

# The Interaction of *BDNF* and *NTRK2* Gene Increases the Susceptibility of Paranoid Schizophrenia

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## Abstract

The association between *BDNF* gene functional Val66Met polymorphism rs6265 and the schizophrenia is far from being consistent. In addition to the heterogeneous in schizophrenia per se leading to the inconsistent results, the interaction among multi-genes is probably playing the main role in the pathogenesis of schizophrenia, but not a single gene. Neurotrophic tyrosine kinase receptor 2 (*NTRK2*) is the high-affinity receptor of BDNF, and was reported to be associated with mood disorders, though no literature reported the association with schizophrenia. Thus, in the present study, total 402 patients with paranoid schizophrenia (the most common subtype of schizophrenia) and matched 406 healthy controls were recruited to investigate the role of rs6265 in *BDNF*, three polymorphisms in *NTRK2* gene (rs1387923, rs2769605 and rs1565445) and their interaction in the susceptibility to paranoid schizophrenia in a Chinese Han population. We did not observe significant differences in allele and genotype frequencies between patients and healthy controls for all four polymorphisms separately. The haplotype analysis also showed no association between haplotype of *NTRK2* genes (rs1387923, rs2769605, and rs1565445) and paranoid schizophrenia. However, we found the association between the interaction of *BDNF* and *NTRK2* with paranoid schizophrenia by using the MDR method followed by conventional statistical analysis. The best gene-gene interaction model was a three-locus model (*BDNF* rs6265, *NTRK2* rs1387923 and *NTRK2* rs2769605), in which one low-risk and three high-risk four-locus genotype combinations were identified. Our findings implied that single polymorphism of rs6265 rs1387923, rs2769605, and rs1565445 in *BDNF* and *NTRK2* were not associated with the development of paranoid schizophrenia in a Han population, however, the interaction of *BDNF* and *NTRK2* genes polymorphisms (*BDNF*-rs6265, *NTRK2*-rs1387923 and *NTRK2*-rs2769605) may be involved in the susceptibility to paranoid schizophrenia.

**Citation:** Lin Z, Su Y, Zhang C, Xing M, Ding W, et al. (2013) The Interaction of *BDNF* and *NTRK2* Gene Increases the Susceptibility of Paranoid Schizophrenia. PLoS ONE 8(9): e74264. doi:10.1371/journal.pone.0074264

**Editor:** Xiang Yang Zhang, Baylor College of Medicine, United States of America

**Received:** June 18, 2013; **Accepted:** July 29, 2013; **Published:** September 17, 2013

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**Funding:** This study was supported by the National Natural Science Foundation of China (81171266, 81271481), the Natural Science Foundation of Shanghai (13ZR1460500), Shanghai Pujiang Program (10PJ1408800), Shanghai science and technology innovation program (11140900400), Shanghai outstanding leaders plan of public health (GWDTR201230). The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

**Competing Interests:** The authors have declared that no competing interests exist.

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## Introduction

Schizophrenia is a chronic, recurrent, disabling mental disease with a high cost to society and individuals across the world [1–2]. Although the underlying etiology of schizophrenia is still poorly understood, lines of evidence suggests that dysfunction in neurodevelopmental processes resulting from genetic and environmental factors, contributes to the development of schizophrenia [3–4]. Brain-derived neurotrophic factor (BDNF), a member of the neurotrophin superfamily, plays important roles in various neurodevelopmental processes of the central nervous system (CNS), including neuronal differentiation and survival, synaptic connections as well as plasticity [5–6]. Mounting evidence has demonstrated that BDNF were involved in the pathophysiology of schizophrenia. Recently, Zhang et al. showed that BDNF levels were significantly lower in drug-free patients with schizophrenia [7]. Lee et al. also demonstrated that BDNF levels decreased significantly in unmedicated schizophrenic patients and elevated

after successful antipsychotic treatment which parallel symptom improvement of the patients [8]. Furthermore, increasing post-mortem studies have shown that BDNF levels were significantly lower in prefrontal cortex of schizophrenia patients [9–10]. In addition, it is notable that BDNF most likely functions through its high-affinity receptor, neurotrophic tyrosine kinase receptor 2 (*NTRK2*) [11]; and *NTRK2* has also been found decreased in postmortem of schizophrenic subjects [12]. More interestingly, some previous study have also demonstrated that BDNF and *NTRK* levels were both decreased in the brain tissue of schizophrenic patients [13–14]. All these evidence suggest that dysfunction of BDNF and TrkB may be involved in the pathophysiology underlying schizophrenia.

