

Article

# Sustainability of Land Use Promoted by Construction-to-Ecological Land Conversion: A Case Study of Shenzhen City, China

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**Abstract:** Rapid urbanization and rapid urban growth present great challenges to the sustainable utilization of land resources. This paper discusses the on-going process of construction-to-ecological land conversion (CELC) in terms of three aspects: land use, environmental effects, and system responses. CELC is compared to other current land conversion strategies in China. Taking Shenzhen City as an example, this paper introduces five areas in which CELC has been implemented since 2009, including basic farmland protection zones, mining areas, ecological corridors, inefficient industrial zones, and urban villages. This paper argues that Shenzhen's CELC model can improve the ecological environment, control urban sprawl, and promote sustainable land use and, thus, serve as an example for other cities in China.

**Keywords:** land conversion; land use; ecology; sustainability; urban expansion

## 1. Introduction

Since the 1978 economic reforms, China has entered a phase of rapid urbanization [1]. The attendant urban sprawl has brought a series of problems to sustainable urban development, such as food insecurity [2], farmers losing land [3], traffic congestion [4], air pollution [5], and ecological insecurity [6]. With China's urbanization level exceeding 56% in 2015, China is in a critical period of rapid urban development [7]. Faced with the challenges of changing economic growth modes and economic structural adjustment, the past pattern of extensive expansion of construction land has been difficult to continue [8]. Therefore, developing forward-looking policies to control urban sprawl has become a main concern for academia, government agencies and the public [9–11].

In Western developed countries, the expansion of urban areas received attention as early as the 1920s and had aroused public concern by the end of the 1960s [12,13]. There are different opinions about the causes of urban expansion. Economists argue that economic factors are the primary drivers of land use change, but market failures are common because of imperfect competition in the land

market and land use externalities, such as the public goods attributes of land [14]. Some scholars believe that the influences of social groups on land use change need to be explained with reference to laws, regulations, and policies [15]. There are many approaches to the practice of controlling urban land expansion, including growth management [16], “smart growth” [17], New Urbanism [18], ecological networks, and greenways [19] in the USA; greenbelt policy in the UK [20]; the Compact City Policy in the Netherlands and other developed countries [21]; and land readjustment in Japan [22]. According to the tenets of growth management, city planning, regulations, and the financial power of the state and local governments should be combined to influence urban growth and development [23]. In “smart growth”, land use and transportation are made more efficient through the establishment of development principles and planning efforts [24]. New Urbanism focuses on urban community development at a very local level and aims to create compact neighbourhoods with adequate walking spaces through mixed land use [25]. Ecological networks and greenways, combined with green open space protection policies, suppress urban sprawl and protect ecological spaces by optimizing the layout of the urban ecological landscape [26]. At the beginning of the 20th century, Great Britain implemented greenbelt policies and other laws to control urban land exploitation. Greenbelts were set up between urban and rural areas to fully realize their function of separating urban spaces. Since the policies were secured by laws and won the support of the public, they effectively restrained urban sprawl [27]. In 1988, the Netherlands proposed their Compact City policy [28], which was later recognized by the European Community Committee as “a solution to residential and environmental problems” and attracted international attention [29]. Having been adopted in the Great Britain, France, Germany, Italy, and other countries, it was also introduced as a target in the “Melbourne 2030” Plan in 2002 by Australia to add vitality to downtown areas in decline [30]. It was also acknowledged that the policy contributed to urban sustainable development [31]. In Japan, land readjustment is carried out through the implementation of planning and the allocation of land to reduce land fragmentation and improve living conditions [32]. To this end, land holders bring together and plan scattered and irregular agricultural land plots, build roads and infrastructure, and proportionally allocate land after urbanization. Generally speaking, the Western developed countries combine the guidance of government policies with market mechanisms and integrate the use of urban planning, land development permits, public participation, taxes, public capital investment and other policies [33,34].

