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Inner ear symptoms and disease: Pathophysiological understanding and therapeutic options

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In recent years, huge advances have taken place in understanding of inner ear pathophysiology causing sensorineural hearing loss, tinnitus, and vertigo. Advances in understanding comprise biochemical and physiological research of stimulus perception and conduction, inner ear homeostasis, and hereditary diseases with underlying genetics. This review describes and tabulates the various causes of inner ear disease and defines inner ear and non-inner ear causes of hearing loss, tinnitus, and vertigo. The aim of this review was to comprehensively breakdown this field of otorhinolaryngology for specialists and non-specialists and to discuss current therapeutic options in distinct diseases and promising research for future therapies, especially pharmaceutical, genetic, or stem cell therapy.

Key words: **inner ear disease • sensorineural hearing loss • tinnitus • vertigo • genetic therapy • stem cell therapy • Meniere's disease**

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Background

Classical inner ear disease involves the entire membranous labyrinth and is characterized by the triad of sensorineural hearing loss, tinnitus, and vertigo. Underlying pathology may involve inner ear hair cells, supporting cells, or an aberrant inner ear homeostasis resulting in altered composition of the endo- and perilymph, with direct effects on the integrity and functionality of the hair cells. Altered afferent and efferent auditory pathways may accompany a diseased inner ear or be the primary cause for inner ear symptoms. Figure 1 illustrates the structure of the human ear and inner ear.

Prosper Ménière ascribed vertigo to the inner ear for the first time, after dissecting a hemorrhagic inner ear. Later, the syndrome of fluctuating sensorineural hearing loss, episodic vertigo, and tinnitus was named after him [1]. Aural fullness as a fourth symptom in addition to the classical triad often precedes or accompanies acute inner ear disease. However, all inner ear symptoms can appear isolated, as in atypical Ménière's

disease. It is important to distinguish between inner and non-inner ear causes of vertigo and tinnitus, which are summarized in Table 1. Tinnitus can be divided in subjective types with underlying causes in the inner ear or auditory pathway, and objective types with underlying vascular and muscular disorders, osseous diseases, or neoplasia. Subjective tinnitus is often paralleled by sensorineural hearing loss. The tinnitus prevalence increases with age and the level of hearing loss; 10% of the population over the age of 60 years state they have severe tinnitus [2–4].

When acute hearing loss is accompanied by vertigo, it is typically rotational vertigo. Other sensations of peripheral vestibular origin are lateropulsion, tilting, swaying, lift sensation, and falling. A strict distinction against central vestibular sensations and causes is not possible. However, nonspecific sensations like stumbling, instability, drunkenness, and dizziness often accompany central or non-vestibular causes for dizziness. Differential diagnosis of vertigo includes cerebral, musculoskeletal, and cardiovascular disorders (Table 2, inner ear

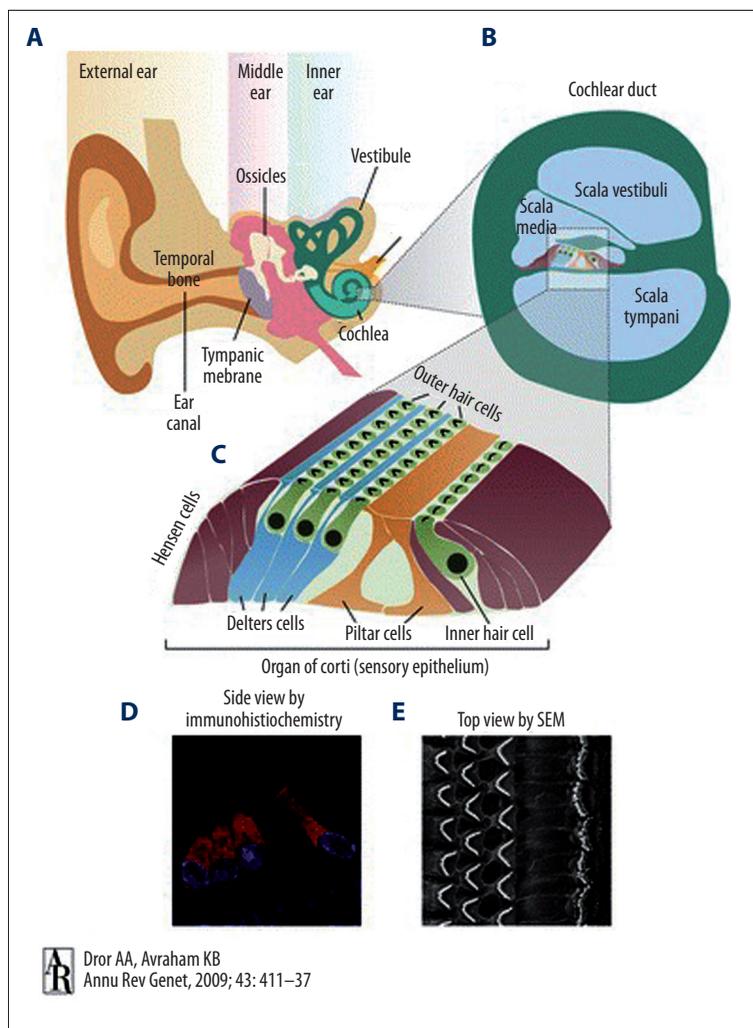


Figure 1. Schematic illustration of the human ear. **(A)** The ear consists of the outer, middle, and inner ear. **(B)** A section through the cochlear duct illustrates the fluid-filled compartments of the inner ear. **(C)** The organ of Corti resides in the scala media, with sensory hair cells surrounded by supporting cells that include Deiters', Hensen, and pillar cells. **(D)** Immunohistochemistry with the inner ear hair cell marker myosin VI, marking the cytoplasm of inner and outer hair cells, and 4,6-diamidino-2-phenylindole (DAPI), marking the nuclei. **(E)** Scanning electron microscopy image of the top view of the sensory epithelium reveals the precise arrangement of 1 row of inner hair cells and 3 rows of outer hair cells, separated by the pillar cells. (with permission from Dror AA, Avraham KB. Hearing loss: Mechanisms revealed by genetics and cell biology. *Annu Rev Genet*, 2009; 43: 411–37. Copyright © 2009, Annual Reviews. All rights reserved).