At the molecular level, position 196 in exon 5 of the *BDNF* gene contains a G to A transition leading to an amino acid substitution (valine to methionine) at codon 66 in the precursor *BDNF* peptide sequence (dbSNP: rs6265). This polymorphism can affect activity-

dependent secretion of BDNF, hippocampal function and morphology [15–16]. Thus, more and more research was carried out to explore the role of *BDNF* functional polymorphism rs6265 in development of schizophrenia. Muglia et al. found the association between this functional SNP and schizophrenia in Italian subjects [17]. Neves-Pereira et al. also showed a positive association in Caucasian [18]. The same result was also found in Chinese populations [19]. However, some literatures reported the contrary results, and the association studies between the functional SNP Val66Met and schizophrenia is far from being consistent [20–22].

As to *NTRK2* gene polymorphisms, previous studies have shown that *NTRK2* gene polymorphisms rs2769605, rs1387923, and rs1565445 were associated with mood disorders or antidepressants response [23–26]. However, no literature reported the association between those three *NTRK2* gene polymorphisms and the susceptibility to schizophrenia.

The most possible reason for inconsistent results may be that schizophrenia is characterized by heterogeneous clinical features; and different subtype of schizophrenia may lead to the genetic complexity of the disease [27]. Furthermore, the most important thing is that gene-gene interaction is critical to describe a phenotypic effect, when a specific individual genetic variant has a minor marginal effect in a complex psychiatric disease [28].

Thus, in present study, patients with paranoid schizophrenia (the most common subtype of schizophrenia) were recruited to improve the homogeneity of samples. The aim of the present study is to investigate the role of *BDNF* functional Val66Met polymorphism (rs6265), three polymorphisms in *NTRK2* gene (rs1387923, rs2769605 and rs1565445) and their interaction in pathophysiology of paranoid schizophrenia. To our knowledge this is the first study to investigate the genetic risk factors for the development of paranoid schizophrenia concerning gene-gene interaction.

## Materials and Methods

### Subjects

All procedures including standard informed consent were reviewed and approved by Institutional Review Boards of Shanghai Mental Health Center. This study was conducted in accordance with the Helsinki Declaration as revised 1989. All participants or their guardians (if the patients had a compromised capacity) read the informed consent and were explained carefully about each item. Written informed consent was obtained from each participant or their guardians (if the patients had a compromised capacity) before any study-related procedures were performed. All potential participants who declined to participate or otherwise did not participate were eligible for treatment and were not disadvantaged in any other way by not participating in the study.

All the subjects in this study undergo the Mini International Neuropsychiatric Interview (MINI) and were interviewed by two experienced psychiatrists. MINI is a brief structured interview for Axis I diagnosis of major psychiatric disorders in Diagnostic and Statistical Manual of Mental Disorders-Fourth Edition (DSM-IV) and International Classification of Diseases-Tenth Edition (ICD-10). Patients were recruited from Shanghai mental health center. Inclusion criteria were as follow: (1) Between the ages of 18 and 65; (2) Han Chinese in origin; (3) Meet the criteria of the Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition (DSM-IV) for paranoid schizophrenia; (4) Blood samples were available. Patients who had a lifetime diagnosis of bipolar disorder, schizoaffective disorder, or other psychotic disorders were excluded.

Age and gender-matched healthy subjects were recruited excluding individuals with any major Axis I disorders and family history of mental disorder. Eventually, total 402 patients with a mean age of  $34.9 \pm 5.4$  years (230 males and 172 females) and matched 406 healthy controls with a mean age of  $35.6 \pm 7.0$  years (249 males and 157 females) were involved in this study. There were no significant difference of age and gender between cases and healthy subjects ( $t = 1.46$ ,  $df = 806$ ,  $p = 0.14$ ;  $\chi^2 = 1.42$ ,  $df = 1$ ,  $p = 0.23$ , respectively).

