

Estradiol Modulates *bcl-2* in Cerebral Ischemia: A Potential Role for Estrogen Receptors

Dena B. Dubal,¹ Paul J. Shughrue,² Melinda E. Wilson,¹ Istvan Merchenthaler,² and Phyllis M. Wise¹

¹Department of Physiology, College of Medicine, University of Kentucky, Lexington, Kentucky 40536, and ²The Women's Health Research Institute, Wyeth-Ayerst Research, Radnor, Pennsylvania 19087

We have shown that physiological levels of estradiol exert profound protective effects on the cerebral cortex in ischemia induced by permanent middle cerebral artery occlusion. The major goal of this study was to begin to elucidate potential mechanisms of estradiol action in injury. Bcl-2 is a proto-oncogene that promotes cell survival in a variety of tissues including the brain. Because estradiol is known to promote cell survival via Bcl-2 in non-neural tissues, we tested the hypothesis that estradiol decreases cell death by influencing *bcl-2* expression in ischemic brain injury. Furthermore, because estradiol may protect the brain through estrogen receptor-mediated mechanisms, we examined expression of both receptor subtypes *ER α* and *ER β* in the normal and injured brain. We analyzed gene expression by RT-PCR in microdissected regions of the cerebral cortex obtained from injured and sham female rats treated with estradiol or oil. We found that estradiol prevented the injury-induced downregulation of *bcl-2* expres-

sion. This effect was specific to *bcl-2*, as expression of other members of the *bcl-2* family (*bax*, *bcl-x_L*, *bcl-x_S*, and *bad*) was unaffected by estradiol treatment. We also found that estrogen receptors were differentially modulated in injury, with *ER β* expression paralleling *bcl-2* expression. Finally, we provide the first evidence of functional *ER β* protein that is capable of binding ligand within the region of the cortex where estradiol-mediated neuroprotection was observed in cerebral ischemia. These findings indicate that estradiol modulates the expression of *bcl-2* in ischemic injury. Furthermore, our data suggest that estrogen receptors may be involved in hormone-mediated neuroprotection.

Key words: estradiol; estrogen; neuroprotection; cerebral ischemia; stroke; menopause; *bcl-2* family; estrogen receptors; *ER β* ; *ER α* ; receptor binding; RT-PCR; in situ hybridization

Increasing evidence demonstrates that estradiol is a pleiotropic hormone that acts beyond the scope of its reproductive functions. Clinical studies indicate that estradiol reduces the risk or severity of neurodegenerative conditions such as Alzheimer's disease (Henderson et al., 1996; Kawas et al., 1997) and stroke (Paganini-Hill, 1995; Schmidt et al., 1996). There is a cellular and molecular basis for these clinical observations. Estradiol is a neuroprotective factor that decreases cell death in a variety of *in vitro* (Goodman et al., 1996; Singer et al., 1996; Green et al., 1997; Gridley et al., 1997; Weaver et al., 1997) and *in vivo* (Hall et al., 1991; Simpkins et al., 1997; Alkayed et al., 1998; Dubal et al., 1998) paradigms of brain injury. Recently, we (Dubal et al., 1998) and other investigators (Simpkins et al., 1997; Alkayed et al., 1998) have shown that estradiol attenuates stroke-related injury using animal models of cerebral ischemia.

We have shown that low, physiological levels of estradiol are sufficient to exert profound protective effects in the ischemic brain of rats, specifically in the cortex (Dubal et al., 1998). Several potential mechanisms may underlie the effects of estradiol. Our previous data indicate that estradiol may act through classic or

nonclassic genomic mechanisms to protect against ischemic injury because, in our model, the actions of estradiol are not rapid and require pretreatment. Furthermore the actions of estradiol do not appear to be mediated via changes in blood flow or other major physiological parameters (Dubal et al., 1998).

The major goal of this study was to begin to elucidate potential mechanisms of estradiol-mediated neuroprotection in cerebral ischemia. Estradiol is known to promote cell survival via Bcl-2 in non-neuronal tissues (Teixeira et al., 1995; Kandouz et al., 1996; Huang et al., 1997). We, therefore, hypothesized that estradiol may decrease brain injury, in part, by influencing *bcl-2* expression in cerebral ischemia. Bcl-2 is a survival factor that can block both necrotic and apoptotic cell death (Bredesen, 1995), two modes of cell death that contribute to ischemic injury (MacManus and Linnik, 1997; Namura et al., 1998). Bcl-2 acts upstream to prevent the activation of caspases, inhibits free radical formation, regulates calcium sequestration (MacManus and Linnik, 1997), and blocks the pro-apoptotic actions of other members of the Bcl-2 family such as Bax and Bad (Merry and Korsmeyer, 1997). To assess whether estradiol influences these cell survival and cell death factors, we examined expression of *bcl-2* and other members of the *bcl-2* family in our paradigm of hormone replacement and neurodegeneration.

Because estradiol acts, and may protect, through receptor-mediated mechanisms, we also examined the expression of both estrogen receptor subtypes *ER α* and *ER β* in normal and injured rat brain. Evidence from a study that used *ER α* knock-out mice suggests that *ER β* may mediate the protective effects of estradiol in non-neural tissue (Iafrafi et al., 1997). Although it is intriguing

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Correspondence should be addressed to Dr. Phyllis M. Wise, Department of Physiology, University of Kentucky College of Medicine, 800 Rose Street, Lexington, KY 40536-0084.

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to speculate that a similar role for ER β may exist in the brain, the presence of a functional ER β protein in cortex has not yet been demonstrated. We, therefore, performed *in vivo* binding studies to determine whether functional ER β protein exists in the rat cerebral cortex.

Our findings demonstrate that low, physiological levels of estradiol modulate *bcl-2* expression in ischemic injury. Furthermore, our data suggest that estrogen receptors, specifically ER β , may be involved in hormone-mediated neuroprotection.

MATERIALS AND METHODS

Cerebral ischemia

Female Sprague Dawley rats (225–275 gm) were maintained in a 14/10 light/dark cycle with *ad libitum* access to food and water. Under methoxyflurane anesthesia, rats were bilaterally ovariectomized ($n = 8$ –11 per experimental group) to eliminate endogenous estradiol production and then implanted with a SILASTIC capsule containing oil or 17 β -estradiol (180 μ g/ml). This paradigm of estradiol treatment produces levels of estradiol equivalent to basal circulating levels (Dubal et al., 1998) observed during the rat estrous cycle (DePaolo et al., 1979). Seven days after ovariectomy and treatment, rats underwent cerebral ischemia or sham surgery. Rats were anesthetized with ketamine/acepromazine (80.0/0.52 mg/kg, i.p.). Body temperature was monitored with a rectal probe and maintained at normothermia (36.5–37.5°C). The right femoral artery was cannulated for monitoring major physiological parameters (blood gases, MABP, pH, glucose). The right middle cerebral artery was permanently occluded using previously described methods (Dubal et al., 1998). Briefly, a 4/0 monofilament suture was inserted through the internal carotid artery to the base of the middle cerebral artery, thus occluding blood flow to the cortex and striatum. Brains were collected 24 hr after the onset of ischemia and used for RT-PCR or *in situ* hybridization studies.

