Anticipation in familial pancreatic cancer

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Background: Previous studies of anticipation in familial pancreatic cancer have been small and subject to ascertainment bias. Our aim was to determine evidence for anticipation in a large number of European families.

Patients and methods: A total of 1223 individuals at risk from 106 families (264 affected individuals) were investigated. Generation G3 was defined as the latest generation that included any individual aged over 39 years; preceding generations were then defined as G2 and G1.

Results: With 80 affected child-parent pairs, the children died a median (interquartile range) of 10 (7, 14) years earlier. The median (interquartile range) age of death from pancreatic cancer was 70 (59, 77), 64 (57, 69), and 49 (44, 56) years for G1, G2, and G3, respectively. These indications of anticipation could be the result of bias. Truncation of Kaplan-Meier analysis to a 60 year period to correct for follow up time bias and a matched test statistic indicated significant anticipation (p=0.002 and p=0.001). To minimise bias further, an iterative analysis to predict cancer numbers was developed. No single risk category could be applied that accurately predicted cancer cases in every generation. Using three risk categories (low with no pancreatic cancer in earlier generations, high with a single earlier generation, and very high where two preceding generations were affected), incidence was estimated without significant error. Anticipation was independent of smoking.

Conclusion: This study provides the first strong evidence for anticipation in familial pancreatic cancer and must be considered in genetic counselling and the commencement of secondary screening for pancreatic cancer.

Materials and methods
Subjects
Subjects were recruited by the European Registry of Hereditary Pancreatitis and Familial Pancreatic Cancer (EUROPAC) and the German National Case Collection for Familial Pancreatic Cancer (FaPaCa). Following informed consent the probands completed family and personal health questionnaires. Patients’ clinicians also completed parallel questionnaires which were used for confirmation of clinical details, and hospital and pathology notes were also obtained. Clinics were arranged and family members were invited to attend via the proband.

Individuals were classified as affected based on histological confirmation of pancreatic ductal adenocarcinoma where a true positive diagnosis was made or a family history of pancreatic cancer. Individuals with Whipple disease, a rare disorder similar to familial pancreatic cancer and Peutz-Jeghers syndrome, and Peutz-Jeghers syndrome were excluded.

Abbreviations: EUROPAC, European Registry of Hereditary Pancreatitis and Familial Pancreatic Cancer; FaPaCa, German National Case Collection for Familial Pancreatic Cancer; G1, generation 1; G2, generation 2; G3, generation 3
Anticipation in pancreatic cancer

Too few cases of pancreatic cancer were available. Where histology was not available good quality medical notes or reliable cancer registry information were used; in all cases copies of reports or notes were considered by at least two members of the study (for EUROPAC CDM or NH and WG). Familial pancreatic cancer was defined as families with two or more affected individuals not fulfilling criteria for any other familial cancer syndrome. Previously described familial pancreatic cancer families with a germline BRCA2 mutation identified were excluded from this analysis in order to reduce genetic heterogeneity.11 Too few cases of pancreatic cancer are available in these BRCA2 families or families from other cancer syndromes for meaningful subgroup analysis.

Statistical analyses

Age was expressed as median (interquartile range). Improvement in diagnosis could give the impression of anticipation if event time was taken as age of diagnosis while improvements in treatment would reduce apparent anticipation if event time was taken as age of death; to be conservative in our evaluation of anticipation, event time was taken as age of death from pancreatic cancer. Affected parent-affected child pairs were analysed with the paired t test. Individuals were assigned to one of three generations (G1, G2, and G3) depending on their position within the family tree and the matched version of the anticipation test described by Hsu et al employed.13 G3 was the latest generation that included any individual at significant risk of cancer (defined as any individual aged over 39 years) and preceding generations were then defined as G2 and G1. Earlier and later generations were not classified (fig 1). For comparison, affected individuals were also compared by three year of birth cohorts (1900–1919, 1920–1939 and 1940–69); individuals were excluded from this analysis where the precise date of birth was unknown despite the age having been stated. Survival from birth until death from pancreatic cancer was analysed by the Kaplan-Meier method and compared by log rank analysis. Survival analysis was also undertaken by truncation to an equal 60 year observation period for all individuals to correct for follow up time bias.15

All at risk individuals were included from the families, with data censored at present age or age of death from causes other than pancreatic cancer. Because all of the aforementioned methods have an inherent bias, a novel iterative approach was also developed. This involved multiplication of the chance an individual is a carrier of the disease gene by an age related measure of penetrance and establishing that this would only reflect the observed incidence of cancer assuming that the age related penetrance increases with successive generations; this will be described in more detail later. To test the influence of smoking, individuals were divided into those who had ever smoked (ex- and present smokers) and those who had never smoked. Smoking data were analysed using survival analysis as well as the χ² and Fisher’s exact probability tests. The statistical package used was StatView Version 5 and significance was set at p<0.05.

