

## Targeted Nanotherapy for Cognitive Impairment: Blocking Amyloid-B-Induced Membrane Damage in Brain Tissue

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**Abstract:** A frequent co-morbidity of cerebrovascular pathology and Alzheimer's disease pathology has been observed over past decades. Accordingly, much evidence has been reported which indicates that microvascular endothelial dysfunction, due to cerebrovascular risk factors (e.g., atherosclerosis, obesity, diabetes, smoking, hypertension, aging), precedes cognitive decline in Alzheimer's disease and contributes to its pathogenesis. By incorporating appropriate drug(s) into biomimetic (lipid cubic phase) nanocarriers, one obtains a multitasking combination therapeutic which targets certain cell-surface scavenger receptors, mainly class B type I (i.e., SR-BI), and crosses the blood-brain barrier (BBB). Such targeting allows for various Alzheimer's-related cell types to be simultaneously searched out, in vivo, for localized drug treatment. This in vivo targeting advantage may be particularly important for repurposing an FDA-approved drug, especially one which has shown the added ability to restore some cognitive functions in certain animal models of Alzheimer's disease (e.g., the anticancer drug bexarotene); this (candidate repurposing) drug up to now, by itself (i.e, without nanocarrier), displayed poor CNS penetration in human subjects.

**Keywords:** Alzheimer's disease; blood-brain barrier; cognitive aging; cognitive impairment; dementia; drug targeting; nanoemulsion; nanocarriers; scavenger receptors

### 1. Introduction

Vascular brain lesions are very common in people over 70 years old, and recent reviews (e.g., [1,2]) provide much evidence that a large proportion of dementia cases may be attributable to cerebrovascular disease. Accordingly, vascular cognitive impairment and dementia (VCID) is the second leading cause of dementia behind Alzheimer's disease, and is a frequent co-morbidity in the Alzheimer's patient [3-9]. On a worldwide basis, 47 million people had dementia in 2016; of these dementia patients, 60%-80% have Alzheimer's disease [4,10,11].

## 2. Endothelial Dysfunction, and Targeted Treatment for Early Dementia

It has been reconfirmed in the current literature that receptor-mediated endocytosis/transcytosis via lipoprotein receptors, particularly scavenger receptors (including class B type I, i.e., SR-BI), remains a major route for drug delivery across the blood-brain barrier (BBB). Accordingly, endothelial-cell modulation and repair is feasible by pharmacological targeting [1,2,12-26] via SR-BI receptors (cf. [25]): As the detailed review by Mahringer et al. [27] points out, the BBB is equipped with several endocytic receptors at the luminal surface (i.e., the capillary endothelial membrane), including SR-BI. Furthermore, very recently published experimental work has demonstrated in detail [28] that high-density lipoproteins (HDL), acting via SR-BI, block amyloid- $\beta$  uptake into endothelial cells both in experimental monolayers and probably in the intact human cerebrovascular endothelium (cf. [3,29-31]). These authors also observed that inhibiting SR-BI binding with a specific blocking antibody *abolished* the ability of HDL to suppress “amyloid- $\beta$ -induced” monocyte adhesion to (human microvascular) endothelial cells [28].

Almer et al. [14] explain in their recent review that the integration of lipoprotein-related or apolipoprotein-targeted nanoparticles, as drug carriers, is an expanding concept in nanomedicine to exploit the intrinsic characteristics of lipoprotein particles as being the natural transporter of lipophilic compounds in human circulation. Discrete lipoprotein assemblies and lipoprotein-based biomimetics offer a versatile nanoparticle platform for constructing drug loaded, reconstituted or artificial lipoprotein particles for specific medical applications. As naturally occurring nanoassemblies, lipoprotein particles are not readily (nor rapidly) cleared by the mononuclear phagocyte system (of the liver and spleen) and remain in circulation for a longer period of time [3,14]. More recently, Fung et al. [32] separately reported that SR-BI mediates the uptake and transcytosis of HDL across brain microvascular endothelial cells (i.e., across the BBB). These investigators further argue that manipulation of HDL transcytosis across the BBB to increase delivery of plasma apolipoprotein A-I (apoA-I) may, in turn, facilitate increasing the transport of “*HDL-like synthetic particles*” containing therapeutic drug across the BBB to treat neurodegenerative disorders such as Alzheimer's disease [32]. Since SR-BI has already