RT-PCR studies

Microdissection. Alternating 1 mm sections of brain were collected using a brain matrix (Activational Systems) and then stained in 2% triphenyltetrazolium chloride (TTC) to visualize injury (Bederson et al., 1986) or frozen at -80°C to monitor gene expression. The area of the cortex analyzed for gene expression was selected using the following criteria. We first examined tissue from a 1 mm TTC-stained coronal section, corresponding to the middle of the infarct. Then, the adjacent fresh, frozen 1 mm section (~ 0.3 mm anterior to the bregma) was used to analyze gene expression. A region apposed to the infarct in ovariectomized oil-treated rats and the equivalent region on the contralateral side of the brain were microdissected using the Palkovits (1978) method. Anatomically matched regions were microdissected in (1) injured ovariectomized estradiol-treated, (2) sham ovariectomized oil-treated, and (3) sham ovariectomized estradiol-treated rats. For all samples, the microdissected regions were anatomically similar while remaining in noninfarcted tissue.

cDNA preparation. Total RNA was isolated from microdissected samples by the method of Chomczynski and Sacchi (1987). For each sample, we reverse transcribed 0.5 μ g of total RNA to produce cDNA in a final reaction volume of 40 μ l, containing 2.5 μ M random hexamers (Perkin-Elmer, Branchburg, NJ), 100 U murine leukemia virus reverse transcriptase (Perkin-Elmer), 1 mM dNTP mix (Life Technologies, Gaithersburg, MD), 80 U RNasin (Promega, Madison, WI), 5 mM MgCl₂ (Life Technologies), and 1 \times reaction buffer (Life Technologies). Each sample was incubated for reverse transcription at room temperature for 15 min, 37°C for 2 min, 42°C for 1 hr, and 99°C for 5 min. The same procedure was performed on samples using a reaction solution without reverse transcriptase to check for genomic contamination.

PCR amplification. We used well characterized RT-PCR methods to determine relative changes in gene expression at the mRNA level (Estus, 1996). For each gene examined, we generated standard curves of input RNA and cycle number to determine the optimum cycle number within the linear range for PCR amplification (data not shown); for all genes examined, this was determined to be between 25 and 30 cycles. These methods have been validated in studies showing that, within the optimal range of amplification, yields of PCR product are linear with respect to input RNA (Estus, 1996).

For each gene, stock solutions were prepared containing 1.5 mM

MgCl₂, 1 \times reaction buffer, 10 μ Ci of [³²P]dCTP (3000 Ci/mmol) (NEN, Boston, MA), 1 μ M each primer, and 1.5 U of *Taq* polymerase (Life Technologies). For *ER α* and *ER β* PCR, 1.5 U of *Taq* antibody (Life Technologies) was included in each reaction. The stock solution was aliquoted (49 μ l/tube), and 1/30 of synthesized cDNA (from reverse transcription reaction) was added to each sample tube. Samples were then thermocycled for PCR amplification (Touchdown thermocycler; Hybaid, Middlesex, UK) according to the following reaction conditions: 1 min 95°C, 1 min 55°C, and 2 min 72°C (25–30 cycles). After amplification, PCR products were resolved by PAGE. The gels were dried, and the products were visualized and quantified using a PhosphorImager (Molecular Dynamics, Sunnyvale, CA).

All the oligonucleotide sequence pairs used for gene amplification in this study generated PCR products of expected sizes that have been sequenced to verify their identities: *L27A* sense primer, 5'-ATGCTAACTGTCCAAGTCTA-3' and antisense primer, 5'-GGGAGCAACTCCAT-TCTTGT-3' (214 bp) (Hoshimaru et al., 1996); neuron-specific enolase (*NSE*) sense primer, 5'-ATCTTGGACTCCCGTGGGAA-3' and antisense primer, 5'-TTTGGCAGTATGGAGATCCA-3' (54 bp) (Estus et al., 1997); *bcl-2* sense primer, 5'-CTGTACGGCCCCAGCATGCG-3' and antisense primer, 5'-GCTTTGTTTCATGGTACATC-3' (231 bp) (Greenlund et al., 1995); *bax* sense primer, 5'-GGGAATTCTGGAGCTGCAGAG-GATGATT-3' and antisense primer, 5'-GCGGATCCAAGTTGCCAT-CAGCAAACAT-3' (96 bp) (Greenlund et al., 1995); *bcl-x* (*L* & *S*) sense primer, 5'-AGGCTGGCGATGAGTTTGAA-3' and antisense primer, 5'-CGGCTCTCGGCTGCTGCATT-3' (*bcl-x_L* 337 bp; *bcl-x_S* 150 bp) (Greenlund et al., 1995); *bad* sense primer, 5'-CACTCCCTAGGCTT-GAGGAA-3' and antisense primer, 5'-TCCTGCTCACTCGGCT-CAAA-3' (209 bp); *ER α* sense primer, 5'-AATCTGACAATCGACGC-CAG-3' and antisense primer, 5'-GTGCTTCAACATTCTCCCTCCTC-3' (344bp) (Kuiper et al., 1997); and *ER β* sense primer, 5'-TTCCCG-GCAGCCCAGTAACC-3' and antisense primer, 5'-TCCCTCTTT-GCGTTGGACTA-3' (262 bp) (Kuiper et al., 1997).

In situ hybridization studies

Brains were collected from female Sprague Dawley rats to examine the cellular localization of *ER α* and *ER β* mRNA expression in normal and injured cerebral cortex. Brains were removed, frozen on dry ice, and stored at -80°C . The cortical distributions of *ER β* mRNA in normal rats ($n = 5$) and of *ER β* and *ER α* mRNA in ovariectomized, oil-treated rats that underwent ischemia ($n = 3$) were evaluated with *in situ* hybridization histochemistry as previously described (Shughrue et al., 1997). Briefly, coronal sections (20 μ m) were sliced in a cryostat, mounted on gelatin-coated slides, and processed for *in situ* hybridization. They were hybridized with 200 μ l of an antisense or sense (control) ³⁵S-labeled riboprobe (6 $\times 10^6$ dpm per probe per slide) 50% formamide hybridization mixture containing a cocktail of two unique riboprobes for *ER β* mRNA (*ER β* 285 and *ER β* 558) or one unique riboprobe for *ER α* mRNA (*ER α* 800) (Shughrue et al., 1997). The section-mounted slides were incubated overnight at 55°C in a humidified chamber, treated with RNase A, and stringently washed at 67°C in 0.1 \times SSC to remove nonspecific labeling. Slides were then dehydrated, apposed to x-ray film for 3 d, and dipped in NTB2 nuclear emulsion (Eastman Kodak, Rochester, NY). The slides were exposed for 4–8 weeks, photographically processed, stained with cresyl violet, and coverslipped. The studies described in this paper were reviewed and approved by the Radnor Animal Care and Use Committee (RACUC) at Wyeth-Ayerst Research.