Ethics

The study was approved by the North West Multicentre Research Ethics Committee (UK); MREC 03/8/069. Local research ethics committees approval was obtained in participating centres.

RESULTS

Description of families

At the time of guillotine, 106 families had been recruited with multiple cases of pancreatic cancer, no BRCA2 mutation, and a family tree that was complete over the range of individuals to be analysed (not necessarily including siblings of the earliest individuals in each kindred). Of these families, 88 had only pancreatic cancer, 10 had pancreatic (at least two cases within the family) and gastric cancers (there were 21 cases of gastric cancer in total), and the remaining eight families had pancreatic cancer (at least two cases) in association with other malignancies. In 52 families histological confirmation of pancreatic cancers was possible in at least one case; in the remainder good quality medical notes or reliable cancer registry information was used. A typical family tree is shown in fig 1.

Table 1 Differences in age of death of paired affected parents and affected children

<table>
<thead>
<tr>
<th>Affected child-affected parent pairing</th>
<th>No of pairs</th>
<th>Difference in age of death (mean (95% CI))</th>
<th>p Value*</th>
</tr>
</thead>
<tbody>
<tr>
<td>All parent-pairs</td>
<td>80</td>
<td>−10.7 (−13.9, −7.6)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Son-father</td>
<td>21</td>
<td>−4 (−9.4, 1.4)</td>
<td>0.21</td>
</tr>
<tr>
<td>Son-mother</td>
<td>18</td>
<td>−17.7 (−23.4, −12.0)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Daughter-father</td>
<td>18</td>
<td>−6.4 (−16.7, −0.2)</td>
<td>0.06</td>
</tr>
<tr>
<td>Daughter-mother</td>
<td>23</td>
<td>−13.4 (−18.1, −8.6)</td>
<td>&lt;0.001</td>
</tr>
</tbody>
</table>

*Paired t test

There were 80 pairs consisting of a parent and child who have died of pancreatic cancer. The differences in age of death are given with 95% confidence interval (95% CI). Children died at an earlier age than their parents, this was statistically significant but may be explained by bias. Thus more stringent testing of anticipation was applied.
used for all others. At least two affected individuals in each family were first degree relatives.

**Other diseases**
We observed 22 cases of diabetes; 16 cases in as yet unaffected and six in affected individuals. There were 10 cases of acute pancreatitis (three in patients who later developed pancreatic cancer) and two cases of chronic pancreatitis in as yet unaffected individuals. In addition to cases of pancreatic ductal adenocarcinoma and gastric cancer there were three basal cell carcinomas, two brain tumours, four cervical cancers, 11 colorectal cancers, one duodenal cancer, two cholangiocarcinomas, one pancreatic acinar cell carcinoma, one pancreatic neuroendocrine tumour, and 17 cancers with unknown primary. Although it is possible that the other cancers and pancreatic diseases are surrogate markers for gene carriers, the numbers are too small to make any definitive conclusions, and for the purposes of anticipation analysis, these individuals were considered to be unaffected.

**Smoking**
In 84 families where smoking data were available, analysis did not reveal a statistically significant difference between smoking habit in affected and non-affected individuals (Fisher’s exact test p = 0.44). Neither did we establish that smoking affected survival using a Kaplan-Meier analysis (log rank $\chi^2 = 0.44$, p = 0.5; n = 125 smokers and 79 non-smokers). Smoking is discussed later in relation to anticipation.