been identified as a major receptor for HDL (with their major apolipoprotein (*apo*)*A-I*) as well as for the recently reviewed [1,2] “lipid-coated microbubble/nanoparticle-derived” (*LCM/ND*) *nanoemulsion* (see below), this multitasking lipid nanoemulsion can arguably serve as a targeted, apoA-I-based, (SR-BI mediated) therapeutic agent for common (late-onset) dementias [2,28,33-35] (cf. [36-42]).

This targeted-drug-delivery approach, using the proposed *LCM/ND* lipid nanoemulsion for treating the more common (late-onset) dementias, receives added impetus from continual findings of cerebrovascular pathology [1,43–53] and an apparent *endothelium*-dysfunction [2,33–41,49,54–60] in both Alzheimer’s disease and its major risk factors [1,2,53–72]. By incorporating drug molecules into the *LCM/ND* lipid nanoemulsion type (yielding particle sizes mostly < 0.1  $\mu\text{m}$  in diameter), known to be a successful drug carrier [73,74], one is likely to obtain a multitasking combination therapeutic capable of targeting cell-surface SR-BI. This combination therapeutic would make it possible for various cell types, all potentially implicated in Alzheimer’s disease (see [1,2] for reviews; cf. [71,72]), to be simultaneously sought out and better reached for localized drug treatment of brain tissue *in vivo* [73].

### **3. *LCM/ND* Nanoemulsion Type, Lipid Cubic Phases, and Biomimetic Nanocarriers**

The self-assembling *LCM/ND* lipid nanoemulsion class comprises nonionic lipids exclusively (cf. [75,76]) throughout its coated microbubble's and/or related nanoparticle's (i.e., related lipid polymorphs') supramolecular structures(s). This biobased lipid composition of *LCM/ND* nanoemulsions (i.e., glycerides and cholesterol compounds) is similar to lipids contained in several types of plasma lipoproteins; accordingly, when these *LCM/ND* nanoemulsion particles are injected into the bloodstream, they likely acquire (i.e., bind) plasma apolipoprotein(s) – including notably apoA-I [73]. Hence, the molecular composition of the *LCM/ND* nanoemulsion particles resulted in both microbubble/nanoparticle stability and marked targeting toward tumors and certain hyperproliferative-disease lesions/sites; this very rapid targeting has been demonstrated to occur by an “active uptake” process, i.e., “endocytosis” – which likely involves certain “lipoprotein receptor”-mediated endocytic pathways [2].

Importantly, monoglyceride is the largest single-lipid fraction (by wt. %) of the powdered solid lipid surfactants used to produce the (Filmix®) LCM/ND nanoemulsions [73]. As a group, monoglycerides exhibit different phase behaviors when they are exposed to water [77] (cf. [78,79]). The ability to exist in several different phases is an important property of pure lipids and lipid mixtures; it depends upon temperature, hydration, and lipid class [77]. Although monoglycerides typically have poor water solubility, they have free hydroxyl groups which can hydrogen bond with water, surfactants, cosolvents, etc. As polar lipids, monoglycerides typically: (1) are better solvents for drugs; (2) act as “cosurfactants” which promote mutual solubility between excipients (i.e., inactive ingredients); (3) enhance water uptake; and (4) promote self-dispersibility of lipid formulations [80]. The above properties of monoglycerides place them in a lipid class known as “insoluble swelling amphiphiles”. These lipid molecules form stable monolayers (at the air/water interface), but also swell in water to form liquid-crystalline phases [81]. In their detailed review, Kaasgaard and Drummond [82] explain that these lyotropic (i.e., solvent induced) liquid-crystalline phases of monoglycerides include the one-dimensional lamellar phase, which has been widely studied and employed as a model system for biomembranes and drug-delivery applications. More recently studied are the structurally more complex two- and three-dimensional ordered (lyotropic) liquid-crystalline phases, of which inverse hexagonal and cubic phases are two prominent examples. In agreement with numerous other investigators, Kaasgaard and Drummond also state that all these types of liquid-crystalline phases are frequently stable in excess water, which facilitates the preparation of nanoparticle dispersions and makes them suitable candidates for the encapsulation and controlled release of drugs ([82]; cf. [83-89]).

