Clinicopathological and imaging correlates of progressive aphasia and apraxia of speech

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Apraxia of speech (AOS) is a motor speech disorder characterized by slow speaking rate, abnormal prosody and distorted sound substitutions, additions, repetitions and prolongations, sometimes accompanied by groping, and trial and error articulatory movements. Although AOS is frequently subsumed under the heading of aphasia, and indeed most often co-occurs with aphasia, it can be the predominant or even the sole manifestation of a degenerative neurological disease. In this study we determine whether the clinical classifications of aphasia and AOS correlated with pathological diagnoses and specific biochemical and anatomical structural abnormalities. Seventeen cases with initial diagnoses of a degenerative aphasia or AOS were re-classified independently by two speech-language pathologists—blinded to pathological and biochemical findings—into one of five operationally defined categories of aphasia and AOS. Pathological diagnoses in the 17 cases were progressive supranuclear palsy in 6, corticobasal degeneration in 5, frontotemporal lobar degeneration with ubiquitin-only-immunoreactive changes in 5 and Pick’s disease in 1. Magnetic resonance imaging analysis using voxel-based morphometry (VBM), and single photon emission tomography were completed, blinded to the clinical diagnoses, and clinicimaging and clinicopathological associations were then sought. Interjudge clinical classification reliability was 87% (k = 0.8) for all evaluations. Eleven cases had evidence of AOS, of which all (100%) had a pathological diagnosis characterized by underlying tau biochemistry, while five of the other six cases without AOS did not have tau biochemistry (P = 0.001). A majority of the 17 cases had more than one yearly evaluation, demonstrating the evolution of the speech and language syndromes, as well as motor signs. VBM revealed the premotor and supplemental motor cortices to be the main cortical regions associated with AOS, while the anterior peri-sylvian region was associated with non-fluent aphasia. Refining the classification of the degenerative aphasias and AOS may be necessary to improve our understanding of the relationships among behavioural, pathological and imaging correlations.

Keywords: premotor cortex; supplementary motor cortex; progressive supranuclear palsy; apraxia of speech; aphasia

Abbreviations: AOS = apraxia of speech; CBD = corticobasal degeneration; FTLD = frontotemporal lobar degeneration; GM = grey matter; NVOA = non-verbal oral apraxia; PiD = Pick’s disease; PNFA = progressive non-fluent aphasia; PPA = primary progressive aphasia; PSP = progressive supranuclear palsy; SD = semantic dementia; SPECT = single photon emission computer tomography; VBM = voxel-based morphometry


Introduction

The term ‘aphasia’ designates impairment in the primary domains of language (vocabulary, semantics, phonology, syntax and morphology) that may be manifest in spoken and written comprehension and production but cannot be explained by motor, sensory or generalized cognitive deficits. Aphasia is most commonly encountered in patients with vascular insults but there is now an extensive literature from multiple authors and institutions documenting it as...
the dominant clinical feature in some people with a neurodegenerative disease. In fact, aphasia is one of the most frequently cited examples of a focal manifestation of asymmetric cortical degeneration (Black, 1996; Caselli, 1996).

While most authors and clinicians agree that aphasia can be the presenting and predominant feature of neurodegenerative disease (often called primary progressive aphasia, or PPA), there is no universal approach to classifying the aphasia. Mesulam, whose case series in 1982 spurred modern attention to PPA, recognizes both agrammatic/non-fluent and fluent presentations, and notes that anoma is a near-universal finding, and that semantically based verbal comprehension deficits can be present within the symptom complex (Mesulam, 1982). He has also observed that patients with PPA rarely fit classical, stroke-based clinicopathological patterns of aphasia (e.g. Broca’s, Wernicke’s), and that there is no single pathognomonic type of aphasia in PPA (Mesulam, 2001).

More recently, various manifestations of PPA have been considered as major subcategories of frontotemporal lobar degeneration (FTLD) (Kertesz et al., 1994; Neary et al., 1998).

In this classification scheme, the designation of progressive non-fluent aphasia (PNFA) is used if the presenting syndrome is dominated and almost exclusively characterized by non-fluent spontaneous speech, and at least one of agrammatism, ‘phonemic’ paraphasic errors or anomia (Neary et al., 1998). Stuttering and oral apraxia are considered supportive features. The precise behavioural manifestations of the defining clinical characteristics of the syndrome, particularly phonemic paraphasias and stuttering, are not, however, well specified. The second designation ‘semantic dementia’ (SD) is used when spontaneous speech is fluent and lacks specificity, and there is loss of concept knowledge resulting in loss of word meaning, knowledge about objects and facts, and impaired comprehension (Snowden et al., 1989; Hodges et al., 1992). SD and PNFA are considered dichotomous, although it is not uncommon for patients with PNFA to have demonstrable sentence-level comprehension deficits during careful testing. In addition, specific criteria permitting a distinction between ‘loss’ of word meaning and anomia are generally lacking. This scheme apparently collapses all varieties of ‘fluent’ PPA under the heading of SD, even though clinical experience suggests that some patients with PPA who clearly are not non-fluent do not have obvious loss of word meaning on basic clinical testing. An additional subtype that is not generally used as a subcategory of FTLD, called ‘logopenic progressive aphasia’ (Weintraub et al., 1990; Gorno-Tempini et al., 2004a), is characterized by word-finding problems and slow speaking rate, relatively preserved syntax and phonological speech output, and impaired syntactic comprehension. It may capture patients who do not fit under the PNFA and SD subcategories. Recent neuroimaging findings provide some support for this subcategory as distinct from PNFA and SD (Gorno-Tempini et al., 2004a).

