

## 5-aza-Cytidine Is a Potent Inhibitor of DNA Methyltransferase 3a and Induces Apoptosis in HCT-116 Colon Cancer Cells via Gadd45- and p53-Dependent Mechanisms

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### ABSTRACT

Methyltransferase inhibitors commonly used in clinical trials promote tumor cell death, but their detailed cytotoxic actions are not yet fully understood. A deeper knowledge about the apoptosis-inducing mechanisms and their interaction with DNA methyltransferases (DNMTs) DNMT1, DNMT3a, and DNMT3b might allow the design of more effective drugs with lower cytotoxicity. 5-aza-cytidine (5-aza-CR), a potent inhibitor of DNMT1, is known to induce demethylation and reactivation of silenced genes. In this study, we investigated the p53 dependence of apoptotic, cytotoxic, and growth inhibitory effects of 5-aza-CR, as well as the influence on the expression level of DNMT1, DNMT3a, and DNMT3b in the colon cancer cell line HCT-116. Exposure to 5-aza-CR induced the up-regulation of genes promoting cell cycle arrest and DNA repair (p21<sup>WAF1</sup> and GADD45) and apoptosis (p53, RIPK2, Bak1, caspase 3, and

caspase 6). In parallel, there was a down-regulation of anti-apoptotic Bcl-2 protein and the p53/M-mediator cyclin B1. Coincubation with pifithrin- $\alpha$  (PFT- $\alpha$ ), a selective p53 inhibitor, restored GADD45, Bcl2, cyclin B1, and p21<sup>WAF1</sup> expression levels and almost completely reversed the growth inhibitory, cell cycle, and apoptotic effects of 5-aza-CR. 5-aza-CR treatment caused global demethylation and reactivation of p16<sup>INK4</sup> expression. There was a marked decrease in DNMT1 and DNMT3a mRNA expression, with PFT- $\alpha$  reversing these effects. However, 5-aza-CR treatment did not modulate DNMT3b expression. Our data demonstrate that 5-aza-CR action in HCT-116 is mediated by p53 and its downstream effectors p21<sup>WAF1</sup> and GADD45. This is the first report to show a link between p53 and regulation of DNMT1 and de novo methyltransferase DNMT3a.

Since the causal relationship between hypermethylation of the promoter of tumor suppressor genes and the development of cancer has been clearly demonstrated, specific demethylating agents are of interest as novel molecular targeted therapeutics (Zhu and Iyer, 2002). Methylation of cytosines within CpG dinucleotides is associated with transcription silencing during mammalian development and tumorigenesis (Bird, 1996). The main enzyme responsible for replication of the DNA methylation pattern is the DNA methyltransferase (DNMT) 1. In contrast, DNMT3a and DNMT3b are responsible for de novo methylation, in which a methyl group is transferred to the carbon position of the cytosine from the methyl donor S-adenosyl-L-methionine (Okano et al., 1999).

To date, 5-aza-cytidine (5-aza-CR) and its deoxyribose analog 5-aza-2'-deoxycytidine are the DNMT inhibitors that have undergone the most preclinical and clinical testing (Santini et al., 2001). 5-aza-CR was evaluated in clinical trials as a cancer therapeutic agent for the treatment of patients with acute myeloid leukemia and myelodysplastic syndrome (Santini et al., 2001; Kornblith et al., 2002; Silverman et al., 2002). 5-aza-CR incorporates into DNA forming covalent adducts with cellular DNMT1, thereby depleting the cells from enzyme activity and causing demethylation of genomic DNA as a secondary consequence (Christman, 2002). In various in vitro experiments, 5-aza-CR treatment leads to re-expression of former silenced genes (Christman, 2002). The resulting DNA hypomethylation has been linked to the induction of cellular differentiation in vitro (Petti et al., 1993) and altered expression of genes involved in tumor suppression (Christman, 2002). In addition, it has been dem-

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**ABBREVIATIONS:** DNMT, DNA methyltransferase; 5-aza-CR, 5-aza-cytidine; PFT- $\alpha$ , pifithrin- $\alpha$ ; PI, propidium iodide; PCR, polymerase chain reaction; [<sup>3</sup>H]SAM, S-adenosyl-L-[methyl-<sup>3</sup>H]methionine; MTT, 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium; PBS, phosphate-buffered saline; TUNEL, terminal deoxynucleotidyl transferase-mediated dUTP nick-end labeling; FITC, fluorescein isothiocyanate;  $\beta$ 2-M,  $\beta$ 2-microglobulin.

onstrated that 5-aza-CR inhibits telomerase activity via transcriptional repression of hTERT in prostate cancer cell lines (Kitagawa et al., 2000). Recent studies have demonstrated that DNMT1-5-aza-2'-deoxycytidine adducts in DNA can activate a p53 DNA damage response pathway in the colon cancer cell line HCT-116 (Karpf et al., 2001, 2004). However, the mechanism responsible for this drug's inhibition of cell growth and its other biological effects remain unclear.

In the present study, we examined the effects of 5-aza-CR on apoptosis, cell growth, global methylation status, and the expression of the methyltransferases DNMT1, DNMT3a, and DNMT3b in the human colon cancer cell line HCT-116. To develop a mechanistic model of 5-aza-CR action, we investigated the observed effects before and after treatment with the selective p53 inhibitor, pifithrin- $\alpha$  (PFT- $\alpha$ ), as well as in HCT (-/- p53) cells. We found a possible link between p53 and the regulation of methyltransferases after 5-aza-CR treatment.

## Materials and Methods

**Reagents and Drugs.** Prolong Antifade and DAPI (PI) were purchased from Molecular Probes (Eugene, OR), and trypan blue were obtained from Sigma-Aldrich (St. Louis, MO). RPMI 1640, fetal bovine serum, and penicillin-streptomycin were obtained from Invitrogen (Carlsbad, CA).

**Methylation-Specific PCR.** Genomic DNA was prepared using the standard proteinase K-phenol chloroform extraction method. The methylation status of the methyltransferase genes was determined by methylation-specific PCR. Bisulfite modification was performed using the CpGenome modification kit (Epigenome Inc., Carlsbad, CA). Two microliters of bisulfite-modified DNA was amplified by PCR, using primers that were specific for methylated or unmethylated sequences of p16<sup>INK4</sup> gene as described previously (Schneider-Stock et al., 2003). PCR amplified products were separated by electrophoresis on 8% polyacrylamide gels and visualized by silver staining.

**Global Genomic Methylation Status.** The methylation status of CpG sites in genomic DNA was determined by *in vitro* methyltransferase activity of DNMT1 by using *S*-adenosyl-L-methyl-<sup>3</sup>H-thymine ([<sup>3</sup>H]SAM; Amersham Biosciences, Piscataway, NJ) as methyl donor and the prokaryotic CpG DNA methyltransferase (SmaI methyltransferase; New England Biolabs, Beverly, MA) as methyltransferase. This assay is performed producing a reciprocal relationship between endogenous DNA methylation status and the exogenous methyl-<sup>3</sup>H-methyl incorporation. Briefly, 2  $\mu$ g of genomic DNA was digested to completion of SmaI (10 U/ $\mu$ g of DNA) according to the recommendations of the manufacturer (AGS, Heidelberg, Germany). Digested DNA (100 ng) was incubated, in triplicate, in 25  $\mu$ l containing 2 U of SmaI methyltransferase, 2  $\mu$ M [<sup>3</sup>H]SAM, and 2  $\mu$ M nonradioactive SAM (New England Biolabs) in the buffer supplied by the manufacturer. The reaction mixture was incubated at 37°C for 2 h. The reaction was stopped by heating at 65°C for 10 min. Reaction mixtures not containing enzyme were used as background control for each DNA sample. The incubation mixtures were applied onto Whatman DE-81 ion exchange filters (Fisher Scientific Co., Waltham, MA) by using a vacuum filtration apparatus; the disks were then washed with 0.35 M Na<sub>2</sub>HPO<sub>4</sub> for 45 min. The disks were dried at 55°C for 30 min, and the resulting radioactivity of the DNA bound on the disks was measured by scintillation counting with Tri-Carb Liquid Scintillation Analyzer 2100 TR (PerkinElmer Life and Analytical Sciences, Boston, MA).