In vivo binding studies

On postnatal day 21, female Sprague Dawley rats ($n = 4$) were ovariectomized. Seven days after surgery, rats were injected subcutaneously in the dorsal cervical region with 2 μ g/kg BW of 17 α -iodovinyl-11 β -methoxyestradiol ([¹²⁵I]estrogen; specific activity, 2200 Ci/mM) in 200 μ l of vehicle (50% DMSO and 50% PBS). The [¹²⁵I]estrogen was obtained from the iodination (NEN, custom iodination) of E-17 α -tributylstannyvinyl-11 β -methoxyestradiol (RAXL Enterprises, Newton, MA) as described previously (Hughes et al., 1997). The [¹²⁵I]estrogen ligand has been characterized *in vitro* and *in vivo* for its specificity and affinity to estrogen receptors (Shughrue et al., 1999). Four hours after injection of [¹²⁵I]estrogen, the brains were collected, frozen and 20 μ m coronal sections were sliced in a cryostat and thaw-mounted onto gelatin-coated slides. Section-mounted slides were apposed to x-ray film (Eastman Kodak; BMR-1) for 48 hr and then post-fixed and processed before emulsion coating (Brown et al., 1995). Briefly, section-mounted slides were washed for 5 min in 4°C PM buffer (3 mM MgCl₂, 1 mM KH₂PO₄,

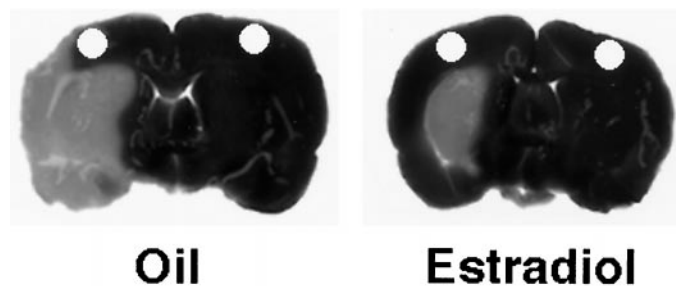


Figure 1. Representative TTC-stained brain sections from an oil-treated (left) and an estradiol-treated (right) rat that underwent permanent cerebral ischemia. Infarcted tissue is white, whereas live tissue is darkly stained by TTC. An adjacent 1-mm-thick frozen coronal section was microdissected in anatomically equivalent regions on the ipsilateral and contralateral cortex from oil- and estradiol-treated, ischemic and sham (data not shown) rats, according to the method of Palkovits (1978). The microdissected regions, which are represented by holes, were analyzed for gene expression.

pH 6.8), post-fixed for 5 min in ice-cold 4% paraformaldehyde, washed 3 times for 5 min in 4°C PMTX buffer (PM buffer containing 0.1% Triton X-100), washed 2 times for 5 min in 4°C PM buffer, dipped in water, and allowed to dry at room temperature. The slides were then dipped in liquid nuclear emulsion (NTB-2; Eastman Kodak; diluted 1:1 with water), air-dried, and stored at 4°C in light-tight desiccator slide boxes. After 10–20 d of exposure, the slides were developed, stained with cresyl violet, and coverslipped.

Data analysis

All data are expressed as mean \pm SE. To determine whether estradiol influenced the expression of genes in normal or injured brains, two-way mixed factorial (two treatment \times two hemisphere) ANOVAs were performed. Significant interactions were probed using one-way ANOVAs. To determine whether ischemic values were different from sham values, two-way mixed factorial ANOVAs were performed, and interactions were probed with one-way ANOVAs. Differences were considered significant at $p < 0.05$.

RESULTS

Figure 1 shows representative TTC-stained brain sections from an ovariectomized oil-treated and an ovariectomized estradiol-treated rat that have undergone permanent cerebral ischemia. Physiological levels of estradiol protected against ischemic injury, an effect that was most pronounced in the cerebral cortex. The microdissected cortical regions were analyzed for changes in gene expression by semiquantitative RT-PCR. Figure 2 is a composite of RT-PCR results showing representative mRNA expression patterns for control genes (*L27A* and *NSE*), *bcl-2* family members (*bcl-2*, *bax*, *bcl-x_L*, *bcl-x_S*, and *bad*), and the estrogen receptors (*ER α* and *ER β*).

Baseline cellular expression among the experimental groups was determined by quantification of cellular markers, ribosomal *L27A* and *NSE*. Neither *L27A* nor *NSE* gene expression changed with injury or estradiol treatment (Table 1), indicating that the numbers of live cells, particularly live neurons, were represented equally among the ischemic and sham, oil- and estradiol-treated, ipsilateral and contralateral experimental groups ($n = 8$ –11 per group). Because neither *L27A* nor *NSE* gene expression changed with injury or estradiol treatment, data for each gene were normalized to the control *L27A* (Table 2). Values shown in Table 2 indicate that estradiol treatment and/or ischemia selectively altered gene expression after the induction of injury. However, estradiol did not alter expression of any genes in sham animals. Statistical analyses of injury and sham values are indicated in Figures 3–5.

