

# Biomarkers of Psychological Stress in Health Disparities Research

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**Abstract:** Psychological stress can contribute to health disparities in populations that are confronted with the recurring stress of everyday life. A number of biomarkers have been shown to be affected by psychological stress. These biomarkers include allostatic load, which is a summary measure of the cumulative biological burden of the repeated attempts to adapt to daily stress. Allostatic load includes effects on the hypothalamic-pituitary axis, the sympathetic nervous system and the cardiovascular system. These in turn affect the immune system via bidirectional signaling pathways. Evidence is also building that psychological stress, perhaps via heightened inflammatory states, can increase oxidative stress levels and DNA damage. The inter-relationships of ethnicity, genotype, gene expression and ability to adequately mitigate stress response are just starting to be appreciated. The need to conduct these studies in disadvantaged populations is clear and requires methods to address potential logistical barriers. Biomarkers can help characterize and quantify the biological impact of psychological stress on the etiology of health disparities.

**Keywords:** Psychosocial stress, health disparities, allostatic load, oxidative stress.

## INTRODUCTION

Health disparities have been defined by the National Institutes of Health as “differences in the incidence, mortality and burden of diseases and other adverse health conditions that exist among specific population groups in the United States” [1]. The etiology of these health disparities is multifaceted and complex. While some factors, such as access to care clearly can affect health outcomes, the contribution of psychological stress to health and health disparities may be less obvious. Psychological stress can stem from factors at the individual-level, such as ability to cope with challenges, and at the social level, such as those stemming from various aspects of neighborhood environments and social circumstances [2]. This review discusses the sources of health disparities and parameters that can be measured in biological fluids to assess the effects of psychological stress on populations subject to health disparities.

## HEALTH DISPARITIES

Health disparities translate to lower life expectancy, decreased quality of life, decreased productivity, increased health care costs and widening social inequities. If the disparities continue, the magnitude of the problem will grow as racial and ethnic minorities are expected to account for almost 50% of the U.S. population by 2050 [3]. A recent study indicates that between 1991 and 2000, five times as many lives could have been saved by ending health disparities than were saved by innovations in health technology over the same period [4].

There are many examples of health disparities in the U.S. Diabetes is twice as prevalent in American Indians and Alaskan Natives versus the general population, but these groups experience lower cancer mortality than the general U.S. population for all cancers except stomach and liver [5]. Death rates from cardiovascular disease are highest in blacks, followed by whites and Hispanics with the lowest rates being observed in Asian or Pacific Islanders, American Indians and Native Alaskans [6]. “Years of potential life lost” are greater in non-Hispanic blacks than whites for human immunodeficiency virus (HIV) infection, tuberculosis, homicide, stroke, perinatal disease and diabetes [7, 8]. Hispanics also experience more years of life lost due to disease compared to non-Hispanic whites for HIV infection, homicide, liver disease, diabetes and stroke [9]. Obesity, which is a cause of many health risks, is more prevalent in certain racial/ethnic groups independent of SES [10, 11]. Recently, the top ten largest health disparities have been published for the major ethnic groups in the United States, and the magnitude of the differences in disease incidence by ethnicity or race is astounding, especially for syphilis, gonorrhea and AIDS in blacks versus whites [12].

With regard to cancer, data from the National Cancer Institute’s Surveillance, Epidemiology and End Results (SEER) Program (1996-2000) shows that cancer mortality was higher and cancer survival lower in residents of lower-income areas [13]. However, after poverty was accounted for, both cancer incidence and mortality differed across racial and ethnic groups. Mortality from cancers of the colon/rectum, lung and bronchus, cervix and prostate was higher in African Americans than in any other racial/ethnic group. Breast cancer incidence was highest in whites, but mortality was greatest in African Americans. Asian and Pacific Islanders had the highest incidence and mortality of stomach and liver cancers (except for stomach cancer mortality in males

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which was highest in African Americans). Remarkably, Native Americans, Asian/Pacific Islanders and Hispanics had lower cancer incidence and mortality overall than African Americans or non-Hispanic whites [13].

Potential causes of these health disparities are multiple, as shown in Fig. (1). McGinnis *et al.* have reviewed causes of premature mortality and classified them into five domains: genetic and gestational endowment, social circumstances, environmental conditions, behavioral choices, and shortfalls in medical care [14]. To this model we add psychological stress which can have strong effects on health, in both positive and negative ways [14]. For example, perceived breast cancer incurability was associated with higher overall mortality in a small prospective cohort of African American and white women [15]. In the same cohort, stronger perceived emotional support showed a significant association with increased survival time [16]. In the Black Women's Health Study, women who reported racial discrimination were at increased risk for breast cancer [17]. Many studies provide evidence that perceptions of social challenges and difficulties (e.g., racism, life events, family interactions) are related to adverse physiological changes in African Americans [18-23]. More broadly, situations that damage well-being are differentially distributed in the population not only by race/ethnicity but also by socio-economic status and gender (for example, [24-26]).

## PHYSIOLOGICAL EFFECTS OF PSYCHOLOGICAL STRESS

The term *homeostasis* was coined by Cannon to describe the stability of physiologic systems in living organisms [27]. A related term, *allostasis*, refers to an organism's ability to respond to physical and psychological demands through activation of various physiologic processes [28]. Such responses can be life-preserving, to prepare the body for intense physical activity such as running or fighting. Repeated activation of allostatic systems, however, can have a physiologic cost and may lead to increased risk of chronic disease, including hypertension, obesity, atherosclerosis, and cognitive decline, ultimately increasing morbidity and mortality [29, 30].