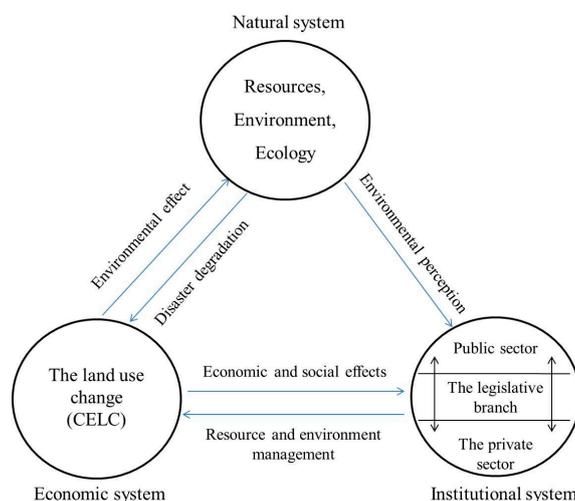
In China, due to the low degree of land marketization, the expansion of construction land is mainly controlled by the government through command-and-control land use planning, the results of which are not satisfactory [35]. From 2000 to 2010, the total extent of urban construction land expanded from 21,800 km<sup>2</sup> to 40,500 km<sup>2</sup>, an increase of 85%, contrasting sharply with the use control system implemented during this period [36]. Therefore, it has become urgent to reform the land system and establish reasonable policies to control the sprawl of construction land [37]. As a relatively socioeconomically developed city in China, Shenzhen is running out of land [38]. To alleviate tight constraints on land resources, Shenzhen became the first city in China to propose the planning concept of negative growth of construction land [39]: by means of a new land conversion mode, construction land is converted into ecological land to improve the sustainability of land use. This paper attempts to clarify the concepts and practices of construction-to-ecological land conversion (CELC) and summarizes the problems and achievements of CELC in Shenzhen City to provide an example of the regulation and optimization of urban construction land expansion in the process of urbanization.

The remainder of the paper is arranged as follows: (i) a theoretical analysis of the concepts and practices of CELC; (ii) a comparative analysis comparing CELC and other current land conversion models in China and a summary of the characteristics of CELC; and (iii) an introduction to the five areas in which CELC has been carried out in Shenzhen City with an analysis of the opportunities and challenges associated with this approach.

## 2. Concepts and Practices of CELC

CELC is the process of converting construction land into ecological land through land use structure adjustments by government under the guidance of a sustainable land use strategy. Barlowe asserts

that land use activities should be explained in terms of three dimensions: the potential of natural conditions, economic feasibility and system compatibility [15]. Platt connects the three systems with five lines in a “land use—environment—institution system” feedback loop [40] (Figure 1). Accordingly, the process of CELC is as follows:



**Figure 1.** CELC in the “land use—environment—institution system” feedback loop.

### 2.1. Land Use

Land use activities in any form influence the natural environment [41,42]. For example, brownfields have reduced environmental pollution through cleanup and redevelopment [43]. CELC can improve the ecological environment. In addition, the land use system provides information to decision-makers at all levels through economic performance and social effects after CELC, measures of economic feasibility, and social compatibility.

### 2.2. Environment

The natural environment affects land uses, in some cases in extreme ways, such as the disappearance of cultivated land and ecological deterioration, which directly impact the land utilization system. Presently, the improvement of the city’s ecological environment indicates the feasibility of CELC. In addition, environmental issues, such as resource exhaustion, environmental deterioration, and ecological damage have aroused the concern of the government and the public.

### 2.3. Institutions

Due to tight constraints on urban land resources, the pattern of construction-driven expansion is unable to continue. The government carries out CELC through laws, regulations, policies, and other means to promote the sustainable use of land resources. From the legislative point of view, people who work directly on the land pay more attention to the economic benefits of land use, and land management departments focus on the social, economic and environmental effects of the land use system at the regional level. The two groups often differ and even conflict [44].

The “land use—environment—institution system” feedback loop shows how the processes at play vary with the social system, with economic development, and with social values in different periods, which is a very complex process.

## 3. Comparison of the Types of Land Conversion

At present, China’s land conversion patterns fall into three categories: rural-to-urban land conversion [45], land rehabilitation [46], and urban renewal [47] (Table 1).

**Table 1.** Comparison of different types of land conversion.

Types	Land Use		Environmental Effect	System Response	
	Before Land Conversion	After Land Conversion			
Rural-to-urban land conversion	Agricultural land Unused land	Construction land	1. Land use intensity 2. Sustainable urban development	1. Government leadership 2. Mature system of policies and regulations 3. Government investment 4. Science-based standards 5. Public participation needs improvement	
Land rehabilitation	Land exploitation	Agricultural land Unused land	1. Treatment of water and soil erosion 2. Suitable living environment	1. Government leadership 2. Mature system of policies and regulations 3. Government investment 4. Science-based standards 5. Public participation needs improvement	
	Land consolidation	Agricultural land Construction land	Agricultural land Construction land	1. Improvement of soil nutrients and production conditions 2. Suitable living environment	1. Government leadership 2. Mature system of policies and regulations 3. Multiple financing methods 4. Science-based standards 5. High degree of public participation
	Land reclamation	Destroyed land Abandoned land	Ecological land	1. Recovery of the land to a reusable state 2. Enhancement of soil and water conservation capacity	1. Government leadership 2. Mature system of policies and regulations 3. Earmarked government funds 4. Science-based standards 5. High degree of public participation
Urban renewal	Demolition and reconstruction	Construction land (old towns, factories, villages)	Construction land	1. More sustainable land use 2. Improved urban environment	1. Combination of government and market forces 2. Mature system of policies and regulations 3. Multiple financing methods 4. Science-based standards 5. High degree of public participation
	Comprehensive improvement	Construction land (dilapidated buildings)	Construction land	Improved built environment quality	
	Overall protection	Construction land (historic districts)	Construction land	Integrity of architectural style	
Construction-to-ecological land conversion	Construction land	Ecological land	1. More sustainable land use 2. Improved urban ecosystems	1. Government leadership 2. Government investment	