The self-assembly of varied and useful *dispersed cubic* phases (among other liquid-crystalline phases) depends heavily on the acyl chain length of the glycerides (primarily monoglycerides) placed in contact with water [73]. As Yaghmur et al. [89] point out, the significant interest in the formulation and the characterization of these complex and varied, self-assembled, liquid-crystalline *cubic* phases is driven by both fundamental and

practical considerations: They offer many advantages compared to conventional dispersed systems (such as simple emulsions or double emulsions) because of their confined equilibrium nanostructures with high interfacial area, their low viscosity, and their capabilities to solubilize a wide variety of active molecules. Therefore, there is great interest to utilize these *dispersed cubic* phases for the administration of drugs, or for the formulation of new delivery systems [89].

Besides certain glyceride-based liquid-crystalline systems displaying colloidal stability in excess water, the same important attribute has been documented for cholesterol and cholesterol esters – all of which are present in LCM/ND nanoemulsion formulations [73]. For example, cholesterol and its esters change the packing structure of lipids, and in high concentrations they are known to induce the formation of a liquid-crystal phase [90]. In addition, Kuntsche et al. [91,92] have prepared lipid nanoparticles in the (mesomorphic or) liquid-crystalline phase from cholesterol esters with saturated acyl chains. These investigators were motivated by the knowledge that many cholesterol esters are physiologic lipid compounds which can form liquid-crystalline phases (thermotropic mesophases) and, hence, they were interested in their potential for the development of liquid-crystalline nanoparticles as a carrier system for lipophilic drugs [92]. In accord with the above observations and considerations, the substantial concentrations of cholesterol esters and cholesterol in the LCM/ND nanoemulsion formulation likely further contribute to the known long-term stability of this nanoemulsion's (liquid-crystalline) lipid nanoparticles in excess water, thereby providing a persistent carrier matrix upon exposure to liquids such as blood plasma [73].

To conclude, self-assembled (colloidal mesophase) lipid nanoemulsions (e.g., [93-98]), particularly those predominantly containing dispersed cubic-phase lipid nanoparticles (e.g., [99-103]), continue to receive growing attention in pharmaceutical and/or biological fields. The main reason behind much of this attention is the fact that nonlamellar lipid nanostructures, such as cubic liquid-crystalline phases, have wide potential as delivery systems for numerous drugs, cosmetics, and food applications (e.g., [104-106]). Namely, using various lipids and their mixtures to form self-assembled non-lamellar

nanostructures, it has continually been reported possible to successfully obtain stable colloidal dispersions of (liquid-crystalline) lipid cubic phases with well-defined particle size and morphology (e.g., [105,106]). In particular, within the range of self-assembled phases in model surfactant-like lipid systems, Yaghmur et al. [107] further emphasized that the monoglyceride-based lyotropic liquid-crystalline phases are relatively unique owing to their rich polymorphism in water and potential application as drug nanocarriers (cf. [108]). A recurring example of a largely monoglyceride-based drug-delivery agent category is the multitasking LCM/ND nanoemulsion formulation (cf. above). In this particular targeted-delivery approach, the self-assembled “lipid particle” structure itself (upon intravenous injection of the LCM/ND nanoemulsion) is apparently successfully utilized as the “active” targeting ligand – which is directed via (adsorption of) plasma lipoproteins toward the appropriate receptors on the target-cell surface. These dispersed liquid-crystalline lipid particles, of the LCM/ND nanoemulsion formulation, are colloiddally stable nanocarriers which very likely represent liquid-crystalline inverse-topology nanotransporters (nanocarriers), i.e., dispersed lipid cubic phases (cf. [73]).