Further complicating the understanding and categorization of degenerative aphasias is the possible influence of motor speech deficits, namely dysarthria and apraxia of speech (AOS); AOS is also referred to as aphemia, phonetic disintegration, speech apraxia or oral verbal apraxia. The distinction between dysarthria and aphasia is usually easily made, but that between AOS and aphasia is another matter, for at least two reasons. First, the existence of AOS as a distinct clinical entity, reflecting a deficit in the planning or programming of movements for speech, is not often recognized in the neurological literature as a problem distinct from aphasia. Second, it is likely that the characteristics of AOS, when recognized, are subsumed under the diagnosis of dysarthria or aphasia. When included as a manifestation of aphasia, the characteristics of AOS are usually embedded within other language signs and symptoms of Broca’s or non-fluent aphasia or, when degenerative disease is the aetiology, PNFA. Some have argued that AOS is an integral part of the syndrome of Broca’s aphasia (McNeil and Kent, 1990) and it is well established that aphasia and AOS very frequently co-occur (McNeil et al., 2000; Duffy, 2005). Terms such as ‘labored speech’, ‘labored articulation’, ‘distortion of speech’ and ‘poorly articulated’ are frequently among the clinical characteristics described for patients with PNFA, but they are not likely to be explained by a language disorder, and are crudely compatible with features associated with AOS (or dysarthria) (Duffy, 2005). The term ‘phonemic paraphasias’, as a part of the PNFA syndrome, is a misnomer, probably used to refer to phonetic (i.e. motor) rather than phonemic (i.e. linguistic) distortions. True phonemic or phonological errors are not usually distorted and are most commonly associated with fluent aphasias (Goodglass and Kaplan, 1983).

Perhaps more important than conceptual and terminological inconsistencies, AOS has been explicitly recognized as the predominant clinical manifestation in a number of cases with degenerative neurological disease (Nestor et al., 2003; Gorno-Tempini et al., 2004a), sometimes with minimal or no accompanying aphasia (Cohen et al., 1993; Broussolle et al., 1996; Chapman et al., 1997; Didic et al., 1998; Tebartz van Elst et al., 2002; Duffy, 2006), and sometimes in cases with clinical diagnoses or pathologically confirmed progressive supranuclear palsy (PSP) (Boeve et al., 2003a; Josephs et al., 2005) or corticobasal degeneration (CBD) (Frattali and Sonies, 2000; Lehman Blake et al., 2003; Gorno-Tempini et al., 2004b; Kertesz et al., 2005). In other cases, its presence has been reported, although not necessarily as the predominant communication disorder (Craenhals et al., 1990; Hart et al., 1997; Gorno-Tempini et al., 2004a). In still other cases classified as PPA or PNFA, descriptions of speech as laborious, lacking in prosody, or severely distorted suggest that AOS may have been a more accurate diagnosis or at least an additional diagnosis (Kartsounis et al., 1991; Greene et al., 1996; Hodges and Patterson, 1996; Turner et al., 1996; Abe et al., 1997). More recently, it has been suggested that some proportion of people with PPA actually have an ‘aphemic’
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disorder (Kertesz et al., 2003), and others have noted the importance of distinguishing PPA from ‘pure progressive dysarthria or phonologic disintegration’ (Mesulam, 2001). Some authors consider dysarthria and ‘buccofacial apraxia’ to be ‘boundary’ signs associated with PPA, although ones that generally develop later and are less prominent than the language deficits (Mesulam, 2003). In general, although the explicit recognition of AOS as a clinical problem distinct from aphasia has implications for behavioural management (McNeil and Duffy, 2001; Duffy, 2005), it is uncertain if it has any important implications for localization or clinical or pathological diagnosis beyond that provided by PPA or FTLD subtypes.

Pathological diagnoses in the degenerative aphasias are heterogeneous (Josephs et al., 2006). A report of eight cases of PNFA demonstrated that argyrophilic, tau-positive Pick disease with Pick bodies (PiD) was the most common cause of PNFA, occurring in 75%, while CBD and ‘dementia lacking distinctive histology’ accounted for the other 25% (Hodges et al., 2004). Conversely, PiD has been reported to account for only 16% of cases with SD (Davies et al., 2005), signifying that tau-positive diseases more frequently underlie PNFA, while non-tau-positive diseases more frequently underlie SD (Knibb et al., 2006; Josephs et al., 2006). Others have reported that ‘non-specific focal atrophy’ or dementia lacking distinctive histology accounts for most cases of PPA, occurring in up to 60% while argyrophilic, tau-positive PiD account for ~20% of cases (Mesulam, 2001). Cases of PiD and variants of PiD underlying cases of PPA have been reported (Wechsler et al., 1982; Graff-Radford et al., 1990; Lippa et al., 1991; Lang, 1992; Kertesz et al., 1994). Motor neuron disease (Caselli et al., 1993; Doran et al., 1995; Bak and Hodges, 2001) and Alzheimer’s disease (Greene et al., 1996; Galton et al., 2000; Kertesz et al., 2005; Knibb et al., 2006) have also been reported in cases of PNFA and PPA. We also recently described four cases with aphasia, but dominated by AOS, that were found to have atypical PSP at autopsy (Josephs et al., 2005).

Recent classification of the degenerative diseases, however, takes into account the finding of the presence or absence of abnormally phosphorylated tau in neuronal and glial cells and processes. Therefore, while PSP and PiD are different diseases pathologically, they are both classified as ‘tauopathies,’ similar to another neurodegenerative disease, CBD. This raises the possibility that recognition of predominant AOS in degenerative disease may not only have implications for pathological diagnosis but may also have implications for the prediction of the underlying biochemistry.

The literature reveals differences in approaches to the classification of degenerative aphasias, and inconsistencies in the recognition or accounting for the influences of AOS on clinical disease and pathological diagnoses. In addition, there is an uncertain or variable relationship between clinical and pathological diagnoses in patients with degenerative aphasias. The purpose of this study was to determine clinicopathological correlation in a relatively large autopsy-confirmed series of patients with degenerative aphasia, using operational definitions of aphasia type and AOS. AOS was included as an important clinical variable in the study. Clinical experience suggested to us that the identification of predominant AOS seemed related to specific clinical neurological diagnoses, and might help predict pathological diagnoses and even biochemistry. We also set out to determine if the operational criteria would correlate with specific regional head MRI and single photon emission computer tomography (SPECT) abnormalities.