### 3.1. Rural-to-Urban Land Conversion

Rural-to-urban land conversion is a government practice in which the government converts communal land into state-owned land after payment of a land acquisition compensation fee using the land expropriation power and then develops the land in accordance with a construction land planning permit [48]. The essence of rural-to-urban land conversion is the allocation of land resources among different uses led by the government. Chinese land management law stipulates that when building on occupied land, if the conversion of agricultural land into construction land is involved, then the project shall be subject to rural-to-urban land conversion approval procedures. Although the Chinese government implements the construction land approval system, in practice, a large amount of agricultural land is converted into construction land, resulting in a disorderly expansion of construction land [49].

### 3.2. Land Rehabilitation

Land rehabilitation is a comprehensive treatment of land use in the environment and involves three major modes: land exploitation, land consolidation and land reclamation [50]. Land rehabilitation is mainly for agricultural land and unused land, including rural construction land, wastelands, and land degraded by mining. From the perspective of the land use structure after the implementation of land rehabilitation, the proportion of agricultural land to construction land changes. In recent years, land consolidation has achieved good results in practice. In 1999, the Chinese government began to implement the "Grain for Green" program, which relieved the negative effect of the slope cropland on the environment and, thus, effectively prevented soil erosion and desertification [51]. From 2001 to 2009, 24,881 km<sup>2</sup> of arable land area was added nationwide through land consolidation, accounting for approximately 2% of the total arable land [52]. Wenju Yun, a deputy director and researcher in the Land Consolidation Centre of the Ministry of Land and Resources, noted that the aims of land consolidation are to strengthen the protection of farmland, improve land utilization efficiency, promote resource conservation, and fully realize the ecological functions of the land [53].

### 3.3. Urban Renewal Pattern

Urban renewal is a revival strategy proposed in response to the global industrial chain transfer in old industrial cities in the West, especially in the Great Britain, and the concept was later gradually adopted by the international community [54]. In China, with the continuous advancement of urbanization, urban renewal encompasses a wider range of activities, including demolition and reconstruction, comprehensive improvement, and overall protection [55]. From the perspective of land conversion, comprehensive improvement and overall protection give the land new functions through the transformation of buildings but do not involve the conversion of land use. Demolition and reconstruction involve the adjustment of the urban land use structure, such as the conversion of inefficiently used land to urban public space or urban green space [56]. Urban renewal involves a combination of the government and the market. Since its policy system is rather mature and the public participation level is high, this approach can effectively promote the sustainable use of land.

### 3.4. Comparative Analysis

Compared with the three models described above, CELC differs in land use, environmental effects and system response.

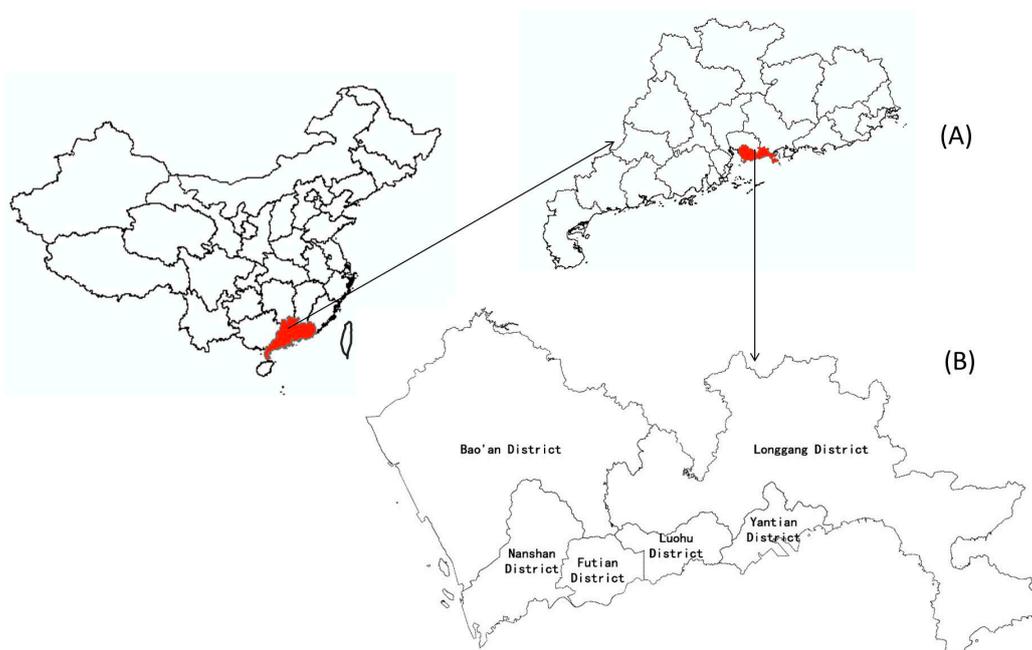
Land use: (i) The three models described above target-specific land types that are quite limited, while CELC covers a wider range of land types, including fragmented land, inefficiently used land, seriously polluted land, and illegally used land; (ii) After conversion, in the three above-described models, the land will be developed accordingly and will continue to be exploited for its productive functions while, after CELC, the land will function mainly as ecological areas, and no more massive development activities will be undertaken on it.