#### **4. Calcium Dyshomeostasis, and the Amyloid- $\beta$ Ion Channel Hypothesis of Alzheimer's Disease**

As explained in many reviews (e.g., [109,110]) by different investigators, it has been recognized for over two decades that disturbance of the intracellular calcium homeostasis is central to the pathophysiology of neurodegeneration. In Alzheimer's disease, it is believed by many researchers that enhanced calcium load may be brought about by extracellular accumulation of amyloid- $\beta$  in the brain. Such studies have laid the foundation for the popular idea that amyloid- $\beta$  peptides ( $A\beta$ ; 39-42 amino acid molecules) are, in part, toxic to brain tissue because they form aberrant ion channels in cellular membranes and thereby disrupt  $Ca^{2+}$  homeostasis in brain tissue and increase intracellular  $Ca^{2+}$ . More specifically, later studies indicated that soluble forms of  $A\beta$  facilitate influx through calcium-conducting ion channels in the plasma membrane, leading to excitotoxic neurodegeneration [109,110].

The precise cellular pathway(s) by which the amyloid- $\beta$  peptides bring about excitotoxic neurodegeneration has been much debated. A common cellular picture used to explain the disruptive effect of calcium dyshomeostasis within brain tissue, appearing often in the literature (e.g., [111,112]), involves a central role for the tripartite glutamatergic synapse in the pathophysiology of Alzheimer's disease. Under this hypothesis, perturbations in the glutamatergic tripartite synapse (comprised of a presynaptic neuron terminal, a postsynaptic neuron terminal, and an astrocytic process) are believed to underlie the pathogenic mechanisms of Alzheimer's disease. Glutamate is the primary excitatory neurotransmitter in the brain and plays an important role in cognition and memory, but alterations in glutamatergic signaling can lead to excitotoxicity. This “Ca<sup>2+</sup> dyshomeostasis”-induced excitotoxicity occurs when uncontrolled glutamate release surpasses the capacity of astrocytic clearance mechanisms, and is linked to several neurodegenerative disorders including Alzheimer's disease [111](cf. [112]).

Historical support for the above amyloid- $\beta$  ion channel hypothesis, or so-called “calcium hypothesis”, has also been observed at the clinical level [113]. Namely, there is little correlation between the amounts of fibrillar (insoluble) deposit at autopsy and the clinical severity of Alzheimer's disease. In contrast, a good correlation exists between early cognitive impairment and levels of soluble forms of A $\beta$  in the brain [114]. (Aggregation of A $\beta$  proceeds from formation of soluble (low molecular weight) spherical oligomers toward eventually assuming a final and stable conformation as insoluble fibrils from which amyloid- $\beta$  plaques are constituted. Neurotoxicity is associated with soluble aggregates (i.e., oligomers) of A $\beta$  rather than with the plaques themselves.) Accordingly, related experimental work has already shown that application of soluble A $\beta$  oligomers (but not monomers or fibrils) to cultured neuroblastoma cells evoked large increases in cytosolic calcium that arise largely through Ca<sup>2+</sup> influx across the plasma membrane [114].