**Cell Growth and Treatment.** The human colon cancer cells HCT-116 (+/+ and -/- p53) were cultured in RPMI 1640 medium. Cells were grown at 37°C in an atmosphere of 5% CO<sub>2</sub> supplemented with penicillin (100 U/ml), streptomycin (100 mg/ml), and 10% fetal

calf serum. For experiments, cells seeded on six-well plates at a density of 4  $\times$  10<sup>4</sup> cells per well, were left to grow. 3-(4,5-Dimethylthiazol-2-yl)-2,5-diphenyltetrazolium (MTT) (Calbiochem, San Diego, CA) reduction by cells was used to assess drug-induced cell growth inhibition and cytotoxicity. The proliferation assay used was a MTT-based method to measure the ability of metabolically active cells converting tetrazolium into a cleavage product. The absorbance was recorded at 550 nm. Cell proliferation and cytotoxicity were determined using the Cell Titer 96 nonradioactive proliferation assay (Sigma-Tauchen, Germany) according to the manufacturer's suggestions.

**Treatment.** Cells were treated with 1 or 5  $\mu$ M of 5-aza-CR (Sigma-Aldrich) 24 h after plating and treatment was replenished every 24 h. Assays were conducted after 96 h post-treatment. For experiments with PFT- $\alpha$ , cells were treated with 1, 2, or 4  $\mu$ M of PFT- $\alpha$  for 4 days after treatment. For experiments with the selective p53 inhibitor PFT- $\alpha$ , we performed by pre-treating the cells with the inhibitor prior to treatment with 5-aza-CR.

To determine whether cells recovered from 5-aza-CR treatment, cells were replated with fresh medium without drug at 96 h post-treatment and were allowed to recover for a further 48 h (total of 144 h).

**Flow Cytometric Analysis of DNA Content.** Cells were seeded in 100 mm dishes at a density of 5  $\times$  10<sup>5</sup> cells per well. They were incubated and allowed to grow to 40–60% confluence after which they were treated with 1  $\mu$ M 5-aza-CR and incubated for further 72 h. They were then harvested by trypsin release, washed twice with phosphate buffered saline (PBS), permeabilized with 70% ethanol, treated with 100  $\mu$ g/ml RNase, and finally stained with propidium iodide solution (100  $\mu$ M final concentration). Distribution of cell cycle contents with different DNA contents was determined using a flow cytometer (FACS Calibur, BD Biosciences, San Jose, CA). Cells less intense than G<sub>1</sub> cells (sub G<sub>1</sub> cells) in flow cytometric histograms were considered as apoptotic cells and cell debris. Analysis of cell cycle distribution and the percentage of cells in the G<sub>1</sub>, S, and G<sub>2</sub> phases of the cell cycle were determined using Cell QuestPro and WinfitLT (Verity Software House, Topsham, ME) software.

**Apoptosis: Terminal Deoxynucleotidyl Transferase (TdT)-Mediated dUTP Nick-End Labeling (TUNEL) and Annexin V Assays.** **TUNEL assay.** Apoptosis was scored either by assessing the fraction of cells with a sub-G<sub>0</sub>/G<sub>1</sub> DNA content by flow cytometry (see above) or by estimating the extent of DNA fragmentation using the TUNEL assay. Briefly, cells were plated on autoclaved glass coverslips in six-well culture plates and treated with 1  $\mu$ M 5-aza-CR or PFT- $\alpha$  as described earlier. The medium was then aspirated and cells were washed twice with warm PBS. Cellular DNA was stained with the In Situ Cell Death Detection kit (Roche Diagnostics, Mannheim, Germany), and the assay was performed according to the recommendations of the manufacturer (Roche Diagnostics). Cytospin preparations were fixed and labeled and four independent  $\times$ 100 fields containing a minimum of 300 cells on each of three replicate slides were evaluated for nuclear labeling by fluorescence microscopy (Axiovert 200; Carl Zeiss GmbH, Jena, Germany) for each treatment. Nuclear chromatin condensation was observed by fluorescence microscopy (LSM 410, Carl Zeiss GmbH, Germany).

**Annexin-V-FITC binding assay.** After exposure to 10  $\mu$ M 5-aza-CR for 6 h, cells were washed twice with cold PBS. Binding to annexin V-FITC to the cell surface, which is an early marker of apoptosis, was determined according to the instruction of the manufacturer (BD Biosciences Pharmingen, San Diego, CA). The slides were viewed immediately on a fluorescence microscope [Leica DMRE7 (Leica, Wetzlar, Germany) equipped with a SpotRT camera (Diagnostics Instruments, Burroughs, MI)]. Images were captured and pseudocolored using Spot camera Software. For each of the three replicate experiments, three randomly selected microscopic fields were examined at 400 $\times$  magnification, and the annexin V-FITC cells (green fluorescence) were counted.



Solution followed by hybridization at 68°C overnight with continuous agitation. The membrane was washed at 68°C twice with 2× standard saline citrate, 1% SDS and 0.1× standard saline citrate, 0.5% SDS, respectively. For detection of chemiluminescence, the membrane was blocked using the alkaline phosphatase-conjugated streptavidin method in combination with CPD star. All signals were measured using the Syngene BIO Imaging system. The raw signal intensities were corrected for background by subtracting the signal intensity of the average of the three negative controls (pUC18 cDNA) and the four blanks and were expressed as -fold changes given as the relative expression ratio: gene/housekeeping gene. Any signal whose raw intensity was less than 150% of the background was treated as a background signal and thus interpreted to be not detectable in the sample.