Methods

Case ascertainment

The Mayo Clinic medical records database was used to identify all cases in which PPA, PNFA, SD or AOS was considered a diagnostic possibility, by using a textword and diagnostic code search criteria for aphasic dementia, aphasia, apraxia, PPA, PNFA, SD or AOS. A total of 5222 cases were identified. From these 5222, 197 cases had an autopsy examination completed at our institution between 1984 and 2004. The historical medical records of all 197 cases identified were retrospectively reviewed by a behavioural neurologist (K.A.J.) to (i) abstract demographic data and information regarding additional early and late clinical features; (ii) confirm that the clinical histories, especially the temporal profile, met published criteria for a diagnosis of PPA, PNFA, SD or AOS (Mesulam, 1982; Duffy, 2005; Neary et al., 1998); and (iii) establish that no other structural abnormalities were present that may have accounted for, or contributed to, the syndrome. Therefore, any case in which there were cerebral ischaemic or haemorrhagic vascular lesions, tumours or other structural abnormalities, paraneoplastic or any other non-degenerative disease that was felt to be a possible cause of the aphasia or AOS, was excluded from the study.

Seventeen cases met these criteria. Fifteen cases had been diagnosed by a neurologist as PPA and two as aphasic dementia. At the time of the first speech and language evaluation, 10 patients had disease duration of <2 years, 6 patients had disease duration of 2–5 years and 1 patient had disease duration of >5 years. These 17 cases were further reviewed by an independent behavioural neurologist (D.S.K.).

Classification

Categorization of the language and speech disorders for each patient at each visit was performed by two speech-language pathologists (J.R.D. and E.A.S.) with expertise in acquired neurological speech and language disorders. Their judgements were based on the results of retrospectively reviewed speech-language pathology assessments and audio tapes or video tapes when available. In no case did the speech-language pathologist have access to autopsy results. For those few cases for which there was disagreement about final classification, records were re-reviewed, discussed and an agreed upon classification made.

Language examination employed a variety of tasks that assessed verbal comprehension and expression, reading and writing. Tasks most often included several subtests from the Minnesota Test for Differential Diagnosis of Aphasia (Schuell, 1972), the Boston Naming Test (Kaplan et al., 2001), Part V of the Token Test
Interjudge classification reliability for all speech and language had four evaluations. Evaluations were conducted on a yearly basis. Evaluations, 2 patients had at least three evaluations and 1 patient least one speech and language evaluation, 11 patients had at least two tentative language examination results formed the basis for judgements each abnormal characteristic, as well as a rating of speech intelligibility. Comparison of these ratings to the quantitative and qualitative judgments about which, if any, disorder was predominant. All 17 patients had at least one speech and language evaluation, 11 patients had at least two evaluations, 2 patients had at least three evaluations and 1 patient had four evaluations. Evaluations were conducted on a yearly basis. Interjudge classification reliability for all speech and language evaluations was 87% (27 out of 31) (κ = 0.8), and for the first evaluation, 88% (15 out of 17).

**'Operational' definitions**

**Progressive non-fluent aphasia**

Cases were classified as PNFA if the dominant feature during the first few years or at the time of initial evaluation was aphasia in which verbal output characteristics contained evidence of agrammatism or telegraphic speech. Difficulties with verbal and reading comprehension and writing could be present, as could anoma. AOS and dysarthria could also be present, but only if they were less prominent than the overall aphasic language impairment.

**Apraxia of speech**

Cases were classified as AOS if AOS was the sole or dominant feature of the communication disorder during the first few years of the disease course or at the time of initial presentation. Cases were also classified as AOS if the AOS became the prominent disorder over time, with relatively less progression of the aphasia. Dysarthria could also be present and could be more severe, equal in severity, or less severe than AOS. The primary features leading to a diagnosis of AOS included consonant and vowel distortions; distorted sound substitutions; distorted sound additions; sound prolongations, trial and error attempts to correct articulation; slow overall rate; prolonged and often variable vowel duration and inter-word intervals; segregation of syllables; errors of stress assignment; and decreased phonetic accuracy with increased rate (McNeil et al., 2000; Duffy, 2005).

**PNFA-AOS**

Cases meeting criteria for PNFA but in which AOS was also present and not clearly less severe than the aphasia, or cases meeting criteria for AOS in which aphasia was also present but not clearly less severe than the AOS were classified as PNFA-AOS.

**Semantic dementia**

Cases were classified as SD if during the first few years, or at the time of initial evaluation, language difficulties were characterized by fluent verbal output (i.e. grossly normal grammar and syntax, normal phase length for the longest utterances and normal prosody) plus evidence of anomia, and evidence of apparent loss of word meaning (e.g. inability to name an object plus inability to recognize the target word when provided). There must also have been impairment or loss of visual object knowledge (visual associative agnosia). AOS must have been absent or less severe than the SD. Any dysarthria must have been less severe than the aphasia.

**Primary progressive aphasia, not otherwise specified (PPA-NOS)**

Cases were classified as PPA-NOS if there was evidence of language impairment consistent with aphasia, but the profile of impairment did not meet criteria for PNFA or SD. These cases typically had evidence of difficulties in all language modalities but did not have prominent difficulties with grammar or syntax, or clear evidence of loss of word meaning or visual associative agnosia. Some cases with PPA-NOS had slow speech rate, frequent word-finding pauses and syntactically simple but not clearly agrammatic or telegraphic sentence structure [‘logopenic’ progressive aphasia (Gorno-Tempini et al, 2004a)]. Others had more prosodically fluent and syntactically more complex verbal output. AOS and dysarthria could be present but must have been less severe than the aphasia.

The temporal profile for each syndrome must have been one of insidious onset with a progressive course. In all cases there could not have been any significant impairment of episodic memory, visuospatial skills (e.g. dot counting) (Warrington and James, 1991) or visual perceptual impairment (apparceptive agnosia) (e.g. recognition of fragmented drawings of letters) (Warrington and James, 1967), or significant frontal lobe features including apathy, behavioural dyscontrol or executive dysfunction.