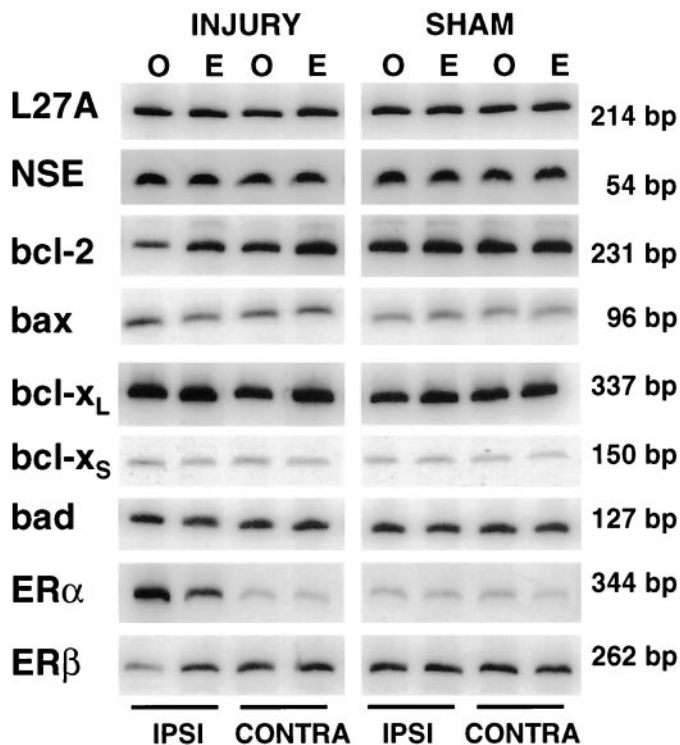


Figure 2. Composite of PCR results showing representative expression of control genes (*L27A*, *NSE*), *bcl-2* family members (*bcl-2*, *bax*, *bcl-x_L*, *bcl-x_S*), and estrogen receptor subtypes (*ER α* and *ER β*) in the ipsilateral and contralateral cortex of oil-treated (labeled O) and estradiol-treated (labeled E), ischemic and sham rats.

Figure 3 shows *bcl-2* gene expression on the ipsilateral and contralateral side of oil- and estradiol-treated rats that have undergone ischemia. Injury values are expressed as a percentage of sham values to reflect changes in injury with respect to normal expression. Two-way ANOVA revealed a significant interaction between treatment and hemisphere ($F_{(1,19)} = 5.70$; $p < 0.03$). On the ipsilateral side of the brain, estradiol prevented the injury-induced downregulation of *bcl-2* ($p < 0.02$) (Fig. 3). In the absence of estradiol, *bcl-2* expression declined to $\sim 60\%$ of sham values in the injured hemisphere ($p < 0.01$). These results were repeated four times, using two independent experimental groups.

The action of estradiol was specific to *bcl-2*, as expression of other members of the *bcl-2* family, *bax* (Fig. 4A), *bcl-x_L* (Fig. 4B), *bcl-x_S* (Fig. 4C), and *bad* (Fig. 4D) was not affected by estrogen treatment. However, two-way ANOVA analysis indicated that the injury-induced expression of *bcl-x_S* was higher in the ipsilateral cortex, as compared with the contralateral cortex ($F_{(1,11)} = 8.82$; $p < 0.02$) (Fig. 4C). In contrast to *bcl-x_L*, the expression of *bad* in ischemia was lower in the ipsilateral cortex, as compared with the contralateral cortex ($F_{(1,15)} = 20.93$; $p < 0.01$) (Fig. 4D). Although *bcl-x_S* and *bad* exhibited laterality, these changes were not significantly different from sham controls.

Figure 5 shows differential modulation of estrogen receptors in microdissected cortical regions after ischemia. In injury, *ER α* was dramatically upregulated in the ipsilateral cortex of oil- and estradiol-treated brains, as compared with the contralateral cortex ($F_{(1,13)} = 39.17$; $p < 0.001$) (Fig. 5A). Two-way ANOVA analysis revealed an interaction between injury and hemisphere for oil- ($F_{(1,12)} = 6.78$; $p < 0.02$) and estradiol-treated ($F_{(1,13)} = 24.04$; $p < 0.001$) rats. The injury-induced upregulation of *ER α*

Table 1. Gene expression of cellular markers

	Injury				Sham			
	Ipsi		Contra		Ipsi		Contra	
	Oil	E	Oil	E	Oil	E	Oil	E
L27A	1.28 ± 0.13	1.32 ± 0.09	1.29 ± 0.04	1.35 ± 0.05	1.39 ± 0.07	1.36 ± 0.05	1.31 ± 0.06	1.39 ± 0.10
NSE	1.22 ± 0.15	1.31 ± 0.06	1.33 ± 0.09	1.40 ± 0.06	1.38 ± 0.07	1.38 ± 0.06	1.37 ± 0.06	1.47 ± 0.06

Baseline levels of *L27A* and *NSE* mRNA were not affected by estradiol treatment or by ischemia ($n = 8–11$ per group), indicating that the overall number of live cells, including live neurons, were represented equally among the experimental groups. Data are expressed as arbitrary units of quantification (mean ± SE).

Table 2. Gene expression of *bcl-2* family members and ER subtypes

	Injury				Sham			
	Ipsi		Contra		Ipsi		Contra	
	Oil	E	Oil	E	Oil	E	Oil	E
<i>bcl-2</i>	0.62 ± 0.09	0.97 ± 0.11	1.21 ± 0.12	1.12 ± 0.08	0.99 ± 0.07	0.92 ± 0.04	1.06 ± 0.13	1.01 ± 0.16
<i>bax</i>	0.81 ± 0.12	0.87 ± 0.09	0.89 ± 0.10	0.86 ± 0.06	1.00 ± 0.10	0.92 ± 0.13	0.95 ± 0.07	0.91 ± 0.04
<i>bcl-x_L</i>	0.17 ± 0.03	0.19 ± 0.02	0.20 ± 0.01	0.23 ± 0.02	0.22 ± 0.01	0.24 ± 0.03	0.22 ± 0.01	0.27 ± 0.03
<i>bcl-x_S</i>	0.27 ± 0.05	0.30 ± 0.05	0.21 ± 0.05	0.20 ± 0.02	0.18 ± 0.02	0.20 ± 0.03	0.24 ± 0.09	0.18 ± 0.02
<i>bad</i>	0.73 ± 0.10	0.96 ± 0.07	1.06 ± 0.07	1.21 ± 0.04	1.06 ± 0.06	1.07 ± 0.05	1.07 ± 0.09	1.06 ± 0.06
<i>ERα</i>	2.52 ± 0.65	2.09 ± 0.42	0.48 ± 0.01	0.39 ± 0.04	0.42 ± 0.09	0.44 ± 0.08	0.49 ± 0.12	0.42 ± 0.06
<i>ERβ</i>	0.50 ± 0.17	0.97 ± 0.25	1.33 ± 0.25	1.16 ± 0.15	1.08 ± 0.15	0.88 ± 0.23	1.09 ± 0.10	0.88 ± 0.06

Gene expression of *bcl-2* family members and estrogen receptor subtypes in sham and injured rat cortex ($n = 7–11$ per group) were normalized to the control *L27A*. After injury, the expression of specific genes was altered by estradiol and/or ischemia. However, estradiol did not alter the expression of any genes in sham animals. Statistical analyses of the injury and sham values are indicated in Figures 3–5. Data are represented as mean ± SE.