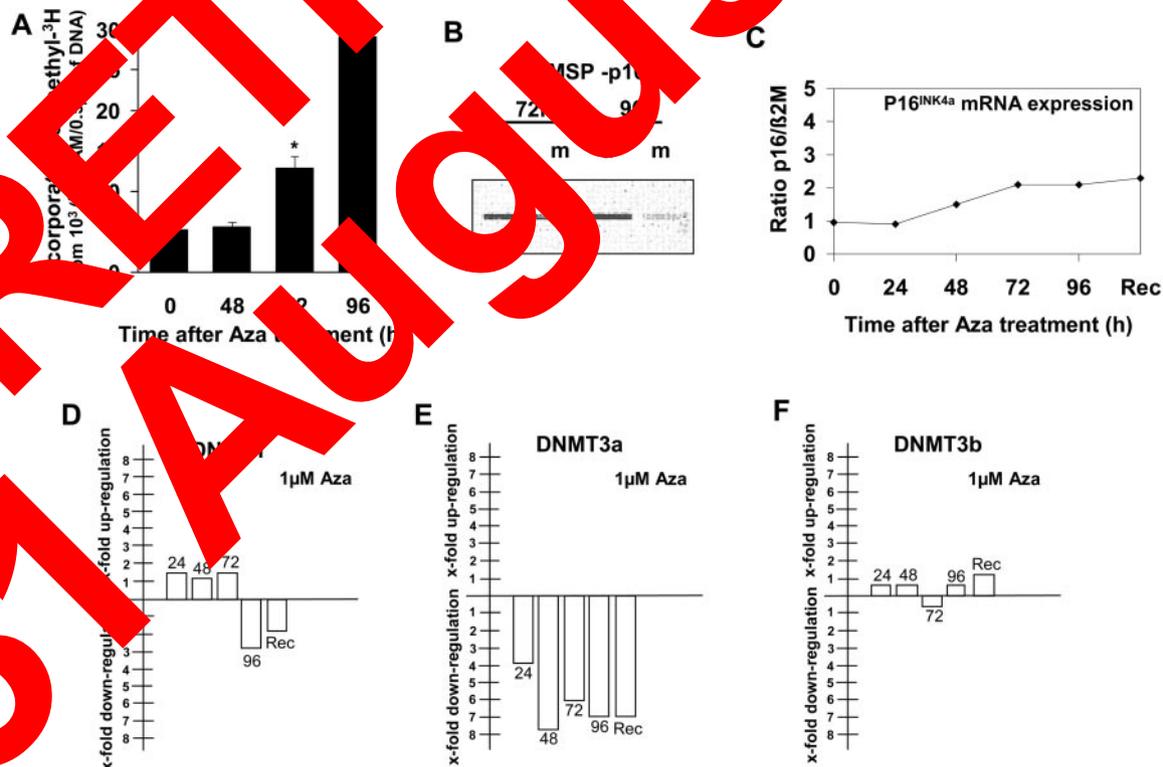
## Results

**5-aza-CR Induces Demethylation and Significant Down-Regulation of DNMT1 and DNMT3a Gene Transcription in HCT-116 Cells.** We determined the time-course effects of 5-aza-CR on the level of global DNA methylation in p53 (+/+) HCT-116 cells by incubating DNA with [<sup>3</sup>H]SAM in the presence of bacterial SssI methylase. In this assay, the number of methyl groups incorporated into DNA is proportional to the original number of CpG sites available for methylation. Thus, it is inversely proportional to the prior methylation status of DNA. The DNMTs derived from HCT-116 cells treated with 1 μM 5-aza-CR were significantly hypomethylated after 72 ( $p < 0.05$ ) and 96 ( $p < 0.01$ ) of treatment compared with cells not treated with the drug, indi-

catating that 5-aza-CR decreased the global level of DNA methylation in a time-dependent manner (Fig. 1A). Considering the fact that hemimethylation of the p16<sup>INK4a</sup> promoter is observed in HCT-116 cells (presence of both methylated and unmethylated bands in the untreated control), we assessed the effect of 5-aza-CR on the methylation status of the p16<sup>INK4a</sup> gene promoter. Upon treatment with 5-aza-CR (1 μM) for 3 days, the methylated band almost completely disappeared after 96 h (Fig. 1B). Corresponding to the disappearance of the methylation-specific band was the time-dependent up-regulation of p16<sup>INK4a</sup> mRNA in HCT-116 cells (Fig. 1C). Re-regulation of p16<sup>INK4a</sup> transcription levels occurred even when cells were allowed to recover after 5-aza-CR treatment.

When determined the effect of 5-aza-CR on the expression of human DNMT1, DNMT3a, and DNMT3b. Real-time PCR revealed a 3-fold down-regulation of DNMT1 mRNA expression levels following treatment with 5-aza-CR for 4 days (Fig. 1D). A significant down-regulation in DNMT3a expression levels occurred already at 24 h post-treatment, peaked at 48 h, and persisted even after 4 days of treatment with 5-aza-CR (Fig. 1E). Conversely, 5-aza-CR treatment did not affect the mRNA expression levels of DNMT3b (Fig. 1F).

**5-aza-CR Treatment Induces Growth Inhibition and G<sub>2</sub>/M Arrest in HCT-116 Cells.** The time of exposure to 5-aza-CR required to inhibit cell growth was evaluated in p53 (+/+) HCT-116 cells. Cells were treated with 5-aza-CR and cell morphology and viability were monitored for 96 h using the MTT assay. The addition of 5-aza-CR resulted



**Fig. 1.** A, time-course analysis of the global DNA methylation status in HCT-116 (p53 +/+) cells cultured in the presence of 0 or 1 μM 5-aza-CR. The extent of global DNA methylation is inversely proportional to the incorporation of methyl groups by bacterial SssI methylase in the presence of [<sup>3</sup>H]SAM. Values are means ± S.E.M.,  $n = 3$ . ANOVA, Aza, 72 h,  $p < 0.001$ ; Aza, 96 h,  $p < 0.006$ . B, effect of 5-aza-CR on p16<sup>INK4a</sup> gene methylation. Methylation-specific PCR was performed using the unmethylated (u) and methylated (m) primer sets. C, time-course analysis of mRNA re-expression of p16<sup>INK4a</sup>. D to F, expression of the DNA methyltransferases DNMT1, DNMT3a, and DNMT3b in HCT-116 cells cultured in the presence of 1 μM 5-aza-CR.

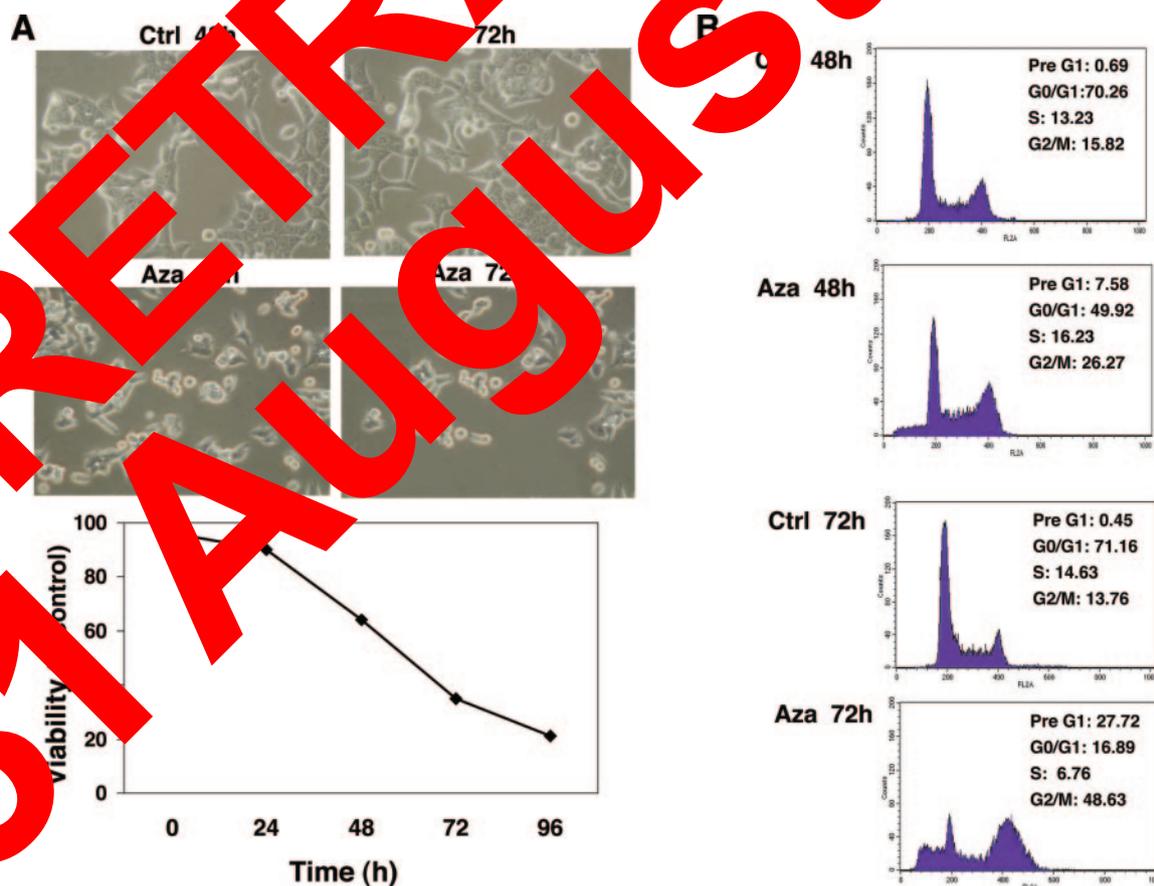
in the appearance of many damaged cells at 72 h after treatment and to a lesser extent at 48 h (Fig. 2A). A time-dependent inhibition of cell viability was observed upon treatment with 5-aza-CR; 1  $\mu$ M decreased cell viability by 65% at 72 h post-treatment (Fig. 2A). At 96 h, the viability of HCT-116 cells was most severely affected presumably because growth inhibition requires both the incorporation of 5-aza-CR into genomic DNA and time for the alteration of DNA methylation patterns and the accumulation of enzyme DNA adducts. In addition, the kinetics of the induction of methylation-silenced genes (Fig. 1C) and cell growth inhibition after 5-aza-CR was consistent with this time frame of treatment.