Table 1. Objective causes for tinnitus.

| | |
|--------------------|--|
| Vascular disorders | <ul style="list-style-type: none"> • Stenosis, fibromuscular dysplasia, or arteriosclerosis of the carotids, subclavian artery, or brachiocephalic artery • Vascular loops (e.g. at the cerebellopontine angle) • Glomus tumors • Sigmoid sinus diverticulum • Arteriovenous fistula or malformations • Aneurysm or dissection of the carotid artery • Hyper- or hypotension • Congenital or acquired heart defects, anemia, hyperthyroidism |
| Muscular disorders | <ul style="list-style-type: none"> • Myoclonus of the stapedial or tensor tympani muscle in the middle ear • Myoclonus of the eustachian tube or patulous eustachian tube • Palatomyoclonus, myoclonus of the larynx |
| Osseous diseases | <ul style="list-style-type: none"> • High jugular fossa or bulb; venous diverticulum • Exposure of the petrous portion of the internal carotid artery • Otosclerosis, Paget's disease or other chronic bone diseases affecting the middle or inner ear • Pneumocephalus in contact to the temporal bone |
| Neoplasia | <ul style="list-style-type: none"> • Acoustic neuroma • Hemangioma • Tumors of the endolymphatic sac (e.g. von Hippel-Lindau disease) |
| Miscellaneous | <ul style="list-style-type: none"> • Multiple sclerosis • Intracranial hypertension • Arnold-Chiari malformation • Cerumen obturans, otitis media, otitis externa |

Table 2. Inner ear vs. non-inner ear causes of dizziness.

| | |
|---------------------------------|---|
| Peripheral vestibular disorders | <ul style="list-style-type: none"> • Meniere's disease • Viral labyrinthitis, vestibular neuritis, labyrinthine syphilis, trauma • Vascular loops or neoplasia at the cerebellopontine angle • Perilymphatic fistula, intoxication, alcohol, vascular disorder benign paroxysmal positional vertigo (positional vertigo) • Vestibular paroxysmia, bilateral vestibulopathy, residual peripheral vestibular deficit |
| Central vestibular disorders | <ul style="list-style-type: none"> • Brain stem lesions or neoplasia • Vertebrobasilar insufficiency, vertebrobasilar anomalies, basilar artery migraine, vestibular epilepsy |
| Cerebral disorders | <ul style="list-style-type: none"> • Cerebrovascular disease, transient ischemic attack (TIA), ischemic or hemorrhagic stroke • Postconcussion disorders, intoxication, centrally depressing drugs • Multiple sclerosis, Parkinson disease • Intracranial hypertension • Arnold-Chiari malformation |
| Musculoskeletal disorders | <ul style="list-style-type: none"> • Cervical musculoskeletal imbalance leading to vascular compression or abnormal neck proprioception (osteocondrosis, spondylosis, discopathy, posture adaptations like scoliosis, or kyphosis) • Cervical cord compression • Neck trauma, whiplash injury |
| Cardiovascular disorders | <ul style="list-style-type: none"> • Stenosis, fibromuscular dysplasia, or arteriosclerosis of the carotids, subclavian artery, or brachiocephalic artery • Aneurysm or dissection of the carotid artery • Congenital or acquired heart defects, anemia, hyperthyroidism • Hyper- or hypotension |
| Miscellaneous | <ul style="list-style-type: none"> • Somatoform or phobic disorders |

vs. non-inner ear causes for dizziness). Dizziness is a non-specific term to indicate a sense of disorientation. Vertigo is

a subtype of dizziness and refers to an erroneous perception of self- or object-motion or an unpleasant distortion of static

Table 3. Classification of cochleovestibular malformations.

| | |
|---|--|
| Cochlear Malformations | <ol style="list-style-type: none"> 1. Michel deformity: complete absence of all cochlear and vestibular structures 2. Cochlear aplasia: cochlea is completely absent 3. Common cavity deformity: common cystic cavity of cochlea and vestibule without differentiation 4. Cochlear hypoplasia: cochlea and vestibule are separate, but their dimensions are smaller than normal. Hypoplastic cochlea resembles a small bud off the internal auditory canal 5. Incomplete partition type I (IP-1): cochlea is lacking entire modiolus and cribriform area, resulting in a cystic appearance. This is accompanied by a large cystic vestibule. 6. Incomplete partition type II (IP-2): Mondini deformity – cochlea consists of 1.5 turns instead of 2.5 turns, in which the middle and apical turns coalesce to form a cystic apex, accompanied by a dilated vestibule and enlarged vestibular aqueduct. |
| Vestibular malformations | Michel deformity, common cavity, absent vestibule, hypoplastic vestibule, dilated vestibule |
| Semicircular canal malformations | Absent, hypoplastic or enlarged |
| Internal auditory canal malformations | Absent, narrow or enlarged |
| Vestibular and cochlear aqueduct findings | Enlarged |

gravitational orientation, which is a result of a mismatch between vestibular, visual, and somatosensory systems. The other 3 subtypes of dizziness are disequilibrium without vertigo, presyncope, and psychophysiological dizziness.

In contrast to middle ear disease, in inner ear disease, natural hearing cannot be restored or improved by surgical reconstruction techniques. Hearing aids and implants are helpful tools for deaf patients but cannot preserve natural hearing perception when the inner ear labyrinth is highly impaired.

Hearing Disorders in Children

Hearing loss is the most common birth defect and the most prevalent sensorineural disorder in developed countries. The overall estimates of the prevalence of newborns with congenital hearing loss in Western countries are 1–6 per 1000 newborns [5–7].

Most children with congenital hearing loss have hearing impairment at birth. However, some types of congenital hearing loss may not become evident until later childhood.

The etiology of profound congenital hearing impairment is divided into 2 main causes: environmental (50%) and genetic (50%). Environmental causes include viral infections such as toxoplasma, rubella, cytomegalovirus, herpes simplex virus (TORCH). Genetic causes are divided into syndromic (30%) and non-syndromic (70%). To date, more than 300 syndromic forms of hearing loss have been described [8].

Osseous or membranous malformations of the inner ear (1:80 000) are rare compared to middle ear malformations (1:10 000) [9]. They can be the result of toxicity in the 3rd to 8th. gestational week due to causes such as pharmaceuticals, alcohol, viruses, radiation, or hypoxia. In a few cases, congenital inner ear malformations can affect the vestibular apparatus only [10]. Table 3 summarizes the most commonly used classifications of cochleovestibular malformations [11,12]. Patients with complete labyrinthine aplasia (Michel deformity) are generally not candidates for a cochlear implant. Bony cochlear aplasia and hypoplasia, common cavity of cochlea and vestibule, incomplete partition of the cochlea type 1, aplasia of the semicircular canals, and internal auditory canal malformations are correlated with vestibulocochlear nerve insufficiency [13]. However, for patients with cochlear remnants or a vestibulocochlear nerve, a cochlear implant may be considered. Another possibility for these patients is auditory brainstem implants, but most auditory brainstem recipients have only an awareness of sound and are not able to hear musical melodies, only the beat.