### DNA Extraction and SNP Genotyping

Peripheral blood samples were collected from the participants in 2 ml EDTA vacuum tube. Genomic DNA was extracted from peripheral blood according to standard laboratory procedures (Blood genomic DNA extraction kit, TIANGEN, Beijing) and stored in at  $-80^\circ\text{C}$  until genotyping. The genotypes of the *BDNF* (rs6265) and *NTRK2* gene (rs1387923, rs2769605 and rs1565445) were identified as reported in our previous study [26]. For quality control, all genotypes were called blind to the case or control status in the genotyping process. Of the samples collected, 10% were repeated for the genotyping assay, and the results were more than 99% concordant.

### Statistical Analyses

Differences in age and gender between cases and control subjects were compared by using t-test and chi-square ( $\chi^2$ ) test respectively. Hardy-Weinberg Equilibrium, genotype and allele frequencies of individual SNPs, pair-wise linkage disequilibrium of all pairs of SNPs and haplotype analysis were calculated by using the Haploview 4.1 software. The extent of linkage disequilibrium (LD) was measured by the standardized  $D'$ . Those haplotypes with a frequency under 3% were ignored. For multiple test correction, the Bonferroni correction was applied and the significance level  $\alpha$  was set at 0.0125 (0.05/4). The power to detect significant association was estimated using the online software Quanto (Version 1.2.3, available at <http://hydra.usc.edu/GxE/>) [29]. The statistical power of our study was more than 90% under the assumption of a moderate effect size (Odds Ratio [OR] = 1.5), a log additive model and disease prevalence of 1%.

Gene-gene interactions were investigated by using multifactor-dimensionality reduction (MDR) method [30]. In brief, MDR combined higher and lower predisposing genotypes into two different groups (high or low-risk). Then the combination model is selected based on the lower misclassification error. Furthermore, 10-fold cross-validation was applied to assess the predictive ability of the each model by calculating the prediction error. Then, the best model with the maximization of cross-validation consistency was selected. P values of prediction accuracy were determined empirically by permuting the case and control labels 1,000 times. Hierarchical interaction graphs and interaction dendrogram of MDR were applied to present the SNPs interaction of the best model [31]. In addition, traditional statistical methods were performed to examine the results from MDR analyses. A  $p$  value of less than 0.05 was considered statistically significant.

## Results

### Hardy-Weinberg Equilibrium (HWE) and Linkage Disequilibrium (LD)

The distributions of genotypes in cases and control subjects were consistent with Hardy-Weinberg equilibrium ( $P > 0.05$ ) respectively. Analysis of pair wise LD was conducted for three SNPs in *NTRK2* gene. The  $D'$  and  $r^2$  of three SNPs in *NTRK2* gene are shown in Table 1.

**Table 1.** Pairwise linkage disequilibrium results among SNPs in *NTRK2*.

	rs1387923	rs1565445	rs2769605
rs1387923		0.001	0.018
rs1565445	0.000		0.085
rs2769605	0.000	0.001	

*D'* and *r*<sup>2</sup> values are shown above and below the diagonal respectively.  
doi:10.1371/journal.pone.0074264.t001

**Association Analysis of Four Polymorphisms with Paranoid Schizophrenia**

As shown in Table 2, no statistically significant differences were found in allele or genotype frequencies between cases and control subjects for four individual SNPs (rs1387923, rs1565445 and rs2769605 in *NTRK2* gene, rs6265 in *BDNF* gene). Haplotype-based analysis showed no significant association between the haplotypes from rs1387923, rs1565445 and rs2769605 in *NTRK2* and paranoid schizophrenia (detailed in Table 3).

**MDR Analysis of Gene-gene Interaction**

Cross-validation consistency and the prediction error obtained from MDR analysis for each number of loci were shown in Table 4. One three-locus model *BDNF* (rs6265)-*NTRK2* (rs1387923, rs2769605) had a maximum testing accuracy of 57.01% and a maximum cross-validation consistency (10/10) that was significant at *p*<0.0001 level, after determined empirically by permutation testing.

Figure 1 summarizes the three-locus genotype combinations associated with high risk or low risk for each multilocus-genotype combination. The varied patterns of high-risk and low-risk cells across each of the different multi-locus dimensions provide evidence of epistasis, or gene-gene interaction; that is, the influence that each genotype at a particular locus has on disease risk is dependent on the genotypes at each of the other two loci (30).