Environmental effects: (i) The three models above aim to exploit the economic and social value of the land. The land conversion will create comfortable, productive places and living spaces and, thus, promote land use efficiency and profit. However, CELC focuses on the ecological value of the land, which can effectively improve the ecological environment and provide residents with better living spaces; (ii) In the three models, the land layout is optimized to maximize the capacity of the resources and environment and avoid the risks of environmental deterioration. In CELC, the extent of built land is decreased to control sprawl and promote the sustainability of land resources.

System response: (i) After years of exploration and practice, the three above models are mature in terms of their targeted lands, policies and regulations, financial security, technical standards and public participation, while CELC has been put into practice for only a few years, and its enforcement mechanisms are imperfect and need to be improved; (ii) With the support of central government, the three models have been practiced extensively in the country; much experience has been accumulated, many goals have been achieved, and social benefits have been obtained. However, CELC has been implemented on only a small scale, and its social benefits require further evaluation.

#### 4. Shenzhen Practices and Results

Situated in the south of Guangdong Province, adjacent to Hong Kong, Shenzhen City is located at  $22^{\circ}27' - 22^{\circ}52'N$  and  $113^{\circ}46' - 114^{\circ}37'E$ , covering an area of  $1996 \text{ km}^2$  (Figure 2). Since the establishment of the special economic zone, Shenzhen City has experienced rapid urbanization, with an increase of urban construction land from  $3 \text{ km}^2$  in 1980 to  $976 \text{ km}^2$  in 2015, nearly 50% of the city's total area. The rapid expansion of urban construction has exerted great pressure on the ecological environment [57]. Due to the shortage of land, Shenzhen City faces severe challenges to its sustainability [58]. Therefore, in 2009, the Shenzhen municipal government proposed the implementation of CELC to improve the ecological environment and promote the sustainable development of the city by adjusting the land use structure.

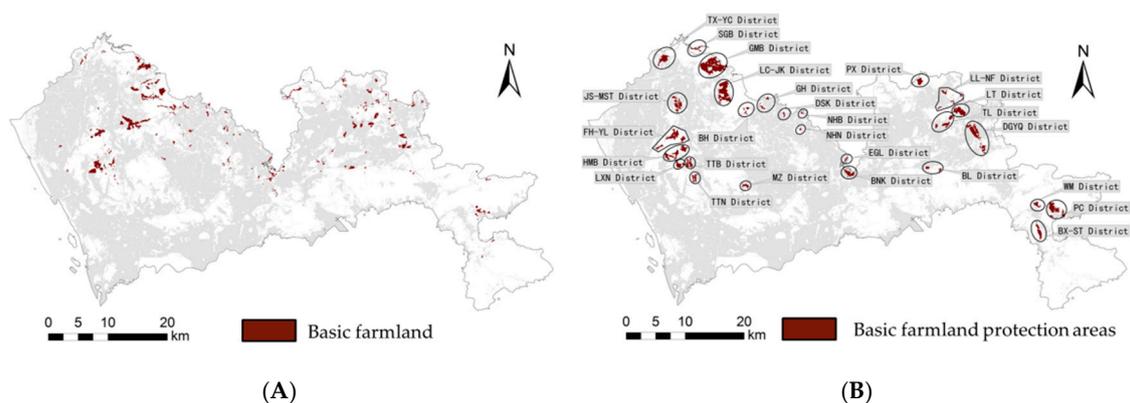


**Figure 2.** The location of the study area in China: (A) the location of Shenzhen in Guangdong province, China; and (B) Shenzhen administrative area map.

#### 4.1. CELC in Basic Farmland Protection Areas

In 1994, China began to strengthen basic farmland protection. In 1998, the revised land management law clearly stated the implementation of a basic farmland protection system [59]. To realize the goal of basic farmland protection, the central government established overall land use planning objectives and allocated a specific protection area quota to each local government. However, with the acceleration of urbanization, the spatial conflict between urban construction land expansion and the protection of basic farmland continues to deepen [60]. Basic farmland area decreases continually, with a national decrease of 82,660 km<sup>2</sup> from 1996 to 2011 in total, a reduction of 6.4% [61]. In Shenzhen City and other cities in China, considerable basic farmland has been occupied by urban construction. As a result, it has been difficult to complete the task assigned by the state; therefore, the basic farmland protection quota was reduced from 60 km<sup>2</sup> in 1997 to 20 km<sup>2</sup> in 2009. Due to reductions in the amount of basic farmland, the function of mass grain production has been lost [62].