Genetic Diseases

Profound, early-onset deafness is present in 4–11 per 10 000 children in the USA and is attributable to genetic causes in at least 50% of cases [14]; the other 50% are attributed to acquired or unknown causes. About 10–15% of hereditary hearing loss does not manifest in childhood and 10–20% are progressive. Owing to recent advances in molecular genetics, more than 130 *loci* and more than 50 causative genes have been identified in various populations world-wide. In general, they

Table 4. Defective proteins in stria vascularis and vestibular dark cells, and related diseases.

| Gene | Description/synonyms | Related diseases |
|------------------------|---|---|
| COL4A3, COL4A4, COL4A5 | Collagen type IV, alpha subunits III-V | Alport syndrome |
| GJA7 | Junction protein $\alpha 7$ /Connexin 43 | Non-syndromic deafness |
| GJB2 | Gap junction protein $\beta 2$ /Connexin 26 | DFNA3/DFNB1 |
| GJB3 | Gap junction protein $\beta 3$ /Connexin 31 | DFNBA2 |
| GJB6 | Gap junction protein $\beta 6$ /Connexin 30 | DFNA3 |
| GJE1 | Gap junction protein $\epsilon 1$ /Connexin 29 | Non-syndromic deafness |
| Cldn11 | Transmembrane protein claudin 11 | Deafness |
| Cldn14 | Transmembrane protein claudin 14 | DFNB19 |
| TMPRSS3 | Transmembrane protease, serine 3 | Deafness/DFNB8/10 |
| KCNQ1/KCNE1 | K_v LQT1=voltage-activated K^+ channel of long QT syndrome1 / I_{sK} =slowly activating K^+ current, minK=minimal K^+ channel | Deafness/Jervell & Lange-Nielsen syndrome |
| KCNJ10 | K_{ir} 4.1=inward rectifier-type potassium channel | SeSAME or EAST syndrome |
| Slc12a2 | Na^+ - K^+ -2 Cl^- cotransporter, solute carrier, family 12, member 2/NKCC1, BSC2 | Deafness |
| CLCNKA and CLCNKB | Type K chloride channel/CIC-Ka and CIC-Kb | Deafness/Bartter syndrome IV |
| ATP6V1B1, ATP6VOA4 | H^+ -ATPase (B1, A4) | Deafness/Distal renal tubular acidosis |
| SLC26A4 | Pendrin protein | Deafness/Pendred syndrome/DFNB4 |
| AQP4 | Aquaporin water channel protein 4 | Deafness |

are involved in hair bundle morphogenesis, form constituents of the extracellular matrix, play a role in cochlear ion homeostasis (e.g. potassium channels), or serve as transcription factors. The Hereditary Hearing Loss Homepage (<http://www.hereditaryhearingloss.org>) gives an up-to-date overview of the genetics of hereditary hearing impairment [15].

Nonsyndromic hereditary hearing loss can be subdivided to autosomal dominant (80%) autosomal recessive (20%), X-linked (<1%), and maternally-inherited hearing loss associated with mitochondrial DNA mutations (<1%). The *loci* for autosomal dominant, autosomal recessive, and x-linked nonsyndromic hearing loss are designated as DFNA, DFNB, and DFNX followed by consecutive numbers, respectively. Autosomal recessive nonsyndromic hearing loss is usually prelingual and autosomal dominant nonsyndromic sensorineural hearing loss is postlingual and progressive.

Epigenetic mutations can result in progressive hearing loss in humans and mice and can be linked to inherited syndromes that can induce hearing loss, in particular, mutations in non-coding microRNA, DNA methylation, and histone modification [16]. MicroRNAs (miRNAs) are small noncoding RNAs, 21–23

nucleotides long, which regulate gene expression through the RNA interference mechanism, known to affect proliferation, differentiation, and developmental processes. Mutations in micro-RNAs were found to be responsible for non-syndromic hearing loss [17]. Inhibitors of histone deacetylation prevented hair cell death and hearing loss in aminoglycoside and cisplatin ototoxicity in animal studies [18,19].

Inner ear tissues possess a distinct pattern of barrier and transport proteins to maintain endolymph composition, generate endolymphatic potential, and facilitate sensory transduction. Connexins are gap junction proteins which constitute a major system of intercellular communication important in the exchange of electrolytes, second messengers, and metabolites. Connexin 26 accounts for up to 50% of non-syndromic autosomal recessive hearing loss in European and American populations [20–22]. Potassium is the major charge carrier for sensory transduction. It is ideal for this role, since it is by far the most abundant ion in the cytosol. Defects of the various potassium channels and the abundance of other ion carriers in the inner-ear are the causes for syndromic and non-syndromic deafness. Table 4 summarizes defective proteins in the inner-ear and related diseases.

Although most hereditary hearing loss causes high-frequency sensorineural hearing loss (SNHL), some deafness genes are associated with low-frequency (DFNA1, DFNA6/14/38, and probably DFNA 15/54) [23] or mid-frequency hearing loss (TECTA gene encodes for α -tectorin, a component of the tectorial membrane that overlies the sensory epithelia [24], and COL11A2 gene mutations affect the triple-helix domain of the collagen type XI, alpha 2 protein [25]).

Mutations in the WFS1 gene, encoding an 890 amino-acid transmembranous glycoprotein, wolfram, which is predominantly localized in the endoplasmic reticulum, can be responsible for nonsyndromic autosomal dominant low-frequency hearing loss (DFNA6/14/38) or cause Wolfram syndrome, which is characterized by diabetes insipidus, juvenile-onset diabetes mellitus, progressive optic atrophy, and sensorineural hearing loss. In Europe and the United States, 75% of families affected with non-syndromic autosomal dominant low-frequency SNHL carry the WFS1 mutations [26]. Mutations in the DFNB59 gene encoding the pejvakin protein was the first reported gene that leads to deafness via neuronal dysfunction along the auditory cascade. Mutations are associated with autosomal recessive auditory neuropathy with bilateral prelingual hearing loss [27].

Stereociliary Diseases

The stereocilia of the inner ear hair cells are microvilli-derived and unique cell structures that correlate anatomically with distinct cochlear functions, including mechano-electrical transduction, cochlear amplification, adaptation, frequency selectivity, and tuning. The stereocilia have a typical staircase arrangement connected with lateral and tip links stabilizing the mature hair bundle structure. When sound is induced, fluids move through the cochlear duct and vibrate the basilar membrane with the sensory hair cells against the tectorial membrane and lead to deflection of the stereocilia and activation of the mechano-electrical transduction channels gated by the tip links. Potassium influx is enabled, which depolarizes the hair cells.