**MRI**

\[ T_1 \]-weighted volumetric MRI scans were acquired at 1.5 T \([22 \times 16.5 \text{ cm field of view (FOV), } 25^\circ \text{ flip angle}, 124 \text{ contiguous } 1.6 \text{ mm thick coronal slices}] \). If a patient had more than one MRI, then we used the scan of adequate quality closest to the time of first neurological evaluation. Patterns of cerebral atrophy were assessed using the automated and unbiased technique of voxel-based morphometry (VBM) (Ashburner and Friston, 2000). An optimized method of VBM was applied using both customized templates and prior probability maps (Senjem et al., 2005), implemented using SPM2 (http://www.fil.ion.ucl.ac.uk/spm). To create the customized template and priors all patient scans, plus age and gender-matched healthy controls, were registered to the Montreal Neurological Institute (MNI) template using a 12dof affine transformation and segmented into grey matter (GM), white matter (WM) and CSF using MNI priors. GM images were normalized to the MNI GM prior using a non-linear discrete cosine transformation (DCT). The normalization parameters were applied to the original whole head and the images were segmented using the MNI priors. Average images were created of whole head, GM, WM and CSF, and smoothed using 8 mm full-width at half-maximum (FWHM) smoothing kernel. All images were then registered to the customized whole brain template using a 12dof affine transformation and segmented using the customized priors. The GM images were normalized to the custom GM prior using a non-linear DCT. The normalization parameters were then applied to the original whole head and the images were segmented once again using the customized priors. All images were modulated and smoothed with a 10 mm FWHM smoothing kernel.
Two-sided T-tests were used to assess the patterns of GM atrophy in the AOS, PNFA-AOS and PPA-NOS groups compared with the control subjects. GM differences were assessed at an uncorrected statistical threshold (\( P < 0.001 \)), and after correction for multiple comparisons using the false discovery rate (\( P < 0.05 \)).

**SPECT**

SPECT studies were re-examined and visually assessed for regional abnormalities by a nuclear medicine specialist (M.F.H.), completely blinded to pathology, clinical diagnoses and the study objectives. For each SPECT scan, focal or asymmetric hypoperfusion in several brain regions was assessed and the findings described. The regions assessed for each hemisphere were frontal lobe (anterior and posterior), temporal lobe (anterior and posterior), parietal lobe (anterior and posterior), basal ganglia and thalamus, and were compared with the cerebellum.

**Pathological re-examination**

All cases underwent histological re-examination by two neuropathologists independently (J.E.P. and D.W.D.), and a pathological diagnosis was rendered on the basis of the most recent accepted pathological consensus criteria for diagnosing the different neurodegenerative diseases (Lowe, 1998; McKhann et al., 2001; Dickson, 2003). Both neuropathologists were blinded to all clinical data.

**Pathological methods**

All cases had routine stains completed, including haematoxylin and eosin, glial fibrillary acid protein and modified Bielschowsky or Bodian silver.

In addition, immunohistochemistry was performed with a battery of antibodies, including markers of glial pathology: glial fibrillary acid protein for astrocytes (clone GA5, 1 : 1000; Biogenex, San Ramon, CA, USA) and either CD68 (clone PG-M1, 1 : 1000; DAKO, Carpinteria, CA, USA) or HLA-DR (LN-3, 1 : 5; ICN, Costa Mesa, CA, USA) for microglia. Neuronal pathology was studied with antibodies to neurofilament protein [NF-L: clone 2F11, 1 : 75; DAKO; NF-H: clone SMI-31, 1 : 2000; Sternberger Monoclonals, Lutherville, MD, USA]; ubiquitin [clone Ubi-1 (MAB1510), 1 : 250; Chemicon, Temecula, CA, USA]; alpha-synuclein (LB509, 1 : 200; Zymed, South San Francisco, CA, USA or NACP98, polyclonal antibody, 1 : 2000; Mayo Clinic, Jacksonville, FL, USA) and phospho-tau (CP13; gift from Dr Peter Davis, Albert Einstein College of Medicine, Bronx, NY, USA or clone AT8, 1 : 1000; Innogenetics, Alpharetta, GA, USA).

**Statistical analysis**

Statistical analyses were performed using the JMP computer software (JMP Software, version 5.1.2; SAS Institute Inc, Cary, NC, USA) with statistical significance set at \( P < 0.05 \). Kruskal-Wallis test was used to compare the mean ages of onset and survival times between the three different clinical groups. Gender ratios were compared using a \( \chi^2 \)-test. Fisher’s Exact Test was used to compare the association between the presence of AOS and the finding of a tauopathy.

**Results**

Demographic data, presenting clinical features and the progression of the clinical course are summarized in Tables 1–5. A total of 17 cases were identified. Nine of the 17 cases were female. The mean age of onset, defined as patient’s age at the time of the first noticeable symptom(s), was 63.8 years (standard deviation: 8.0 years). Mean disease duration, calculated as the difference between the age at death and age at onset, was 7.8 years (3.1 years).

**Clinical features**

All cases had been examined by a behavioural neurologist and a speech-language pathologist during the course of their illness. All cases had been given an antemortem clinical diagnosis of PPA (15 cases) or aphasic dementia (2 cases) when first evaluated by the consulting behavioural neurologist. In all cases, the temporal profile was insidious in onset and the clinical course progressive. All 17 patients had early presenting symptoms in keeping with aphasia or a motor speech disorder syndrome. In none of the patients was there widespread or significant memory, visuospatial, visual perceptual, praxis, oculomotor or parkinsonian features early in the disease course. However, after at least 2 years of disease duration, all had progressed to more widespread cognitive impairment, even though the language impairment or AOS always remained more severe than other cognitive impairments. In addition, the language impairment or AOS always remained more severe than other cognitive impairments. In addition, in some cases motor features also developed late in the disease course (Table 2).

In three patients, there was evidence of subtle motor impairment on initial neurological examination. Two of these had subtle evidence of limb apraxia, of which one also had mild rigidity. The third patient had mild slowing
of alternating motor rates. Fourteen of the 17 cases had at least one subsequent yearly examination. In eight of these, there was evidence of motor impairment. One patient developed complete supranuclear gaze palsy. The supranuclear gaze palsy was first documented 4 years after the initial examination but was absent on prior examinations. In another patient, there was only slowing of vertical saccades, which was present 1 year after initial examination; no subsequent examination occurred for this patient. Both patients with oculomotor impairment were found to have PSP pathology. Limb apraxia worsened in the two patients in whom the sign was noted on initial examination, and developed subsequently in another five patients. Of the seven cases with limb apraxia, three had a pathological diagnosis of PSP, two had that of CBD and two had that of FTLD-U. Rigidity developed in five patients and persisted in one, while bradykinesia subsequently developed in six cases and persisted in one. A mild postural tremor developed in three patients only (data not shown). In no patients was postural instability documented, in keeping with an absence of a history of falls.