Traditional statistical methods were applied to this three-locus model to aid in interpretation, which identified three high-risk genotype combinations and one low-risk genotype combination

from all possible genotype combinations. In this three-locus (rs1387923–rs2769605–rs6265) model, the OR for the low-risk genotype combination (CC)-(GA)-(GG) was 0.33 (95% CI: 0.18–0.67), the ORs for the three high-risk genotype combinations (TT)-(AA)-(AA), (TT)-(AA)-(GG), and (TC)-(AA)-(AA) were 5.1 (95% CI: 1.5–7.4), 5.1 (95% CI: 1.5–7.4) and 3.06 (95% CI: 1.3–5.8) respectively.

**Hierarchical Interaction Graphs**

After identifying a high-risk combination of SNPs using MDR, we used the theory of information gain to interpret the relationship between these four SNPs and drew the hierarchical interaction graphs. As shown in Figure 2A, we found a positive interaction effect of rs6265 in *BDNF* gene and rs1565445 in *NTRK2* with interaction entropy of 0.23%; rs6265 in *BDNF* gene and rs2769605 in *NTRK2* with interaction entropy of 0.22%; rs6265 in *BDNF* gene and rs1387923 in *NTRK2* with interaction entropy of 0.13%; rs1565445 and rs1387923 in *NTRK2* with interaction entropy of 0.13%; a negative interaction effect of rs1565445 and rs2769605 in *NTRK2* with interaction entropy of –0.14%.

**Interaction Dendrogram**

Interaction dendrogram demonstrated that rs6265 in *BDNF* gene and its receptor’s one polymorphism rs1565445 in *NTRK2* gene located on the same branch (Figure 2B). These two SNPs were estimated to have the strongest synergy interaction, as indicated visually by the red line. The rs1387923 in *NTRK2* gene was on a different branch, demonstrating a synergy interaction with its two polymorphisms in *NTRK2* gene (rs1565445 and rs2769605) and rs6265 in *BDNF* gene as indicated visually by the orange line.

**Discussion**

Recently, *BDNF* and its high-affinity receptor, *NTRK2*, widely expressed in the adult brain, were both reported decreased in human postmortem of schizophrenia suggesting they were involved in pathophysiology of schizophrenia [4,9,14]. However, genetic associations between *BDNF* and schizophrenia had a contradicting result. Single nucleotide polymorphism rs6265 is the most common and critical functional genetic polymorphisms of the *BDNF* gene. Meanwhile, *BDNF* polymorphism rs6265 can

**Table 2.** Allele and genotype distributions of SNPs and association analysis of each SNP between Case and Control samples.

SNPs	Sample	N	Genotype (%)			$\chi^2$	<i>P</i> <sup>a</sup>	Allele (%)		$\chi^2$	<i>P</i> <sup>a</sup>	OR (95% CI)
			T/T	T/C	C/C			T	C			
rs1387923												
	Case	402	237(59.0)	138(34.3)	27(6.7)	2.98	0.23	612(76.1)	192(23.9)	2.36	0.12	1.19 (0.95~1.49)
	Control	406	2154(53.0)	161(39.7)	30 (7.4)			591(72.8)	221(27.2)			
rs1565445												
	Case	402	186(46.3)	169(42.0)	47(11.7)	1.30	0.52	541(67.3)	263(32.7)	1.25	0.26	0.89 (0.72~1.09)
	Control	406	172(42.2)	181(44.6)	53(13.1)			525 (64.7)	287(35.3)			
rs2769605												
	Case	402	242(60.2)	132(32.8)	28(7.0)	2.03	0.36	616 (76.6)	188(23.4)	2.03	0.52	0.93 (0.73~1.17)
	Control	406	246(60.6)	141(34.7)	19(4.7)			633(78.0)	179(22.0)			
rs6265												
	Case	402	119(29.6)	184(45.8)	99(24.6)	0.28	0.87	422(52.5)	382(47.5)	0.08	0.77	0.97 (0.80~1.18)
	Control	406	120(29.6)	192(47.3)	94(23.2)			432(53.2)	380(46.8)			

<sup>a</sup>*P*-values are adjusted by Bonferroni method for the number of tests performed, the level of significance was set at 0.0125.  
doi:10.1371/journal.pone.0074264.t002