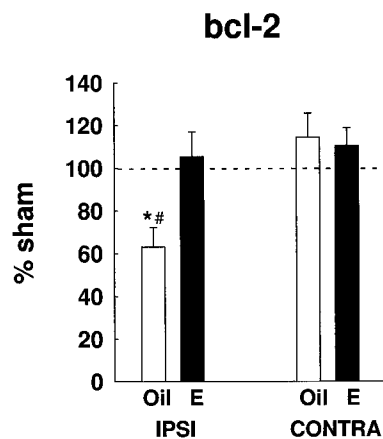


Figure 3. Estradiol-modulated *bcl-2* gene expression in cerebral ischemia. In injury, estradiol ($n = 11$) significantly prevented the injury-induced downregulation of *bcl-2* mRNA in the ipsilateral cortex, compared with oil-treated rats ($n = 10$) ($*p < 0.02$). In the absence of estradiol, *bcl-2* gene expression declined significantly below constitutive *bcl-2* expression ($\#p < 0.01$). Injury values are graphed as a percentage of sham values. These results were repeated four times, using two independent experimental groups. Data are represented as mean ± SE.

was significantly higher than sham expression in both oil-treated ($p < 0.02$) and estradiol-treated ($p < 0.01$) rats. Estradiol did not influence the expression of *ERα*. These data were repeated four times, using two independent experimental groups.

In contrast to *ERα*, estradiol influenced the expression of *ERβ* after injury (Fig. 5B). Two-way ANOVA revealed a significant interaction between treatment and hemisphere ($F_{(1,14)} = 5.05$; $p < 0.05$). On the ipsilateral side of the brain, estradiol prevented the injury-induced downregulation of *ERβ* ($p < 0.01$) (Fig. 5B). In the absence of estradiol, *ERβ* expression declined to ~50% of

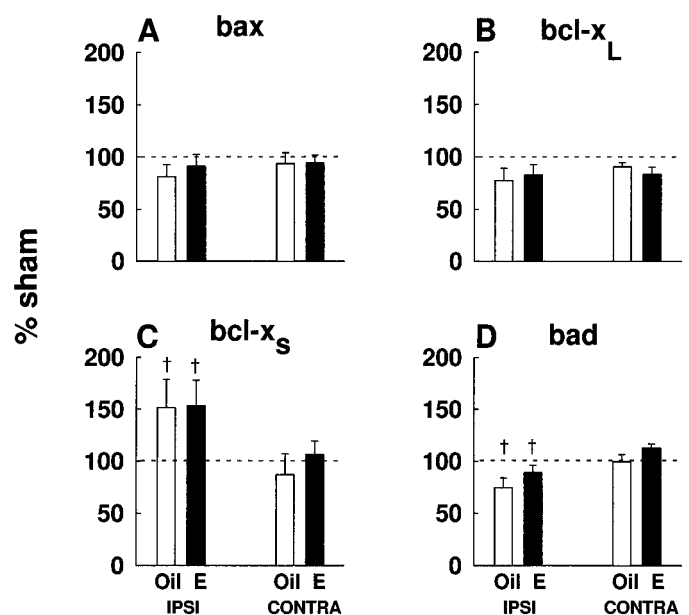


Figure 4. Estradiol did not affect expression of other *bcl-2* family members, *bax* (A), *bcl-x_L* (B), *bcl-x_S* (C), or *bad* (D). *bcl-x_S* gene expression was higher in the ipsilateral cortex, compared with the contralateral cortex ($\dagger p < 0.02$). Levels of *bad* expression were higher in the contralateral cortex, compared with the ipsilateral cortex ($\dagger p < 0.01$). Data ($n = 7–11$ per experimental group) are graphed as a percentage of sham values. Data are represented as mean ± SE.

sham values in the injured hemisphere ($p < 0.01$). The estradiol-mediated modulation of *ERβ* expression is strikingly similar to the estradiol-mediated modulation of *bcl-2* expression. These results were repeated four times, using two independent experimental groups.

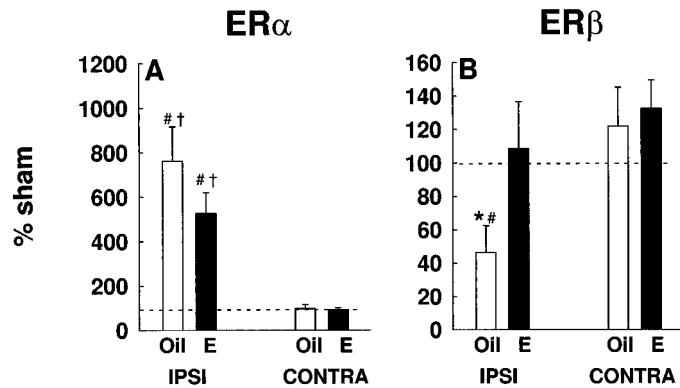


Figure 5. Estrogen receptors were differentially modulated in ischemic injury. *A*, In injury, $ER\alpha$ mRNA was dramatically upregulated in the ipsilateral cortex of oil- and estradiol-treated rats, as compared with the contralateral cortex ($\dagger p < 0.001$). The injury-induced upregulation of $ER\alpha$ gene expression was significantly higher than constitutive levels in both oil- ($\#p < 0.02$) and estradiol-treated ($\#p < 0.01$) rats. *B*, Estradiol prevented the injury-induced downregulation of $ER\beta$ mRNA in the ipsilateral cortex ($*p < 0.01$). In the absence of estradiol, $ER\beta$ expression in injury declined significantly below constitutive levels ($\#p < 0.01$). Data ($n = 7$ –11 per group) are graphed as a percentage of sham expression. These results were repeated four times, using two independent experimental groups. Data are represented as mean \pm SE.

Figure 6 shows representative cellular mRNA expression of estrogen receptor subtypes after cerebral ischemia. The expression of $ER\alpha$ and $ER\beta$ was examined by *in situ* hybridization in the cerebral cortex of ovariectomized, oil-treated rats after injury and confirmed the differential modulation of $ER\alpha$ and $ER\beta$ induced by ischemia. In response to injury, the cellular expression of $ER\alpha$ mRNA was dramatically upregulated in the ipsilateral cortex (Fig. 6*A*), but was not detected in the contralateral cortex (Fig. 6*B*). In contrast to $ER\alpha$, the cellular expression of $ER\beta$ mRNA declined in the ipsilateral cortex after injury (Fig. 6*C*), but remained strong in the contralateral cortex (Fig. 6*D*).

