

**Communication Systems Laboratory**



# **Trellis Codes With Low Ones Density For The OR Multiple Access Channel**

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# Outline

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- Motivation : Uncoordinated Multiple Access to the Optical Channel : the OR Channel.
- IDMA-based architecture.
  - Treating other users as noise : The Z channel.
- The need for non-linear codes in this application.
- Non-linear Trellis Codes (NLTC).
  - Design.
  - Analytical bounds for the BER.
- Concatenation Block Code + NLTC.
- Simulations
- Conclusions
- Ongoing work

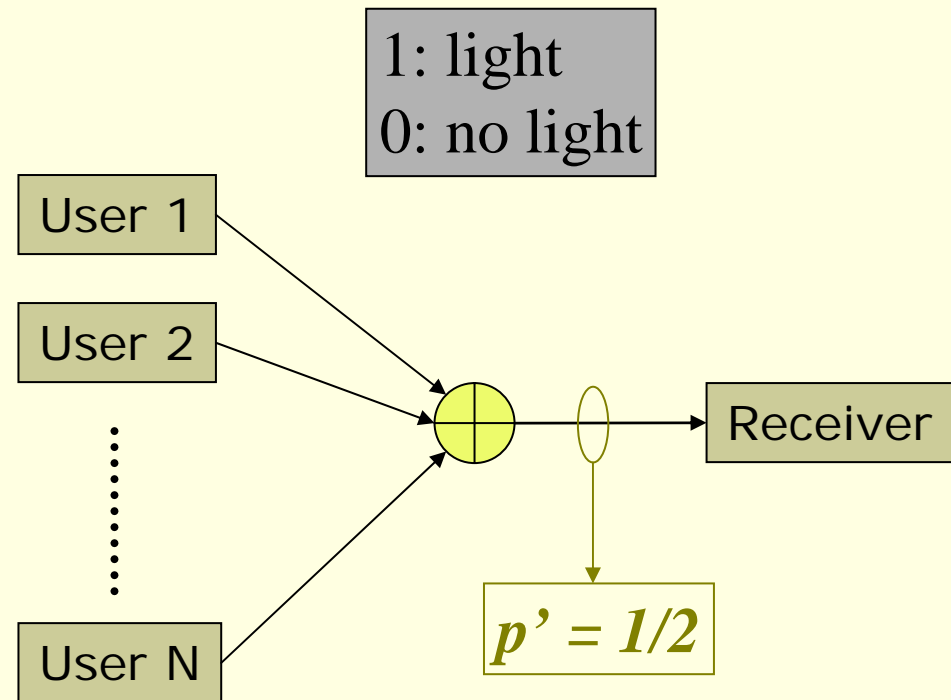
# Motivation: Multiple Access to Optical Channels

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- Uncoordinated Multiple Access to the Optical Channel.
- Optical Channels:
  - provide very high data rates, up to tens to hundreds of gigabits per second.
  - Typically deliver a very low Bit Error Rate ( $BER < 10^{-9}$ )
- Wavelength Division (WDMA) or Time Division (TDMA) are the most common forms of Multiple Access today.
  - However, they require considerable coordination.
- Goal:
  - Provide uncoordinated access (for large number of users).
  - Maximize the rate at **feasible complexity** for optical speeds.
  - Satisfy  $BER < 10^{-9}$
- **Strong complexity & latency constraint.**