"Allostatic load" refers to the cumulative biological burden exacted on the body through daily adaptation to physical and emotional stress [31]. A summary measure of this load,

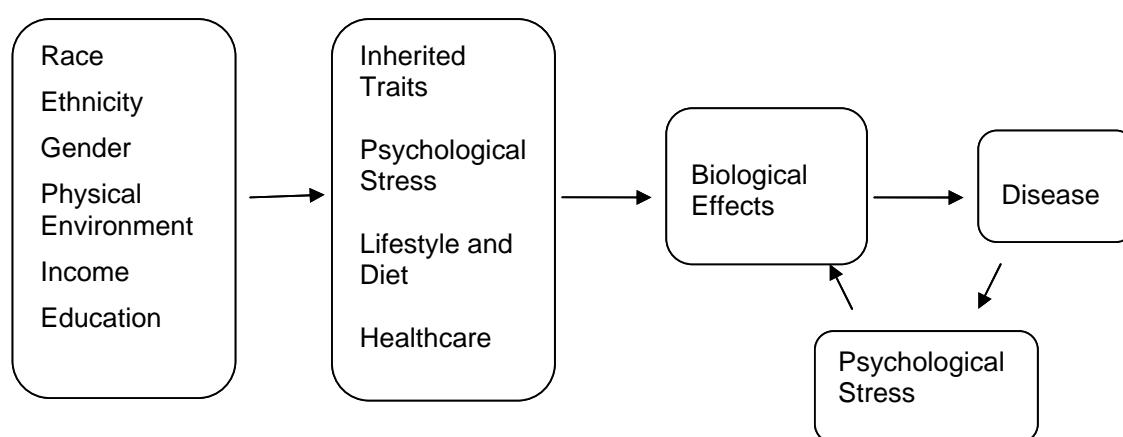
as developed in the MacArthur Aging Studies, is based on biomarkers of biological functioning including the hypothalamic-pituitary-adrenal (HPA) axis (serum dehydroepiandrosterone sulfate (DHEA-S, a functional antagonist of cortisol [32], and urinary cortisol); the sympathetic nervous system (urinary norepinephrine and epinephrine); the cardiovascular system (systolic and diastolic blood pressure, serum high-density lipoprotein (HDL) and total cholesterol concentrations); metabolic processes (plasma glycosylated hemoglobin, a measure of glucose levels over time); and waist/hip ratio (which is related to hormonal balance and fat metabolism). Each parameter is assigned a binary score, with adverse values given a higher score, and the individual scores are summed to give an overall allostatic load score. Geromimus *et al.* found that differences in allostatic load scores between blacks and whites in the U.S. were independent of poverty, supporting the notion that the "weathering effects of living in a race-conscious society" contribute to health disparities [33].

One factor that has often been overlooked in studies of allostatic load is nutrition (Fig. 2) [34]. Under conditions of high stress, individuals consume significantly more dietary fat and fewer micronutrients [35]. Consumption of high glycemic index foods may increase risks for obesity, type 2 diabetes and heart disease [36]. Nutrition can also interact with stress in contributing to cognitive decline in the elderly [34]. Conversely, vitamins and antioxidants may help ameliorate allostatic load. Results from the Dietary Approaches to Stop Hypertension (DASH) study indicated that plasma vitamin C levels were inversely correlated with diastolic blood pressure, and that changes in diet affected blood pressure within 30 days [37-39]. With regard to arterogenesis, antioxidants may exert their beneficial effects by inhibiting oxidation of low-density lipoprotein and other free radical reactions [40-42]. While stress can affect dietary habits, allostatic load may additionally affect nutrient status through nutrient oxidation/destruction, resulting in further immunosuppression and impairment of regulatory systems, contributing to a greater disease burden (Fig. 2).

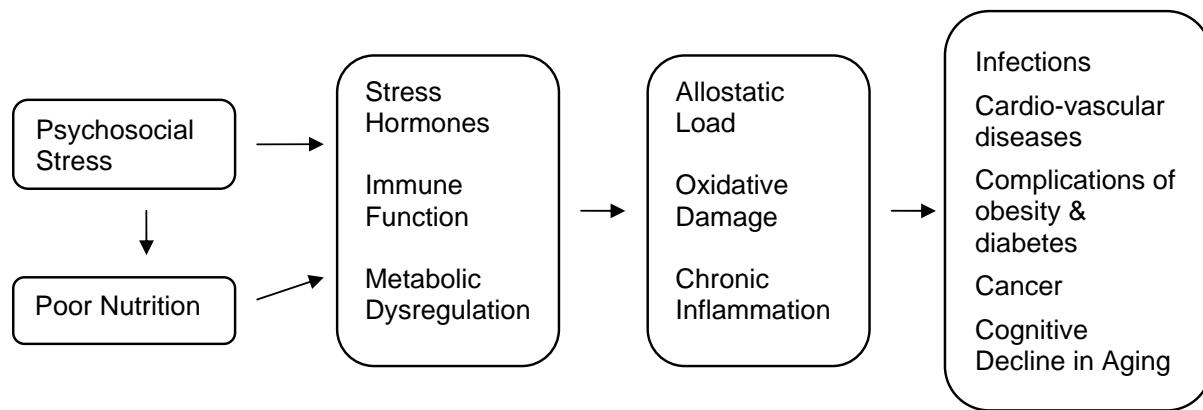
## STRESS HORMONES

### Anatomy and Physiology of the Stress Response

Psychological stress leads to a cascade of physiological events including activation of the sympathetic nervous sys-



**Fig. (1).** Causes of Health Disparities. Shown are some of the factors that can act independently as well as interactively to result in differential health status in various population groups.