The ratio of $ER\beta/ER\alpha$ expression in the area of estradiol-mediated neuroprotection is shown in Figure 7. Estradiol increased the ratio of $ER\beta/ER\alpha$ in the ipsilateral, injured cortex. Because both estrogen receptors are differentially modulated in injury, a ratio of their relative levels may be crucial to understanding estradiol action in cerebral ischemia. It should be noted that Figure 7 reflects only relative, and not absolute, changes in the expression of ERs.

$ER\beta$ mRNA and $ER\beta$ binding in the cerebral cortex are shown in Figure 8. The cellular expression of $ER\beta$ mRNA, examined by *in situ* hybridization, demonstrated that numerous strongly labeled cells were scattered throughout laminae IV and V of the cerebral cortex (Fig. 8*A,B*). The distribution of $ER\beta$ mRNA was similar to the localization of cells with a nuclear uptake and retention of [125 I]estrogen in the cortex. Because $ER\alpha$ appears to be absent from the normal female cortex (Shughrue et al., 1997; Laflamme et al., 1998), these data indicate that [125 I]estrogen is binding to $ER\beta$ in the cerebral cortex (Fig. 8*C,D*). The cortical region analyzed for $ER\beta$ mRNA by *in situ* hybridization and [125 I]estrogen binding corresponds to the area where we observed estradiol-mediated neuroprotection and estradiol-mediated changes in gene expression in permanent cerebral ischemia.

DISCUSSION

This study demonstrates three important findings. First, physiological levels of estradiol, which protect the cerebral cortex

against ischemic brain injury, correlate with changes in a specific member of the *bcl-2* family. Second, estradiol and injury induce the differential expression of $ER\beta$ and $ER\alpha$, respectively, in the cerebral cortex. Third, we observed that the number and distribution of cells that bind [125 I]estrogen in the cortex correspond to the distribution of $ER\beta$ mRNA.

Several potential mechanisms, genomic and nongenomic, may underlie the trophic and protective effects of estradiol. Our previous findings strongly suggest that estradiol-induced changes in gene expression may explain, in part, the protective actions of estradiol in our experimental model. When estradiol was administered at the time of injury, no protection was afforded; instead, pretreatment was required. In addition, the protective effect of estradiol in this model does not appear to involve changes in blood flow or glucose (Dubal et al., 1998). However, under some circumstances, estradiol may act through rapid, nongenomic actions, such as modulation of the NMDA(R) (Weaver et al., 1997) and reduction of lipid peroxidation (Goodman et al., 1996; Behl et al., 1997) to attenuate neural injury. Simpkins et al. (1997) reported that acute or delayed treatment with high doses of estradiol can decrease injury induced by *in vivo* cerebral ischemia, suggesting nongenomic mechanisms of estradiol action. Under other circumstances, estradiol may induce phosphorylation of one or more second messengers of transcription factors and thereby influence neuroprotection (Gu and Moss, 1996; Gu et al., 1996; Zhou et al., 1996; Murphy and Segal, 1997; Wang and Dow, 1998; Singer et al., 1999). To what extent estrogen receptors are involved and/or whether activation of transcription is required are unclear. It should be emphasized that estradiol may act by multiple mechanisms and that the predominant mechanisms may depend on multiple factors, including the dose of hormone or the type of injury. In general, at physiological levels, estradiol requires a period of pretreatment to exert neuroprotective effects (Green et al., 1996; Gridley et al., 1997; Dubal et al., 1998), suggesting that its physiological effects are mediated genomically through classic intracellular estrogen receptors and that transcription of hormone-responsive genes plays a critical role.

Bcl-2, a cell survival factor, has been identified as an estrogen-responsive gene in reproductive tissues (Teixeira et al., 1995; Kandouz et al., 1996; Huang et al., 1997). Estradiol may directly upregulate this survival factor through receptor-mediated interactions with regions of the *bcl-2* promoter, which contains several putative estrogen-responsive sites, or by indirect pathways (Teixeira et al., 1995). Garcia-Segura et al. (1998) recently demonstrated that in the hypothalamus, *Bcl-2* is elevated in estradiol-treated and estrous rats. Furthermore, Singer et al. (1998) reported that *Bcl-2* is elevated with estradiol treatment in a neuronal cell line. We now report that, in injury, estradiol enhances the cortical expression of *bcl-2* when compared with oil-treated animals. Specifically, we report that estradiol prevents the ischemia-induced downregulation of *bcl-2* mRNA. In ischemia, the loss of *Bcl-2* is associated with exacerbated injury (Krajewski et al., 1995; Sato et al., 1998), whereas overexpression of this factor protects against injury induced by a variety of toxic stimuli (Martinou et al., 1994; Choi, 1996; Kitagawa et al., 1998; Yang et al., 1998). Thus, our finding that estradiol pretreatment prevents ischemia-induced downregulation of *bcl-2* gene expression strongly suggests that estradiol protects against cell death by affecting the balance between cell viability and apoptotic cell death. Interestingly, we did not observe constitutive regulation of *bcl-2* in the cerebral cortex by estradiol, as sham and contralateral expression remained constant regardless of hormone treatment.