**Language and speech classification**

Language and speech classifications are summarized in Tables 3 and 4. On initial evaluation, seven cases were classified as AOS, seven cases as PPA-NOS and three cases as PNFA-AOS. All cases classified as AOS on the basis of initial evaluation that had a subsequent evaluation (N = 6) retained that diagnosis. Three of the seven cases classified as AOS had no evidence of aphasia on initial examination, three had non-fluent aphasia and one had evidence of aphasia that was otherwise not classifiable. Aphasia, one had equivocal signs of aphasia, and the third had no aphasia, 1 year later. Five of the seven cases in which initial evaluation resulted in a diagnosis of PPA-NOS had a second evaluation. In two of these, the diagnosis of PPA-NOS was retained while a change of diagnosis to PNFA or PNFA-AOS (Case 7) occurred in the other three. Only one of the cases of PPA-NOS had any AOS on initial evaluation (Case 7). None of the three cases with an initial diagnosis of PNFA-AOS had a second evaluation. When the clinical diagnosis was broken down into one of the three diagnoses rendered at the time of first evaluation (AOS, PNFA-AOS and PPA-NOS), there was no significant difference between the groups for gender, age at disease onset or disease duration (Table 5).

Eight of the 17 cases had unambiguous or equivocal non-verbal oral apraxia (NVOA); this was evident in five of the seven cases classified as AOS, and two cases as PNFA-AOS.
Table 3. Summary of primary speech and language characteristics on initial examination, based largely on performance on formal speech-language measures described in text.

<table>
<thead>
<tr>
<th>Case</th>
<th>Verbal comprehension</th>
<th>Naming Fluency</th>
<th>Repetition&lt;sup&gt;a&lt;/sup&gt;</th>
<th>Reading comprehension</th>
<th>Writing</th>
<th>AOS</th>
<th>AOS characteristics</th>
<th>Non-verbal oral apraxia</th>
<th>Dysarthria</th>
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<td>None</td>
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<td>NF</td>
<td>Normal</td>
<td>Normal</td>
<td>NR</td>
<td></td>
<td></td>
<td>Articulatory blocks; sound substitutions</td>
<td>Yes</td>
</tr>
<tr>
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<td>1</td>
<td>2</td>
<td>3, 4</td>
<td>1</td>
<td>2</td>
<td></td>
<td></td>
<td>Effortful speech production; slow rate; articulatory groping; off-target articulatory errors</td>
<td>Yes</td>
</tr>
<tr>
<td>17</td>
<td>2</td>
<td>NF</td>
<td>2, 3</td>
<td>2</td>
<td>2</td>
<td></td>
<td></td>
<td>Distorted articulatory substitutions, worse with increasing length; reduced pitch and loudness variability; slow rate; sound/syllable repetitions at end of words/ sentences</td>
<td>No</td>
</tr>
</tbody>
</table>

F = fluent (normal grammar/syntax); NF = non-fluent (agrammatic/telegraphic; severity in parentheses); CNT = could not test because of severity of AOS; NR = not reported; Ratings: 0 = absent; 1 = mild; 2 = moderate; 3 = marked; 4 = severe. Rating based on language characteristics (semantic, grammatic), not motor speech characteristics or verbal retention. Non-fluent (mild) on 2nd examination. Demonstrated hypokinetic dysarthria on 4th examination. AOS too severe to assess spoken grammar/syntax. Impaired but recorded data insufficient to rate severity. Second examination noted mild, non-fluent aphasia but moderately severe AOS with syllable segregation; poor coordination of respiration and speech; short phrases; distorted sound substitutions and additions that increased with increased rate; intrusive schwa in consonant clusters; occasional syllable repetitions; demonstrated NVOA on 3rd examination. Demonstrated equivocal NVOA on initial examination and definite NVOA and hypokinetic dysarthria on 2nd examination. Presented with dysarthria, and undetermined dysarthria on 2nd examination. Rating of verbal comprehension was based on judgement of combined performance on a variety of comprehension tasks, ranging from single-word comprehension to complex sentence comprehension (e.g. Token Test). Although it contributed to decisions about the presence and severity of aphasia, it was not used to establish aphasia type.
Table 4 Evolution of speech and language phenotypes over time

<table>
<thead>
<tr>
<th>Case</th>
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<th>2nd evaluation</th>
<th>3rd evaluation</th>
<th>4th evaluation</th>
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<td>PNFA-PFNFA</td>
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<td>PNFA-PFNFA</td>
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</tbody>
</table>

* AOS had been present on initial evaluation.

Table 5 Demographics based on initial speech and language diagnoses

<table>
<thead>
<tr>
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<th>AOS</th>
<th>PNFA-AOS</th>
<th>PPA-NOS</th>
</tr>
</thead>
<tbody>
<tr>
<td>N</td>
<td>7</td>
<td>3</td>
<td>7</td>
</tr>
<tr>
<td>Gender (M/F)</td>
<td>2/5</td>
<td>2/1</td>
<td>4/3</td>
</tr>
<tr>
<td>Mean age at onset (SD)</td>
<td>62.1 (9.9)</td>
<td>60.7 (5.8)</td>
<td>62.9 (6.9)</td>
</tr>
<tr>
<td>Mean disease duration to death</td>
<td>8.7 (3.6)</td>
<td>5.7 (1.2)</td>
<td>7.7 (2.9)</td>
</tr>
</tbody>
</table>

MRI

Twelve cases had T1-weighted MRI scans that were available and of sufficient quality for analysis. Six of these 12 had been diagnosed as AOS, 2 as PNFA-AOS and 4 as PPA-NOS. Of the six with AOS, five had PSP and one had CBD on pathology. Of the four cases with PPA-NOS, all had FTLD-U pathology. The mean age at time of scan was 72.3 (9.1 years) in AOS, 63.3 (7.1) in PNFA-AOS and 68.6 (11.9) in PPA-NOS. The mean time from onset to scan was 3.8 years (1.5 years) in AOS, 4.3 (0) in PNFA-AOS and 6.1 (4.2) in PPA-NOS.