Stereociliar function is impaired by inner ear stressors, by various types of hereditary deafness, and syndromic hearing loss [28]. Several specific molecular compounds are responsible for maintaining the complex, fragile mechanisms of dynamic stereocilia regulation. Dysfunction of any of these compounds leads to various types of inner ear impairment. Table 5 summarizes the various possible defective stereociliar molecules involved in Usher syndrome and other types of hereditary deafness.

Usher syndrome (USH) is estimated to account for 3–6% of congenital profound deafness cases in children, and for 50% of deafness and blindness [29–31]. Three types of Usher syndrome have been distinguished, with several subclasses based

on the *loci* of mutation. The first type is characterized by hearing impairment, vestibular dysfunction, and retinal degeneration beginning in childhood. In contrast, the second form involves normal vestibular function, less severe hearing loss, and a later onset of retinal degeneration. The third type is defined by progressive hearing loss, occasional vestibular dysfunction, and variable onset of retinal degeneration. Some patients affected with Usher syndrome show an atypical clinical designation and cannot be easily categorized into 1 of these 3 subtypes [32].

Communication Routes Between Intracranial Spaces and the Inner Ear

There are 3 communication routes between the intracranial spaces and the inner ear: the vestibular aqueduct, the cochlear aqueduct, and the internal auditory canal. The vestibular aqueduct contains the endolymphatic duct which ends in a blind pouch, the endolymphatic sac, which is embedded in 2 dural layers and is located in the epidural space. The cochlear aqueduct contains the perilymphatic duct, which communicates with the subarachnoidal space. They possess a key role in inner ear pressure regulation and fluid homeostasis and are related to inner ear diseases [33]. Enlarged vestibular aqueduct is the most common malformation of the inner ear associated with hearing loss. In the United States, 12% of deaf children at 4 years of age have an enlarged vestibular aqueduct [8]. The malformation is bilateral in 90% of cases, and patients may present with profound congenital sensorineural hearing loss, or with progressive or fluctuating hearing loss. Some patients may experience acute hearing decline related to episodes of minor head injury, overexertion, or barometric pressure changes (e.g. related to air travel, deep sea diving, or Valsalva maneuver) [34,35]. It is observed in DFNB4, Pendred syndrome, branchiootorenal syndrome, distal renal tubular acidosis, and Waardenburg syndrome.

The Pendred syndrome is the most common syndromic hearing loss (5%). It is characterized by goiter, congenital deafness, and an enlarged vestibular aqueduct, which is, together with the endolymphatic sac, primarily responsible for inner ear fluid homeostasis. It is caused by mutations of SLC26A4 gene, which codes for pendrin, an anion exchanger that seems to secrete HCO_3^- into the endolymph. The goitrous phenotype in Pendred syndrome is not recognized in early childhood and develops with age [36]. An enlarged vestibular aqueduct can be associated in rare cases with mutations of FOXP1 gene, which encodes the SLC26A4 transcriptional factor, and mutations of the potassium channel gene KCNJ10 [37]. The GJB2 gene encoding connexin 26 is associated with temporal bone abnormalities, including an enlarged vestibular aqueduct [38].

Table 6 summarizes the diseases associated with aberrant communication routes. In addition, abnormal communication

Table 5. Stereociliary molecules involved in Usher syndrome and other hereditary deafness types.

| Molecule | Main function | Disease Involvement | |
|--|---|------------------------|--|
| | | Usher-type | Other hereditary non-syndromal deafness type |
| Actin | Cytoskeleton | | DFNA20/26 |
| Cadherin 23 | Cell adhesion (stereociliary links) | ID, atypical | DFNB12 |
| Clarin 1 | Transmembrane, actin organization | IIIA | |
| Espin | Actin cross-linking | | DFNB36 |
| GPR98 ¹ /formerly termed VLGR1 ² | Ion exchange, signalling | IIC | DFNB6 |
| Harmonin | Scaffolding (homeostasis, adaptation) | IC | DFNB18 |
| HDIA ³ | Actin organization | | DFN1A |
| Myosin IIIa | Motor activity, espin transport | | DFNB30 |
| Myosin VIIa | Motor activity, endocytosis (adaptation) | IB, IIA, III, atypical | DFNB2, DFNA11 |
| Myosin XV | Motor activity | | DFNB3 |
| Otoancorin | Stereocilia-tectorial & otoconial membrane attachment | | DFNB22 |
| Protocadherin 15 | Cell adhesion, signalling (stereociliary links) | IF | DFNB23 |
| Radixin | Actin-plasma membrane linking | | |
| Sans protein | Membrane-associated scaffold (homeostasis) | IG | |
| Stereocilin | Stereocilia-tectorial & otoconial membrane attachment | | DFNB16 |
| TRIO and F-actin binding protein | Actin remodeling and stabilization | | DFNB28 |
| Whirlin | Scaffolding | IID | DFNB31 |
| Kaptin ⁴ | Actin remodeling, stereocilia formation | | DFNA4 |

Usher syndrome types IE and IIIB are unknown. ¹ GPR98=G protein coupled receptor 98. ² VLGR1=very large G protein-coupled receptor-1. ³ HDIA=human homolog of diaphonous. ⁴ Also termed 2E4. DFNA= nonsyndromic deafness, autosomal dominant; DFNB=nonsyndromic deafness, autosomal recessive.

routes may exist, such as semicircular canal dehiscence, may develop in trauma, or be caused by diseases such as cholesteatoma or inner ear malformations. Semicircular dehiscence, trauma, and cholesteatoma involving the osseous labyrinth are a few of the indications where surgical intervention is indicated and improve inner ear symptoms.

Inner Ear Homeostasis

The regulation of inner ear fluid homeostasis (its parameters are volume, concentration, osmolarity, and pressure) is the basis for

adequate response to stimulation. Ion and water transport in the inner ear help maintain the proper potassium concentration required for hair cell function. Many structures are involved in the complex process of inner ear homeostasis. The stria vascularis, located at the lateral wall of the cochlear duct, and the vestibular dark cells are the 2 main structures responsible for endolymph secretion, and possess many similarities. The characteristics of these structures are the basis for regulation of inner-ear homeostasis, while impaired function is related to various diseases [39].