# Model: The OR Multiple Access Channel (OR-MAC)

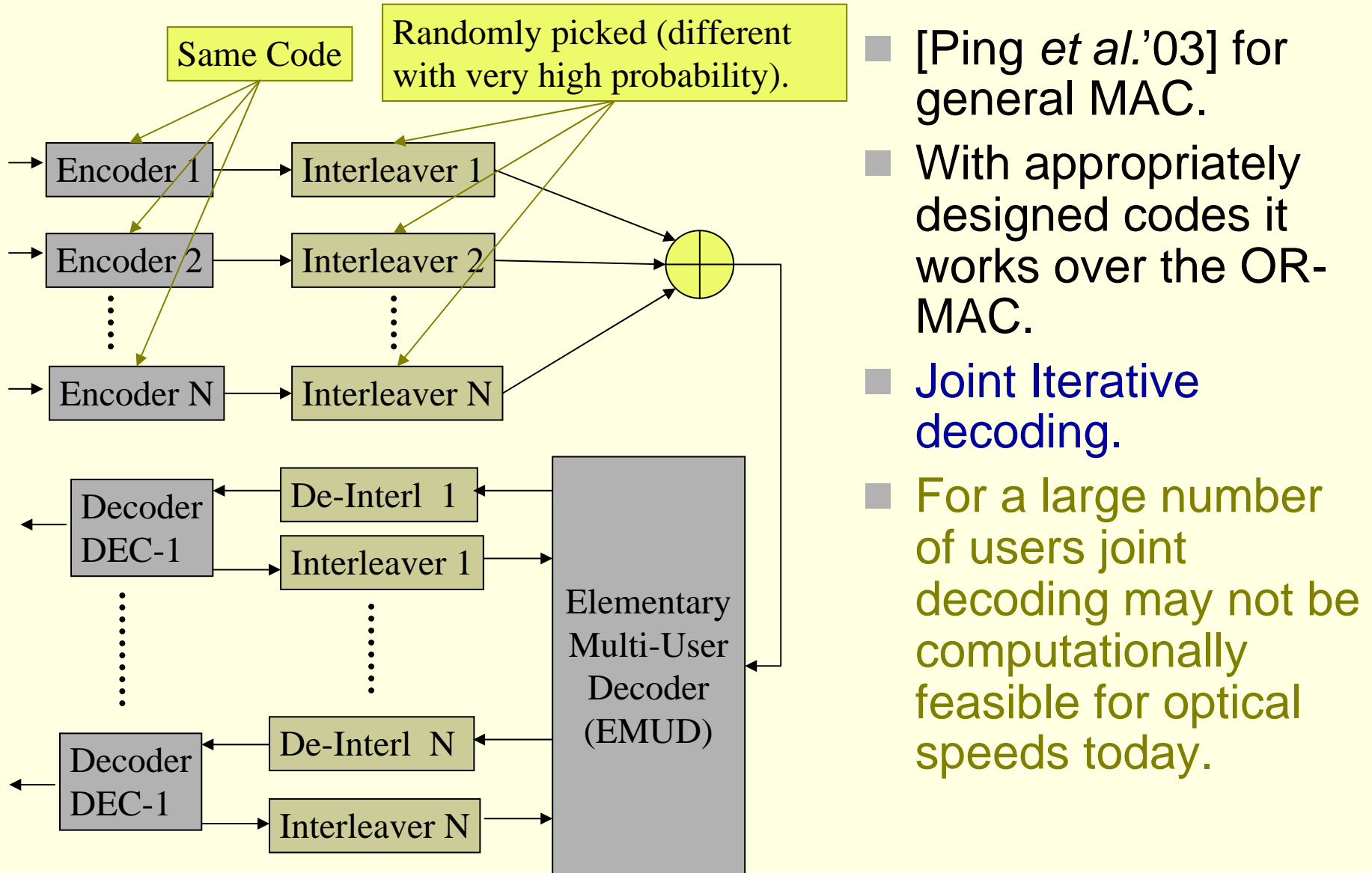
- Basic model for multiple-user optical channel with non-coherent combining.
- $0+X=X$ ,  $1+X=1$
- $N$  users, all transmitting with the same ones density  $p$ :  
 $P(X=1)=p$ ,  
 $P(X=0)=1-p$ .



- Theoretically: Sum-rate = 1 (100% efficiency) can be achieved with a ones density in the transmission of