To dissect the mechanism of the antiproliferative effects of 5-aza-CR, we determined whether growth inhibition is associated with specific cell cycle changes. Exponentially growing HCT-116 (+/+) cells were treated with 5-aza-CR for 48 or 72 h and harvested for flow cytometric analysis of DNA content by PI staining (Fig. 2B). Cell cycle distribution analysis showed an increase, within 48 h, in the number of cells in the G<sub>2</sub> phase of the cell cycle following treatment with 1  $\mu$ M 5-aza-CR, providing evidence of G<sub>2</sub>/M arrest. By 72 h, 50% of the cells arrested at G<sub>2</sub> phase, and the percentage of cells in S phase decreased by more than 50% when compared with the untreated control. Furthermore, the accumulation of 5-aza-CR for 72 h induced an accumulation of G<sub>2</sub>/M (apoptotic) DNA. Thus, the growth inhibitory effects of

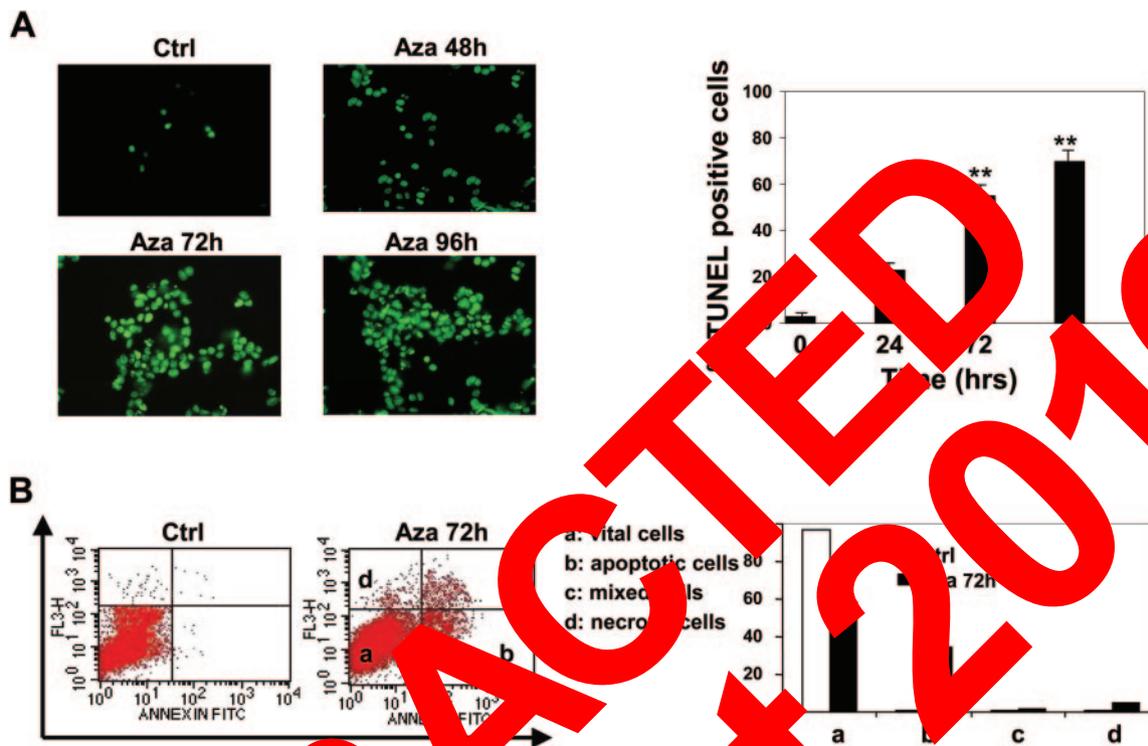
5-aza-CR (Fig. 2A) are due to induction of G<sub>2</sub>/M arrest and programmed cell death (Fig. 2B).

**5-aza-CR Induces Apoptosis in HCT-116 Cells.** To understand and confirm the nature of cell death, we used the TUNEL and Annexin-V flow cytometric assay methods (Fig. 3). Numerous TUNEL-positive cells with apoptotic characteristics, based on the condensed and shrunken shape of the nucleus and on intense staining of DAPI-conjugated dUTP, appeared in the 5-aza-CR-treated cultures (Fig. 3, left). A time-dependent increase of up to 30% in apoptotic cells occurred in 5-aza-CR-treated cell cultures (Fig. 3A). DNA exposure to 5-aza-CR caused a strong increase in Annexin-V staining, a hallmark feature of early apoptosis (Fig. 3B). The proportion of apoptotic cells at 72 h post-treatment was significantly higher than that of normal cells (Fig. 3, right), indicating that apoptosis rather than necrosis is the mechanism of 5-aza-CR-induced cell death in HCT-116 (+/+) cells. These findings collectively confirm that 5-aza-CR-induced increases in the subG<sub>1</sub> fraction (Fig. 2B) are due to apoptosis.

**Inhibition of p53 Activation Abrogates 5-aza-CR-Induced Cell Cycle Arrest and Apoptosis.** To assess the contribution of p53 activation to 5-aza-CR-induced growth inhibition, cell cycle arrest, and apoptosis, we employed the strategy of using PFT- $\alpha$ , a selective inhibitor of p53 function. The concentration of PFT- $\alpha$  that does not inhibit basal levels



**Fig. 2.** Induction of growth/cell cycle arrest by 5-aza-CR in HCT-116 (+/+) human colon cancer cells. A, cell growth determined by the MTT assay. Controls were treated with ethanol. Values represent the means of duplicates from two separate experiments. B, effects on cell cycle profiles of HCT-116 cells after treatment with 1  $\mu$ M 5-aza-CR. Cells in active growth were treated with 5-aza-CR for 48 or 72 h and then fixed, and the DNA content was determined by flow cytometric analysis by PI staining, analyzing 20,000 events per sample. The percentages of cells in PreG<sub>1</sub>, G<sub>0</sub>/G<sub>1</sub>, S, and G<sub>2</sub>/M are shown. The data shown are typical of one of three independent experiments.



**Fig. 3.** The apoptotic effects of 5-aza-CR in HCT-116 (+/+) cells. A, cells treated with 5-aza-CR for 72 h, and the extent of DNA fragmentation determined by TUNEL assay in fixed and labeled propidium iodide preparations using fluorescence microscopy. The percentage of apoptotic cells also scored by the Annexin-V flow cytometric assay method, which can detect cells in an earlier stage of the apoptotic pathway and distinguish among apoptotic and necrotic cells.