**Fig. (2).** Possible physiological mediators of psychosocial stress.

tem and the HPA axis. These systems operate in coordination when situations which provoke fear or anxiety are encountered. The hypothalamus releases corticotropin releasing hormone (CRH) when stimulated. CRH then reaches the anterior pituitary gland *via* the hypophyseal portal circulation and the locus ceruleus *via* projections from the hypothalamic PVN [43]. The locus ceruleus is one of several nuclei in the brainstem that serve as hubs of sympathetic activity. Activation of these centers results in stimulation of target organs through direct sympathetic innervation as well as through circulating catecholamines (epinephrine and norepinephrine) released from the adrenal medulla.

Psychological stress is a potent stimulator of epinephrine production while norepinephrine output is more closely associated with physical activity and body posture [44]. The effects of circulating catecholamines on target organs are the same as those of direct sympathetic nerve stimulation (pupil dilation, increased heart rate, increased blood flow to skeletal muscles, etc.) but the effects last 5 to 10 times as long because circulating epinephrine and norepinephrine are removed from the blood over several minutes. In addition, circulating epinephrine and norepinephrine from the adrenal medulla increase the metabolic rate of all cells in the body, most of which are not innervated by sympathetic fibers [45].

CRH in the anterior pituitary causes adrenocorticotropin hormone (ACTH) release into the systemic circulation. Upon reaching the adrenal gland, ACTH stimulates the production of glucocorticoids (e.g. cortisol) as well as aldosterone and adrenal androgens. In the stress response, cortisol has multiple effects, including negative feedback on CRH and ACTH, maintenance of blood glucose levels, and enhanced activity of catecholamines at target tissues [46-48]. The adrenal cortex secretes cortisol in a diurnal pattern which helps control several biological processes, including systemic blood pressure, carbohydrate, protein, and lipid metabolism, salt and water homeostasis, and bone and calcium metabolism [48, 49]. Cortisol can enhance the immune response while many other glucocorticoid and catecholamine effects are immunosuppressive. This has led to the hypothesis that stress hormones help control the immune response by preventing an exaggerated response to antigens [46, 50, 51].

#### Changes Associated with Chronic Stress

Enhanced production of cortisol and catecholamines enables humans to respond to real and perceived threats, but

excessive activation of the SAM and HPA axes has been associated with negative biological effects. For example, cortisol stimulates deposition of visceral or abdominal fat [52] and chronic stress is a risk factor for obesity [53]. Glucocorticoid excess adversely affects muscle and skin *via* atrophy and reduced collagen production, respectively, [54] and also leads to osteoporosis *via* osteoblast inhibition [55]. Effects of glucocorticoid excess on the central nervous system include neuronal death in the hippocampus [56], depression, apathy, and cognitive decline [48]. Glucocorticoid receptors are present throughout the body, mediating a myriad of effects including induction of liver enzymes involved in energy metabolism; regulation of immune cells and cytokine production, and the formation of fear-related memories [31, 57].

While recurrent activation of the stress response is normal, the cost of repeated stress hormone elevation is wear and tear on physiological processes and organ systems. This wear and tear, or allostatic load, is considered a risk factor for several diseases, including atherosclerosis, coronary heart disease, obesity, diabetes, depression, cognitive impairment, and both inflammatory and autoimmune disorders [29]. For example, recurrent severe stress early in childhood may lead to cellular and physiologic changes that persist into adulthood. Heim *et al.* found that women with a history of childhood physical and/or sexual abuse exhibited increased peak ACTH levels compared to controls in response to a psychological stress (public speaking) [58]. In another study, adults with low childhood socioeconomic status (SES) had higher rates of ischemia on exercise stress tests compared to adults with high childhood SES, after controlling for current SES, smoking history, and ratio of HDL to LDL cholesterol [59]. Chronic mild stress leads to increased trough levels of plasma cortisol while chronic severe stress results in around the clock elevations in both plasma ACTH and cortisol [60].

Chronic elevation of catecholamine levels are thought to contribute to hypertension, atherosclerosis, and coronary artery disease, as well as disorders associated with blood clotting, including ischemic stroke and myocardial infarction [44]. Elevated plasma epinephrine has also been associated with mortality and functional decline in high-functioning older adults [61], as well as poor survival in patients with previous myocardial infarction [62] while increased plasma norepinephrine has been associated with mortality in healthy

older adults [63], congestive heart failure [64], and previous myocardial infarction [62].

### Hormonal Measures of Stress

Given the central role of cortisol and catecholamines in the stress response and their association with stress-related illnesses, it is not surprising that studies of stress and health often include assessment of these hormones. Once released from the adrenal cortex, cortisol is rapidly bound to corticosteroid-binding globulin (CBG), albumin, and erythrocytes. As a result, only 2-15% of cortisol is unbound or free. It is this fraction that is thought to mediate the multiple effects of cortisol on peripheral and brain tissues. Both bound and free cortisol can be measured in blood but only free cortisol can be measured in saliva [65]. Plasma and salivary cortisol reflect real-time circulating cortisol while urinary cortisol reflects cortisol production over time. For saliva collection, study participants simply place saliva in a small container or chew on a cotton swab (Salivette) and then place the swab into a small container. Saliva collected in this way can be stored at room temperature for at least 4 weeks without a significant reduction in cortisol concentration. Most laboratories test saliva concentration using immunoassays with radioactive or other tracers. Salivary cortisol accurately reflects unbound cortisol in blood and can be used as a less invasive biomarker [65, 66].

Urinary cortisol is frequently measured by 24-hour urine collection or as part of an overnight collection protocol. 24-hour urine collection is more onerous compared to overnight urine collection, but the results are less influenced by the sleep-wake cycle and timing of collection initiation. Because of individual differences in hydration status and urine production, urine concentration must be accounted for when calculating the concentration of urinary hormones. Dividing urinary hormone concentration by urinary creatinine concentration is the most common method of correcting for urine concentration [67]. However, because creatinine production is influenced by muscle mass and race/ethnicity [68], this approach can lead to falsely lower values among certain groups, including males and African Americans [69].