**Table 3.** Haplotype analysis of *NTRK2* gene (rs1387923–rs1565445–rs276905).

Gene	Haplotype rs1387923–rs1565445–rs276905	Frequency (%)		$\chi^2$	P <sup>a</sup>	OR (95%CI)
		Case	Control			
<i>NTRK2</i>	T-C-G	154.14(19.2)	170.35(21.0)	0.89	0.35	0.89(0.70–1.14)
	T-C-A	41.74(5.2)	44.15(5.4)	0.06	0.81	0.95(0.61–1.47)
	T-T-G	311.38(38.7)	299.82(36.9)	0.49	0.48	1.08(0.88–1.32)
	T-T-A	104.74(13.0)	76.69(9.4)	5.10	0.02	1.43(1.05–1.96)
	C-C-G	54.26(6.7)	56.83(7.0)	0.05	0.83	0.96 (0.65–1.41)
	C-T-G	96.22(12.0)	106.01(13.1)	0.47	0.49	0.90(0.67–1.21)
	C-T-A	28.66(3.6)	42.48(5.2)	2.72	0.10	0.67(0.41–1.08)

Only haplotypes with frequency <0.03 are ignored in analysis.

<sup>a</sup>P-values are adjusted by Bonferroni method for the number of tests performed, the level of significance was set at 0.0125.

doi:10.1371/journal.pone.0074264.t003

influence activity-dependent secretion of BDNF [15]. Some previous studies have showed significant association between this polymorphism of *BDNF* gene and schizophrenia, but some showed no association between them. The most essential reason for the inconsistent results is that the heterogeneity of schizophrenia may contribute to the genetic complexity of the disease, like varied ethnic of samples and different subtype of schizophrenia [27].

Currently, Sun et al. reported the significant differences in the genotype distribution and allelic frequencies of the *BDNF* polymorphism rs6265 between schizophrenic patients in a Chinese Han population (n = 456) and controls (n = 483) [19]. However, most research and meta-analysis reported the negative association between *BDNF* polymorphism rs6265 and schizophrenia in a Chinese population. Xu et al. showed that no significant differences were found in allele or genotype or haplotype frequencies of *BDNF* polymorphism rs6265 between Chinese schizophrenic patient and controls; and their meta-analysis demonstrated that the this polymorphism did not contribute to the susceptibility to schizophrenia [22]. Wang et al. [32] and Sun et al. [33] sequentially reported no association between *BDNF* variants rs6265 and schizophrenia in a Chinese population. Recently, Zhang et al. also found no association between *BDNF* polymorphism rs6265 and the susceptibility to schizophrenia [34]. Yi et al. also demonstrated that there was no significant differences of genotype or allele distribution between early onset schizophrenic patients (onset before age 18) (n = 353) and controls (n = 394) in a Chinese Han population [35].

Paranoid schizophrenia is the most common type of schizophrenia, and little research studied the association between specific schizophrenia subtype and polymorphism rs6265 in *BDNF* gene. Recently, Suchanek et al. found no association between *BDNF* polymorphism rs6265 and the development of paranoid schizophrenia [36]. Until now, no literature reported the relationship

between *BDNF* polymorphism rs6265 and paranoid schizophrenia in a Chinese Han population.

*NTRK2*, a high-affinity receptor of BDNF play a critical role in BDNF/*NTRK2* pathway; and it has also been found decreased in postmortem of schizophrenic subjects [12]. Previous studies have demonstrated that three polymorphisms in *NTRK2* gene (rs2769605, rs1387923, and rs1565445) were associated with mood disorders [23–26]. However, up to date, no literature reported the correlation between *NTRK2* gene (rs2769605, rs1387923, and rs1565445) and schizophrenia.