The patients with AOS showed a bilateral pattern of GM atrophy predominantly affecting superior premotor cortex spreading to the anterior bank of the precentral gyrus, and supplemental motor area, compared with a group of 12 age- and gender-matched healthy controls (uncorrected for multiple comparisons, P < 0.001; Fig. 1A and B). GM loss was also observed in the posterior middle and inferior frontal gyri, slightly anterior to the premotor cortex, more so on the right, and the bilateral heads of the caudate and right medial globus pallidus (uncorrected, P < 0.001, Fig. 1B). All these regions remained after the correction for multiple comparisons (corrected, P < 0.05). Similarly, the PNFA-AOS group showed loss in the superior premotor cortex, although without involvement of the supplemental motor area or left-sided deep nuclei, yet with greater involvement of the posterior inferior frontal lobe than the AOS group (uncorrected, P < 0.001; Fig. 1A). However, it is difficult to draw definite conclusions from such a small group of subjects. No regions survived after the correction for multiple comparisons (P < 0.05).

In contrast, the patients with PPA-NOS showed a pattern of GM atrophy predominantly affecting the left temporal lobe, involving the hippocampus, amygdala and perirhinal cortex, and the lateral posterior temporal cortex, particularly the middle temporal gyrus, compared with controls (uncorrected, P < 0.001; Fig. 1A and C). The frontal lobes also showed some minor involvement. Atrophy of the left hippocampus survived the correction for multiple comparisons (corrected, P < 0.05).

SPECT

A SPECT study was completed in five cases. Two had a diagnosis of AOS with PSP pathology, two with PPA-NOS of which one had PSP, the other FTLD-U, and one case of PNFA-AOS with CBD pathology. There was decreased uptake predominantly affecting the posterior frontal and anterior parietal lobes and basal ganglia. The occipital lobes and thalamus were not affected in all five cases.

Pathological diagnoses

Of the 17 cases, six had atypical PSP (Hauw et al., 1994; Josephs et al., 2005), five had CBD (Dickson et al., 2002), five had FTLD with ubiquitin-only-immunoreactive changes (FTLD-U) (Lowe and Rossor, 2003; Josephs et al., 2004; Paviour et al., 2004) and one case had PiD with argyrophilic and tau-positive Pick bodies (Dickson, 1998). Detailed gross and histopathological findings, as well as semi-quantitative analysis of four of the six cases of atypical PSP were recently published (Josephs et al., 2005). The fifth and sixth cases of atypical PSP had findings similar to the other four, including moderate frontal and mild temporal and parietal atrophy. There were widespread tau-positive tufted astrocytes in superior frontal gyrus and other cortical areas. There was more tau-positive pathology in frontal extramotor and temporal and parietal neocortex than is usually seen in typical PSP. Alzheimer’s disease, Lewy body disease and strokes were not present.

Five of the cases had pathological features consistent with a diagnosis of FTLD-U (Lowe and Rossor, 2003; Josephs et al., 2004; Paviour et al., 2004). In these five patients, there was variable superficial spongiosis affecting the frontal and temporal neocortices. There were also numerous tau and...
alpha-synuclein negative, but ubiquitin-positive, inclusions affecting the frontal and temporal neocortices, and the dentate cell layer of the hippocampus. The hypoglossal nucleus and anterior horn cells of the cervical cord (when available) did not show any evidence of motor neuron degeneration. Ubiquitin-positive inclusions in frontal and temporal neocortex and hippocampal dentate granular cells ranged from mild to severe.

Five cases had findings consistent with a pathological diagnosis of CBD (Dickson et al., 2002). In these five cases, there was moderate to severe neuronal loss and gliosis in the frontal and temporal lobes with mild–moderate neuronal loss and gliosis affecting the parietal lobe. In all five cases there were balloon neurons, significant glial pathology, and threads and astrocytic plaques.

One case had typical features of Pick’s disease (McKhann et al., 2001; Dickson, 1998).

**Correlation with pathology**

Of the seven patients with an initial diagnosis of AOS, five had a pathological diagnosis of PSP, one had that of CBD and one had that of PiD (Table 1). Of the seven cases with an initial diagnosis of PPA-NOS, five had pathological features of FTLD-U, one had that of CBD and one had that of PSP. Of the seven cases of PPA-NOS, six did not have any AOS, of which five (83%) had FTLD-U on pathological analysis and the other had CBD. The single case with an initial diagnosis of PPA-NOS that also had an AOS was found to have PSP pathology. All three cases with an initial speech and language diagnosis of PNFA-AOS had CBD pathology. The pathological diagnosis in the eight cases with NVOA was PSP in five cases, CBD in two cases and PiD in one case. All five cases with a dysarthria also had tau biochemistry; three were found to have PSP and two had CBD. Overall, 11 cases had some evidence of an AOS on initial examination, and all (100%) were found to have a tauopathy. In contrast, of the six cases that did not have any AOS on initial examination, five (83%) did not have a tauopathy. The association between the presence of AOS and tauopathy was highly significant ($P = 0.001$).

**Discussion**

The findings of this study have implications for clinical diagnosis and prediction of pathology and biochemistry in patients with a progressive degenerative aphasia and/or AOS; a number of them relate directly to the presence or absence of AOS.

A total of 11 cases had evidence of AOS, and in 7 of these the AOS was the most dominant feature; in 3 of them there was no evidence of aphasia on initial examination. All 11 of these cases had biochemical evidence of tau deposition accounting for the syndromic presentation. Of the six cases without AOS, however, five did not have tau pathology.
Therefore, the presence of AOS, with or without aphasia, suggests the presence of tau biochemistry underlying the syndrome.