<table>
<thead>
<tr>
<th>Generation</th>
<th>n</th>
<th>Age of death (y)*</th>
<th>Cohort</th>
<th>Date of birth</th>
<th>n</th>
<th>Age of death (y)</th>
</tr>
</thead>
<tbody>
<tr>
<td>G1</td>
<td>50</td>
<td>70 (59, 77)</td>
<td>C1</td>
<td>1900-19</td>
<td>53</td>
<td>70 (61, 76)</td>
</tr>
<tr>
<td>G2</td>
<td>158</td>
<td>64 (57, 69)</td>
<td>C2</td>
<td>1920-39</td>
<td>136</td>
<td>64 (57, 69)</td>
</tr>
<tr>
<td>G3</td>
<td>53</td>
<td>49 (44, 56)</td>
<td>C3</td>
<td>1940-69</td>
<td>62</td>
<td>50 (46, 55)</td>
</tr>
</tbody>
</table>

*Values are median (interquartile range).

Affected individuals were grouped according to generation (see text) or by date of birth. All differences were significant: Kruskal-Wallis $p<0.001$ for generations and cohorts; Mann-Whitney U, $p<0.001$ for G1 versus G2; G2 versus G3, and G1 versus G3, and C1 versus C2, G2 versus C1, and C1 versus C3. This clearly could be due to bias of ascertainment and truncation effects of age at death in G2 and G3 compared with G1.

**Standard analyses for anticipation**
Median (interquartile range) age of death from pancreatic cancer was 62 (53, 70) years and was lower than expected. Median age was 65 (57, 71) years for 138 women compared with 59 (49, 67) years for 126 men, but this sex difference was not significant by survival analysis (log rank $\chi^2 = 2.6$, p = 0.27). Children died a median of 10 years earlier than their parents among the 80 child-parent affected pairs identified ($p<0.001$, paired t test) (table 1). Later generations had an earlier age of death from pancreatic cancer than individuals from preceding generations and a similar effect was observed in the analysis of birth cohorts (both $p<0.001$ (table 2). There was a degree of correspondence between the birth cohorts and generations and so some of the effect may be because of genuine differences between generations (fig 2).

Kaplan-Meier analysis showed that cumulative deaths from pancreatic cancer for all at risk individuals by 77 years was 50%; as only 50% of the kindred would be predicted to carry the gene, this implies 100% lifetime risk for gene carriers. As cumulative survival actually falls below 50% beyond 77 years, there must be an element of ascertainment bias in this analysis, which becomes apparent as the number of at risk individuals declines; but in support of autosomal dominance, and high penetrance, 25% cumulative incidence of pancreatic cancer in at risk individuals (event time 65 years) compares well with 50% cumulative survival for the subgroup of individuals who develop pancreatic cancer (event time 62 years). Analysis of all individuals from each family showed a reduction in survival of later generations compared with their ancestors (log rank $\chi^2 = 74.21$, p<0.001) (fig 3A). Median (95% confidence interval) age at which 50% of family members in G1, G2, and G3 were affected was 87 (85, 89), 74 (72, 76), and 61 (59, 63) years, respectively. A similar effect was observed using birth cohorts (log rank $\chi^2 = 40.42$, p<0.001) (fig 3B).

Birth cohort 1900–1919 included all related individuals who by 2004 would be aged 85–94 years, the 1920–39 cohort would have individuals aged 65–74 years, but the 1940–69 cohort only had individuals aged 35–64 years. Any analyses based on these observed data will necessarily miss the events that would occur in the next 20 years, resulting in apparent anticipation. Although there is overlap between the dates of birth of G1, G2, and G3 populations (fig 2), a similar bias exists for generations.

Truncation analysis on a subset of individuals who had been observed for an equal 60 year period (individuals born up to the year 1943), also showed a significant difference between the groups defined by generation (log rank $\chi^2 = 16.93$, p = 0.002) (fig 3C) but not for the birth cohorts (log rank $\chi^2 = 2.72$, p = 0.6) (fig 3D). This is an improvement on the Kaplan-Meier analysis described above but is still subject to ascertainment bias; the small number of cancer cases in G3 may reflect unusual cases while the
A novel iterative approach to test for anticipation

If there is no generation effect (for example, anticipation) on the age of death from pancreatic cancer, the age related penetrance function for carriers from one generation could be used to predict the number of pancreatic cancer cases seen in any other generation (regardless of the period of observation). Individual pancreatic cancer risk ($p_i$) for each individual ($i$) can be calculated by multiplying the probability of an individual being a gene carrier by the respective age related penetrance to time of observation. For any generation

$$p_i = \text{penetrance function for carrier from the respective age group} \times \text{probability of being a carrier}$$

This score individuals (affected or unaffected) according to consistency with anticipation. Differences between G1 and G2 and G3 were both significant ($p<0.001$). However, this does not take into account the likelihood that an individual is a gene carrier (and so at high risk). Later generations have a higher ratio of unaffected carriers to non-carriers, potentially giving bias.