$$p(N) = 1 - (1/2)^{1/N} \approx \frac{\ln(2)}{N}$$

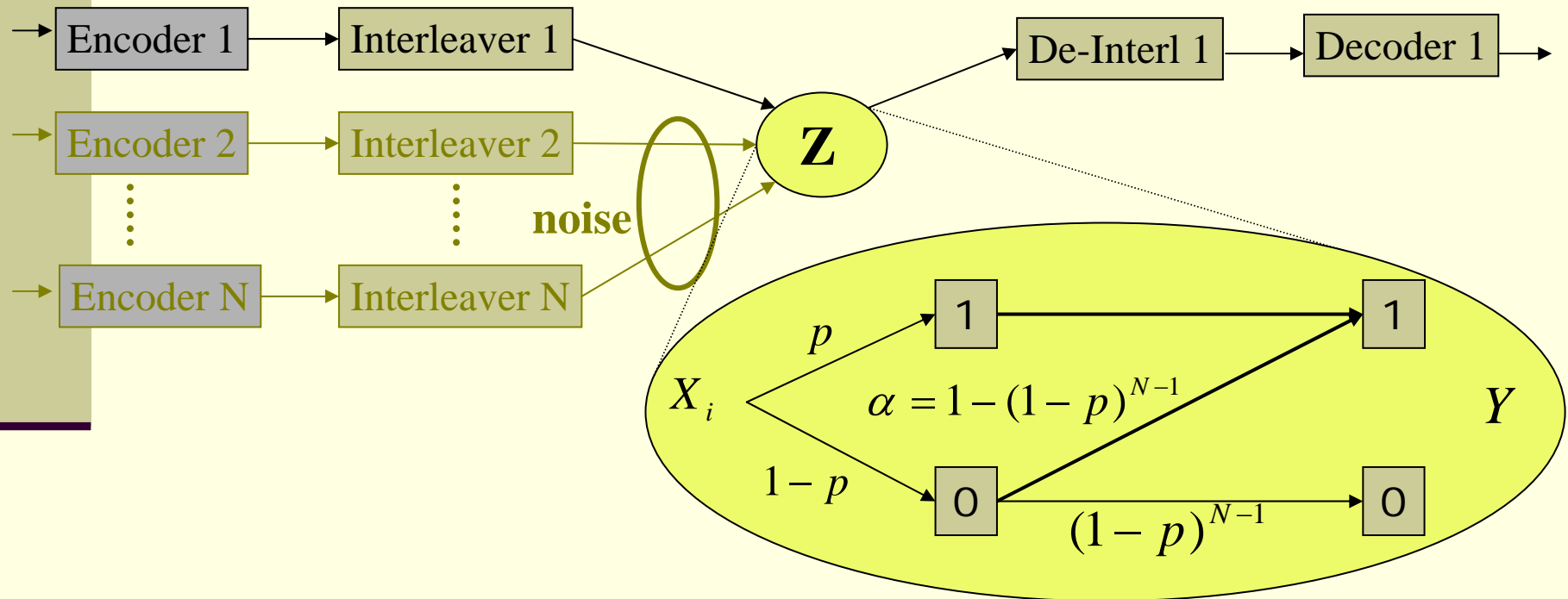
# IDMA-Based Architecture



- [Ping *et al.*'03] for general MAC.
- With appropriately designed codes it works over the OR-MAC.
- **Joint Iterative decoding.**
- For a large number of users joint decoding may not be computationally feasible for optical speeds today.

# Treating other users as noise: Z-Channel

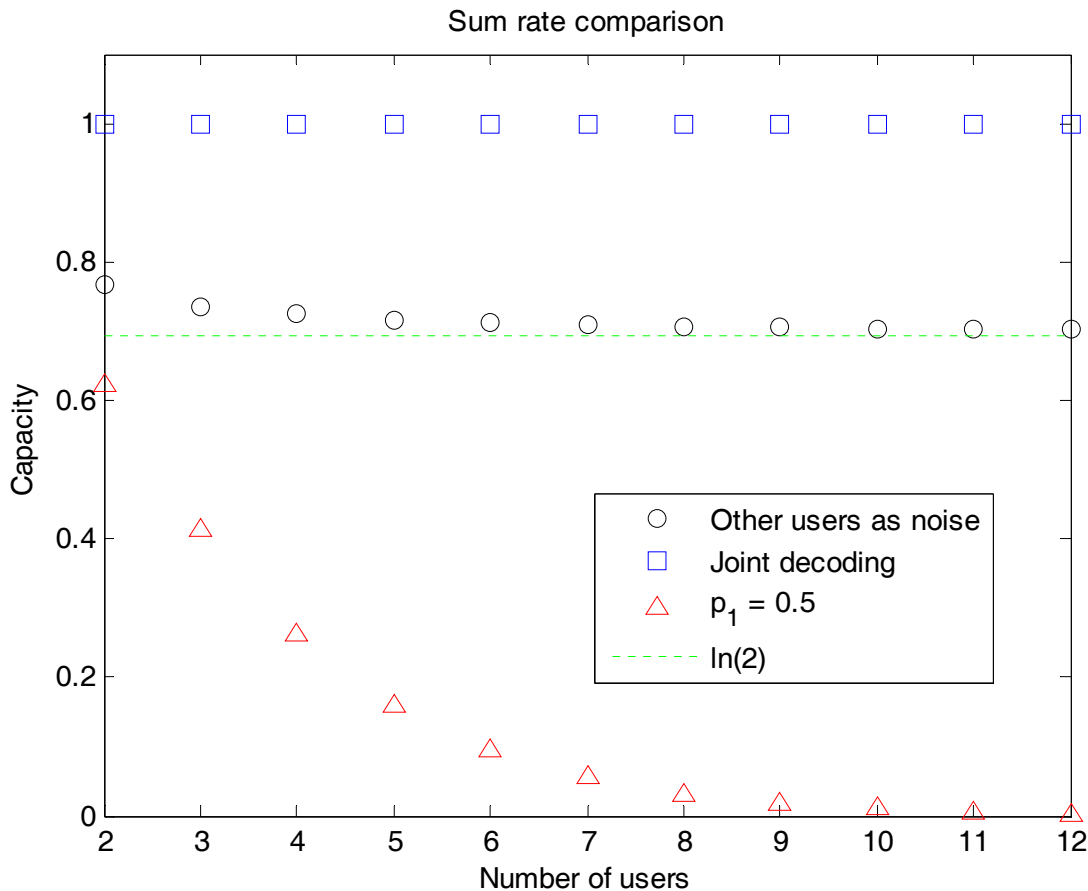
- A practical alternative is to treat all but a desired user as noise.
- When treating other users as noise in an OR-MAC, each user “sees” a Z-Channel.