As summarized by Di Scala et al. [113], the structure of amyloid pores has been extensively studied by ultrastructural methods. In particular, one group of investigators recently applied strategies (widely used to examine the structure of membrane proteins)

to study the two major A $\beta$  variants, namely, A $\beta$ (1-40) and A $\beta$ (1-42). Under the optimized detergent micelle conditions: 1) A $\beta$ (1-40) aggregated into amyloid fibrils; 2) contrariwise, A $\beta$ (1-42) assembled into oligomers that inserted into lipid bilayers as well-defined pores [115]. (These amyloid pores adopted characteristics of a  $\beta$ -barrel arrangement.) Because A $\beta$ (1-42), relative to A $\beta$ (1-40), has a more prominent role in Alzheimer's disease, the higher propensity of A $\beta$ (1-42) to form  $\beta$ -barrel pore-forming oligomers is an indication of their importance in Alzheimer's disease [115]. Very recently, a different research group reported very similar findings [116]. As background for their study, these latter authors point out that: elevated A $\beta$ (1-42) plasma levels have been correlated with the progression of late-onset forms of Alzheimer's disease; A $\beta$ (1-42) is significantly more neurotoxic than A $\beta$ (1-40) both in vivo and in neuronal cell culture; and memory impairment is believed to be driven by A $\beta$ (1-42) disruption of long-term (hippocampal) potentiation. In accordance with these considerations, the authors' own detailed experimental data [116] indicated that A $\beta$ (1-42) assemblies in oligomeric preparations form ion channels (in membranes excised from cells of neuronal origin). In contrast, A $\beta$ (1-40) oligomers, fibrils, and monomers did not form channels. Moreover, ion channel conductance results suggested that A $\beta$ (1-42) oligomers, but not monomers and fibrils, formed pore structures. The authors concluded that their findings demonstrate that only A $\beta$ (1-42) contains unique structural features that facilitate membrane insertion and channel formation, now aligning ion channel formation with the neurotoxic effect of A $\beta$ (1-42) compared to A $\beta$ (1-40) in Alzheimer's disease [116].

## **5. Renewed Promise of Bexarotene (or analogs) to Inhibit Cognitive Decline in Humans**

The preceding discussion of amyloid pore formation, in the cellular membranes of brain tissue, leads to another important consideration – the role of cholesterol. Namely, cholesterol is required for the assembly of amyloid pores formed by A $\beta$ (1-42) [113]. Therefore, an amphipathic drug (such as bexarotene) which competes with cholesterol for binding to A $\beta$ (1-42) would be capable of preventing oligomeric channel formation (at least in vitro). Such a strategy has already been contemplated for the treatment of Alzheimer's and other neurodegenerative diseases that involve cholesterol-dependent

toxic oligomers [117]. However, when *oral* administration of bexarotene was employed subsequently in a Phase Ib (proof of mechanism) clinical trial [118], bexarotene displayed poor CNS penetration in normal human subjects. (Hence, the observed absence of an effect on A $\beta$  metabolism was likely reflective of the low CNS-levels of bexarotene achieved [118](cf. [119])).

Nonetheless, at least two recently published reports (both in 2017) on bexarotene indicate a continuing interest in the ability of this FDA-approved (anticancer) drug to: 1) bind free A $\beta$  peptide as well as 2) bexarotene's previously reported positive effects in Alzheimer's-disease mouse models [120,121] (cf. [122,123]). Such past studies in animal models of Alzheimer's disease, concerning the beneficial effects of bexarotene, have also motivated a detailed analysis by Fantini et al. [124] that utilized a combination of molecular, physicochemical, and cellular approaches to elucidate the mechanisms underlying the anti-Alzheimer properties of bexarotene in brain cells. These investigators demonstrated that bexarotene shares structural analogy with cholesterol: both bexarotene and cholesterol are amphipathic compounds, with a large apolar part consisting of a succession of hydrocarbon rings and a small polar headgroup (hydroxyl for cholesterol, carboxylate for bexarotene). Molecular dynamics simulations gave structural insights into the role of cholesterol in amyloid channel formation and explained the inhibitory effect of bexarotene. Because it is the first drug that can both inhibit the binding of cholesterol to A $\beta$ (1-42) and prevent calcium-permeable amyloid pore formation in the plasma membrane of brain cells, bexarotene might be considered as the prototype of a new class of anti-Alzheimer compounds [124]. (Note that because bexarotene shares structural analogy with cholesterol, and the above-described LCM/ND nanoemulsion contains substantial concentrations of cholesterol esters and cholesterol (see Sect. 3), incorporation of the bexarotene molecule into the LCM/ND nanocarrier is expected to represent an uncomplicated, straightforward formulation procedure commercially.) Moreover, Casali et al. [125] have very recently reported that treatment of an Alzheimer's-disease mouse model with (this FDA-approved anticancer drug) bexarotene resulted in enhanced cognition in the APP/PS1 mice which resembled earlier findings. Strikingly, the authors observed sustained cognitive improvements in the mice even when bexarotene treatment