In addition, the construction that has occurred on land transformed from basic farmland is dispersed throughout the basic farmland protection area, which seriously pollutes the surrounding area and threatens the ecological security of the city (Figure 3A). From the perspective of land conversion, the common approach worldwide is to vigorously develop ecological agriculture [63]. For example, in 1988, the European Community Committee stipulated that, to control production and ensure environmental protection, a certain proportion of low-yield farmland would be converted to ecological land to protect biological diversity, and compensation would be offered to the farmers who restore natural vegetation [64].



**Figure 3.** Basic farmland distribution: (A) basic farmland distribution before adjustment; and (B) 27 basic farmland protection areas.

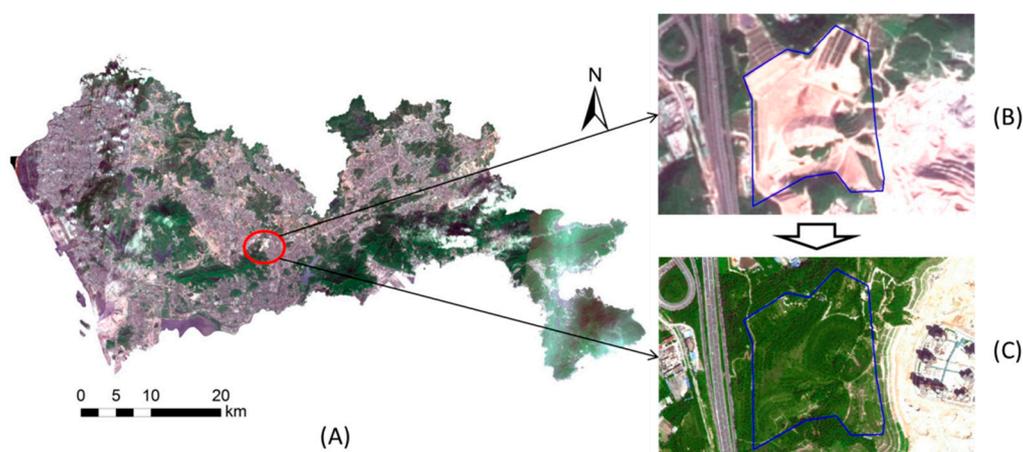
In view of the serious pollution of the basic farmland and related issues, in 2010, the Shenzhen municipal government designated 27 basic farmland protection areas (Figure 3B) and proposed to optimize their course of action and their measures of land use. The Shenzhen municipal government vigorously promoted the work, developed a detailed land conversion programme and basic farmland transformation plan, and extensively publicized their efforts to solicit opinions, receiving national recognition for their work. From 2011 to 2013, the Shenzhen municipal government invested 1 billion yuan in converting 1.01 km<sup>2</sup> of built area into a modern eco-agricultural area. Although China and Western developed countries express their ecological agriculture in different ways, their purposes are the same, that is, to achieve ecological protection and sustainable utilization of land resources [65]. As the American scholar Taylor noted, “China’s ecological agriculture is driven by the government to a large extent, and places more emphasis on the theoretical guidance of ecology” [66].

#### 4.2. CELC in Mining Areas

For thousands of years, the exploitation of mineral resources has brought great wealth to mankind [67]. More than 95% of China's energy, more than 80% of industrial raw materials, and more than 70% of agricultural inputs are from mineral resources. However, mining leads to environmental pollution. Tailings, brownfields, and waste residues endanger ecological security. There are only a few types of mineral resources in Shenzhen City, most of which are quarries that supply stone for urban construction and water vapour minerals for the residents. In 2001, there were 14 quarries and eight water vapour mineral mines being exploited. Mining activities occupy, destroy and pollute a large amount of land and affect the sustainable use of urban land.

Ecologically, the sustainable use of mining land not only involves ecological restoration but must also meet the needs of social and economic development, as well as the combination of natural and cultural interests [68]. International examples exist of lands formerly used for mining being converted into farmland and forests or for tourism and leisure or animal husbandry [69]. For example, the United Kingdom transformed abandoned mines into National Forestlands [70]. Germany initially reclaimed mines into single-purpose green spaces and then further developed them into multipurpose land [71].