- The sum-rate is lower bounded by  $\ln(2)$  (around 70%), for any number of users.

# Non-linear codes are required

- Optimal ones density:  $p(N) = 1 - (1/2)^{1/N} \approx \frac{\ln(2)}{N}$

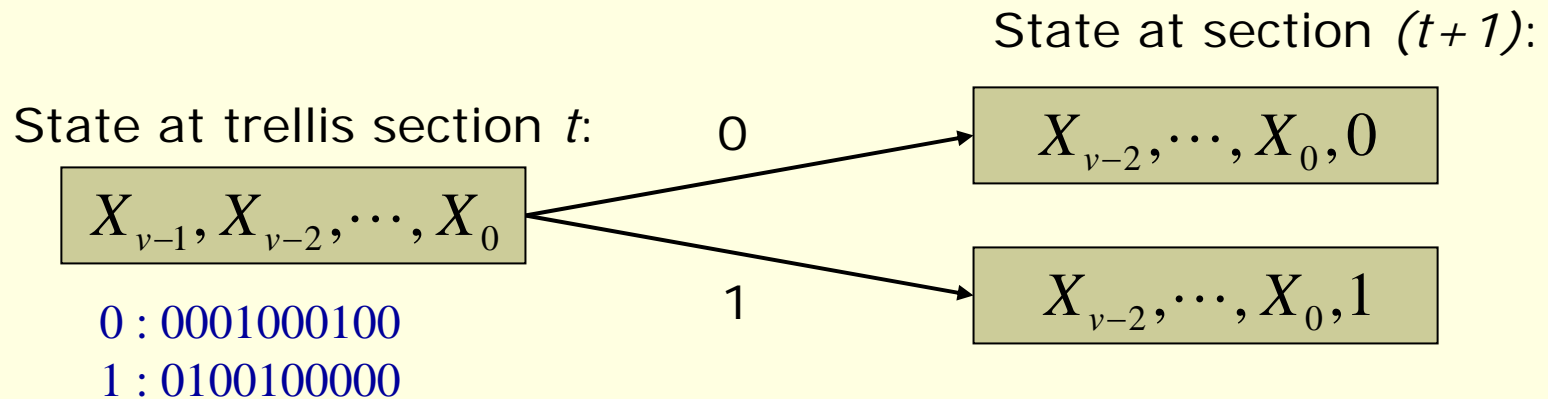


Optimal ones densities:

Users	Joint	Others noise
2	0.293	0.286
6	0.109	0.108
12	0.056	0.056

# Non-linear Trellis Codes

- Desired ones density  $p$  is given (by number of users  $N$ ).
- $(n,1)$  feed-forward encoder: 1 input,  $n$  output bits per trellis section
- $S = 2^v$  states.



- Outputs are given by a look-up table.
- Design: Create the look-up table, assign output values to the  $2S$  branches of the trellis
- Goal: Maximize the minimum distance of the code maintaining the desired ones density  $p$ .



# Metric for Z-Channel

- The metric for the Viterbi decoding algorithm for the Z-Channel is the number of 0-1 transitions.
- Since the Z-Channel is asymmetric, the Hamming distance is not a proper definition of distance between codewords.
- Directional distance between two codewords  $c_1$  and  $c_2$  (denoted  $d_D(c_1, c_2)$ ) is the number of positions at which  $c_1$  has a 0 and  $c_2$  has a 1.
- ‘Greedy’ definition of pairwise distance:

$$d(c_i, c_j) = d(c_j, c_i) = \min \left[ d_D(c_i, c_j), d_D(c_j, c_i) \right]$$

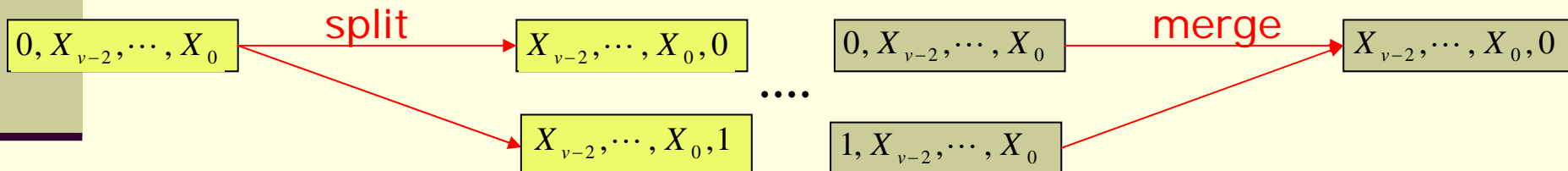
# Design technique

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1. Choose  $n$ , the number of output bits per trellis section, to satisfy a certain target sum-rate  $N/n$ .
2. Assign the Hamming weight of the output of each branch, to satisfy the optimal ones density  $\rho$ .
$$\begin{cases} w = \text{floor}(p \cdot n) \\ w + 1 \end{cases}$$
3. For each branch, choose the positions of each of the  $w$  ( $w+1$ ) ones.

# Extension to Ungerboeck's rule

- Ungerboeck:
  - Every incorrect codeword, in its trellis representation, departs from the correct path (split), and returns to the correct path (merge) at least once.
  - Maximize the distance between a split.
  - Maximize the distance between a merge.



0 : 0100100000

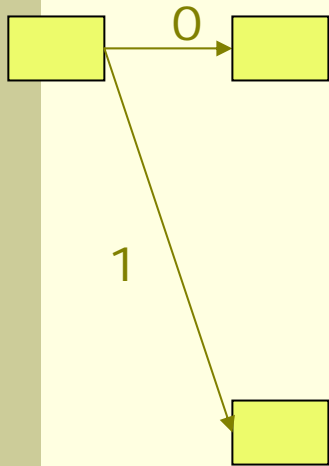
1 : 0010000010

Example:  $w = 2, n = 10$

Maximum possible distance between two branches : 2

# Extending Ungerboeck's rule

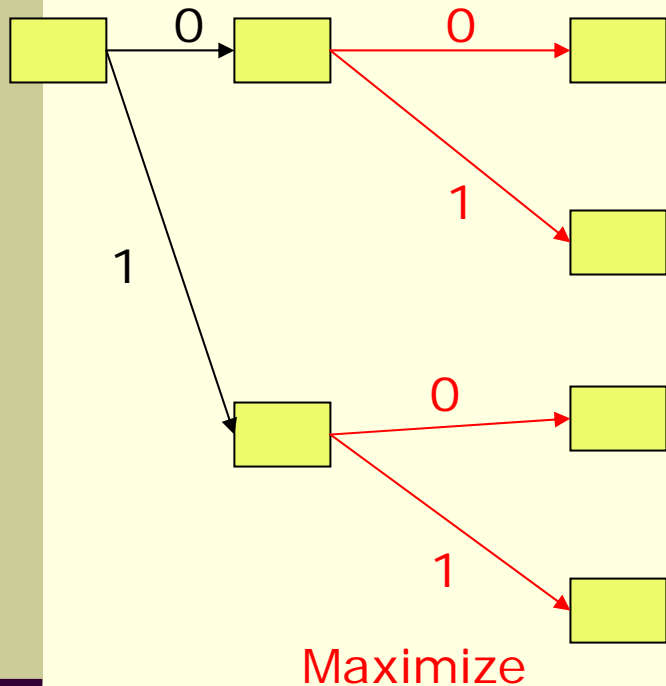
- One can extend Ungerboeck's rule into the trellis.



