

1 Article

# 2 Surface Property Modification of Polylactic Acid by 3 Ion Implantation

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9 **Abstract:** We describe our investigations of the surface physicochemical and biological properties  
10 of polylactic acid modified by silver, argon and carbon ion implantation to doses of  $1 \times 10^{14}$ ,  $1 \times 10^{15}$   
11 and  $1 \times 10^{16}$  ion/cm<sup>2</sup> at energies of 20 keV (for C and Ar) and 40 keV (for Ag). X-ray analysis shows  
12 enhancement of coherent scattering regions and lattice constant increase after ion implantation.  
13 Secondary electron mass-spectrometry indicates that Ag concentration in the subsurface layer is  
14 less than 80%, but at a depth of 500 nm does not exceed 1–2%. The silver forms metal particles in  
15 the subsurface layer rather than making additional chemical bonds with polymer atoms. Atomic  
16 force microscopy reveals that the higher the irradiation dose the larger the surface roughness of the  
17 samples. Ag-irradiated samples implanted to a dose of  $1 \times 10^{16}$  ions/cm<sup>2</sup> have the highest  
18 roughness, 190 nm. Our investigation of the cytotoxicity of two individual donor macrophages  
19 shows that Ag-implanted polylactic acid has no negative impact on immune system cells and could  
20 be a promising material for biomedical application.

21 **Keywords:** polylactic acid; ion implantation; surface property modification

22

## 23 1. Introduction

24 Biodegradable materials based on polylactic acid are widely used in biomedicine and tissue  
25 engineering because of their biocompatibility and their degradation to lactic acid in biological media  
26 [1]. However, the application PLA-based materials for implants are limited by their adhesion  
27 characteristics and lack of functional groups for interaction with cellular media.

28 For improvement of the physicochemical characteristics, surface modification can be used. The  
29 use of ion-beam surface modification for the synthesis of new materials, modification of surface  
30 structure, formation of composite materials, and for generating predetermined surface patterns, etc.,  
31 is a well-developed technology. Ion- and electron-beam irradiation of polymers are techniques  
32 widely used for polymer treatment due to their environmental friendliness and wide range of  
33 treatment conditions. The shallow ion penetration depth can modify the polymer surface functional  
34 properties while maintaining the original bulk properties of the material [2]. The chemical and  
35 physical processes leading to modification of the structural and physicochemical properties of  
36 polymer materials have been studied.

37 It is known that ion irradiation techniques can significantly modify the chemical and functional  
38 properties of materials. Ion irradiation leads to scission of molecular chains and emission of volatile  
39 products from the surface, and increased implantation dose leads to lower density of the implanted  
40 region [3]. Polymer chain scission accompanied by surface oxidation processes and new functional

41 group formation, which contribute to the material hydrophilicity, occurs as a consequence of ion  
42 implantation [4]. Ion implantation of negative carbon ions has been found [5] to promote nerve-cell  
43 attachment and nerve line regeneration. It has been shown [6] that plasma treatment of polylactic  
44 acid surfaces causes surface roughness and an increase in contact angle, and, in turn, the increased  
45 roughness was found to improve PLA biocompatibility [7]. Electron beam treatment of PLA surfaces  
46 has been shown to cause polymer chain length transformation, with the molecular weight and  
47 degree of crystallinity decreasing proportionally to the exposure dose increase [8,9].