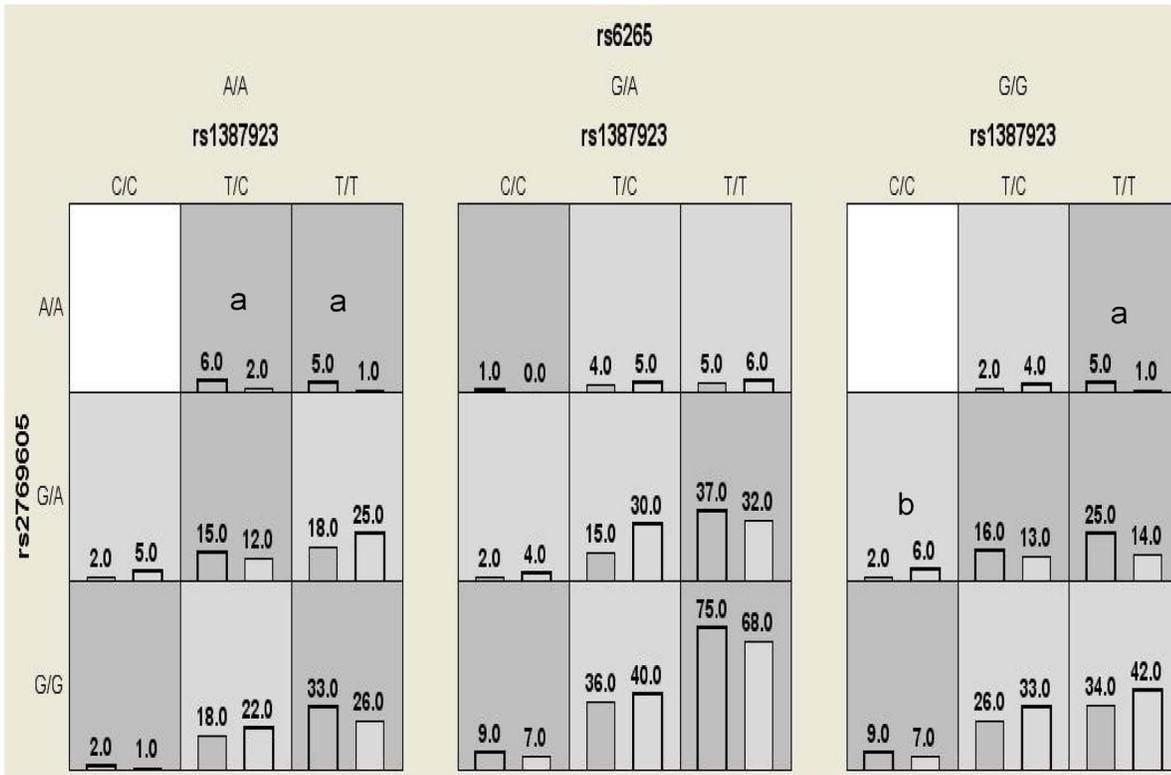
In the present study, we recruited 402 patients with paranoid schizophrenia and 406 control subjects to examine the putative association between paranoid schizophrenia and polymorphisms in *BDNF* (rs6265) and *NTRK2* genes (rs1387923, rs2769605, and rs1565445) in a Chinese Han population. No statistically significant differences in allele and genotype frequencies were observed between cases and control participants for all these four polymorphisms separately. The haplotype analysis showed no association between haplotype of *NTRK2* genes (rs1387923, rs2769605, and rs1565445) and paranoid schizophrenia. However, we found the association between the interaction of *BDNF* and *NTRK2* with paranoid schizophrenia by using the MDR method followed by conventional statistical analysis. The best gene-gene interaction model identified was a three-locus model (*BDNF* rs6265, *NTRK2* rs1387923 and *NTRK2* rs2769605). In this model, one low-risk and three high-risk four-locus genotype combinations were identified. We speculate that from our findings, since schizophrenia is a complex psychiatric disease, the individual genetic variants may just display minor marginal effects on its pathogenesis, and are hard detected; or some of the components, such as BDNF and its receptor *NTRK2*, in development of schizophrenia may act synergistically in ways we don't understand.