Maximize

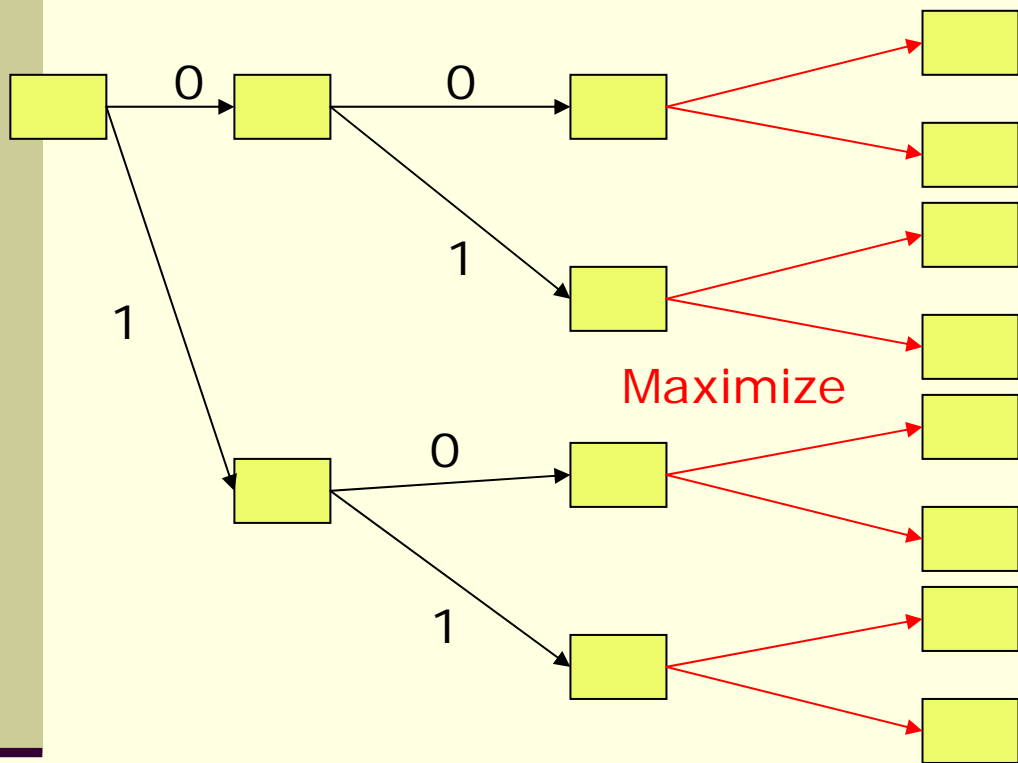
# Extending Ungerboeck's rule

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- One can extend Ungerboeck's rule into the trellis.



Note that by maximizing the distance between the 8 branches, coming from a split 2 trellis section before, we are maximizing all groups of 4 branches coming from a split in the previous trellis section, and all splits.

- The same idea can be applied for the merge, moving backwards in the trellis.
- If we remove  $h$  trellis sections forward from a split (including the split), and  $g$  sections backwards from a merge (including the merge), then:
 
$$d_{\min} \geq (w-1)(h+g) + v + 1$$

# Bit Error Bound for the Z-Channel

- We use the transfer function bound technique on [Viterbi '71] for linear codes, and extended by [Biglieri '90] for non-linear codes, modifying the pairwise error probability measure.
- Given two codewords  $X^n, \hat{X}^n$

$$P_e \left( X^n \rightarrow \hat{X}^n \right) + P_e \left( \hat{X}^n \rightarrow X^n \right) =$$