The stria vascularis represents one of the few epithelial types that contain capillaries. A thickening of the strial capillary

Table 6. Diseases associated with aberrant communication routes between intracranial spaces and the inner ear, notably enlarged or obstructed aqueducts and pathologic internal auditory canal.

| Cochlear aqueduct | Vestibular aqueduct | Internal auditory canal |
|---|--|-------------------------|
| Oozer phenomenon | | Gusher phenomenon |
| Perilymphatic fistula, cochlear window rupture | | |
| | Meniere's disease | |
| | Enlarged VA as own entity Pendred syndrome Renal tubular acidosis Branchiootorenal syndrome | |
| | Pure membranous malformations (e.g. Scheibe and Alexander displasias) | |
| | Tumors or lesions of various types (e.g. endolymphatic sac tumors, nerve or vascular lesions) | |
| Inner ear malformations (e.g. Mondini, Michel, enlarged vestibule, enlarged semicircular canal, hypoplastic cochlea) | | |
| Obstruction inside or outside the labyrinth (e.g. high jugular bulb, an aberrant vein or tumor growth) | | |
| Spread of infection between the inner ear and the brain is increased in both directions | | |
| Dysfunction results in an increased vulnerability to inner ear stressors (e.g. aminoglycosides) | | |
| Increased vulnerability to mechanical stressors (e.g. head trauma, barotrauma) | | |
| Procedures or diseases associated with intracranial hyper- or hypotension (e.g. lumbar puncture, pseudotumor cerebri) | | |

Oozer – excessive CA patency with increased communication between perilymph and liquor and a slightly increased amount of fluid flow; Gusher – IAC abnormalities resulting in excessive communication of perilymph and liquor, conductive hearing loss and free-flowing fluid during operations.

basement membrane was suggested as the primary site in cochlear pathogenesis in Alport syndrome, which results from mutations in genes encoding the collagen chains alpha3 (IV), alpha4 (IV), and alpha5 (IV), preventing proper production or assembly of the type IV collagen network. The syndrome is characterized by progressive glomerular disease associated with a high-frequency sensorineural hearing loss [40]. Stria vascularis has a higher oxygen consumption than brain tissue, and the strial capillaries are larger in diameter, with a higher hematocrit and a slower flow than the capillaries of any other tissue types [41]. Schukhnecht defined the strial type of sensorineural hearing loss that is characterized by a flat stria vascularis [42,43] and reduced stria vascularis function; it has been implicated in the pathogenesis of presbycusis [44,45]. It was shown in animal models that age-related atrophy of the stria vascularis is associated with a thickening of the basement membrane in strial capillaries. Consequently, degeneration has been attributed to decreased permeability imposed by the thickened basement membrane [46].

Vestibular dark cells and strial marginal cells are regulated by purinergic-, adrenergic-, and muscarinic receptors, steroids, vasopressin and atrial natriuretic peptide (ANP). There is evidence

that the stress hormones noradrenaline and adrenaline, corticosteroids, and mineralocorticosteroids possess a key role in inner ear homeostasis and sensory transduction (Table 7). There also exists a strongly expressed and largely non-overlapping distribution pattern for different aquaporin (AQP) water channel subtypes in the inner ear, suggesting the existence of regional, subtype-specific water transport pathways [47–49]. The global regulation of water transport in the inner ear may require concerted actions of multiple types of AQPs [50].

The Efferent or Olivocochlear System

The efferent system of the ear possesses several distinct functions, in particular noise protection, mediation of selective attention, and improvement of signal-to-noise ratio. It also supports adaptation and frequency selectivity by modification of the micromechanical properties of outer hair cells. The myelinated medial fibers, which innervate outer hair cells, and the unmyelinated efferent fibers, which terminate under inner hair cells, together form the basis for localization of a sound stimulus and enable to function in a 3-dimensional auditory world. The efferent system is affected by inner ear stressors

Table 7. Regulation of endolymph composition.

| | |
|--|---|
| Vasopressin ¹ (ADH, AVP, DDAVP) | <ul style="list-style-type: none"> • AQP2↑, V2↑, cAMP↑ (but in the ES AQP2↓) • K⁺ secretion↑ • K⁺ gradient along the length of the cochlea↑ • Adenylate cyclase↑ |
| Atrial natriuretic peptide (ANP) | <ul style="list-style-type: none"> • Endolymph volume↓ |
| Glucocorticosteroids | <ul style="list-style-type: none"> • Na⁺-channels (absorption)↑ • glucocorticoid inducible kinases1–3→Isk(K)↑ • AQP1,3↑ • Vasopressin↓ • Na⁺/K⁺-ATPase activity↑ |
| Mineralocorticosteroids | <ul style="list-style-type: none"> • Secretion↓, Isk(K)↓ • Na⁺/K⁺-ATPase activity↑ |
| Adrenergic receptors | <ul style="list-style-type: none"> • β1→K⁺ secretion↑→Isk(K)↑ • β2→Cl⁻ secretion↑ via cAMP (Na⁺ absorption, K⁺ secretion) • β1→metabolism↑ • β1→Na⁺/K⁺-ATPase activity↑ |
| Muscarinic receptors | <ul style="list-style-type: none"> • M3, M4→K⁺ secretion↑ |
| ATP, UTP, purinergic receptors | <ul style="list-style-type: none"> • K⁺ secretion↓, Isk(K)↓ via protein kinase C |

¹ As Agent Vasopressin=INN, Antidiuretic hormone=ADH, AVP=arginine vasopressin, DDAVP=(one trade name of desmopressin). AQP=aquaporin; V2=antidiuretic hormone receptor 2; c-AMP=cyclic adenosine monophosphate; ES=endolymphatic sac, Isk=short circuit current; ATPase=adenosine triphosphate; Isk=short circuit current channel, ATP=adenosine triphosphate; UTP=uridine triphosphate.

(e.g. noise, ototoxic drugs) and might play a key role in tinnitus generation and maintenance [51]. It is one of the main noise-protective mechanisms of the cochlea.

The excitatory glutamatergic afferent transmission of the auditory system is under inhibitory control of GABA and dopamine. Afferent dendrites can be excited via muscarinic receptors as well [52]. Neurotransmission of the efferent system takes place by inhibitory and excitatory transmitters reflecting fine regulation and noise protection. The neurotransmitters of the medial efferent fibers include ACh (acetylcholine), GABA (gamma aminobutyric acid), CGRP (calcitonin gene-related peptide), ATP (adenosine triphosphate), enkephalins, and NO [53,54]. The transmitter of the lateral efferent system include Ach, GABA, CGRP, dopamine, serotonin, and opioids like dynorphin or enkephalin. It was shown in animal experiments that dopamine agonists reduce cochlear damage by noise or ischemia [55–57] and that this transmitter may protect hair cells in inner ear stress (e.g. ischemia) [58]. The alpha 9/10 Ach-receptor suppresses the excitability of outer hair cells by mediating calcium entry into the cell, thus inducing a hyperpolarizing Ca²⁺-sensitive K⁺ current, mediated by small conductance channels (Isk). Overexpression of alpha9-Ach receptors in the outer hair cells in transgenic mice significantly reduces acoustic injury that causes either temporary or permanent damage, without changing pre-exposure cochlear sensitivity to low or moderate level sound [59]. It is interesting that

regenerated nerve fibers in noise-damaged chinchilla are only afferent and have no AchE staining [60].