**Table 4.** The best model for predicting the occurrence of the paranoid schizophrenia.

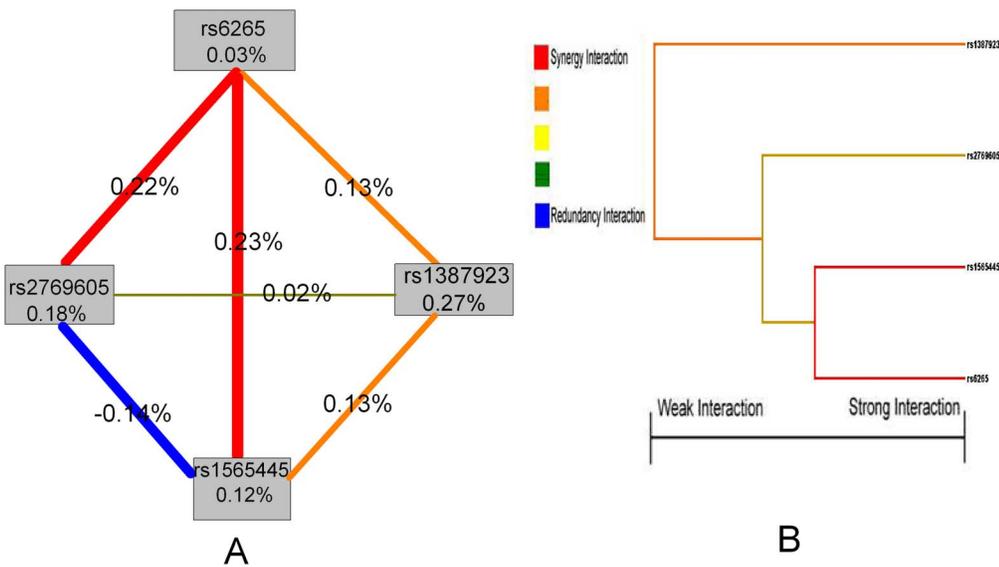
Best model	Training accuracy (%)	Testing accuracy (%)	CVC	$\chi^2$	p value	OR 95%CI
<i>NTRK2</i> (rs1387923)	53.01	50.87	9/10	2.95	0.09	1.28 (0.97–1.69)
<i>NTRK2</i> (rs1387923, rs1565445)	54.51	48.14	5/10	5.73	0.02	1.40 (1.06–1.85)
<i>NTRK2</i> (rs1387923, rs2769605), <i>BDNF</i> (rs6265)	57.01	53.09	10/10	15.61	<0.0001	1.75 (1.33–2.31)

CVC = Cross-validation consistency.

doi:10.1371/journal.pone.0074264.t004



**Figure 1. Distribution of high-risk and low-risk genotypes in the best three-locus model.** Dark gray and light gray boxes presented the high- and low-risk factor combinations, respectively. Left bars within each box represented case while the right bars represented control. The heights of the bars are proportional to the sum of samples in each group. Note that the patterns of high-risk and low-risk cells differ across each of the different multilocus dimensions. This is evidence of epistasis, or gene-gene interaction. “a” and “b” represented high-risk and low-risk genotype combinations respectively which were also validated by traditional statistical analysis.  
doi:10.1371/journal.pone.0074264.g001



**Figure 2. Hierarchical interaction graphs and interaction dendrogram.** (A) Hierarchical interaction graphs showed that the percentage at the bottom of the each polymorphism represented entropy of it, and the percentage on each line represented the interaction percentage of entropy between two polymorphisms. The red line represented synergy redundancy interaction and the blue line represented redundancy interaction. (B) Interaction dendrogram showed that the red line represented synergy interaction and the orange line represented synergy interaction more weakly. From left to right the interaction was more intensive.  
doi:10.1371/journal.pone.0074264.g002

To the best of our knowledge, this is the first study to explore the correlation between *BDNF* (rs6265) and three *NTRK2* gene polymorphisms and paranoid schizophrenia in a Chinese Han population; and the first time reporting the association between the interaction of *BDNF* and *NTRK2* polymorphisms and the development of paranoid schizophrenia. However, several concerns or limitations still need to be addressed. Firstly, it is noteworthy that the moderate sample size and lack of independent replication, the current results should be interpreted with caution and further studies of independent, multiple-center, large-scale samples should be conducted to validate our results. Secondly, other crucial genes in *BDNF/NTRK2* signaling pathway were not examined in the present study. For example, Kawanishi et al. found that two genetic variants (−933T→C and −413G→A) in the promoter region of the cyclic adenosine monophosphate response element binding (*CREB*) gene were found only in schizophrenics, not in controls [37]. Thus, the possible role of other genes in the *BDNF/NTRK2* signaling pathway and their

interactions on susceptibility to schizophrenia should be further examined.

In conclusion, our findings implied that single polymorphism of rs6265 rs1387923, rs2769605, and rs1565445 in *BDNF* and *NTRK2* did not demonstrate the association with the development of paranoid schizophrenia in a Han population, however, our finding suggested statistically significant role of interaction of *BDNF* and *NTRK2* genes polymorphisms (*BDNF*-rs6265, *NTRK2*-rs1387923 and *NTRK2*-rs2769605) in schizophrenia susceptibility.

## Acknowledgments

The authors are very grateful to all participants.

## Author Contributions

Conceived and designed the experiments: ZZZL DHC. Performed the experiments: MJX WHD CFZ YSS. Analyzed the data: ZZZL LWL YTG. Contributed reagents/materials/analysis tools: ZZZL. Wrote the paper: ZL YSS.

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