Catecholamine assessment can also be conducted using plasma, urine, or saliva samples. Good correlations have been found between changes in plasma and urinary catecholamines in response to stress [44]. In contrast, salivary catecholamine levels do not parallel those in plasma [70]. Because catecholamines are influenced by posture, physical activity, and venipuncture, concerns have been raised regarding the validity and reliability of plasma catecholamines as biomarkers [62, 71]. In contrast, urine collection for catecholamine assessment is painless and does not interfere with the normal habits and environment of study participants. Urinary assays therefore offer an accurate reflection of epinephrine and norepinephrine production over time. During mild stress, such as that associated with normal daily work, epinephrine production may increase by 50-100% above basal production. With more severe psychological stress, epinephrine may rise to eight to ten times the basal level [44].

Urinary catecholamines are often reported as total amount over 24 hours, which is calculated by multiplying hormone concentration by the 24-hour urine volume. Once urine is obtained, the pH of should be adjusted to 3.0 using

acid to prevent catecholamines from degrading. In addition, acidified urine samples should be kept frozen until analyzed. The most common method for assaying urine or blood catecholamines is high-performance liquid chromatography with electrochemical detection [44]. In addition to posture and physical activity, several confounders should be considered when measuring catecholamines, including use of caffeine, alcohol, nicotine, and medications, including  $\beta$ -blockers and diuretics.

## THE IMMUNE SYSTEM

### Stress-Related Immune Alterations

A bidirectional communication exists between the central nervous and immune systems which involves common peptide hormones and receptors that regulate the immune response via feedback mechanisms [72-74]. The hypothalamus has a central role in coordinating the endocrine, autonomic, and behavioral responses to stress [72-74]. The end product of HPA stimulation was shown to be glucocorticoids by Smith *et al.* [75], who demonstrated that adrenocorticotrophic hormone stimulated the release of cortisol from the adrenal cortex. Glucocorticoids affect the immune system by altering leukocyte trafficking and migration of various cell types to areas of inflammation as well as directly inhibiting individual cellular functions. Corticosteroid administration rapidly induces neutrophilia, or excess neutrophil production [76, 77]. Lymphocyte number in peripheral blood decreases owing to retention of recirculating lymphocytes within the bone marrow, spleen, and lymph nodes; a transient monocytopenia with kinetics similar to those of lymphocytes have been reported after corticosteroid administration [76, 77]. These shifts in leukocyte subpopulations may represent a mechanism to avoid detrimental effects of stress or alternatively prepare the immune system for encounters with antigens and pathogens [78].

Glucocorticoids are primarily anti-inflammatory in that they inhibit interleukin (IL)-12 production and increase IL-10 production by monocytes [79-82]. This drives the immune response towards a Th2 cytokine profile (characterized by production of IL-4, IL-5, and IL-10 which elicit humoral immunity) and away from a Th1 profile (production of IL-2, IL-12, and IFN- $\alpha$  which elicits cellular immunity), which may be mediated by the transcription factor NF- $\kappa$ B [83-85].

Catecholamines also affect immune status and enhance the proliferation of CD3+, CD4+, and CD8+ lymphocytes by  $\beta$ -adrenergic stimulation, whereas adrenergic stimulation (via intracellular adenylate cyclase) inhibits lymphocyte proliferation [86-88]. Injection of epinephrine causes a decrease in the percentage of CD4+ lymphocytes and an increase in the percentage of NK cells, and variable results for the CD8+ lymphocytes; no change was observed in monocytes or B-lymphocytes [89]. Epinephrine produces a profound effect on circulating neutrophil number by causing a demargination of neutrophils adhering to the vascular endothelium, while cortisol achieves this effect by release of neutrophils from the bone marrow reserve and increasing their half-life [90]. Norepinephrine has been shown to completely block IFN- $\alpha$  activation of peritoneal macrophages to a tumoricidal state [91].

The overall effects of chronic stress on immune modulation are therefore diverse and include decreased NK

cell function, down-regulation of T- and B-cell responses, an imbalance in cytokine production (e.g., Th1 → Th2 shift), delayed wound healing, and impaired antibody response after vaccination [92]. Stress may also be linked to the induction of proinflammatory cytokines such as IL-6. Kiecolt-Glaser *et al.* [93] found increased levels of plasma IL-6 in aged caregivers as compared to noncaregivers, which may partially explain the much greater incidence of all-cause mortality among this group [94]. IL-6 levels are also higher in individuals with poor health habits such as smoking, poor diet, low physical activity, and higher BMI [95-97]. Notably, IL-6 induces C-reactive protein (CRP) by the liver, and IL-6 and CRP together are important in the process that leads to the development of cardiovascular disease [98-103]. Consistent with the concept of allostatic load, inflammation is also likely to become more chronic when faced with repeated stressors [104]. Recent studies have increasingly shown that measures of inflammation are contributors to health risks [105, 106].

Chronic stress may also affect the immune system by altering telomere length and telomerase activity, two markers associated with aging. Epel *et al.* [107] found that mothers who were caring for chronically ill children had shorter telomeres and lower telomerase activity in peripheral blood mononuclear cells than mothers who cared for healthy children. In addition, chronic stress in this group was associated with higher oxidative-stress activity as measured by levels of F<sub>2</sub>-isoprostanes. There is a growing literature that oxidative DNA damage is involved in telomere shortening [108]. These data, together with the stress-associated increases in IL-6, could provide a mechanism through which chronic stress may prematurely age the immune system and could enhance the risk of illness as well as age-related diseases.