For the cases in which AOS was the most dominant feature of the presenting syndrome, PSP was the most common taupathy. However, when AOS was less than or equal to the aphasia component, as with PNFA-AOS, CBD accounted for most of this syndromic presentation. In contrast, when AOS was absent from the presenting syndrome, tau biochemistry was less likely to account for the syndrome. Of the seven cases initially classified as PPA-NOS, 83% had underlying FTLD-U pathology and absence of tau when AOS was not present. The only case of PPA-NOS with AOS had PSP pathology. Of note, the pathological diagnosis of FTLD-U had originally been considered dementia lacking distinctive histology (Knopman et al., 1990); however, most cases have been re-classified with more recent immunohistochemical techniques (Josephs et al., 2004, 2006; Lipton et al., 2004; Kertesz et al., 2005). Therefore, it seems that if we exclude cases with AOS, FTLD-U may be the most common underlying pathology of the pure degenerative aphasias, as suggested by others (Mesulam, 2001).

Another important finding relates to the evolution of the initial syndromic diagnoses. Six of the cases with an initial diagnosis of AOS were seen for a second evaluation, two of which also had a third evaluation and one a fourth. In all six cases the diagnosis remained AOS. In three of these six cases, AOS was an isolated feature on initial evaluation; however, a year later, non-fluent aphasia developed in one case, while aphasia was equivocal in another. In the third case, aphasia remained absent when evaluated a second time. Three of the cases with initial diagnosis of PPA-NOS had the diagnosis changed at the second evaluation and in all cases the diagnosis changed to PNFA or PNFA-AOS. Therefore, we suggest that (i) if AOS is the initial diagnosis, AOS will remain the predominant communication disorder throughout the disease course, even though aphasia, if not initially present, may subsequently develop; (ii) progression of PPA-NOS may evolve to a syndrome with a non-fluent aphasia (PNFA or PNFA-AOS); (iii) if AOS is not found early in the course of disease, it is unlikely to develop late, or at least unlikely to become a predominant problem; and (iv) the speech and language diagnosis, using the method of classification in this study, may not remain uniform throughout the entire disease course.

Of the seven cases with initial diagnosis of PPA-NOS, five had a subsequent evaluation. As stated above, two of these had converted to a diagnosis of PNFA on second evaluation; one had a tauopathy, CBD, and one FTLD-U. A third case of PPA-NOS that also had AOS converted to a diagnosis of PNFA-AOS and was found to have PSP. Of the two cases in which PPA-NOS remained the diagnosis at the second evaluation, both had FTLD-U at pathology. Therefore, it seems to be the case that in the absence of AOS, FTLD-U is the most likely cause of a fluent aphasia, while a tauopathy is at least equally likely if a non-fluent aphasia develops. We did not have any cases of SD in our study. However, FTLD-U has been shown to be the most common pathology underlying SD (Rossor et al., 2000; Davies et al., 2005).

A NVOA is most commonly associated with the clinical diagnoses of AOS or PNFA-AOS, and pathological diagnoses of PSP and CBD. Dysarthria was not common at initial evaluation for these cases but, when present, was associated with the clinical diagnoses of AOS or PNFA-AOS, and with pathological diagnoses of PSP and CBD. All patients with a hypokinetic or unequivocal hypokinetic dysarthria had either PSP or CBD pathology. While these findings are also impressive, further studies are needed that specifically assess whether the presence or absence of NVOA and/or a dysarthria, as well as the type of dysarthria, can further refine the clinicopathological correlates of the degeneration aphasias and AOS.

The pathological diagnoses were heterogenous, with PSP and CBD accounting for over 70% of the cases. This was surprising given the initial presenting symptoms and signs of a non-parkinsonian syndrome. However, as shown in Table 3, many of our patients later developed parkinsonian features, as well as limb apraxia. Unfortunately, these features developed later in the disease course and are, therefore, unlikely to be helpful earlier in the presenting course. Furthermore, none of the features that developed late were specific to any one pathological diagnosis. While the findings of limb apraxia may be suggestive of CBD (Boeve et al., 2003b), it was found in three cases with PSP, two with FTLD-U as well as two cases with CBD. The finding of limb apraxia in PSP and FTLD-U is not novel and has been previously reported (Leiguarda et al., 1997; Grimes et al., 1999; Pharr et al., 2001; Tsuboi et al., 2005), suggesting that it is not specific to CBD. While the presence of supranuclear gaze palsies in one patient and slowing of down gaze eye movements in another may have been suggestive of PSP, neither case would have met the National Institute of Neurological Diseases–Society of Progressive Supranuclear Palsy criteria (Litvan et al., 1996), because in none of our cases was there a history of falls, or evidence of postural instability. However, the development of vertical supranuclear palsy or slowing of vertical saccades, later in the course of an aphasia or AOS syndrome, should suggest PSP pathology. The progression of an aphasic neurodegenerative syndrome into another neurodegenerative syndrome is not uncommon (Gorno-Tempini et al., 2004b; Kertesz et al., 2005). In one recent publication, of 22 cases with an initial diagnosis of PPA, 12 (54%) subsequently developed features of a second syndrome, 5 of which were either PSP-like or CBD-like (Kertesz et al., 2005). Aphasia as a presenting sign or accompanying sign in pathological confirmed CBD is not uncommon (Graham et al., 2003).

The pathological diagnosis of PSP in our cases is also worth mentioning since it was atypical. Unlike in typical PSP where the brunt of the pathology is in the subcortical grey and brainstem nuclei, the distribution of the PSP pathology in our cases was more widespread, affecting cortical regions and more in keeping with atypical PSP (Hauw et al., 1994), as has already been described in detail (Josephs et al., 2005). A recent
report of patients with pathologically confirmed PSP separated them into two clinical groups on the basis of presenting features: PSP-parkinsonism for those with a Parkinson’s disease-like phenotype with partial l-dopa response, and Richardson’s syndrome for those with a typical PSP presentation with early falls, vertical supranuclear gaze palsy and l-dopa resistance (Williams et al., 2005). According to their clinical definitions, however, none of our patients with PSP pathology would have been classified as either PSP-parkinsonism or Richardson’s Syndrome. Therefore, we suggest that AOS as a presenting sign be recognized as a possible third presentation of PSP; the use of the designation ‘PSP-AOS’ may be of heuristic value in such cases.