48 Plasma treatment is often used as a pre-treatment technology and for surface activation  
49 followed by distinct coating processes, e.g. chemical modification by grafting of organic functional  
50 groups [10] and deposition of plasma polymerized acrylic acid on a PLA surface [11]. It has been  
51 found that these hybrid methods of surface modification promote improved wettability, increased  
52 surface roughness, and changes in chemical composition. Bastekova and co-workers confirm [10]  
53 that their approach allows spatially distributed properties, for instance, hydrophilic and  
54 bio-adhesive on one side and hydrophobic and bio-repulsive on the other side, as is highly desirable  
55 for medical (implants) application. In contrast, Zhao et al [11], in their method of PLA modification,  
56 focus on the food packaging industry to economically and effectively protect food quality by use of  
57 an optimal combination of different gases. Morent et al have investigated the aging behavior of  
58 plasma-treated polylactic acid [12]. Contact angle decrease and XPS data reveal that the plasma  
59 discharge gas (air, nitrogen, argon or helium) has a significant influence on the ageing behavior of  
60 PLA foils. This influence can be explained by the different degree of cross-linking of the  
61 plasma-treated surfaces: helium and argon plasma-treated PLA films have a high degree of  
62 cross-linking, which limits polymer chain mobility and as a result reduces the ageing process. In  
63 contrast, the ageing behavior of air and nitrogen plasma-treated films is more pronounced due to  
64 their low degree of cross-linking. Other group have studied the aging of plasma-treated PLA, and  
65 the maximum storage time of PLA treated with atmospheric plasma for which the sample retains its  
66 good adhesion properties has been found to be lower than three days under normal atmospheric  
67 conditions [13]. The hydrophobicity and water absorption time can be enhanced by SF<sub>6</sub> plasma  
68 treatment [14]. The authors explain this by the incorporation of fluorinated functional groups in the  
69 surface of the PLA film.

70 Chemical modification of PLA microspheres by aminolysis and grafting-coating [15]  
71 demonstrates the possibility to create material with the ability to support the attachment and  
72 proliferation of chondrocytes. These results show that collagen-coated PLA microspheres are a  
73 promising candidate for cell microcarriers. Another PLA surface modification technique is excimer  
74 laser treatment, described in [16], where the surface wettability, morphology and roughness changes  
75 as well as mass loss by ablation are investigated. It is revealed that decreased contact angle is  
76 associated with increased number of laser pulses. The excimer laser has a strong effect on polymer  
77 ablation; the mass loss is strongly dependent on the laser fluence and number of pulses.

78 Our literature review shows that ion- and electron-beam treatment of PLA leads to a reduction  
79 in molecular weight and degree of crystallinity, increased hydrophilicity, and bioresorption.  
80 However, the mechanisms occurring in PLA under ion beam irradiation have not been investigated;  
81 there are no data of PLA surface properties after Ag, C, or Ar ion implantation. Thus exploration of  
82 the effects of various kinds of ions and ion implantation conditions on PLA functional characteristics  
83 is of interest. The aim of the work described here was to study the influence of implantation of

84 various ion kinds (silver, argon, carbon) at exposure doses of  $1 \times 10^{14}$ ,  $1 \times 10^{15}$ , and  $1 \times 10^{16}$  ions/cm<sup>2</sup> on  
85 the surface physicochemical, functional and biological properties of PLA.

## 86 2. Materials and Methods

### 87 2.1. Preparation of PLA samples

88 PLA samples were prepared by dissolving polylactic acid ( $[-OCH(CH_3)-CO-]_n$ ) with molecular  
89 weight of 250 000 g/mol in chloroform at room temperature in a 7% solution [17]. The solvent was  
90 then removed by drying at room temperature in a Petri dish to form material with thickness ~1 mm,  
91 then the PLA plates were cut into samples with area  $10 \times 10$  mm<sup>2</sup>.

### 92 2.2. Ion implantation

93 Ion implantation was done using a facility incorporating our Mevva-V.Ru vacuum arc ion  
94 source [18]. This implantation facility operates in a repetitively pulsed mode with repetition rate 10  
95 Hz and pulse duration 250 $\mu$ s. Ion species used in the present work were Ag, Ar and C. The charge  
96 state distributions of the ion beams were measured by a time-of-flight mass-to-charge spectrometer  
97 [19]. In this kind of ion source, gaseous ions are singly ionized and hence we used Ar<sup>+</sup> ions. Metal  
98 ions are in general multiply ionized; for carbon the charge state of the extracted ion beam is singly  
99 ionized C<sup>+</sup>, while for silver the mean charge state of the extracted beam is 2+. Thus the implantation  
100 beams include Ag<sup>2+</sup>, Ar<sup>+</sup> and C<sup>+</sup> ions. Since the ion source extraction voltage was always 20 kV, the  
101 ion beam energies were 40 keV, 20 keV and 20 keV, respectively. For Ar<sup>+</sup> ion generation we used the  
102 same ion source but somewhat modified to form a hollow cathode glow discharge mode [20].  
103 Implantations were carried out to accumulated doses of  $1 \times 10^{14}$ ,  $1 \times 10^{15}$ , and  $1 \times 10^{16}$  ions/cm<sup>2</sup>. The  
104 implantation dose rate and average beam power density at the PLA target were adjusted by the ion  
105 beam current and pulse repetition rate, and were  $1 \times 10^{11}$  ions/(cm<sup>2</sup>-sec) and 0.5mW/cm<sup>2</sup>,  
106 respectively. The samples were mounted on a water-cooled target holder whose temperature did  
107 not exceed 20°C. A working pressure of  $1 \times 10^{-6}$ Torr was maintained by an oil-free high-vacuum  
108 cryogenic pump.