### **Impact of Chronic Stress on Viral Infections**

In agreement with studies demonstrating impaired vaccine responses, stress has also been shown to increase susceptibility to infection with common respiratory viruses [109]. Moreover, the incidence of infections was greater in individuals who had a higher number of recent stressful life events or those whose stressors were a month or longer in duration [110, 111]. Lower levels of socioeconomic status (SES) were associated with greater risk of viral-induced illnesses during adulthood, suggesting a direct role of stressful environment on health disparities [112]. A recent review has indicated that the top sources of health disparities in African Americans, Hispanics and Asians in the United States include gonorrhea, syphilis, AIDS and tuberculosis [12].

HIV/AIDS disproportionately affects minority races and ethnicities in the United States. Dean *et al.* [113] investigated HIV/AIDS data reported by the CDC and found that AIDS diagnosis was consistently higher among non-Hispanic blacks than among other races and ethnicities. Stress also increases the rate of disease progression in HIV-infected men. In a longitudinal study of HIV-infected males who were asymptomatic early on, faster disease progression correlated with more stressful life events and less social or interpersonal support [114]. AIDS progressed faster in HIV-positive men who concealed their homosexuality as compared to those who did not [115]. Notably, latent viruses

such as herpes viruses are not cleared by the host after infection but remain latent after primary infection, and reactivation of these latent viruses may serve as a biological marker of stress and immune dysregulation.

### **OXIDATIVE STRESS**

Oxidative stress is related to the immune response in many ways. One of the functions of inflammatory cells is to produce reactive oxygen species as part of their normal function in combating infections and injury. Production of reactive oxygen species in turn recruits more inflammatory cells. Reactive oxygen species and lipid oxidation products may in fact act as intra- and inter-cellular messengers [116]. However, in addition to acute responses, a state of increased inflammation and oxidative stress, or imbalance between the production of oxidants and their detoxification, can also be a chronic condition. For example, a state of chronic low-grade inflammation (e.g., inflammatory bowel disease, diabetes), and the resulting oxidative stress has been implicated in risk of various cancers [117-119]. Measures of C-reactive protein (CRP), a marker of inflammation, have been recommended as being useful in identifying cardiovascular risk in otherwise healthy individuals [120].

Oxidative stress has been shown to play a role in the major chronic diseases that are faced today. With regard to cardiovascular disease, excess generation of oxidants can result in lipid peroxidation, which is involved in plaque formation, can impair vascular tone *via* destruction of nitric oxide and can directly damage the vasculature [121-125]. Oxidative stress also has a role in the complications of diabetes including retinopathy, renal disease and vascular injury [126-128]. With regard to cancer risk, oxidants can damage critical cellular macromolecules including DNA, and oxidative DNA damage that is not repaired can result in mutations and transformation of cells to the cancerous state, as shown in Fig. (3) [129].

### **Effects of Race and Ethnicity on Oxidative Stress**

Oxidative stress is emerging as an important factor in the pathogenesis of cardiovascular diseases, which disproportionately affect African Americans [125, 130]. Oxidative stress plays a role in endothelial dysfunction *via* destruction of nitric oxide (NO), a potent vasodilator [124]. Oxidative stress levels do not appear to be higher in African Americans than whites [131], but regulation of NO appears disrupted due to excessive O<sub>2</sub><sup>-</sup> and ONOO<sup>-</sup> generation [132]. Human umbilical vein endothelial cells from blacks exhibit a nitric oxide/oxidant steady state that is closer to that associated with impaired endothelial function than cells from whites, with relatively reduced release of nitric oxide and increased release of superoxide and peroxynitrite in blacks [133]. In blacks, but not in whites, lipid peroxidation appeared to mediate the relationship between body mass index, aldosterone levels and systolic blood pressure [134]. Similarly, although baseline plasma levels of one marker of lipid peroxidation, 15-F<sub>2</sub>-isoprostane, did not differ between African Americans and white Americans, the increase in 15-F<sub>2</sub>-isoprostane in response to acute hyperlipidemia was markedly greater in African Americans [135]. In women, 15-F<sub>2</sub>-isoprostane levels did not differ by race but hormonal therapy induced NO release in Caucasians but not in African Americans [136].

Cancer incidence and survival also differs by race. For example, black and white American women have higher rates of breast cancer than Hispanic, American Indian and Asian American women [137]. Once cancer develops, prognosis has been shown to be worse in African Americans versus European Americans for prostate, breast, lung and colon cancer, which are the major cancers affecting the U.S. population today [138-142]. Multiple mechanisms could account for differences in cancer risks and survival, but oxidative stress is one important factor to consider. A large body of data supports a link between oxidative damage to the genome and increased cancer risk [129].

### **Effects of Psychological Stress on Oxidative Stress Levels**

Both individual level and social level factors have been shown to contribute to oxidative stress. Immunological and hormonal factors described in preceding sections have been well-studied, but there is a growing literature on the effects of psychosocial stress on oxidative stress levels as well. Psychosocial stress increases oxidative stress *via* activation of NF- $\kappa$ B, an inflammatory mediator [143]. In a cross-sectional study, psychological stress was correlated with increased C-reactive protein and homocysteine levels, and homocysteine has been shown to increase production of reactive oxygen species [144, 145]. Homocysteine also increased with either anger experience or anger suppression [145]. Rats exposed to social stress had increased levels of corticosterone and in urinary excretion of biopyrrin, oxidized metabolites of bilirubin [146]. University students on the day of exams had significantly increased oxidative DNA damage, decreased antioxidant levels and increased sensitivity to lipid oxidation in lymphocytes versus on non-exam days [147]. Fear also can play a role. Conditioning of rats to a painful stimulus results in increased levels of deoxyguanosine oxidation (with formation of 8-oxo-2'-deoxyguanosine) in nuclear DNA from the kidney upon receipt of the conditioning stimulus without pain [148].

