is not as constraining as the C_2H_6 upper limit. Our upper limit on the CO abundance in the nucleus of GZ demonstrates that this molecule is depleted relative to its abundance in comets Hyakutake (C/1996 B2) and Hale–Bopp (C/1995 O1), but is not necessarily depleted relative to the values derived for several other comets. Our upper limit on the HCN abundance ($\leq 0.2\text{--}0.3\%$) is consistent with radio results obtained at nearly the same time as our IR observations and which show that GZ has an HCN abundance at the low end of the “normal” range.

Our program on Comet 21P/Giacobini–Zinner demonstrates that ground-based near-IR spectroscopy of relatively faint comets can provide sensitive probes of several parent volatiles (e.g., H_2O , C_2H_6 , and C_2H_2) that cannot be observed by any other ground-based technique. Further improvements in IR telescopes and instrumentation in the future should allow for abundance measurements of these molecules in many short-period comets.

Note added in proof: Mumma *et al.* (2000) multiplied their raw production rates for comet GZ by a factor of 2 to correct for the effects of seeing, whereas we generally used a larger extraction aperture and did not make a similar correction. This difference could potentially account for about half of the discrepancy in production rates derived by the two groups, as discussed in the body of the paper. If our observations sampled a different vent on the nucleus than the one sampled by Mumma *et al.*, perhaps our different results indicate that the nucleus of comet GZ is compositionally heterogeneous.

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