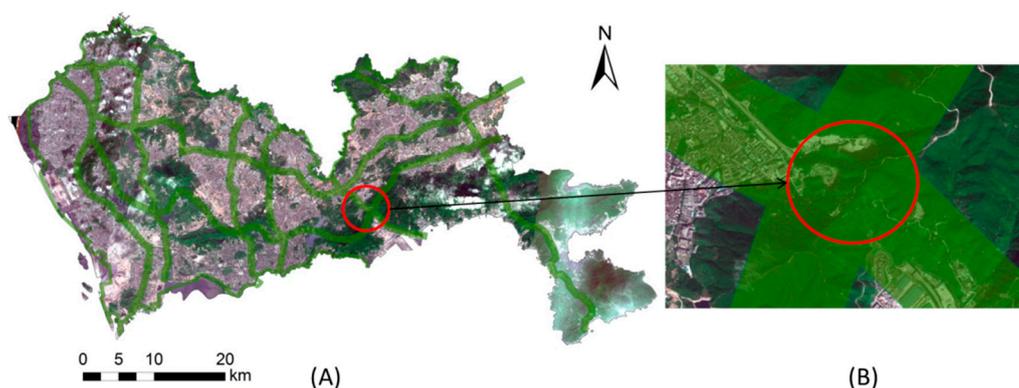
Since 2009, the Shenzhen municipal government has gradually closed the abandoned mines (Figure 4B), making use of a special fund for reclamation and mineral resources to supply the necessary compensation. The old mines have been transformed into ecological land with a total area of 3.17 km<sup>2</sup> (Figure 4C), which has effectively improved the urban ecological environment. In addition, according to the natural, social, and economic characteristics, the government appropriately accommodates human activities and determines the use of converted land, such as tourism, science, and education, and other uses, to achieve the sustainable utilization of former mining land.



**Figure 4.** Mining areas before and after CELC: (A) remote sensing image figure; (B) before CELC; and (C) after CELC.

#### 4.3. CELC in Ecological Corridors

To control the disorderly expansion of urban built land, the Shenzhen municipal government delineated the urban growth boundary (UGB) in 2005, including primary water source protection areas, scenic areas, nature reserves, forests and parks, mountains, the main rivers, reservoirs, wetlands, ecological corridors, islands, and other features, with a total area of 974 km<sup>2</sup> [72]. Additionally, within the UGB, based on mountains, reservoirs, coastline, and other natural areas, an ecological security network of “four zones” and “six corridors” was delineated (Figure 5A).



**Figure 5.** CELC of ecological corridors: (A) distribution of ecological corridors; and (B) a land plot in ecological corridor after CELC.

The “four zones” refer to the four ecological protection zones in the north, central north, central south, and south; the “six corridors” refer to the six ecological corridors of Baoan-Guangming, Baoan-Nanshan, Baoan-Futian, Longgang-Luohu, Longgang-Yantian, and Longgang-Dapeng. Before delineation, both residential land and tourist land were present in this area, which were dispersed and difficult to equip with environmental protection facilities. A geological disaster in this area would pose a serious risk of landscape erosion that could undermine urban ecological security.

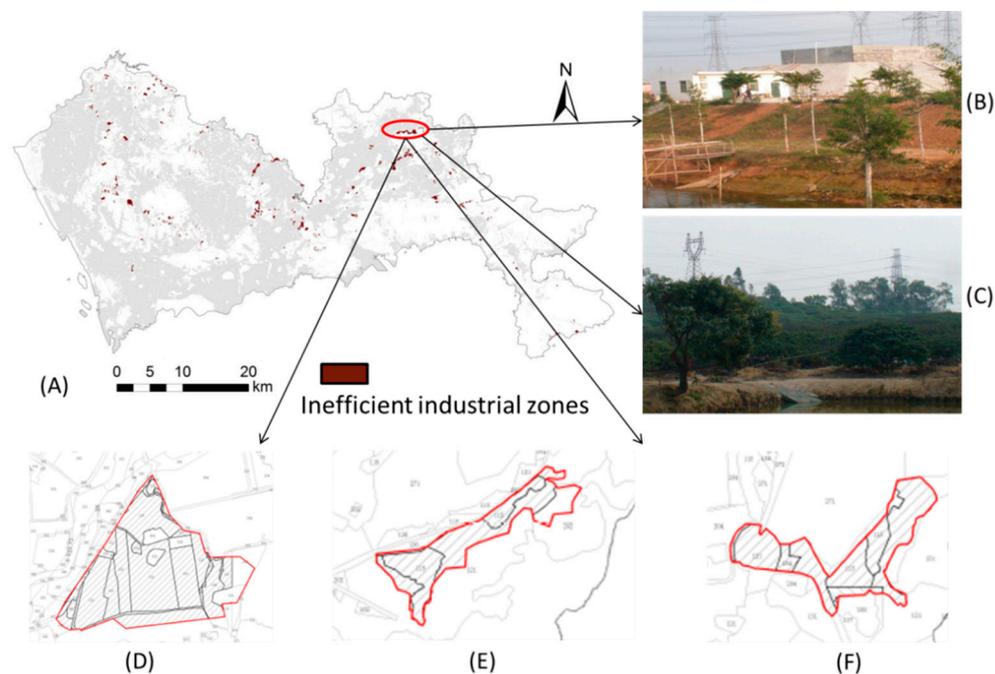
Since 2009, the Shenzhen municipal government has restricted development and construction in ecological corridors and cleaned up a 0.52 km<sup>2</sup> of brownfield, transforming it into ecological land with a high degree of vegetation coverage. To achieve CELC, the Shenzhen municipal government engaged in policy advocacy, sought public participation and secured funds for ecological compensation. For example, public awareness of the importance of ecological protection was raised by establishing an ecological information disclosure system to produce public service ads, billboards, websites and other forms of policy propaganda; an ecological protection “hotline” was opened, and questionnaire surveys were distributed to fully solicit public opinion; ecological compensation standards were defined, and people were compensated for the economic losses caused by the ecological protection. By means of land conversion, the construction land in the ecological corridors has been transformed into ecological land (Figure 5B). The removal of the previous construction land has thus created an open and free ecological land network, which provides space for the migration and breeding of wild animals and effectively controls urban expansion [73].