In addition to acute stressors, psychological disturbances, which can stem from chronic stress, have been related to oxidative damage. In females, but interestingly not in males, depression scores were correlated with levels of 8-OHdG in leukocytes [149, 150]. This was similarly observed in another cross-sectional study of females where levels of lipid peroxides in serum correlated positively with depression scores on the CES-D [151]. Urinary excretion of oxidized metabolites of bilirubin was increased in patients with psychiatric disorders relative to healthy volunteers [152]. This is of significance in health disparities research since depression and other psychological disorders differ in specific population groups defined by race/ethnicity or SES [153-159].

Social factors such as isolation, exposure to violence, noise, discrimination and racism also can contribute to oxidative stress. Some of these studies have been done in animal models. In rats, chronic stress in the form of long-term social isolation activated antioxidant enzymes (superoxide dismutase and catalase) in the hippocampus and affected subsequent response to acute stress [160]. In that study, oxidant generation was not measured, but induction of antioxidant enzymes likely stems from increased oxidative load. Psychological stress and noise stress in rats increased levels of lipid peroxidation [161, 162]. One of the first papers to be

published in this area used a communication box and showed that 8-oxodG levels were higher in rat liver nuclear DNA after exposure to psychological stress [163]. In humans, parental closeness in childhood was inversely related to 8-oxodG levels in adults, and 8-oxodG levels were relatively higher in persons who experienced death of a close family member in the last 3 years [164].

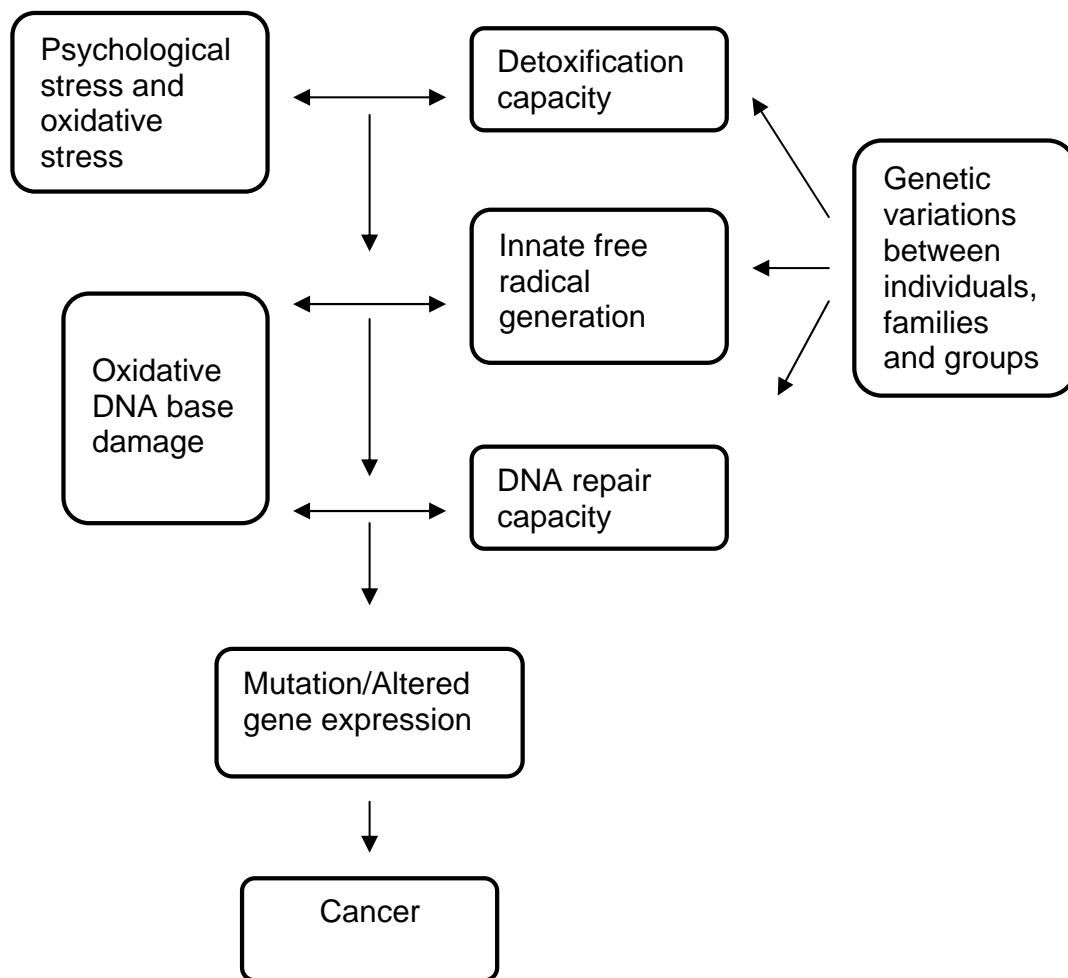
In an interesting study conducted in Mexico, elderly persons living in urban areas had higher oxidative stress (and higher risk of cognitive impairment) versus elderly subjects living in rural areas who were similarly healthy and participated in equivalent amounts of exercise [165]. Oxidative stress in that study was measured by plasma antioxidant ability (ABTS), red cell superoxide dismutase, glutathione peroxidase, and plasma lipid peroxides (TBARS). Interestingly plasma TBARS were higher in elders with impaired cognitive status but rural/urban differences persisted in both groups with higher TBARs in the urban subjects. This was associated with an almost 5-fold higher risk of developing cognitive impairment in urban areas [166].