### 109 2.3. Characterization techniques

110 Phase composition was investigated using an XRD-7000S (Shimadzu) X-ray diffractometer  
111 with a K $\alpha$ Cu source. A Perkin-Elmer PHI 6300 ion microprobe secondary ion mass spectrometer  
112 was used to evaluate ion distribution profiles. Cs<sup>+</sup> ions with energy 7 keV and O<sup>+</sup> ions with energy 5  
113 keV were used for etching the PLA samples. The sample surface was scanned by a focused primary  
114 ion beam over an area of  $500 \times 500$   $\mu$ m<sup>2</sup>.

115 Surface morphology was studied by atomic force microscopy (AFM) using an NTEGRA Aura  
116 scanning probe microscope in tapping mode. A NT-MDT Spectrum Instruments probe NSG01 with  
117 resonant frequency 150 kHz and force constant 5.1 N/m was scanned over an area of  $50 \times 50$   $\mu$ m;  
118 Gwyddion software was used for analysis. After survey, an XY autoplane correction was  
119 performed. Structural analysis was carried out by scanning electron microscopy using a Quanta 200  
120 3D dual-beam scanning electron microscope (SEM) and focused ion beam (FIB) instrument.  
121 Magnifications from 1000 to 15000 were used at accelerating voltage of 20 kV, with spot size 2-3 nm.  
122 Before the SEM study, the samples were coated with a conducting graphite film of 2–5 nm thickness  
123 by magnetron sputtering to alleviate charge build-up on the surface.

124 Cellular investigation was performed to primary evaluation of cytotoxicity. Human immune  
 125 system cell (monocyte) reaction was investigated by cell-mediated immune response to monocytes  
 126 CD14+. Monocytes from the blood of individual donors were separated [21], and cells were  
 127 cultured in the presence of the samples for 6 days at 37°C in an atmosphere of 7.5% CO<sub>2</sub>. Cells  
 128 cultured without samples were used as controls. The viability of cells was assessed after cultivation  
 129 (evaluation of metabolic activity of cells).

### 130 3. Results

#### 131 3.1. Elemental and phase composition

132 The XPS analysis results reported in [22] reveal that silver after ion implantation does not form  
 133 new chemical bonds with substrate atoms, but rather, forms metal nanoclusters in the PLA  
 134 subsurface layer. A two-fold decrease of the PLA molecular weight was shown to occur after ion  
 135 implantation, regardless of the ion species [23].

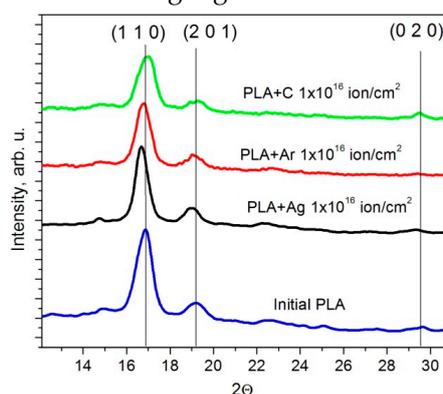
136 There are two dominant peaks, typical for *L*-lactic acid isomers, corresponding to angles  $2\Theta \approx$   
 137  $19.1^\circ$  and  $16.9^\circ$  and crystallographic plane with index [201] and [110], respectively, in XRD images  
 138 of the initial and implanted PLA samples, as shown in Fig. 1. In addition, there is a low-grade peak  
 139 at  $2\Theta \approx 29.5^\circ$ , specific to the [020] plane, in the initial and C-implanted samples but not in other  
 140 samples. A decrease of peak intensity occurs depending on the implanted ion species, associated  
 141 with the PLA crystallographic parameter alteration (interplane spacing, coherent-scattering region;  
 142 see Table 1) during the implantation process; the degree of intensity decrease can be arranged in the  
 143 following order: Ag→Ar→C.