VBM revealed that the premotor and supplementary motor cortices were the regions predominantly associated with AOS. This is not surprising since both the premotor and supplementary motor cortices are important for organizing and planning complex movements including speech and language (Deacon, 1992; Didic et al., 1998). Furthermore, there are significant interconnections with the basal ganglia, which were also revealed to be affected in our VBM analysis. The changes noted in the basal ganglia is also not surprising given that four of the five cases with AOS had atypical PSP pathology, and the basal ganglia has been shown to be significantly affected in atypical PSP presenting as AOS (Josephs et al., 2005). While our findings implicate the supplementary and premotor cortices as associated with AOS, other studies have highlighted the insular cortex as the primary region (Dronkers, 1996). These differences are not necessarily divergent but suggest that it is a network of regions rather than a single structure that is responsible for AOS (Deacon, 1992).

In a recent case report of longitudinal VBM analysis, the authors show an evolution of regional changes in a patient presenting with aphasia (Gorno-Tempini et al., 2004b). Early in the aphasia syndrome the left insular was affected but later on the premotor regions became involved. It was after the premotor region became involved that the patient developed mild signs of AOS.

The premotor regions were also found to be involved in the patients with PNFA-AOS. However, the PNFA-AOS group appeared to show greater involvement of the posterior inferior frontal lobe than the AOS group. Therefore, when AOS and PNFA are present, but AOS predominates, the regions of greatest atrophy were the superior premotor and supplemental motor areas; however, when the non-fluent aphasia was as dominant as the AOS, the regions of atrophy included the posterior inferior frontal lobe (anterior perisylvian area). These findings suggest that AOS is linked to the premotor and supplemental motor area while non-fluent aphasia is linked to the posterior inferior frontal lobe, although it is difficult to draw definite conclusions with such small numbers in the PNFA-AOS group. Other group studies on non-fluent aphasia have implicated the insular cortex (Nestor et al., 2003), left inferior frontal and anterior insular cortex (Gorno-Tempini, 2004a) and left frontotemporal and perisylvian areas (Tyrrell et al., 1990; Caselli and Jack, 1992; Grossman et al., 1996; Abe et al., 1997; Rosen et al., 2002). The difference in the results of these studies and ours further supports the notion that AOS should not simply be lumped with PNFA.

In contrast, the PPA-NOS group showed a pattern of atrophy predominantly involving the left posterior temporal lobe. The relative sparing of the anterior temporal lobes clearly differentiates this group from SD in which the brunt of the atrophy lies in the anterior temporal lobes (Chan et al., 2001; Galton et al., 2001). The pattern of atrophy is more similar to the findings reported in the logopenic variant of aphasia in which the posterior middle temporal gyrus and left hippocampus have been implicated (Gorno-Tempini et al., 2004a). This correlates with the fact that a number of our PPA-NOS cases would have met criteria for logopenic progressive aphasia.

The results of the visual ratings of SPECT were similar to the findings on VBM, and included the posterior frontal and basal ganglia regions. However, the superior parietal lobes were also implicated in the AOS group, which we speculate may be due to a bias of the visual assessment as the boundary between the posterior frontal and anterior parietal lobe is not well defined on SPECT. Alternatively, the lack of parietal lobe atrophy on VBM may reflect a large degree of inter-subject variability in this region.

It is always difficult to make significant correlations between early clinical findings and regional histopathological findings since by the time the patient dies, the degenerative process is widespread. However, in atypical PSP presenting with AOS, we showed that the pathology tended to shift from more subcortical regions to cortical regions (Josephs et al., 2005).

Although AOS is increasingly recognized as a non-linguistic motor speech problem (i.e. separable from aphasia), many clinicians and investigators do not make an explicit distinction between AOS and PNFA, at least in terms of broad clinical neurological diagnosis. That is, in many instances, AOS is viewed as part of the constellation of characteristics that comprise PNFA. Although all of our subjects with non-fluent aphasia had AOS, our findings do document that AOS can occur in the absence of non-fluent aphasia, at least earlier in the disease course, as it occurred in three of our subjects. In such cases, it seems most appropriate to use the designation of AOS from the perspective of clinical accuracy and precision, at least at the points in time when aphasia is not evident. In addition, the fact that the VBM demonstrated a different pattern of atrophy between the AOS and PNFA-AOS groups, and that five out of seven cases of AOS had PSP, while three out of three cases with PNFA-AOS had CBD, are additional grounds for recognizing a distinction between AOS and PNFA at this time. We acknowledge that most of our cases classified as AOS had or subsequently developed a non-fluent aphasia, suggesting the possibility that eventually all cases of AOS will eventually become aphasic. Additional study is necessary to establish if this is the case and to replicate our basic findings. At this point,
however, in our view there are clinical descriptive, diagnostic and predictive (regarding pathology) reasons for distinguishing between AOS and aphasia. This view is consistent with that of Knibb et al. (2006) who stress that integrating clinical, imaging and biomarker data has the best chance of predicting pathology in vivo.

There are limitations to our study, including not having any cases diagnosed as SD with a post-mortem examination, as well as the absence of more quantitative data. However, while this latter limitation was due to the retrospective nature of our study, we demonstrated a very high kappa score of 0.8, suggesting excellent inter-rate reliability between both speech pathologists. Other limitations acknowledged are the small number of cases within each subclassification, especially with the VBM analysis, and the fact that the operational clinical classifications were applied retrospectively.

In summary, we have demonstrated that refining the classification of the degenerative aphasias and AOS may improve our understanding of the relationships among behavioural, pathological and imaging correlations. AOS should not be simply subsumed under the designation of PNFA or, more generally, with PPA, at least when it is the predominant sign. When AOS is present, either as an isolated feature or with aphasia, it suggests underlying tau pathology. If AOS dominates the syndrome, our findings suggest that atypical PSP is the most likely diagnosis, whereas AOS equal to or less than the aphasia suggests CBD as the more likely diagnosis. In pure aphasias, however (i.e. without AOS or dysarthria), FTLD-U may be the most likely diagnosis, especially if the aphasia remains fluent. Finally, the regions most likely responsible for the AOS syndrome seem to be mainly the premotor and supplementary motor cortices. These suggestions are tentative and represent hypotheses that deserve further testing with a larger number of patients.

Acknowledgements

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References


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