Effects of workplace stress on oxidative stress have only been examined in a few papers. In healthy Japanese females, perceived workload, perceived stress, the impossibility of alleviating stress and poor stress coping were all significantly associated with increased levels of 8-hydroxy-2'-deoxyguanosine in leukocytes [167, 168]. Interestingly, job-related "burnout" was related with increased tumor necrosis factor alpha (TNF $\alpha$ ) and glycosylated hemoglobin A (HbA1c), which is indicative of oxidative stress [169]. Longer work hours in train drivers were associated with increased frequency of health symptoms, but this association was only true in the absence of social support [170].

### **The Role of DNA Repair on Oxidative Damage Levels**

DNA damage that is not efficiently repaired may be a key mechanism that mediates health disparities in cancer risk (Fig. 3). Reactive oxygen species react with DNA producing oxidative base damage, and the majority of this damage is repaired by the base excision repair pathway. Common forms of DNA damage include damage to guanine bases in the form of either 8-oxoguanine (8oxoG) or 8-hydroxy-2'-deoxyguanosine (8oxodG), both of which are highly mutagenic [171, 172]. Damage to thymine bases by oxidative stress most typically occurs in the formation of thymine glycol, another common DNA lesion that is highly mutagenic [173]. The impact of a given level of oxidative stress will depend on the capacity of this system to repair oxidative damage.

Base excision repair begins with removal of the damaged base by one of several damage-specific glycosylases [174]. In addition to removing the damaged base, the glycosylase nicks the DNA strand 3' from the lesion, creating an abasic (AP) site. The apurinic/apyrimidinic endonuclease APE1 initiates repair of the abasic (AP) sites by hydrolyzing the phosphodiester backbone immediately 5' to the AP site [175]. DNA polymerase beta and DNA ligases I and III are involved in the latter stages of base excision repair, filling in the missing base and sealing the DNA strand [172]. The X-ray repair cross complementing 1 (XRCC1) protein interacts with several other base excision repair proteins during the process of oxidative base excision repair [176]. Interestingly



**Fig. (3).** Pathways in oxidative DNA damage and cancer risk. Genetic differences in susceptibility to the DNA damage from oxidative stress could produce disparities in disease burden when levels of stress are equivalent.

polymorphic variants in XRCC1 and APE1 were significantly associated with prostate cancer in white men but not in black men [177]. Among African American women, the association of breast cancer and smoking was strongest among women with specific combinations of nucleotide excision repair genotypes while no interactions with smoking were observed in white women [178]. Other genes are continually being discovered (eg. NTHL1, PNKP, NEIL1, NEIL3, APE2, OGG2, BRCA1 and 2) and appear to play a role in oxidative base-excision repair.

Nonsynonymous, single nucleotide polymorphisms have been identified in the coding regions of most of these genes, generally with unknown impact on the structure and binding properties of the enzyme. The 8-oxo-guanine glycosylase OGG1 contains a single prevalent, non-synonymous SNP at codon 326 (Ser to Cys). *In vitro* studies have implicated the variant SNP in increased oxidative DNA damage [179, 180]. Epidemiologic data are sparse but suggest an increased cancer risk with the 326 variant [180-183]. XRCC1 has been more extensively studied; there are three prevalent, non-synonymous SNPs at codons 194 (Arg to Trp), 280 (Arg to His) and 399 (Arg to Gln) [184]. All three SNPs lie in evolutionarily conserved regions near (but not within) binding sites for other base excision repair proteins [185], and alter the efficiency of the protein [186]. The few published studies

of XRCC1 SNPs and breast cancer have not produced a clear picture of which of these SNPs confers increased breast cancer risk [176, 187-189]. The APE gene contains a single prevalent (>5% population frequency), non-synonymous SNP at codon 148 (Asp to Glu) which has been linked to prolonged cell cycle delay [190], sensitivity to ionizing radiation [190], and lung cancer risk [191]. PARP1 has a single prevalent non-synonymous SNP at amino acid position 762 (Val to Ala), which is located in one of the more evolutionarily conserved regions of the gene [192]. XPG contains two prevalent, non-synonymous SNPs at codons 529 (Cys to Ser) and 1104 (Asp to His). Both alleles have been observed more frequently in African-Americans [184]. A single study estimated a 50% increased breast cancer risk associated with being either heterozygous or homozygous for the variant SNP at codon 1104 [193].

In addition to DNA repair, genes coding for enzymes that quench free radicals may also play a role in differential susceptibility to oxidative damage by race. Several enzymes such as the glutathione-S-transferases and those of the superoxide dismutase family, quench free radicals and remove them from the pool of potential DNA-damaging agents. Manganese superoxide dismutase (MnSOD) is one of three enzymes that functions in the first step of superoxide detoxification. A substitution of Valine for Alanine at position 9