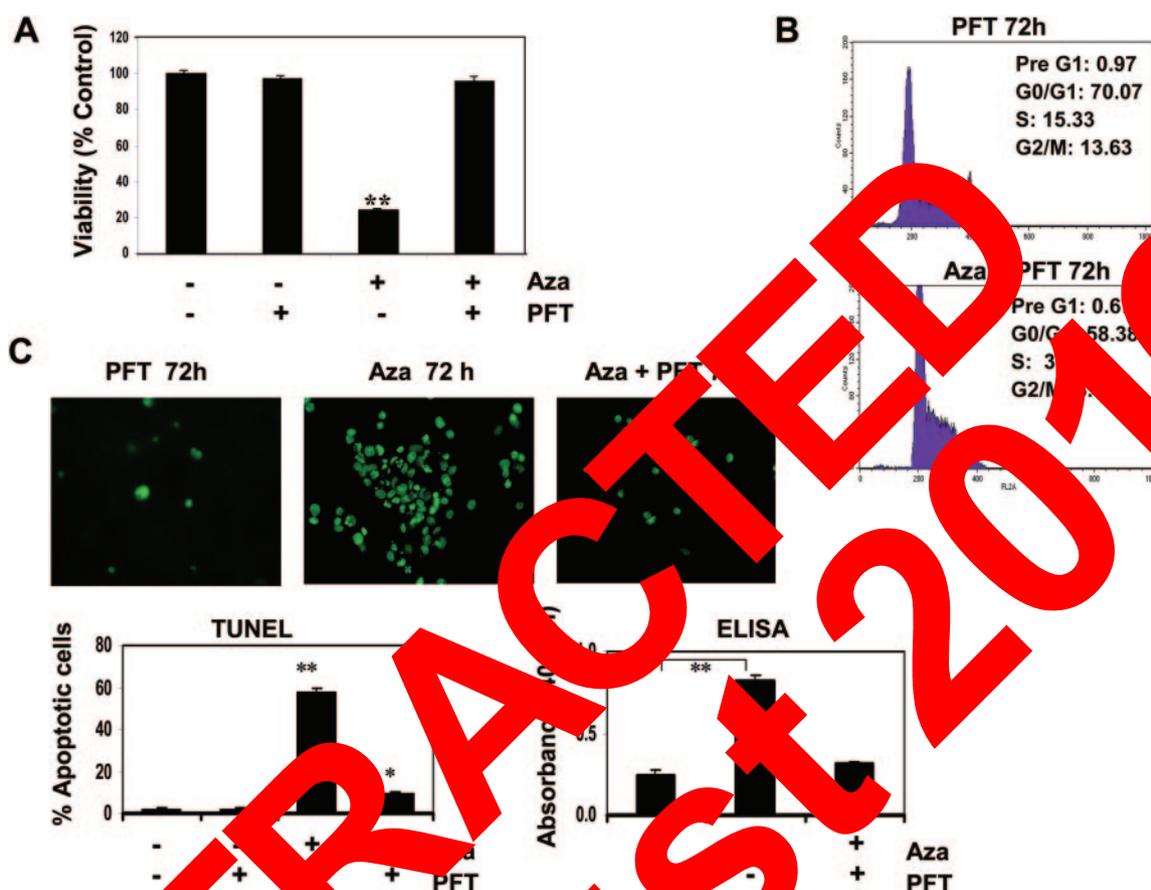
of p53 protein expression in control untreated cells and is not toxic to the cells was found to be 30  $\mu$ M. Twenty-two hours after addition of 5-aza-CR (30  $\mu$ M), the percentage of viable cells increased from 24% in 5-aza-CR-treated cells to 80% in cells treated with combination of 5-aza-CR and PFT- $\alpha$  (Fig. 4A). The fraction of cells in the G<sub>1</sub> phase increased from 17% in 5-aza-CR-treated cells to 58% in cells treated with a combination of 5-aza-CR and PFT- $\alpha$ , whereas the fraction of cells in the S phase decreased from 74 to 38% (Fig. 4B). The cell cycle kinetics of exponentially growing cells were altered by the addition of PFT- $\alpha$  alone (Fig. 4C). Furthermore, the number of apoptotic cells increased significantly to reach levels above the untreated control when cultures were treated with a combination of 5-aza-CR and PFT- $\alpha$  (Fig. 4C). In agreement with these results, the fraction of cells in G<sub>1</sub> phase decreased to 10%, and apoptosis was reduced in 5-aza-CR-treated p53 (-/-) cells (data not shown). These results demonstrate clearly that functional p53 is required for induction of apoptosis and cell cycle arrest in the G<sub>2</sub> phase by 5-aza-CR.

**Several Apoptotic Genes Are Induced in Cells after Treatment with 5-aza-CR.** We used GEArray Q series cDNA microarray to analyze expression changes of 96 key apoptotic genes in HCT-116 cells after treatment with 5-aza-CR. Cells were treated with 1  $\mu$ M 5-aza-CR for 48 h, and their RNA was harvested for microarray analyses. A total of 5 genes (5.2%) was differentially expressed (>5-fold induction) after 5-aza-CR treatment. The up-regulated genes include RIPK2 (10-fold), Gadd45 (17-fold), BAK1 (16-fold), caspase 6 (20-fold), and caspase 5 (25-fold) (Table 2). These genes are associated with the regulation of either apoptosis (caspases, RIPK2, and BAK) or DNA damage (Gadd45) (Ta-

ble 2). Real-time RT-PCR and Western blot analyses confirmed the induction of Gadd45 by 5-aza-CR treatment (Fig. 5, A and D).

**5-aza-CR Treatment Results in Activation of p53 and Its Downstream Effectors p21<sup>WAF1</sup> and Gadd45.** Growth arrest of cells by DNA damage and by other stress signals that arrest cells in G<sub>2</sub> is frequently associated with induction of Gadd45 (Taylor and Stark, 2001), and this was also found in HCT-116 (+/+) cells treated with 5-aza-CR (Fig. 5). Gadd45 can be induced by various mechanisms, including the p53 pathway, which is activated by phosphorylation on serine 15 in response to DNA damage and various other signals that induce G<sub>2</sub> cell cycle arrest (Taylor and Stark, 2001). Therefore we tested whether 5-aza-CR treatment resulted in an altered expression of p53. We observed a 2- to 5-fold induction of p53 protein expression in HCT-116 cells after 5-aza-CR treatment (Fig. 5A). Similar to Gadd45 and p53 activation, we observed a time-dependent increase of p21<sup>WAF1</sup> protein and transcript levels after 5-aza-CR treatment (Fig. 5, A and B). At 72 h post-treatment, a significant decrease in Bcl2 protein content to almost undetectable levels occurred in drug-treated cells (Fig. 5A), a time when apoptosis was extensive (Fig. 3). Despite the decrease in Bax protein expression, the Bax/Bcl2 ratio increased (48 h, 1.8-fold; 72 h, 2.4-fold) (Fig. 5A). Furthermore, treatment with 5-aza-CR resulted in a reduction in Bcl2 mRNA expression (Fig. 5C). Interestingly, when cells were allowed to recover from drug effects, the protein levels of p53, p21<sup>WAF1</sup>, cyclin B1, Gadd45, and Bcl2 returned to levels of untreated control cells (Fig. 5A).

**Role of p53 Activation in 5-aza-CR-Altered Protein Expression in HCT-116 Cells.** To characterize the role of p53 in mediating 5-aza-CR-induced changes in protein ex-



**Fig. 4.** Reversal of the growth inhibitory (A), cell cycle (B), and apoptotic (C) effects of 5-aza-CR by the p53 inhibitor PFT- $\alpha$ . HCT-116 cells were pretreated with 30  $\mu$ M PFT- $\alpha$  1 h before treatment with 1  $\mu$ M 5-aza-CR for 72 h. Cell growth determined by the MTT assay. B, DNA content determined by flow cytometric analysis following PI staining. Numerical data corresponding to cell cycle analysis are presented in boxes. C, DNA fragmentation determined by TUNEL assay in fixed and labeled cell nuclei prepared as using fluorescence microscopy.

TABLE 2

Profile of dysregulated genes after treatment of HCT-116 cells with 5-aza-CR

The complete gene list from the GEArray series Human Apoptosis Gene Array can be found at <http://www.superarray.com>. All signals were measured using the Syngene BioImager system. Down-regulated genes are indicated. Expression ratios (using housekeeping gene glyceraldehyde-3-phosphate dehydrogenase) were calculated per blot, and the results are expressed as fold changes given as a relative gene expression according to the formula ratio treatment/ratio control). Any signal whose raw intensity was less than 10% of the background was interpreted as being not significant.