Meniere's Disease

Meniere's disease certainly represents the most impressive acute inner ear disease. However its appearance and courses are variable. In 40% to 50%, cochlear precede vestibular symptoms, in 20% to 50% the disease manifests vice versa, and in 7% to 30% cochlear and vestibular symptoms occur together initially [61,62]. If the disease progresses, permanent hearing loss develops instead of fluctuating hearing loss and hair cells go under. An endolymphatic hydrops is seen as the pathophysiological correlate of Meniere's disease today, leading to altered hydrostatic and osmotic pressure in the endo- and perilymphatic space [63,64]. It seems that all patients with classical symptoms of Meniere's disease have an endolymphatic hydrops, but not vice versa, as not all patients with hydrops have Meniere's disease symptoms [65].

The degree of endolymphatic hydrops in MRI is correlated with cochlear and vestibular dysfunction [66]. Various causes for an endolymphatic hydrops have been discussed, particularly immunologic compromise, allergies, ototoxicity, quantitative or qualitative endolymph hypersecretion, and obstruction of the endolymphatic system. A genetic predisposition in

combination with a multi-factor etiology was proposed [67]. The endolymphatic sac (ES) is the only structure of the inner ear with immunologic capacity. The ES is the blind ending of the endolymphatic duct, which passes along the vestibular aqueduct. It is the only structure of the inner ear that possesses a basal level of lymphocytes, leucocytes, macrophages, and Langerhans cells, and is the first structure of the inner ear that reacts to infection [68,69]. Antigen challenge to the ES in animal experiments results in endolymphatic hydrops and fluctuating hearing loss like in Meniere's disease [70].

The ES is the regulator of the endolymph, and its parameters are pressure, volume, content, and osmolarity. Its dysfunction has significant effects on endolymph homeostasis and inner ear function. Consequently, its impaired function can lead to endolymphatic hydrops [71,72]. In Meniere's disease, the vascularization of the pars rugosa and perisaccular tissue is impaired [73], and a saccular fibrosis was found in autopsies [74–77]. The intraosseous volume of the endolymphatic sac is reduced in later stages of Meniere's disease [78,79].

A wide expression of the water channels aquaporin (AQP) subunits exists in the ES. So far, the AQPs 1, 2, 3, 4, 5, 6 have been found [47–49,80]. The endolymphatic volume is regulated by vasopressin, which blocks the fluid absorption mediated via V2-receptors, cAMP, and AQP2, which are expressed in the endolymphatic sac epithelium [81]. Vasopressin application leads to decreased endocytosis in the ES and endolymphatic hydrops [82,83]. The expression of AQP1 and AQP3 is elevated by corticosteroids [81,84,85]. The administration of mineralocorticoids can elevate the rate of hydrops caused by obliterated endolymphatic sacs in animal experiments [86]. Atrial natriuretic peptide (ANP) reduces the endolymph volume of the inner ear [83].

Sudden Deafness

Sudden deafness, also called idiopathic sudden sensorineural hearing loss (ISSHL), includes all causes and diseases for sudden hearing loss with unknown etiology. Discussed etiologies include vascular compromise, viral infection, endolymphatic hydrops, autoimmune diseases, and disruption of endolymphatic homeostasis triggered by stress hormones or other hormones. ISSHL is most often defined as sensorineural hearing loss of 30 dB or greater over at least 3 contiguous audiometric frequencies occurring over 72 hours [87]. Due to recent advances in gene analysis technology, various single nucleotide polymorphisms (SNPs) have been found to be closely associated with ISSHL incidence [88–91]. The incidence is 5-20 per 100 000 in Western countries [92–94]. Spontaneous remission occurs in about 45% to 65% of cases [92,95]. The prognosis is worse with higher degree of hearing loss. Low frequency

hearing loss shows a better prognosis than high frequency hearing loss and better results are achieved when therapy begins in the first days after symptom onset. The current mainstay of treatment is cortisone infusions together with a rheologic agent like hydroxyethyl starch (HAES) for 3 to 10 days, which lead to full remission in about 75% of cases. Addition of cortisone therapy shows a significant better outcome than rheological therapy alone [96].

It is currently believed that most cases of ISSHL are caused by circulatory disturbances, probably at the stria vascularis, which is the only epithelium that contains capillaries and has higher oxygen consumption than brain tissue. Enhanced capillary permeability can be found in acute hyper- or hypotension [97]. Suckfüll could improve hearing impairment by low-density lipoprotein apheresis [98]. Pentoxifylline, a commonly used drug for ISSHL, increases cochlear blood flow and significantly improves acute hearing loss, tinnitus, and vertigo compared to placebo [99]. Ischemic damage could be prevented in animal models for cochlear ischemia by various compounds like insulin-like growth factor (IGF-1), AM-111 (an apoptosis inhibitor), prednisolone, edarabone (a free radical scavenger), ginsenoside RB1 (Kappo), glia-cell derived neurotrophic factor (GDNF), hematopoietic stem cells, and liposome-encapsulated hemoglobin (artificial red blood cells) [100].

Noise

Noise-induced hearing loss may occur suddenly as acoustic trauma with mechanical overload or be gradual due to repeated exposure and regarded as constant metabolic stress. Generally speaking, the ear can be exposed to short periods in excess of 120 dB without permanent harm, although with discomfort. Long-term exposure to sound levels over 80 dB can cause permanent hearing loss. Since decibels are based on a logarithmic scale, every increase of 3 decibels results in a doubling of intensity. In contrast to a temporary threshold shift, also called auditory fatigue, which usually recovers in 24–48 hours, permanent threshold shift is characterized by degeneration of hair cells and ganglion cells with a higher vulnerability of outer hair cells than inner hair cells. Sound pressure levels (SPL) over 150 dB and 1.5 ms duration at minimum result in mechanical damage to the middle and inner ear (e.g. hemorrhage, rupture of the basilar or Reissner' membrane, and damage to the organ of Corti). Damage to the middle ear leads to combined hearing loss patterns.