144

Table 1. Crystallographic parameters of PLA

Implanted ions	Coherent-scattering region, nm	Interplanar distance, Å
initial	11	5.252
Ag	14	5.296
Ar	12	5.277
C	10	5.271

145 It was found that silver ion implantation results in XRD peak narrowing and line displacement  
 146 relative to the initial sample, and hence the degree of crystallinity of the material increases. Carbon  
 147 ion implantation, on the contrary, leads to peak broadening, caused by an increase of crystalline  
 148 phase distribution heterogeneity and crystallite size. Argon ion implantation of PLA surface does  
 149 not lead to a change in the coherent-scattering region relative to the initial state.



150

151

Figure 1. Diffraction patterns of initial and Ag-, Ar-, C- implanted PLA samples

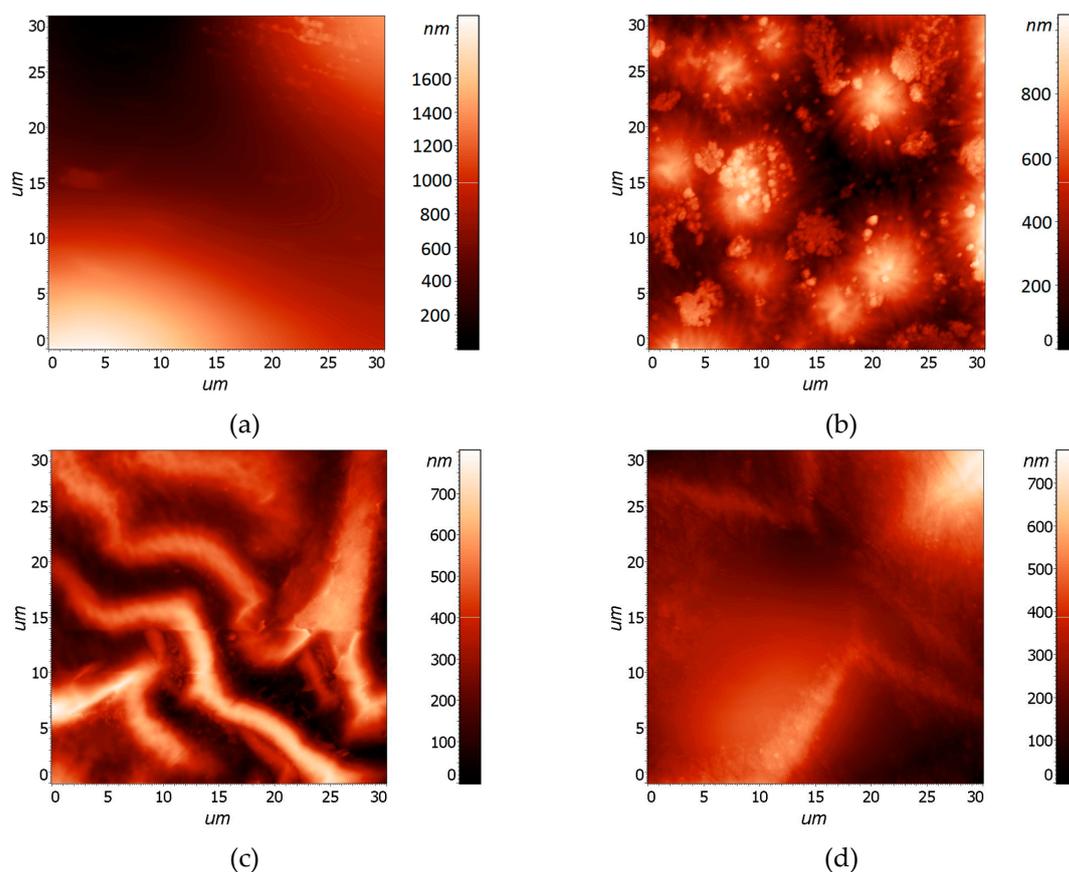


177 In Figure 4 the AFM results of initial and  $1 \times 10^{16}$  ion/cm<sup>2</sup> Ag-, Ar-, C-treated PLA samples are  
 178 shown. Significant morphology modification was found to occur following ion implantation,  
 179 dependent on the implanted ion species. The highest surface roughness is observed in  