Figure 3 Kaplan-Meier survival analysis of individuals from different generations and date of birth based cohorts. The numbers below the graphs show the number of family members from each group at each time point who were considered in the survival analysis. (A) Age of death of individuals was significantly different between generations G1, G2, and G3 (989 at risk, 261 affected; log rank $\chi^2 = 74.21$, $p<0.001$). (B) Age of death of individuals was significantly different between date of birth based cohorts (522 at risk, 251 affected; log rank $\chi^2 = 40.42$, $p<0.001$). (C) Age of death of individuals with an observation period restricted to 60 years (all born before 1943) was significantly different between generations G1, G2, and G3 (442 at risk, 44 affected; log rank $\chi^2 = 16.93$, $p = 0.002$). (D) Age of death of individuals with an observation period restricted to 60 years (all born before 1943) was not significantly different between the three birth cohorts (285 at risk, 59 affected; log rank $\chi^2 = 2.72$, $p = 0.6$).

Figure 4 Actual survival data compared with estimates based on age related penetrance. (A) For the purposes of estimating age related penetrance, it was assumed that a carrier had no risk of cancer until the age of 55 years and from then to the age of 90 years the risk increased linearly: $1 - ((\text{age} - 0.0255) - 1.2)$. This is empirically based on the survival (birth to death) data curve and is not based on any assumed biological model or any literature sources. It is not assumed to have any merit other than as a tool to estimate age dependent risk. After the age of 90 years a carrier was assumed to have a risk of 1 (100% chance of being affected). For comparison, a regression is also shown using data from both censored (as yet unaffected) and uncensored (affected) individuals. (B) Curve used to derive another empirical formula with a greater age related risk, based on uncensored data from G2 (see table 3).
within a family there will be a number of possible configurations of diagnoses. For each configuration, all individuals (regardless of their actual diagnosis) are assigned a state (cancer or non-cancer); hence for N individuals there will be \(2^N\) configurations. The probability of each individual having the defined state is either \(p_i\) (cancer) or \(1-p_i\) (no cancer). The probability \(p(x)\) of obtaining a configuration can be calculated by multiplying all of the individual probabilities within that generation. Thus the probability for observing \(n\) cancer cases in a generation would be the sum of all \(p(x)\) for a configuration, giving \(n\) cases.

Only the G1 generation has been followed up sufficiently to allow a realistic estimation of age related penetrance. Survival of affected individuals (uncensored data) in the G1 cohort appeared to decline from the age of 50 years with a linear gradient of 0.0255 (fig 4A). This is just a description of what has happened in the past to this particular group and provides one of many possible measures of age related penetrance; the specific function is not crucial in the following arguments. This measure of age related penetrance would be predicted to be an overestimate, as it does not take into account any individuals who would have later developed pancreatic cancer but died from other causes. The parent, sibling, or offspring of an affected person has a 50% chance of being a carrier (in this analysis no consideration was given to the disease status of the subject, just of their relatives). A second degree relative has a 25% chance and so on.

The probability of an individual being affected in G1 (calculated by multiplying the carrier probability with the risk defined just for uncensored individuals) was found to be a slight overestimate (0.65 v 0.63 cases observed per family). This did not represent a significant difference (two sided \(p = 0.37\) and suggested that simple regression analysis was adequate; clearly other formulae estimating age related risk could be found that would also work. Even assuming 100% lifetime penetrance, prediction of the number of affected individuals in subsequent generations would tend to be an overestimate—assuming risk does not increase with generation—as in G1 we have a higher ratio of affected to unaffected individuals than in other generations. In fact, the same analysis for G2 showed that there was an underestimate of the number of affected individuals (0.8 v 1.5 cases observed per family in G2).