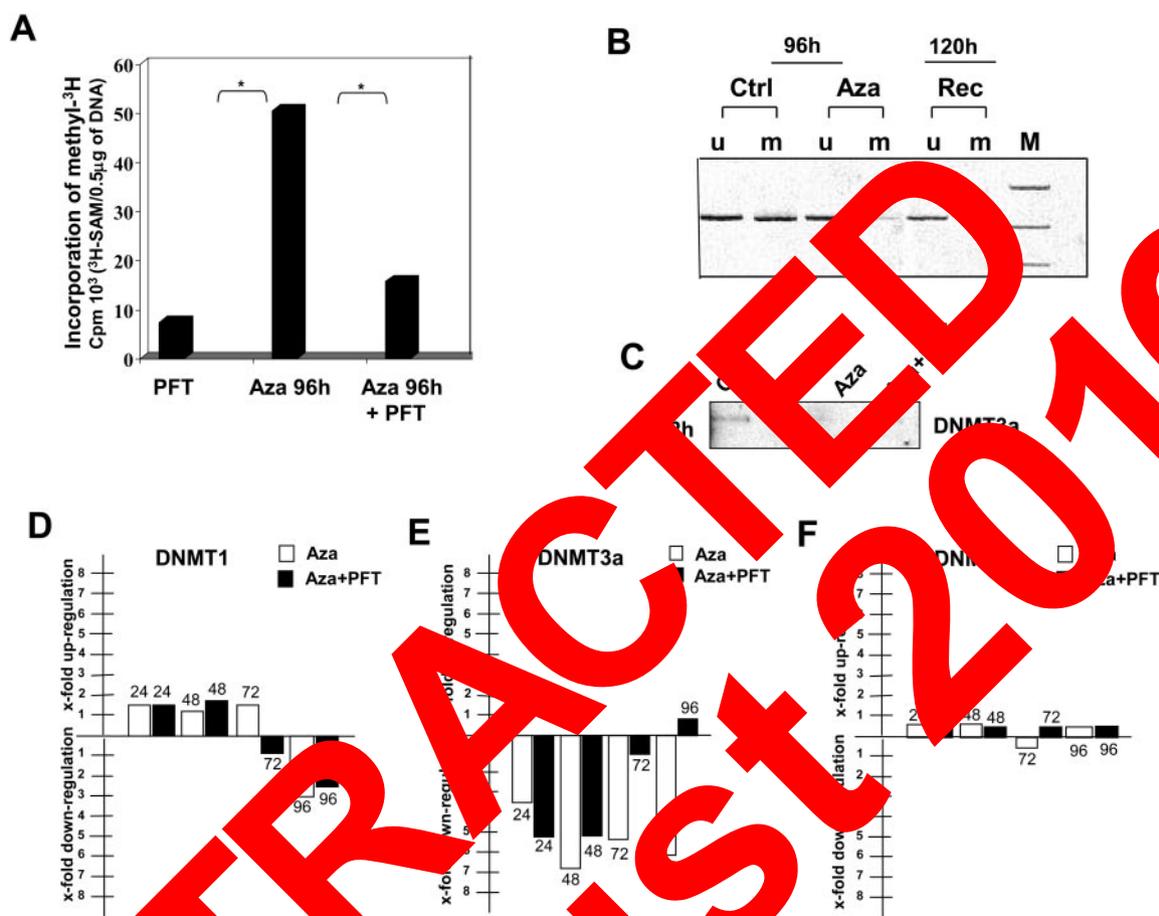
Gene	Induction of Change (%)	GeneBank Accession No.	Potential Function
<b>Down-regulated genes</b>			
TRAF1	0.04	NM005658	Tumor necrosis factor receptor-associated factor 1 Antiapoptotic
<b>Up-regulated genes</b>			
TNFRSF1B	8.0	NM001066	Proapoptotic
CASP14	0.64	NM012114	Unspecific in apoptosis
Caspase 3	19.7	NM001226	Proapoptotic
Caspase 8	25.0	NM004347	Proapoptotic
BLK	5.1	NM001715	Proapoptotic
BAK1	15.5	NM001188	Proapoptotic
RIP1	10.2	NM003821	Proapoptotic
GADD45	17.0	NM001924	Proapoptotic
BAX	4.1	HSU76376	Proapoptotic

<sup>a</sup> Data were obtained with 1  $\mu$ M 5-aza-CR after 48 h.

pression. We used PFT- $\alpha$  to investigate whether the abrogation of p53 induction in HCT-116 (+/+) cells treated with 5-aza-CR affects the level of expression of p21<sup>WAF1</sup>, Bcl2, Bax, and Gadd45. Our results indicate that the induction of Gadd45 by 5-aza-CR treatment has a p53-dependent component because its expression was reduced by PFT- $\alpha$  (Fig. 5, A and D). For illustration, approximately a 5-fold induction of

Gadd45 protein seen in 5-aza-CR-treated HCT-116 cells at 72 h disappeared when cells were exposed to the p53 inhibitor (Fig. 5A). A similar reduction in the magnitude of 5-aza-CR-induced Gadd45 mRNA expression was observed in HCT-116 cells treated with the inhibitor (Fig. 5D). On the other hand, PFT- $\alpha$  treatment did not significantly affect 5-aza-CR-induced p21<sup>WAF1</sup> transcript levels (Fig. 5B). Interestingly,



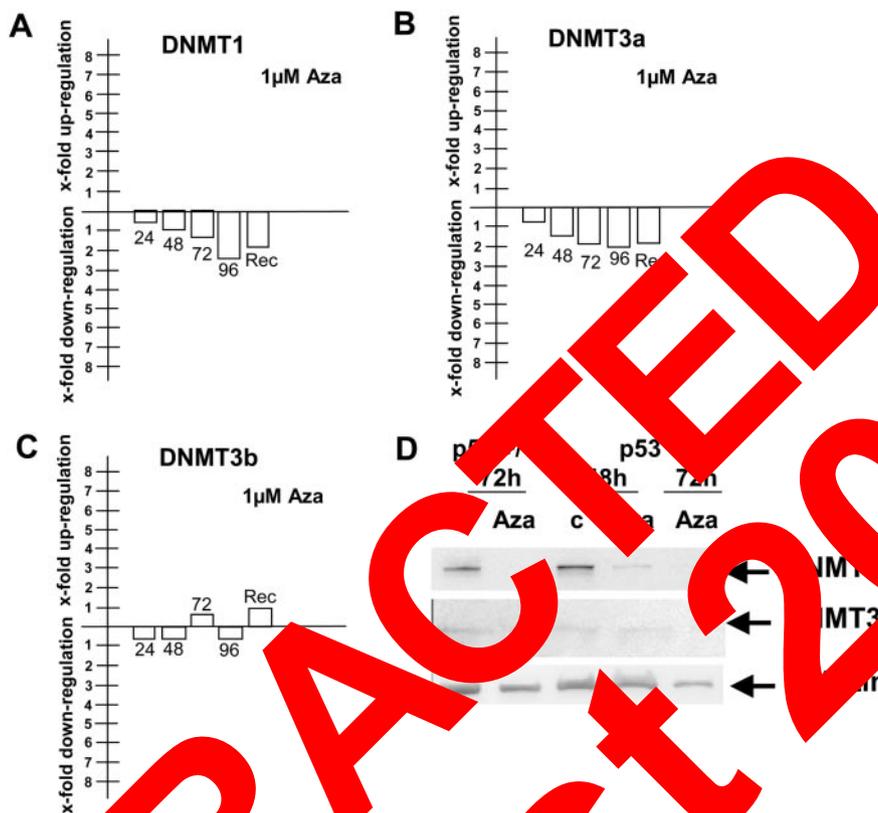


**Fig. 6.** Reversal of 5-aza-CR-induced hypomethylation by the p53-selective inhibitor PFT- $\alpha$ . **A**, effect of PFT- $\alpha$  on global DNA methylation status in 5-aza-CR-treated HCT-116 (+/+) cells. The extent of global DNA methylation is inversely proportional to the incorporation of methyl groups by SssI methylase in the presence of SAM. Values are means  $\pm$  S.E.M.,  $n = 3$ . **B**, effect of 5-aza-CR on p16<sup>INK4a</sup> promoter methylation. Methylation-specific PCR was performed using the unmethylated (u) and methylated (m) primer sets. **C**, effect of PFT- $\alpha$  on promoter expression levels of the DNA methyltransferase DNMT3a. **D**, **E**, and **F** show the effect of PFT- $\alpha$  on the transcript levels of DNMT1 (**D**), DNMT3a (**E**), and DNMT3b (**F**) in 5-aza-CR-treated HCT-116 cells.