 180 Ag-implanted samples, and is due to formation of metal nanoparticles (Table 2). Implantation of Ar  
 181 and C ions into the PLA surface causes lesser topography changes, probably due to their inertness  
 182 and affinity for the substrate material, respectively. The roughness increase can promote cell  
 183 adsorption improvement. When carbon ions are implanted, the formation of nanoclusters, which  
 184 are located in the near-surface layer, is possible.

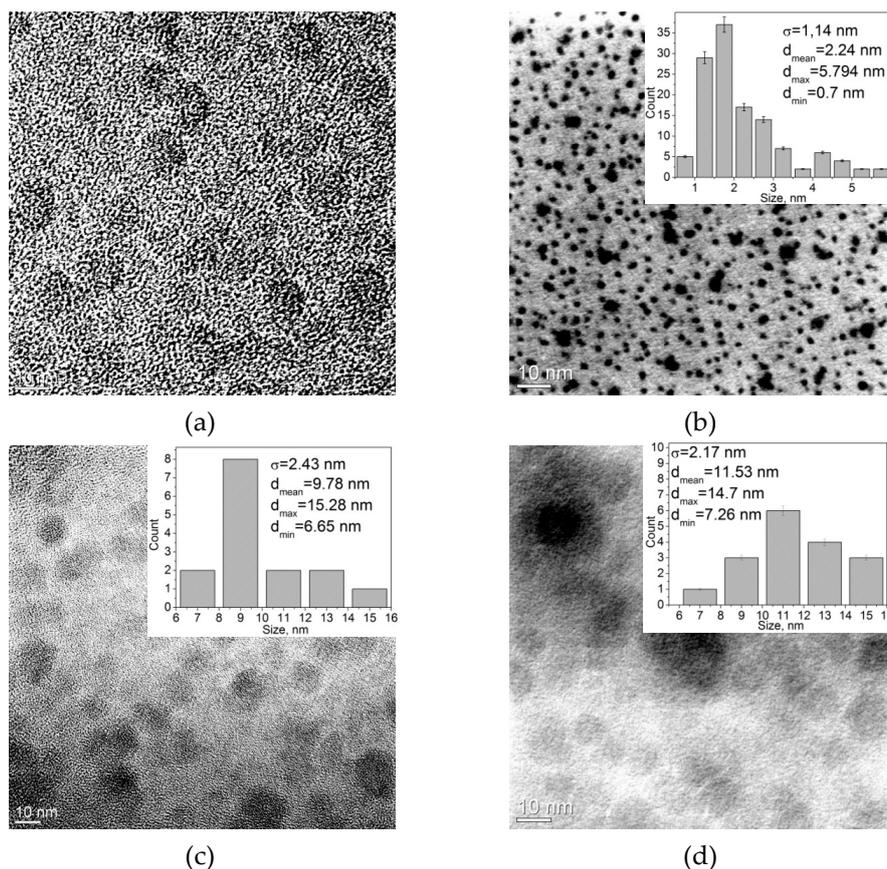
185 **Table 2.** Surface roughness of initial and  $1 \times 10^{16}$  ion/cm<sup>2</sup> Ag-, Ar-, C-implanted PLA samples

Sample	Initial	Ag-implanted	Ar-implanted	C-implanted
<b>Roughness (Ra), nm</b>	126	190	159	161

186 The TEM images shown in Figure 5 reveal that metal nanoparticles with average size of 2-3 nm  
 187 are formed in the surface when PLA is implanted with Ag. On the other hand, cavitation with  
 188 average pore size 10 nm is observed for the Ar- and C-implanted samples. We assume that the  
 189 pores are filled with atomic argon and carbon, respectively.