The pathophysiological mechanisms of hearing loss caused by noise, ototoxic agents, in presbycusis, or ISSHL are alike. The 2 key mechanisms are formation of reactive oxygen species (free radicals, ROS) and reactive nitrogen species (RNS), followed by activation of apoptotic signaling pathways of cell

death [101,102]. ROS emerge immediately after noise exposure [103] and persist for 7–10 days thereafter [104], which might correspond to the time-window for post-exposure intervention and containment of the extent of hearing loss. Another consequence of noise exposure is an increase of free Ca^{2+} in outer hair cells immediately after acoustic trauma [105], which might trigger ROS production and induces apoptotic pathways [106]. In addition, noise decreases cochlear blood flow [107], which is suggested to be caused by vasoactive lipid peroxidation products such as isoprostanes [108]. The magnesium supplementation protective effects in noise trauma might arise from reduction of calcium influx into the cell and consequent decrease of apoptosis pathways in hair cells. It can also limit ischemia by inducing vasodilatation of cochlear arterioles [109]. The clinical value of magnesium supplementation for noise-induced hearing loss protection is well explored [110].

Ototoxicity

Medications with ototoxic adverse effects include aminoglycosides, loop diuretics, cytostatics (cisplatin, cyclophosphamide), tuberculostatics (streptomycin, rifampicin, capreomycin), quinine, chloroquine, salicylic acid, and phenothiazines. Ototoxic agents may impair the function of various inner ear structures and alter the fine tuning of mechano-electrical transduction, resulting in inner ear symptoms. As described above, molecular irregularities within the stereocilia lead to increased inner ear vulnerability. For example, reduction or omission of otocadherin (also known as CDH23, and encoded by the *Ahl* gene) weakens the cell and may make stereocilia more vulnerable to physical damage from noise and ageing [111]. Stereocilia are the first structures to be damaged by inner ear stressors such as noise or aminoglycosides. Noise affects the stereociliary carbohydrate metabolism, resulting in degeneration of ciliary interconnections together with disarrangement and detachment of cilia [112]. Aminoglycosides alter the carbohydrate metabolism, which leads to deterioration of the glycocalyx and weakening of the ciliary interconnections and tip links, resulting in stereociliary fusion [113,114]. An alteration in stereociliary stiffness leads to an increase in the hair cell discharge rate, which has been linked to tinnitus generation [115].

Numerous gene polymorphisms are relevant for susceptibility to noise-induced hearing loss and ototoxicity. Among them, polymorphisms of glutathione-S-transferase and mitochondrial MTRNR1 mutation 1555G>A are most explored. The latter causes the structure of the mitochondrial 12S ribosomal RNA to be more similar to that of bacterial rRNA, thus making the mitochondrial ribosomal decoding site more accessible to aminoglycoside antibiotics, which exert their antibacterial effect by specifically binding to the bacterial ribosome. It accounts for 20% of patients with aminoglycoside ototoxicity

and carriers of this mutation may sustain profound deafness after a single injection. Patients with this mutation may develop spontaneous hearing loss as well [116,117].

Basically, interventions to prevent or attenuate ototoxic and noise-induced adverse effects take 1 of 2 approaches: the augmentation of protective pathways or the inhibition of cell death pathways. Human trials have shown prevention of aminoglycoside-induced hearing loss by ROS scavenger glutathione [118] and RNS scavenger salicylate [119]. One small study with 11 patients treated by transtympanic injection of apoptosis inhibitor D-JNKI-1 (AM-111) within 24 hours after acoustic trauma showed therapeutic effectiveness in noise-induced hearing loss [120].

Therapy

Surgical therapeutic options are only chosen for distinct diseases with progression and excessive symptoms like neoplasia, dehiscence of the semicircular canals (resurfacing of the dehiscence or plugging of the semicircular canal), high jugular bulb (jugular bulb compression, jugular vein ligation or embolization), vascular loops at the cerebellopontine angle (vascular decompression of the vestibulocochlear nerve). Middle ear implants are used for mid-grade sensorineural hearing loss of about 40–80 dB in middle frequencies when conventional hearing aids cannot be used due to pathologies or diseases of the external ear canal like chronic otitis externa, canal stenosis, eczema or psoriasis, absence of the pinna, mandibular fractures, excessive cerumen production, or perspiration. Cochlear implants are used for severe to profound sensorineural hearing loss. It can be considered in all cases of congenital inner ear malformations when cochlear remnants or a vestibulocochlear nerve exist.

Numerous pharmaceutical agents have been explored for idiopathic sudden sensorineural hearing loss, for protection, and therapy of noise trauma or ototoxicity. Most of them have not entered clinical usage as efficacy has been shown in animal experiments in most cases. A comprehensive list of tested compounds can be found in reviews by Lynch and Kil [121], Rybak and Whitworth [122], Ohlemiller [123], Iishi and Schacht [124], and Tabuchi et al. [125]. They comprise radical scavengers like N-acetylcysteine, D-methionine, α -lipoic acid, ebselen, resveratrol, ginkgo biloba, vitamin C, vitamin E, water-soluble coenzyme Q10, ferulic acid; minerals like magnesium, selenium, zinc; receptor agonists and antagonists like A1 adenosine receptor agonists, glutamate antagonists, calcium channel blockers; growth and neurotrophic factors like glial cell line-derived neurotrophic factor (GDNF), brain-derived neurotrophic factor (BDNF), ciliary neurotrophic factor (CNTF), fibroblast growth factor (FGF), neurotrophin 3 (NT3); hormones like corticosteroids,

estradiol, dehydroepiandrosterone (DHEA) and anti-apoptotic substances blocking apoptotic cascades. However, it appears that a combination of substances might be more effective than a single compound (e.g. complementary therapies to modulate oxidative stress, exotoxicity, blood flow, calcium and stimulation overload, apoptotic pathways, neurotrophic or hormonal control mechanisms). In this context, pharmacogenetics will increase therapeutic efficacy as certain supplementations will be effective in only a subset of the population and protection can be tailored to genetic variants (e.g. the necessity of administration of N-acetylcysteine for protection of noise trauma is dependent on genetic polymorphisms of the glutathione S-transferase [GST]) [126].

Pharmaceutical Therapy

Standard therapy for acute inner ear symptoms are cortisone infusions together with a rheologic agent. Addition of radical scavengers orally or intravenously to the therapy regimen like vitamin C, vitamin E, or L-N-acetylcysteine has shown improved hearing outcome [127–129]. Treatment considerations include stress reduction, cardiovascular improvement, and cervical physiotherapy.

Betahistine (H1 receptor agonist, H3 receptor antagonist) and Arlevert® (fixed combination of cinnarizine, a calcium channel blocker, and dimenhydrinate, a H1-receptor antagonist) are drugs often used as mainstay therapy for vertigo control in Meniere's disease and can reduce number and intensity of vertigo episodes [130,131]. If numerous recurrent vertigo attacks occur, transtympanic gentamicin application is used to destroy vestibular hair cells, as gentamicin is 4 times more vestibulotoxic than cochleotoxic. Complete vertigo control can be achieved in about 75% and substantial vertigo control in about 93% of cases [132]. Radical scavengers like vitamin C, glutathione, and thioctic acid showed improvement of hearing loss, tinnitus, and vertigo in Meniere's disease [133,134].