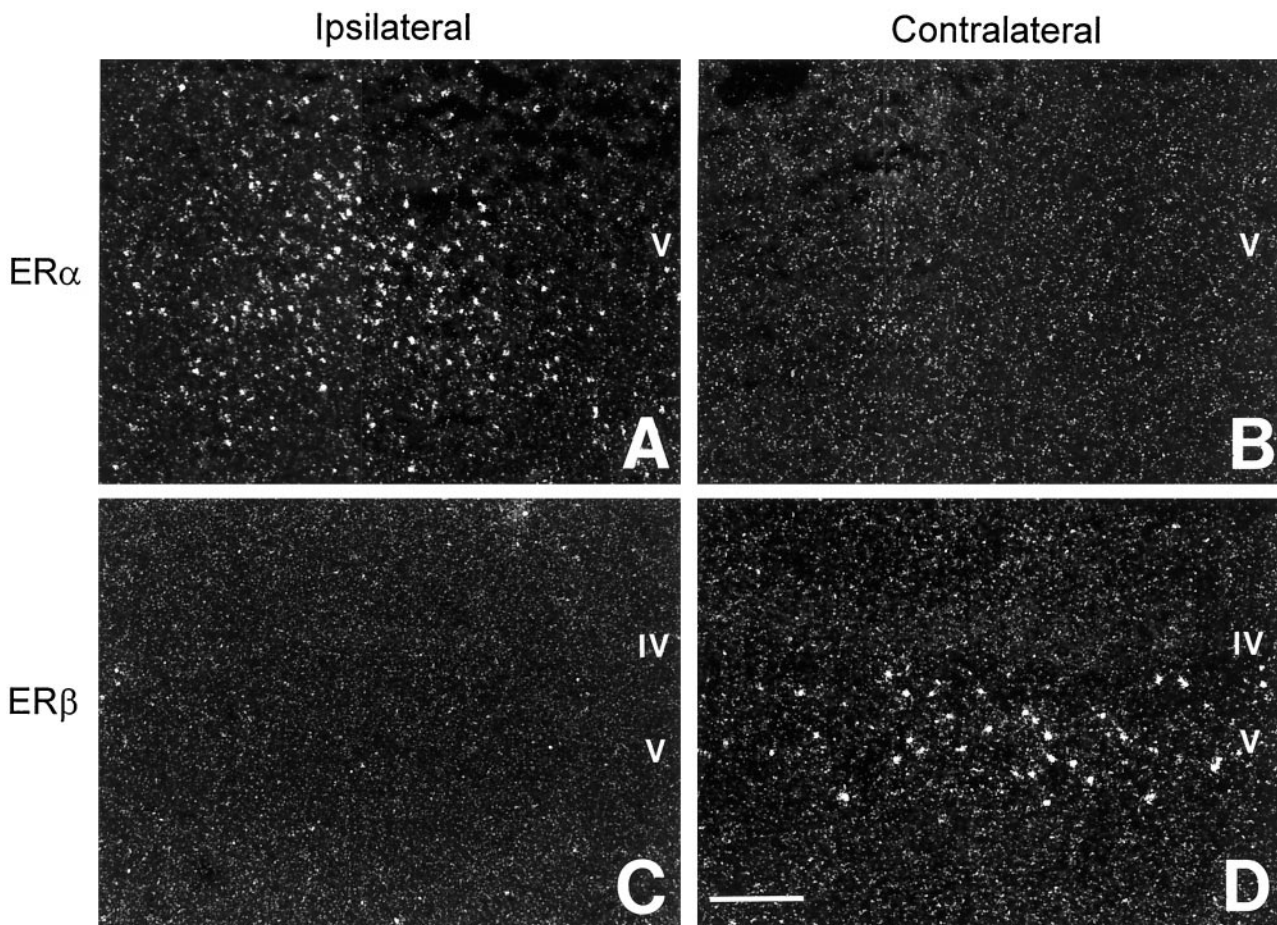


Figure 6. Representative photomicrographs showing differential modulation of *ERα* (A, B) and *ERβ* (C, D) mRNA by *in situ* hybridization in the cerebral cortex of ovariectomized, oil-treated rats after cerebral ischemia. In response to injury, the cellular expression of *ERα* was dramatically upregulated in ipsilateral cerebral cortex (A); *ERα* was not detected in contralateral cortex (B). In contrast to *ERα*, *ERβ* expression was downregulated in the ipsilateral cortex after injury (C), whereas its cellular expression remained strong in contralateral cortex (D). Scale bar, 300 μ m.

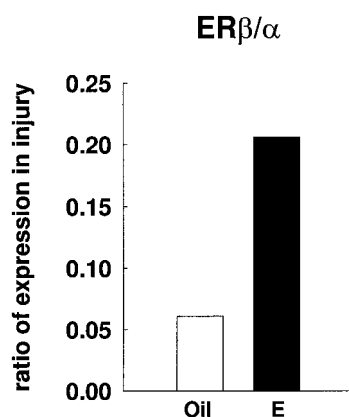


Figure 7. Estradiol increased the ratio of *ERβ/ERα* expression in the ipsilateral cortex of ischemic rat brains. The mean level of *ERβ* mRNA in the ipsilateral side of the ischemic cortex was divided by the mean level of *ERα* mRNA in the same region. Values for the ratio of *ERβ/ERα* expression in injury were obtained from the RT-PCR studies. This ratio represents relative changes of *ERβ* and *ERα* in ischemic injury.

Normal, constitutive regulation of Bcl-2 by estradiol in the arcuate nucleus of the hypothalamus (Garcia-Segura et al., 1998) and not in the cerebral cortex could reflect regional differences in estrogen receptor subtype and/or receptor densities in transyn-

aptic pathways of communication, or in the cell types present, because estradiol is known to regulate the normal expression of genes in some areas of the brain and not in others (Stone et al., 1998).

Bcl-2 acts to counter cell death by inhibiting free radical production, suppressing caspase activation, regulating calcium sequestration (MacManus and Linnik, 1997), and/or by preventing the pro-apoptotic actions of Bax, Bcl-x_s, or Bad, other members of the Bcl-2 family (Merry and Korsmeyer, 1997). In our studies, the effect of estradiol was specific to *bcl-2*, because expression of other members of the *bcl-2* gene family (*bax*, *bcl-x_L*, *bcl-x_S*, and *bad*) were unaffected by hormone treatment. In contrast to a recent report (Pike, 1999), we did not detect any effects of estradiol on the expression of *bcl-x_L*. It is difficult to draw parallels between our studies because the former study used hippocampal cell cultures, a different injury paradigm, and did not examine the expression of *bcl-2* or other *bcl-2* family members. Nevertheless, it is possible that differential effects on various members of the *bcl-2* family may depend on the dose of estradiol that is used, the stage in the evolution of ischemic injury that is examined, the mechanism of neural injury, and/or the region of the brain analyzed.

Estradiol influenced the cortical expression of the newly discovered estrogen receptor *ERβ*, and this effect was strikingly parallel to its effect on *bcl-2* gene expression. That is, estradiol prevented the injury-induced downregulation of *ERβ*. The func-