#### 4.4. CELC in Inefficient Industrial Zones

Shenzhen takes advantage of its location adjacent to Hong Kong and cheap land resources to attract foreign investment to improve the industrialization of its rural areas [74]. The lack of planning guidance for bottom-up development and construction leads to the disorderly expansion of construction land, which occupies a large fraction of the land within the basic ecological control zone. Illegal industrial land located within the basic ecological protection area covers 22 km<sup>2</sup>, of which inefficient industrial zones occupy 4 km<sup>2</sup>, accounting for approximately 18%.

The industrial zone is faced with the following problems: (i) There are many heavily-polluting manufacturing industries in the industrial zones, such as metals, plastics, and other industries, which negatively affect urban ecological security; (ii) Without industrial agglomeration, the industrial zones are small, scattered and unable to be upgraded; (iii) Due to complex land property rights, the land market in the industrial zones is disorderly and not conducive to efficient use. For example, the rent for a common industrial plant is approximately 8–10 yuan per m<sup>2</sup>, only 15% of the potential rent for this type of property.

To promote the sustainable use of land resources, the Shenzhen municipal government undertook the transformation of inefficient industrial land within the ecological control zone into ecological land. In five years, only 0.3 km<sup>2</sup> has been converted (Figure 6), mainly because the inefficient industrial zones were built on the initiative of the original rural collectives. After several private deals, the government still struggles to define the rights and interests of all parties when implementing CELC. From the perspective of land conversion, the common approach is to design ecological protection policy on the basis of the clarification of property rights, encouraging the residents to move out of ecological control zones and giving them appropriate economic compensation in return. For example, the Chinese government formulated protection policy in the panda habitats [75,76]. In recent years, Shenzhen City has reformed the land management system, allowing the original rural collective industrial land which is in accordance with the planning to come onto the market, the profit of which is divided proportionally between the government and the original villagers [77]. The common practice is to raise the economic compensation to increase the enthusiasm of the original villagers so as to encourage them to participate in the ecological protection. The implementation of this policy will make the introduction of CELC to the market possible.



**Figure 6.** Figures of inefficient industrial land before and after CELC: (A) distribution of inefficient industrial land; (B) before CELC; (C) after CELC; (D–F): land plots.

#### 4.5. Village Construction Land Conversion

Shenzhen City has experienced two urban land conversions, in 1992 and 2004, in which all of the land became state owned, but due to incomplete land transfer, a large number of urban villages in the city arose [78]. There were a total of 2230 village communities in the city in 2010, covering an area of 106 km<sup>2</sup>. In these villages, the original villagers rushed to build a large number of illegal structures and domiciles. By 2014, a total of 373,000 illegal buildings had been built in Shenzhen City, with a total footprint of 428 million m<sup>2</sup>, accounting for 43% of the total construction area [79].

The rental of private houses increases the original villagers' income, and these houses provide living places for a large number of workers and ease the social contradictions; therefore, the illegal construction in the urban villages is allowed in practice. The problems in the urban villages are mainly as follows: (i) urban villages occupy considerable land resources, restricting the sustainable utilization of urban land; (ii) the unplanned municipal infrastructure and lack of public facilities in urban

villages have brought inconvenience to the lives of residents; (iii) the disorderly construction layout and absence of green landscapes in urban villages have harmed the urban ecological environment; and (iv) the housing planning and construction in urban villages is old and of a low standard, which now poses widespread security risks.