its dependence on p53. We report further that 5-aza-CR induced a marked down-regulation of DNMT1 and DNMT3a mRNA levels in contrast to a null effect on DNMT3b. The down-regulation of DNMT1 resulted in global demethylation, which was confirmed by methylation-specific PCR showing reactivation of a silenced hemimethylated p16<sup>INK4a</sup> gene in cells. P53 dependence was confirmed by finding that the DNA demethylation status was reversible upon treatment with the p53 inhibitor. Inhibitors of p53 protein rendered HCT-116 cells less sensitive to 5-aza-CR-induced cell cycle- and apoptosis-related effects and restored the expression of GADD45, WAF1, Bcl2, DNMT1, and DNMT3a proteins to normal untreated control. Furthermore, the absence of p53 diminished the response of HCT-116 cells to the apoptosis- and cell cycle regulatory effects of 5-aza-CR. P53  $-/-$  cells showed no DNMT3a down-regulation (mRNA and protein levels) after 5-aza-CR-treatment, and DNMT1 and DNMT3b levels did not differ between p53 +/+ and p53  $-/-$  cells. The methylation-dependent and -independent mechanisms are involved in 5-aza-CR action on HCT-116 cells.

For many years, 5-aza-CR has been used as a potent anticancer agent for the treatment of several hematopoietic neoplasms (Wijermans et al., 2000; Santini et al., 2001). However to date, the mechanisms of cellular responses of this drug are not well understood. Treatment with 5-aza-CR

causes a variety of changes in cells, including decondensation of chromatin (Haaf and Schmidt, 1989), the activation of silenced genes and global genomic hypomethylation (Christman, 2002), and alterations in DNA replication timing (Jablonska et al., 1985), all of which are believed to be consequences of drug-induced demethylation. 5-aza-CR-induced cytotoxicity may be also related to enzyme adduct formation (Juttermann et al., 1994) whereby the incorporation of 5-aza-CR into DNA leads to the irreversible binding of DNMT1 to incorporated 5-aza-CR residues and the rapid loss of DNMT1 activity (Christman, 2002). To date, no studies have been conducted to investigate 5-aza-CR effects on the activity of DNMT3a and DNMT3b. These recently identified de novo methyltransferases are predicted to have the same response to this inhibitor as DNMT1, yet this has not been proven. There is reason to suspect that DNMT3a and DNMT3b respond much more to the inhibitory effects of 5-aza-CR residues incorporated into DNA since they are randomly incorporated in place of cytidine, and, unlike DNMT1, DNMT3a and DNMT3b are capable of methylating cytidine residues that are not in CpG islands (Aoki et al., 2001). The p53 dependency of the DNMT3a expression, but not that of DNMT3b, is surprising when considering the fact that both enzymes are de novo methyltransferases. It is noteworthy to mention that mice embryos lacking both copies of DNMT3b



**Fig. 7.** A to D, time-course analysis of mRNA levels (A–C) and protein expression (D) of the DNA methyltransferases DNMT1, DNMT3a, and DNMT3b in HCT-116 (–/–) cells in the presence of 1  $\mu$ M 5-aza-CR.

die before birth, whereas DNMT3a-mutant mice survive for about 4 weeks (Ogino et al., 1999). DNMT3b targets especially centromeric satellite repeats and is linked to lymphocyte-mediated immunological defects (Hansen et al., 1998). In addition, DNMT1 and DNMT3b have overlapping functions in global methylation during early embryogenesis (Reilly et al., 1997). However, the enzymes may have distinct or tissue-specific functions during later development and tumorigenesis (Besor, 2000). In this respect, methylation analysis would help to define if the observed effects of DNMT3a expression are specific for colon cancer cell lines. The mechanism by which p53 regulates DNMT3a expression is still unknown.

p53 has been shown to be critical in growth arrest and apoptosis in response to DNA damage by chemotherapeutic agents (Lakin and Jackson, 1999). The p53 status has a significant impact on the cancer cell sensitivity to 5-aza-CR (Karpf et al., 2001, 2004). The role of p53 in the apoptotic efficacy of 5-aza-CR is controversial. In some systems (HCT-116), the presence of wild-type p53 is essential for apoptosis induction, whereas in other systems (mouse embryonic fibroblasts) the absence of p53 determines a higher chemotherapeutic efficacy for 5-aza-CR (Karpf et al., 2001; Nieto et al., 2004). Wild-type p53 colon cancer cells were more sensitive to 5-aza-CR-mediated growth arrest and cytotoxicity, whereas cells lacking mutant p53 protein were not affected by 5-aza-CR (Karpf et al., 2001; Nieto et al., 2004). In agreement with the latter studies, we show an induction of p53 protein and a significant decrease in the antiapoptotic Bcl2 protein in response to 5-aza-CR. p53 protein expression increased about 4-fold, whereas Bcl2 protein expression was almost undetectable after 72 h, an incubation period when apoptosis was

induced. This supports other reports demonstrating that the overexpression of Bcl2 protein inhibits p53-mediated apoptosis and p53-mediated transcriptional activation (Shen and White, 2001). After drug recovery, p53 protein expression declined to control levels. Upon treatment with PFT- $\alpha$  or in p53 –/– cells, apoptosis induction was markedly reduced, indicating that p53 is a major modulator of 5-aza-CR-induced apoptosis. Our findings are in agreement with the hypothesis that 5-aza-CR induces DNA damage post-translationally by stabilizing the p53 protein (Lakin and Jackson, 1999). Normally, p53 protein has a relatively short half-life, being rapidly targeted for ubiquitination and degradation. Following cellular stress, the p53 protein is phosphorylated, increasing its half-life and transactivation activity (Meek, 1994). In our study, the increase in p53 protein expression does not appear to be transcriptionally induced as measured by real-time RT-PCR. This finding is in accordance with that reported by Karpf et al. (2001) using northern blotting analysis. Thus, we cannot suggest a possible demethylation of the p53 promoter by 5-aza-CR.

To further understand the mechanism of 5-aza-CR-mediated p53 activation, we investigated the DNA damage-inducible gene, GADD45 (Wang et al., 1999). It is known that p53 is a transcriptional activator of GADD45 (Wang et al., 1999). Nevertheless, the mechanisms for p53 dependence of the GADD45-induced cell cycle G<sub>2</sub>/M arrest are not clear at the present time (Jin et al., 2002). Earlier studies have shown that the microinjection of a GADD45 expression vector into normal fibroblasts resulted in G<sub>2</sub>/M arrest, which could be attenuated by cyclin B1 overexpression (Wang et al., 1999). In our study, a dose-dependent accumulation of cells in G<sub>2</sub>/M

after 5-aza-CR treatment was indeed confirmed by down-regulation of cyclin B1 expression. We also demonstrate that 5-aza-CR treatment remarkably up-regulated GADD45 expression by an intracellular pathway via p53 induction. Recovering from 5-aza-CR treatment as well as PFT- $\alpha$  treatment restored the GADD45 protein levels to untreated controls and inhibited GADD45 mRNA expression. However, the induction in the transcript level of GADD45 upon 5-aza-CR treatment did not change upon recovery. This discrepancy suggests that both transcriptional and post-transcriptional mechanisms contribute to the GADD45 expression after 5-aza-CR treatment. p53-dependent and -independent mechanisms have been reported to play a role in the regulation of GADD45 expression (Lakin and Jackson, 1999). In addition, the pathway down stream from GADD45 observed in 5-aza-CR-treated cells remains to be elucidated.