The difference between the calculated and observed incidence of cancer in the different generations was significant for G2 and G3 but not G1 (table 3). This means that this measure of age related penetrance is effective for G1 and in order to be applicable to G2 and G3 the function would have to be changed to give a greater risk. Thus the risk of cancer death in later generations was genuinely higher than in earlier generations.

To refine the test of anticipation, a second level of risk was produced for generations other than G1. Regression analysis to provide the best fit to the survival curve for G2 (fig 4B) was

\[
\ln(\text{age}) = 5.35 - 23.35\ln(\text{age}) + 5.35 - 23.35
\]

The whole population was then modelled assuming a risk defined as the chance of being a carrier multiplied by \(1 - e^{\ln(\text{age}) + 5.35 - 23.35}\) (table 3). The difference between expected and observed cancer incidence in G3 is indicative of a further increase in risk for the third generation but evidence for this is less conclusive. This analysis indicated at least two risk categories: low where there is no earlier generation with pancreatic cancer, high where there is a single earlier generation, and perhaps a still higher risk group where two preceding generations are affected.

### Smoking and anticipation

To test if an increase in smoking habit might explain anticipation, we compared the smoking habit of individuals from the different generations. Later generations smoked less than earlier generations (table 4) but this difference was not

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**Table 3** Results of simulation

<table>
<thead>
<tr>
<th>Equation used to calculate estimate (E)</th>
<th>(G1) (80 families)*</th>
<th>(G2) (106 families)*</th>
<th>(G3) (101 families)*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Low risk (E = \Pr (1 - \text{age &gt; 0.03}) - 1)</td>
<td>(0.65)</td>
<td>(0.52)</td>
<td>(&lt;10^{-6})</td>
</tr>
<tr>
<td>High risk (E = \Pr (1 - e^{-\text{age} &gt; 0.03}))</td>
<td>(0.63)</td>
<td>(1.14)</td>
<td>(0.003)</td>
</tr>
</tbody>
</table>

*Some families could not be included because of unreliable data on ages.

On average, there were six observed affected individuals in generation 1 (G1) from every 10 families, 15 from G2, and five from G3. Two classes of risk were estimated (low and high) based on age and probability of being a carrier \(Pr\). This was used to estimate the number of affected individuals in each generation \(E\) was compared with the observed number of affected individuals per family \(O\). Two sided \(p\) values of less than 0.01 were taken to indicate that the estimated values were not consistent with observed values. A risk calculation giving expected cases equivalent with observed values in G2 would overestimate expected values in G1 and underestimate in G3. Clearly, a still greater risk could be assumed that would give a better estimate of G3 cancer incidence. This implies that age related risk increased with each generation.

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**Table 4** Smoking did not appear to be a confounding factor leading to anticipation

<table>
<thead>
<tr>
<th>Cohort</th>
<th>Total</th>
<th>Non-smokers</th>
<th>Current or ex-smokers</th>
<th>% Smokers (of generation)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Affected</td>
<td>Censored</td>
<td>Affected</td>
<td>Censored</td>
</tr>
<tr>
<td>All generations</td>
<td>211</td>
<td>22</td>
<td>59</td>
<td>42</td>
</tr>
<tr>
<td>G1</td>
<td>13</td>
<td>2</td>
<td>0</td>
<td>8</td>
</tr>
<tr>
<td>G2</td>
<td>71</td>
<td>11</td>
<td>12</td>
<td>24</td>
</tr>
<tr>
<td>G3</td>
<td>127</td>
<td>9</td>
<td>47</td>
<td>10</td>
</tr>
</tbody>
</table>

Only adults older than 16 years of age and those defined within generations (G1, G2, or G3) were included. A higher number of both affected and unaffected (censored) individuals used tobacco in earlier generations than in later generations but this was not significant (\(\chi^2 = 5.13, p = 0.08\)). None of the comparisons was statistically significant, either in comparisons of generations (log rank \(\chi^2 = 0.44, p = 0.5\)) or comparisons of smoking with affected status (Fisher’s exact probability test \(p = 0.44\)).
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DISCUSSION

This study has clearly demonstrated the phenomenon of anticipation in familial pancreatic cancer using analytical techniques to minimise statistical artefact. Anticipation for the development of pancreatic cancer was approximately six years between the oldest and intermediate generation. This compares with 22 years in nine of 28 families in a previous study. 30