In recent years, the Shenzhen municipal government has implemented urban renewal and land remediation measures, among which the “overall planning of the whole village” is considered an effective means [80]. For example, from 2010 to 2013, Shenzhen invested 3.8 billion yuan in the Jinsha, Nanbu, and Shahu communities to test this approach (Figure 7). These land conversion measures resulted in the conversion of 1.5 km<sup>2</sup> of illegally-used land into ecological land, effectively improving the ecological environment of the communities; communities acquired 1.42 km<sup>2</sup> for development and attempted a transformation from a single-plant rental economy to a diversified economy to achieve an organic combination of community development and urban development. The government had access to an area of 2.96 km<sup>2</sup> for development, most of which was for municipal facilities and public service facilities.

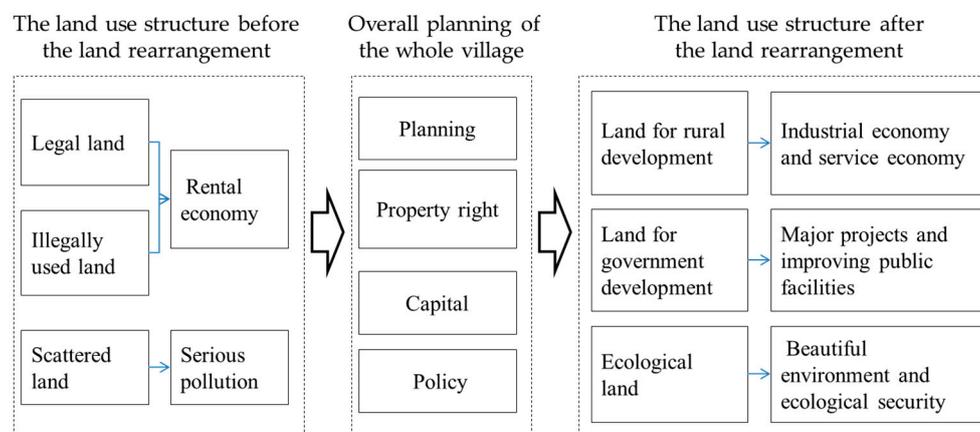


Figure 7. The land use conversion in the overall planning of the whole village.

## 5. Conclusions and Discussion

Shenzhen City, to some extent, has effectively improved its ecological environment, and promoted the sustainable use of land resources by applying CELC in five areas. However, in practice, CELC still faces enormous legal, financial, technological, and political challenges.

First, the land use aspects of CELC focus on the ecological functions of the land. Since it can provide the city with good ecological space, CELC has won the support of the public and environmentalists and achieved beneficial social effects. However, as a new land transfer approach, CELC has been implemented for only a few years, and many aspects of this approach are not mature. It takes considerable capital and time to complete land conversion in any area of CELC. Moreover, as noted above, the central government controls local development and construction through quota distribution. To avoid excessively tight control over local government, the central government has acquiesced to local governments fulfilling their preservation quotas with land converted by CELC [81]. CELC provides the local government with abundant land for use. However, it is worthwhile to consider how the local government can avoid abusing the allocation of this new land and ensure the prevention of urban sprawl.

Second, considering the environmental effects, there must be a system of criteria used to measure whether a damaged ecosystem has been successfully restored [82]. Although Berger [83], Krabbenhoft [84], and others have established a set of evaluation systems to assess the ecological restoration of damaged ecosystems, no universal evaluation system is yet available [85]. Due to the significant differences in environmental conditions and imperfect evaluation systems, it is difficult

to make an accurate assessment of the success of environmental recovery when construction land is converted into ecological land. In addition, some practices may lead to new environmental damage or secondary pollution. As the ecological restoration expert Bradshaw noted, for restoration to be successful, new environmental problems must be solved; otherwise, it is unlikely to succeed [86].

Third, considering institutional systems, the legitimacy of CELC is questioned. The Chinese Constitution stipulates that “the state may, in the interest of the public, expropriate or requisition the private property of citizens in accordance with the law and give compensation”. Although the Shenzhen municipal government uses the public interest as a rationale to implement CELC and give appropriate compensation, whether CELC in fact conforms to the public interest is not clearly defined. Institutional economics holds the view that the interests of the system and the public interests are the same, yet the government, as an interest group, often has interests that conflict with those of the public [87]. The problem of how to define the public service nature of CELC through open and democratic procedures so that the administrative subject and public rights can be balanced in a real sense is unavoidable.

Rapid urban development in China over the past 30 years has put great pressure on urban ecological systems and underscored the need for environmental protection [88]. CELC is a land conversion type advanced by Shenzhen City to adapt the national land quota system to its own reality. CELC is related to land use control, land development rights and public participation. To some extent, the implementation of CELC can effectively improve the ecological environment and promote sustainable land use. In 2014, the central government put forward a new urbanization strategy emphasizing people-oriented and sustainable development [89], and the experience and practice of Shenzhen provides a model for other cities to explore sustainable land use.

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