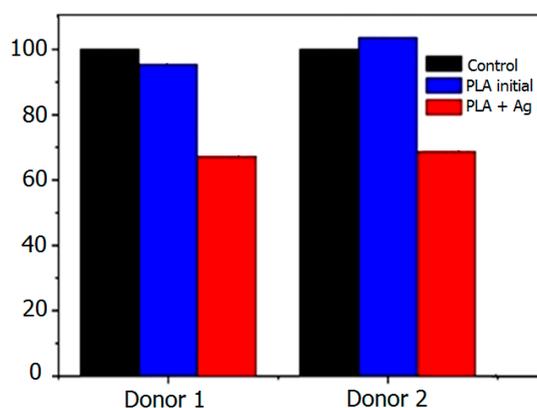
**Figure 4.** AFM images of PLA samples: a) initial; b) Ag-; c) Ar-; d) C-implanted with dose of  $1 \times 10^{16}$  ions/cm<sup>2</sup>



190 **Figure 5.** TEM images of PLA samples: a) initial; b) Ag-; c) Ar-; d) C-implanted with dose of  $1 \times 10^{16}$  ions/cm<sup>2</sup>

### 191 3.3. Biocompatibility of PLA

192 The primary evaluation of cytotoxicity with macrophage from two individual donors reveals  
 193 that both initial and  $1 \times 10^{16}$  ions/cm<sup>2</sup> Ag-implanted PLA samples benefit immune system cells (Fig.  
 194 6). The macrophage level after 6 days of culturing with PLA samples remains approximately the  
 195 same as the control sample (pure glass). When cells are interacted with the Ag-implanted PLA  
 196 sample, though, the cell survivability decreases relative to the control and initial PLA sample, but  
 197 the cells remain alive. We found that the amount of living immune system cells is slightly  
 198 diminished in the presence of Ag on the PLA surface; however there is no sharp rejection of  
 199 implanted material by biological medium.



**Figure 6.** Cytotoxicity of PLA in vitro

#### 200 4. Conclusion

201 The effect of  $1 \times 10^{14}$ ,  $1 \times 10^{15}$ , and  $1 \times 10^{16}$  ion/cm<sup>2</sup> Ag, Ar, and C ion implantation on PLA  
202 surface physicochemical and biological properties was investigated. We find that ion implantation  
203 influences the elemental compound and structural-phase composition. Thus implanted silver does  
204 not form new chemical bonds with polymer molecules but aggregates as metal nanoparticles on the  
205 PLA surface. It was established that the PLA molecular weight decreases by a factor of two after ion  
206 implantation regardless of the ion species used. The surface properties of the polymer are modified  
207 by energetic ion impact. XRD analysis revealed that the coherent-scattering region and interplanar  
208 distance of PLA are enhanced due to ion bombardment and crystallographic parameter alteration.  
209 According to SIMS, the Ag concentration in the subsurface layer is 80 rel.%, and for depth greater  
210 than 500 nm does not exceed 1-2 rel.%. It was found that the surface roughness increases with  
211 implantation dose;  $1 \times 10^{16}$  ion/cm<sup>2</sup> Ag-implanted samples have the greatest roughness of 190 nm.  
212 Evaluation of cytotoxicity with the macrophage of two individual donors was carried out and it  
213 was revealed that ion beam treated material does not negatively influence immune system cells and  
214 could thus be a promising material for biomedical application.

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220 **Author Contributions:** Irina Kurzina is the leader of the group; she conceived and designed the experiments.  
221 Konstantin Savkin performed the ion implantation of polylactic acid. Irina Vasenina and Olesya Laput  
222 investigated the surface property of the modified samples. Irina Vasenina, Olesya Laput and Daniil Zuza  
223 analyzed the data. Irina Vasenina wrote the paper.

224 **Conflicts of Interest:** The authors declare no conflict of interest. The founding sponsors had no role in the  
225 design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, and in  
226 the decision to publish the results.

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