Anticipation observed could be the result of environmental factors; the statistical methods used here do not exclude participation of environmental factors or the existence of competing causes for death in older generations. Only smoking habit has ever convincingly been associated with pancreatic cancer risk in both sporadic and familial pancreatic cancer. 1, 10, 27–31 Although in our study there was no significant association between smoking and cancer, this could reflect a limitation of the data rather than evidence that smoking does not influence cancer onset in these families. Nevertheless, the decline in smoking habit, which was observed with later generations, would act against anticipation and not in favour. In order for smoking to account for anticipation, this trend would need not only to be artefactual but would have to be reversed. Non-response bias due to greater reliance on second person reporting for earlier generations could give a trend to less smoking habit in later generations but the trend is consistent with much larger and less biased studies carried out on the general population. 32 Therefore, the only environmental factor established as being an influence on pancreatic cancer onset is unlikely to explain anticipation.

Genetic anticipation should be family specific so the progress of individuals in one family towards an earlier onset should not be relevant to the progression in another family, unless there is a common founder in a recent generation. A recent common founder is unlikely in this study given the geographical diversity of the families. Population based anticipation could be genetic if the number of generations over which pancreatic cancer is penetrant is limited; for example, an apparent founder, their children, and grandchildren. By definition, any founder in generation three (G3) would not be included in the study, as a family can only be recruited on the basis of multiple cases of pancreatic cancer. Similarly, no third generation affected individuals (grandchildren) can be included in G1 as no subsequent generation would have contained affected individuals. Although this would still allow for some misclassification, it would mean that the definition of the generations would have some biological validity. Anticipation and generation limitation have been reported in Li-Fraumeni syndrome, where in more than 90% of families studied cancer penetrance is limited to three generations; 32 limitation can be explained by carriers dying before reachingchildbearing age, clearly not the case with pancreatic cancer patients in our study.

Some inherited neurological diseases demonstrating anticipation 13–14 have been linked to genes with trinucleotide repeat expansions becoming increasingly unstable in successive generations. 15–17 The situation in cancer syndromes may be more complex 38–39 and anticipation may be related to epigenetic factors. 40 In hereditary breast cancer, BRCA2 mutation carriers have a greater degree of anticipation than those without known mutations. 40–43 Mutations of the BRCA2 gene impair recombinational DNA repair; this may be relevant to familial pancreatic cancer families with BRCA2 mutations. 1 Mutation has also been reported in hereditary non-polyposis colorectal cancer caused by mutations in mismatch repair genes. 44

Anticipation in this study may be due to a DNA repair gene other than BRCA2. This could account for a limitation in the number of generations with penetrant pancreatic cancer. The mutation in itself could be inadequate to result in an elevated cancer risk but heterozygotes may accumulate other mutations in their germline. A steady level of genetic damage will be maintained by segregation of unlinked mutations with the disease allele augmented by further mutations resulting from repair deficiency. However, some new mutations will be linked to the disease gene and so the level of genetic damage overall will increase in carriers. A point may be reached (for example, G1) where genetic damage weakens cell cycle regulation resulting in cancer. Further accumulation of damage would result in earlier development of cancer in successive generations (hence anticipation). Eventually (for example, following G3), accumulation of defects prevents subsequent incidence of pancreatic cancer (for example, prevents gametogenesis, is fatal in utero, or results in an individual who develops other forms of cancer, potentially excluding the recruitment of the family).

This study has described families from widely differing environments in Europe and gives strong evidence for anticipation in familial pancreatic cancer. These findings may assist the creation of more informative family trees for linkage studies. Finally, the results of this study have immediate implications for genetic counselling and pancreatic cancer screening in high risk individuals from familial pancreatic cancer families.

ACKNOWLEDGEMENTS

This work was funded by grants from Cancer Research UK, Royal Liverpool University Hospital, Augustus Newman Foundation, the North West Cancer Research Fund, North West NHS Biomedical Research Committee, an MRC Gastroenterology and Pancreas Research Co-operative Grant, and the Deutsche Krebshilfe 70-3085-13-04 Germany. There were no competing interests.


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Conflict of interest: None declared.

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