was discontinued for 2 weeks. Also, they observed a sustained reduction in microgliosis and plaque burden, following drug withdrawal, exclusively in the hippocampus. Casali et al. concluded that bexarotene selectively modifies aspects of neuroinflammation in a region-specific manner to reverse hippocampal-dependent cognitive deficits in Alzheimer's-disease (APP/PS1) mice [125].

Additional molecular aspects, concerning the membrane-related mechanisms for the known neuroprotective effect, of bexarotene action on brain tissue continue to be suggested and/or described in the recent literature (cf. [126,127]). In the most recently published study, Kamp et al. [128] show by NMR and CD spectroscopy that bexarotene directly interacts with the transmembrane domain of the amyloid precursor protein (APP) in a region where cholesterol binds. (Note that A $\beta$  peptides are derived from APP, by the sequential action of  $\beta$ - and  $\gamma$ -secretases.  $\gamma$ -Secretase cleavage occurs in the transmembrane domain, of the C-terminal fragment left by  $\beta$ -secretase cleavage, and results in the release of A $\beta$  peptides of various lengths. The longer, neurotoxic, A $\beta$ (1-42) peptide is highly aggregation prone and represents the major A $\beta$  species deposited in the brain [128]. See also [129-131].) These authors argue that their data [128] suggest that bexarotene is a pleiotropic molecule that interferes with A $\beta$  metabolism through multiple mechanisms. The authors point out that one promising strategy is the use of small molecules, that interfere with protein aggregation and the formation of amyloid structures. In reviewing the related literature, Kamp et al. [128] explain that based on molecular modeling, monolayer experiments, and binding assays for bexarotene, it has been hypothesized by some investigators that bexarotene binds to extracellular A $\beta$  peptides and inhibits the cholesterol-driven insertion of these peptides into the cellular membranes of brain tissue, thereby preventing oligomerization and subsequent neurotoxic pore formation [128].

## 6. Conclusion

The proposed multitasking combination therapeutic appears likely to display greater efficacy at different stages of Alzheimer's disease (cf. [72]). It is also possible that the effects on various cell types targeted may be additive, multiplicative, or otherwise

synergistic [26]. As a result, this multitasking (drug-delivery) therapeutic could represent a promising way to treat, delay, or even prevent the disease in the future [1,2]. By incorporating the appropriate drug(s) into biomimetic (lipid cubic phase) nanocarriers, one obtains a multitasking combination therapeutic which targets certain cell-surface scavenger receptors, mainly class B type I (SR-BI), and crosses the BBB. Such targeting allows for various Alzheimer's-related cell types to be simultaneously searched out, in vivo, for localized drug treatment. This in vivo targeting advantage may be particularly important for repurposing an FDA-approved drug (such as the anticancer drug bexarotene) which up to now, by itself (i.e., without nanocarrier), has previously displayed poor CNS penetration in human subjects.

**Acknowledgements:** This research did not receive any specific grant from funding agencies in the public, commercial, or nonprofit sectors.

**Conflicts of Interest:** The authors declare no conflict of interest. J.S.D. is employed at Cav-Con Inc.

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