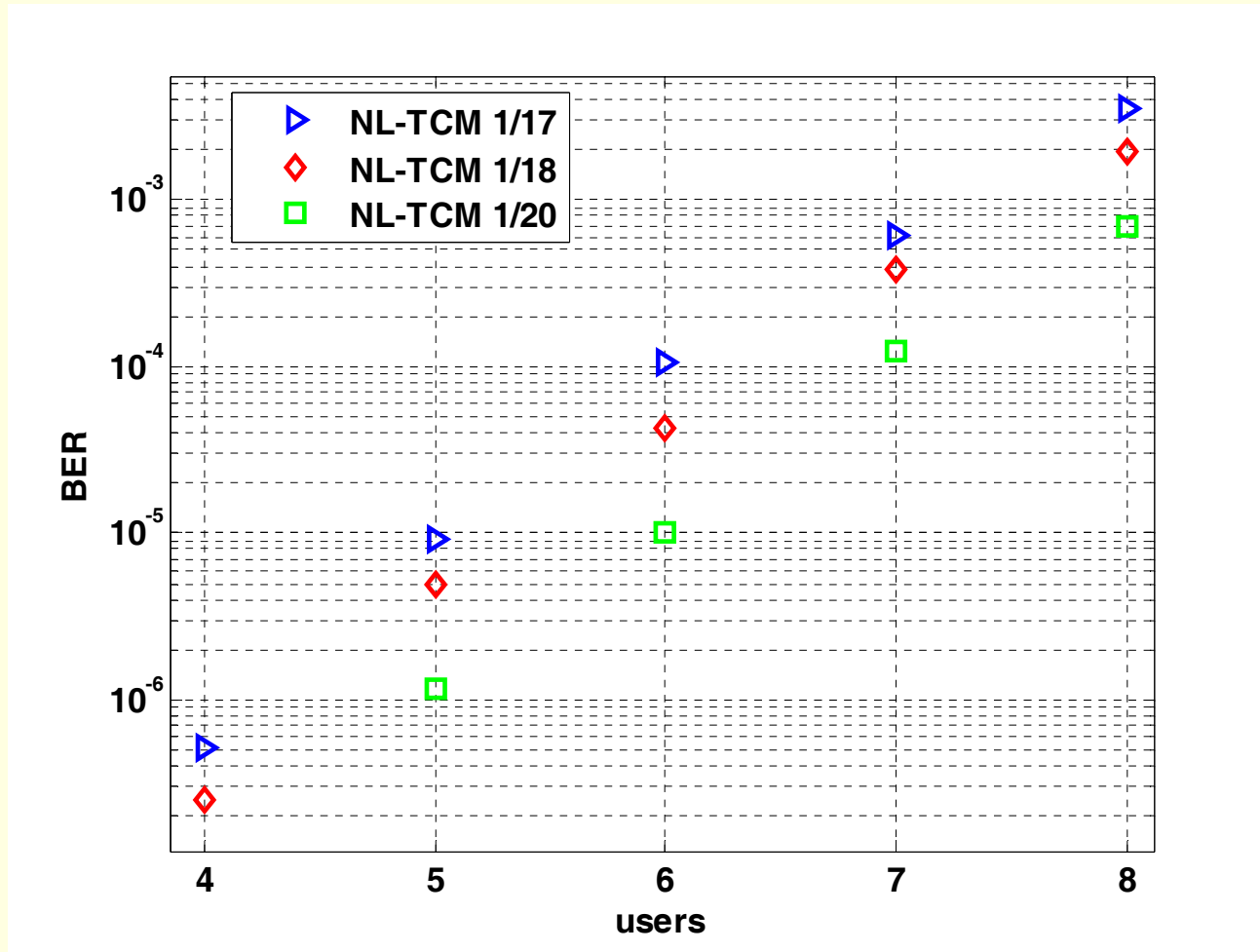
$$\alpha^{\max(d_D(X^n, \hat{X}^n), d_D(\hat{X}^n, X^n))} \leq \frac{\alpha^{d_D(X^n, \hat{X}^n)}}{2} + \frac{\alpha^{d_D(\hat{X}^n, X^n)}}{2}$$

- Replace  $P_e \left( X^n \rightarrow \hat{X}^n \right)$  with  $\frac{\alpha^{d_D(X^n, \hat{X}^n)}}{2}$  and the

transfer function bound technique can be readily applied to the NLTC to yield an upper bound to its BER over the Z-Channel.