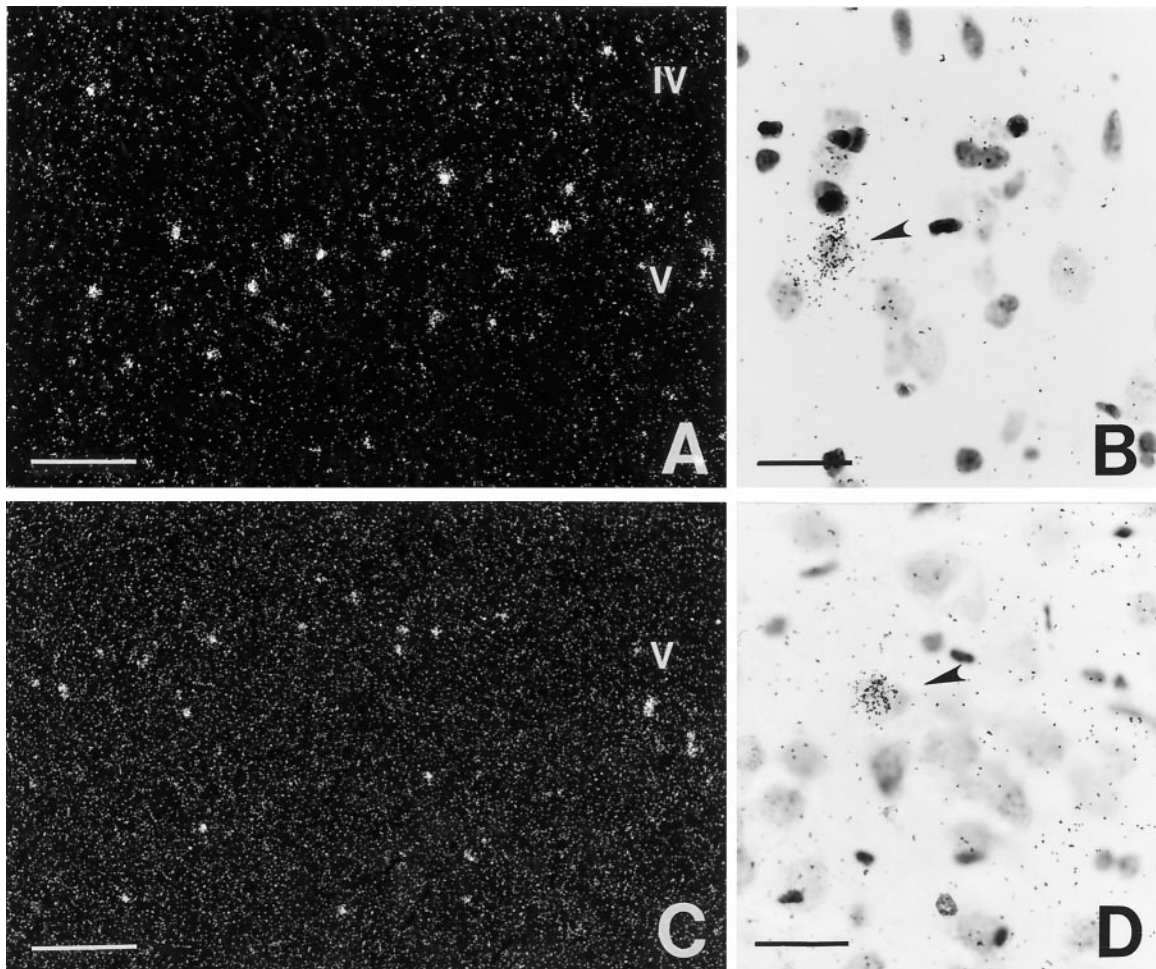


Figure 8. Representative photomicrographs of *ERβ* mRNA by *in situ* hybridization (*A, B*) and [¹²⁵I]estrogen binding in the rat cerebral cortex at the level where estradiol-mediated neuroprotection was observed (*C, D*). *A*, The distribution of *ERβ* mRNA reveals strongly labeled cells throughout laminae IV and V of the isocortex. *B*, High-power magnification shows the high level of *ERβ* expression by certain neurons, whereas many other cells are unlabeled. *C*, A similar distribution of [¹²⁵I]estrogen binding was also found in the cerebral cortex. *D*, High-power magnification shows neurons in lamina V with a nuclear uptake and retention of radiolabeled ligand. Scale bars: *A, C*, 200 μm; *B, D*, 40 μm.

tion of this novel estrogen receptor subtype is not clear. However, the discovery of *ERβ* in 1996 (Kuiper et al., 1996), and the subsequent localization of its mRNA in the regions where *ERα* is sparse or absent, including the cerebral cortex (Shughrue et al., 1997), suggest that estradiol acts through *ERβ* to improve cognitive function and decrease neurodegeneration associated with Alzheimer's disease and stroke in hormone-replaced postmenopausal women. Indeed, *ERβ* is thought to mediate the protective actions of estradiol in non-neural tissue (Iafrati et al., 1997; Lindner et al., 1998). It is interesting to speculate that estradiol may act through *ERβ* to influence expression of the survival factor Bcl-2. However, further studies are necessary to definitively link Bcl-2 with *ERβ*-targeted gene expression.

Our finding that estrogen receptors are differentially modulated in the injured cerebral cortex suggests novel and unique roles for estrogen receptors in the injured brain. We were surprised to discover that the expression of *ERα* was dramatically upregulated after injury. Although estradiol did not influence the extent of this increase, the presence of elevated levels of *ERα* in the injured cortex may contribute to the ability of estradiol to protect. The increase in *ERα* expression is reminiscent of its expression during early postnatal development, during the inter-

val of sex-specific differentiation of the cortex and extensive neurogenesis and neuritogenesis (Shughrue et al., 1990; Toran-Allerand et al., 1992). It is possible that the injury-induced upregulation of *ERα* is a component of a de-differentiation, recapitulation of this stage of development, and attempt to re-enter the cell cycle, which is hypothesized to occur in response to injury (Heintz, 1993). The repercussions of *ERα* upregulation in injury are not clear. However, in a preliminary study conducted in *ERα* knock-out mice, the absence of *ERα* did not affect the ability of estradiol to protect in stroke-related injury (Hurn et al., 1998), suggesting that *ERα*, alone, may not mediate the neuroprotective actions of estradiol.

It has been difficult to prove that *ERβ* mRNA is translated into protein because the quality and specificity of antibodies has been controversial. We demonstrate, for the first time, that *ERβ* mRNA is translated into a functional *ERβ* protein that is capable of binding ligand in regions of the cerebral cortex where we observed estradiol-induced protection against ischemic injury. These data may explain past observations of estrogen receptor binding in the cerebral cortex (Loy et al., 1988) because this binding is unaccounted for by normal *ERα* distribution (Shughrue et al., 1997; Laflamme et al., 1998). Our findings clearly demon-

strate that functional ER β receptors exist in the rat cerebral cortex and may, therefore, play an important role in the protective effects of estradiol.

Our results indicate a potentially intriguing role for ER β in the ability of estradiol to protect against ischemic injury. We found that estradiol increases the ratio of ER β /ER α in ischemia. The ratio of receptor subtype expression may be crucial to understanding how estradiol acts because each receptor can differentially activate certain response elements (Paech et al., 1997). The estradiol-mediated increase in the ER β /ER α ratio suggests that ER β -dependent signaling is linked with neuroprotection.

In summary, our results begin to elucidate potential mechanisms by which physiological levels of estradiol protect in cerebral ischemia. The striking parallelism between the protective effects of estradiol on *bcl-2* and ER β gene expression and our demonstration of functional ER β binding suggest that estradiol decreases the extent of cell death by an estrogen receptor- β -mediated effect on Bcl-2.

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