**Table 1. Logistic Issues Associated with Biological Sampling for Biomarkers of Stress**

Type of Sample	Examples of Biomarkers	Sampling Notes	Immediate Processing	Storage	Shipping
Urine	Epinephrine/norepinephrine Catecholamines Cortisol	24-hour urine collection is onerous	Freeze within 2 hours for some assays such as Catecholamines	Frozen, generally at -70°C but short term storage at -20°C is acceptable	Dry ice
Processed whole blood	Glycosylated hemoglobin Oxidative damage Genotype	Fasting samples are often required, requires a centrifuge and processing solutions	For markers of oxidative stress freeze right away and antioxidants may be required for processing. For glycosylated hemoglobin, home test strips are possible.	Frozen	Dry ice
Whole blood dried on filter paper	C-reactive protein Cortisol Interleukins DHEAS Glucose Glycosylated hemoglobin insulin	Proper spotting technique required	Minimal	Room temperature for short duration, then refrigerate or freeze	Ambient temperature except during summer months
Plasma or serum	Cholesterol, lipids C-reactive protein Interleukins ACTH DHEAS	Fasting samples are often required, requires a centrifuge	Minimal, freeze right away for some analytes (e.g. ACTH)	Frozen, at -70°C or -20°C depending on assay	Dry ice
White blood cells	Interleukins (e.g. IL-6) Lymphocyte count Gene expression	Collect blood at same time of day, immediate processing needed to inhibit RNA degradation	Skilled laboratory assistance and swinging bucket centrifuge for 2-3 hours	Frozen	Dry ice
Buccal cells	Genotype	Kits can be mailed to homes	None	Frozen	Ambient temperature
Saliva	Cortisol Interleukins	Freeze to prevent bacterial growth, collect at same time of day	None	Refrigerate for short duration (<1 day), then freeze	Dry ice
Biopsies	Oxidative damage Inflammatory markers Gene expression	Requires trained clinician	Critical to freeze or process right away with antioxidants or enzyme inhibitors	Frozen, generally at -70°C	Dry ice

has been linked to reduced activity and therefore might increase cancer risk. Despite this, epidemiological studies have generally estimated either no association or a positive association with respect to the Alanine allele, for example [194-196]. In Hispanics, the Alanine substitution was more common than in whites and was associated with increased early-onset colon cancer [197].

As none of the genes of the BER and detoxification pathways work in isolation, it is not surprising that epidemi-

ologic studies of cancer risk with individual polymorphisms have been mixed. Associations may be more likely to be detected by taking a pathway-based approach and considering the cumulative number of variant polymorphisms across genes of the oxidative stress pathway, or by considering specific, biologically plausible gene-gene interactions [189, 198-200]. Given the large number of genes and SNPs involved, a composite measure needs to be developed to control for individual capacity to form and repair oxidative DNA damage.

## LOGISTICS AND FEASIBILITY OF SAMPLING FOR BIOMARKERS IN HEALTH DISPARATE POPULATIONS

As noted in this review, there are a number of possible biological markers of psychological stress that may be useful in understanding health disparities. However, populations suffering from higher rates of morbidity and mortality often lack community resources that facilitate collection of biological samples. Logistical issues such as study participant preparation before sampling, sample source, processing after sample collection, shipping requirements, and storage of sample are all critical in having a reliable and valid result, but dealing with these issues may be problematic in remote or economically-depressed communities. A common strategy to address these issues has been to require study participants to visit a clinical or research site. This approach raises new barriers to study participation and sample collection. For some biomarkers, simpler sampling methods have therefore been developed. In Table 1, we summarize the required handling needed for biomarkers that have been used for measures of allostatic load, immune function and oxidative stress.

The handling requirements for accurate biomarker determination have resulted in many practical issues that need to be addressed when working in the field, be it in isolated rural areas or in community clinics with limited resources. Although many research studies are initiated at large, university-associated medical centers, potential study participants may be hesitant to visit a site convenient for the study investigators due to previous negative health care experiences, lack of transportation, and mistrust of the research center. Therefore, community clinics can be used to collect the biological samples. These clinics may however lack the resources for sample processing, storage and shipping. Researchers will need to anticipate purchase of small freezers for research samples if freezing is required, or the purchase of a swinging bucket centrifuge if white cells are required. In addition, research staff may need to be present at the clinic to provide proper sample processing. Lack of a dry ice source for proper shipping of samples can also be an issue in isolated rural areas and protocols may need to be modified so that only stable biomarkers are included in the research design. In urban settings, mobile sample collection services are often available, but again sample processing may be an issue.

For collection of DNA for genotype determinations, large dried whole blood spots on FTA Cards from Whatman (Clifton, NJ) can be used. After spotting the blood on the filter paper and drying it, DNA is extracted from a standard size punched-out circle. Cell membranes and organelles are lysed, and the released nucleic acids are entrapped in the fibers of the card. The nucleic acids remain immobilized and are stabilized for transport, immediate processing or long-term room temperature storage. Since captured nucleic acids are stabilized, FTA Cards facilitate sample collection in remote locations and simplify sample transport. Other analyses can also be conducted using blood collected on filter paper cards. For example, C-reactive protein has been analyzed using blood on standardized filter papers (filter #903, Scleicher and Schuell, Keene, NH). Staff must be trained to spot the blood properly, but once the blood is dried it can be stored at ambient temperatures for 1-2 weeks, depending on the assay. Assays are conducted using punched-out pieces of

the filter paper to give a consistent assay volume for each sample [201].

## CONCLUSIONS

Biomarkers have been associated with the health disparities that occur in population groups defined by SES, ethnicity and/or race and the etiology of these health disparities includes psychological stress. Psychological stress can be manifested in a number of biological markers. One summary measure of the cumulative biological burden of stress is termed allostatic load, and includes effects on the hypothalamic-pituitary axis, the sympathetic nervous system and the cardiovascular system. Allostatic stress in turn affects the immune system via bidirectional signaling pathways, and together these effects contribute to increased risks of many disease processes and susceptibility to viral infections such as AIDS. Psychological stress also can increase oxidative stress levels and DNA damage, and this may interact with genotype to modulate cancer risk. In the assessment of these biomarkers, sample collection is an important consideration and physical resources for this type of research are often limited in the field. These biomarkers of psychological stress can, however, be quite useful towards gaining a better understanding of the etiology of disease in populations subjects to health disparities.

## ENDNOTES

References to race and ethnicity are made using the same designations given in the corresponding literature citations.

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