Arlevert® and Gingko biloba have shown efficacy for compensation of chronic vertigo (e.g. residual vestibular deficit) [135,136]. Current standard therapy for decompensated chronic tinnitus is tinnitus retraining therapy (TRT) or tinnitus desensitization therapy (TDT). Tinnitus masking can be added and increases success rates [137].

Stem Cell Therapy

Birds, fish, and other non-mammalian vertebrates can regenerate inner hair cells throughout life via 2 mechanisms; the non-mitotic transdifferentiation of supporting cells, and the mitotic proliferation and differentiation of a subset of supporting

cells. In human utricles, supporting cells appear to hold the potential as progeny because some are able to respond to trauma by dividing [138]. A possible third mechanism is that non-mammalian vertebrates may regenerate hair cells through the differentiation of resident stem cells, but it is still controversial whether stem cells in adult sensory epithelia are from a subtype of supporting cells. Introducing exogenous stem cells into the degenerated ear is the other major approach for inner ear therapy [139]. Challenges in stem cell therapy are uncontrolled cell growth, variable human response to resorption, recellularization, regeneration and potential disastrous consequences (e.g. malignant transformation) [140].

There have been significant strides made in regenerating cochlear hair cells by mesenchymal-derived stem cells from induced pluripotent (iPSC) precursors from both mouse embryonic stem cells [141,142] and human fibroblasts or from more abundant sources such as human adipocytes [143–164]. However, considerable efforts have been directed towards stimulating mammalian hair cell regeneration and related studies have shown promising results. The Math1 gene encodes a basic helix-loop-helix transcription factor (bHLH), which is necessary for terminal differentiation of otic epithelial progenitor cells into hair cells [147,148]. Overexpression of Math1 was first shown to induce conversion of nonsensory cells into hair cells via plasmid vector *in vitro* [149]. Mouse atonal homolog, Math1, and human atonal homolog HATH1 were demonstrated via adenoviral vector delivery, effecting phenotypic conversion of supporting cells to hair cells *in vivo* [150,151]. The first reported demonstration of gene therapy-mediated recovery of hearing loss was reported in 2005. Normal-hearing adult guinea pigs were ototoxically deafened and then inoculated with adenovector-delivered Math-1 [152]. The next challenge in stem cell research is to induce full functional and organized hair cells. Numerous growth factors are utilized in the developmental processes of stem cells and it is conceivable that delivery of these growth factors *in vivo* with a form of inner drug delivery will be necessary.

Genetic Therapy

Gene therapy offers the potential for more direct manipulation of gene expression in the target cells by directly inhibiting expression of a deleterious allele or by inserting and forcing expression of a missing or down-regulated gene. These tasks can be accomplished by gene transfer technology. The challenges of gene therapy include aspects of delivery, specificity to targets, adverse effects, and regulation of quantity and duration of gene expression.

One potentially groundbreaking method for changing the outcome of inner ear disease is genetic manipulation by RNA

interference (RNAi) by microRNAs (miRNAs) and gene-specific small interfering RNAs (siRNA), which inactivate messenger RNA (mRNA). Animal studies used siRNA against TRPV1 (transient receptor potential vanilloid receptor 1) or NOX3, which are induced by cisplatin in a ROS-dependent manner, via round window application or transtympanic application, respectively, to successfully reduce cisplatin-related hearing loss [153,154]. In addition, numerous animal studies showed elevation of hearing thresholds, hair cell protection, and prevention of neuron loss from ototoxic drug application for viral vector-mediated delivery of genes for apoptosis inhibitors and growth factors [155–159].

The above-mentioned studies dealt with the task of protecting and repairing inner ear sensory epithelia. In addition, some animal studies have shown success with gene replacement or suppression in animal models for hereditary hearing loss. Hearing in deaf connexin 30 null mice could be restored by genetically overexpressing the connexin 26 gene [160]. Synaptic transmission and hearing could be restored after viral-mediated gene delivery of vesicular glutamate receptor-3 VGLUT3 in mouse mutants [161]. siRNA-technology has been used in a mouse model for connexin 26 mutation-related hearing loss to block expression of a dominant connexin 26 gene [162].

Drug therapy of the inner ear involves specific difficulties due to its bony isolation and the blood-inner ear barrier. One of the most important issues before full clinical application is the development of smart delivery systems that can carry a variety of drugs, proteins, and nucleic acids such as DNA and siRNA, and its controlled release. The use of viral vectors is associated with higher transfection efficiency, but there are toxicity and safety problems, such as immunogenicity and insertional mutagenesis [163,164].

Nanoparticles promise improved biocompatibility, *in vivo* stability, target specificity, cell/tissue uptake, and internalization of the encapsulated therapeutic agents and fewer adverse effects than viral vectors. They vary in size from 10 to 1000 nm and,

depending on the end use, may or may not contain a drug molecule. Various nanoparticle systems with specific characteristics exist: poly (D;L-lactic/glycolic acid) nanoparticles, magnetic nanoparticles, lipid nanoparticles, liposomes, polymersomes, hydroxyapatite nanoparticles, and silica nanoparticles [165]. The feasibility of liposome-mediated gene-transfer was shown more than a decade ago. Transgenic gene expression in the neurosensory epithelia and surrounding tissue of the guinea pig cochlea without toxicity and inflammation in the target organ was achieved for up to 14 days after direct microinjection into the cochlea [166]. The most promising route of drug delivery to the inner ear seems to be via the round window membrane, and numerous studies have been successful in detecting various nanoparticles in the organ of Corti and spiral ganglion neurons after round window application [167–169]. The round window membrane serves as a barrier providing protection for the inner ear by limiting transfer of molecules as a function of factors such as size, electrical charge, and concentration [170]. A new type of nanocarrier is nanogel, which consist of a jelly form of nanoparticle reservoirs that adheres to the round window membrane [171]. However, the most immediate challenge for nanoparticle-based gene therapy to overcome is to achieve a high transfection rate, especially in cells that do not divide, such as neurons and the hair cells of the inner ear. Newly discovered transposons, genetic elements that can relocate between genomic sites using a ‘cut and paste’ mechanism, may be useful [172].

Conclusions

The genetic and pathophysiological causes of inner ear symptoms, notably sensorineural hearing loss, tinnitus, and vertigo, are numerous. Currently, there are no therapeutic options to restore hearing perception. Hearing aids and implants are useful tools for deaf patients but cannot restore natural hearing perception. However, the fundamentals of pathophysiological understanding have been laid for advances in pharmaceutical, genetic, and stem cell therapy.

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