The up-regulation of p21<sup>WAF1</sup> is believed to be a major mediator of p53-dependent G<sub>1</sub> arrest, causes cells to accumulate in both G<sub>1</sub> and G<sub>2</sub> after DNA damage, and is associated with a reduction of cyclin B1 expression (Medema et al., 1998; Jin et al., 2002). We determined whether p21<sup>WAF1</sup> is required for GADD45-mediated G<sub>2</sub>/M arrest, and p21<sup>WAF1</sup> binding to GADD45 in vivo has been previously documented (Chen et al., 1995). We show that initiation of DNA damage by 5-aza-CR is accompanied by a time-dependent induction of p21<sup>WAF1</sup> protein.

Furthermore, p21<sup>WAF1</sup> and cyclin B1 protein expression were inversely correlated upon recovering from up-regulation of p53 after PFT- $\alpha$  reduction of p21<sup>WAF1</sup> protein expression to untreated control levels. We did not detect the mRNA expression level. Thus, p53 induction after 5-aza-CR has a p53-dependent component and can be modulated on the transcriptional level. Such uncoupling of p21<sup>WAF1</sup> mRNA and protein expression was found to occur in several cell lines upon exposure to genotoxic stress (Butz et al., 1997). The induction of p21<sup>WAF1</sup> protein has been shown after treatment of tumor cells with antisense oligonucleotides directed against DNMT1 (Lavelle et al., 1999) or oligonucleotides and small molecule inhibitors of DNMT1 (Milutinovic et al., 2000). Inhibition of DNMT1 can induce p21<sup>WAF1</sup> protein levels by a post-translational mechanism as recently suggested by Fournier et al. (1999). Recent studies also demonstrated the ability of p21<sup>WAF1</sup> to interact with GADD45 (Kearsey et al., 1995) as well as DNMT1 corepressor association with proliferating cell nuclear antigen (Chuang et al., 1997). Further experiments are required to clarify this association.

In conclusion, this study demonstrates that 5-aza-CR inhibits DNMT1 and DNMT3a activity in HCT-116 colorectal cancer cells and causes CpG demethylation and reactivation of methylation-silenced genes. To our knowledge, this is the first demonstration of p53-dependent 5-aza-CR action on de novo methyltransferase DNMT3a. The effects on apoptosis and cell cycle arrest, as well as the inhibitory effects on cell growth initiated by 5-aza-CR in HCT-116 cells are caused by methylation-dependent and independent pathways.

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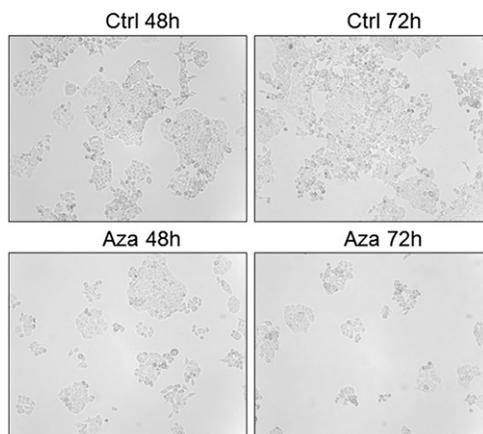
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31 AUGUST 2016

# Correction to “5-aza-Cytidine Is a Potent Inhibitor of DNA Methyltransferase 3a and Induces Apoptosis in HCT-116 Colon Cancer Cells via Gadd45- and p53-Dependent Mechanisms”

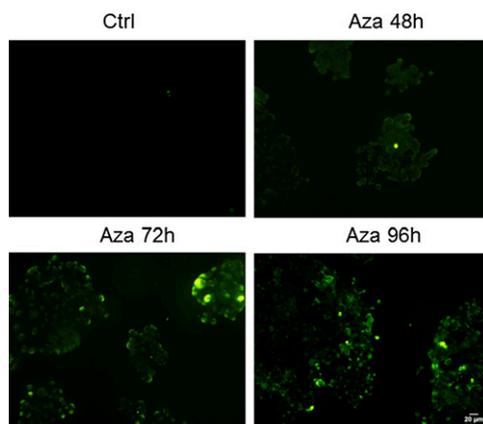
In the above article [Schneider-Stock R, Diab-Assef M, Rohrbeck A, Foltzer-Jourdainne C, Boltze C, Hartig R, Schonfeld P, Roessner A, and Gali-Muhtasib H (2005) *J Pharmacol Exp Ther* **312**:525–536], similar groups of cells appear at different time points in Figures 2A and 3A that have raised suspicion regarding the original preparation of these figures. Given the length of time since original publication, the exact reason for this discrepancy could not be determined. These experiments have been repeated and the new data clearly support the main message of the manuscript on the pro-apoptotic effects of 5-aza-cytidine (5-aza-CR).

Corrected figure panels are reprinted below with new legends. A *Methods* for the repeated experiments is also provided.

The authors regret this error and any inconvenience it may have caused.



**Fig. 2A.** Induction of growth arrest by 5-aza-CR (Aza) in HCT-116 (+/+) human colon cancer cells. Cells were seeded and treated with 1  $\mu$ M 5-aza-CR for 48 and 72 hours. Representative bright field images were taken of two separate experiments. Ctrl, control.



**Fig. 3A.** The apoptotic effects of 5-aza-CR (Aza) in HCT-116 (+/+) cells. Cells treated for 48, 72, and 96 hours with 1  $\mu$ M 5-aza-CR and the extent of DNA fragmentation determined by TUNEL assay (green) in fixed cells using fluorescence microscopy. Bar, 20 $\mu$ m; Ctrl, control.

## Methods

**Cell Growth and Treatment.** The human colon cancer HCT-116 cells were cultured in RPMI 1640 medium. Cells were grown at 37°C in an atmosphere of 5% CO<sub>2</sub> supplemented with penicillin (100 U/ml), streptomycin (100 mg/ml), and 10% fetal calf serum. For experiments, cells were seeded on six-well plates at a density of 40,000 cells per well. Cells were treated with 1  $\mu$ M of freshly prepared 5-aza-CR (Sigma-Aldrich, St. Louis, MO) 24 hours after plating, and treatment was replenished every 48 hours. Randomly selected bright field microscopic fields were acquired on an inverted microscope Leica DMI1 (Wetzlar, Germany) using 4 $\times$  and 10 $\times$  air objective lenses.

**Apoptosis: Terminal Deoxyribonucleotidyl Transferase-Mediated dUTP Nick-End Labeling Assay.** Apoptosis was scored by estimating the extent of DNA fragmentation using the terminal deoxyribonucleotidyl transferase-mediated dUTP nick-end labeling (TUNEL) assay. Briefly, cells were plated on autoclaved glass coverslips in six-well culture plates and treated with 1  $\mu$ M 5-aza-CR. The medium was then aspirated and cells were washed twice with warm phosphate-buffered saline. Cellular DNA was stained with the In Situ Cell Death Detection kit (Roche Diagnostics, Mannheim, Germany), and the assay was performed according to the recommendations of the manufacturer. For each of three replicated experiments four randomly selected microscopic fields were acquired on an inverted microscope Nikon eclipse Ti-U (Tokyo, Japan) using a 20 $\times$  air objective lens (Nikon). The same microscopy setup was used for all representative images. Bar, 20 $\mu$ m.