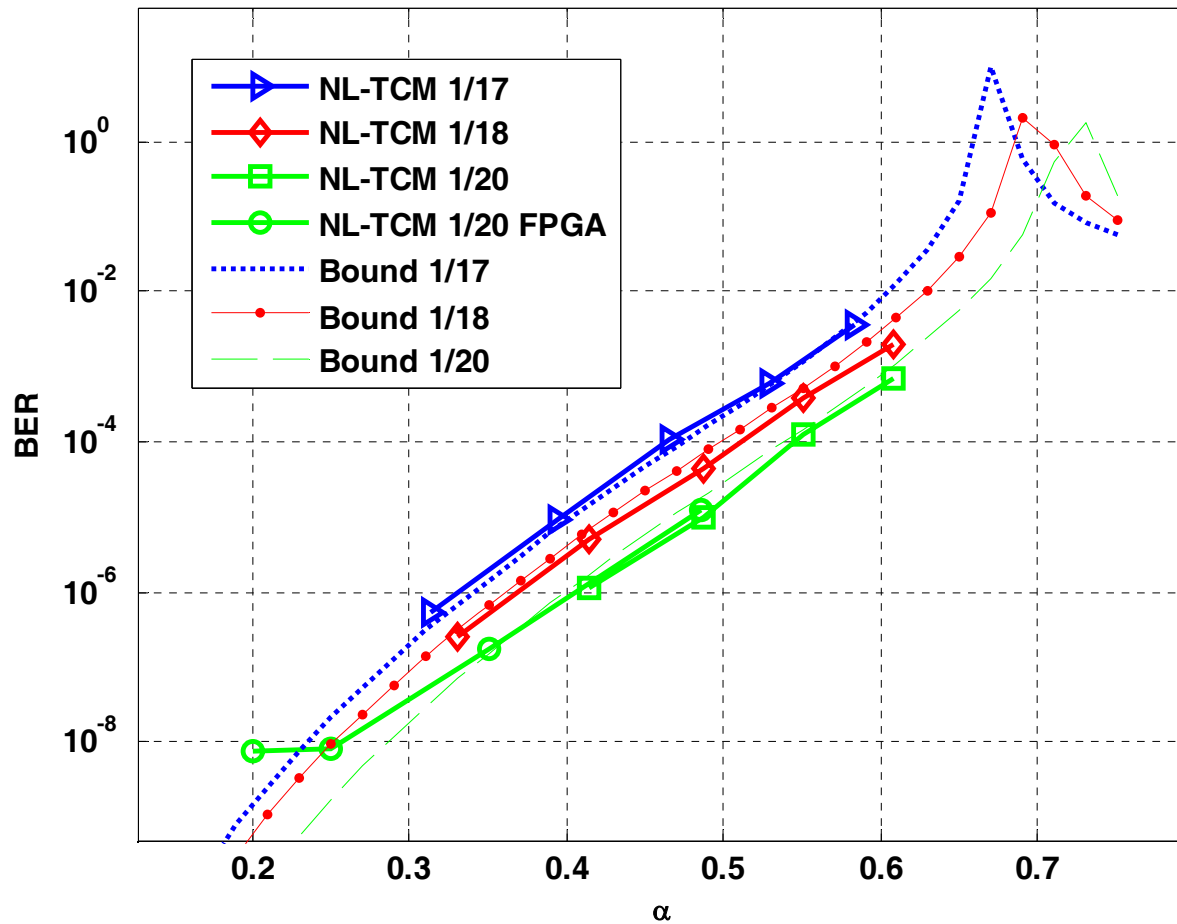
# Results : 6-user OR-MAC

- 64-State non-linear trellis code.





# Results : 6-user OR-MAC



# Large number of users

- Main result:

- For any number of users, we achieve the same sum-rate with similar performance.

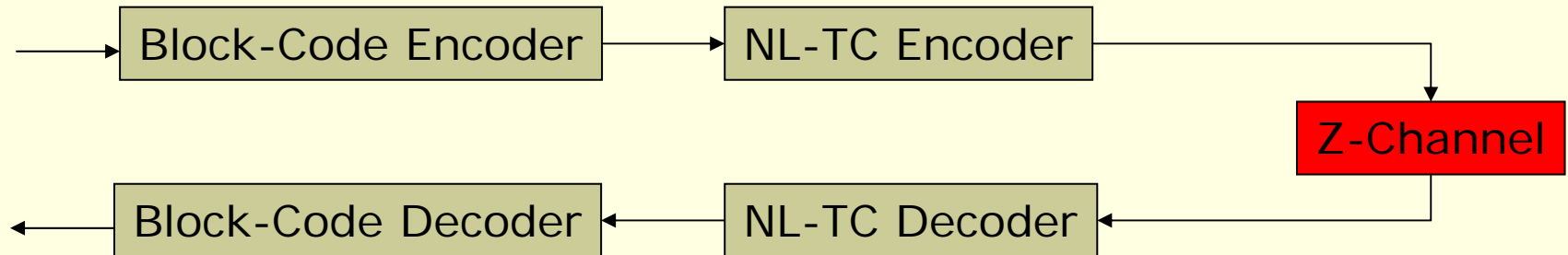
$N$	$n$	$SR$	$\alpha$	BER
6	20	0.3	0.439	$1.0214 \times 10^{-5}$
100	344	0.291	0.4777	$1.1046 \times 10^{-5}$
300	1000	0.3	0.4901	$1.2157 \times 10^{-5}$
900	3000	0.3	0.4906	$1.2403 \times 10^{-5}$
1500	5000	0.3	0.4907	$1.2508 \times 10^{-5}$

# Large number of users

- For any number of users we achieve the same sum-rate with similar performance.
- Intuitive explanation:
  - As the number of users increases:
  - The optimal ones density decreases.
  - The individual rate decreases:  $n$  increases.
  - The output Hamming weight  $w$  stays the same.
  - The cross-over probability  $\alpha$  increases.
  - We can extend further into the trellis Ungerboeck's idea, increasing the minimum distance.
  - There is a point in which all the outputs have maximum distance between each other, and the minimum distance code can no longer be increased. However,  $\alpha$  doesn't increase much either.

# Concatenation with Outer Block Code

- A concatenation of an NLTC with a high rate block code provides a very low BER, at low cost in terms of rate.

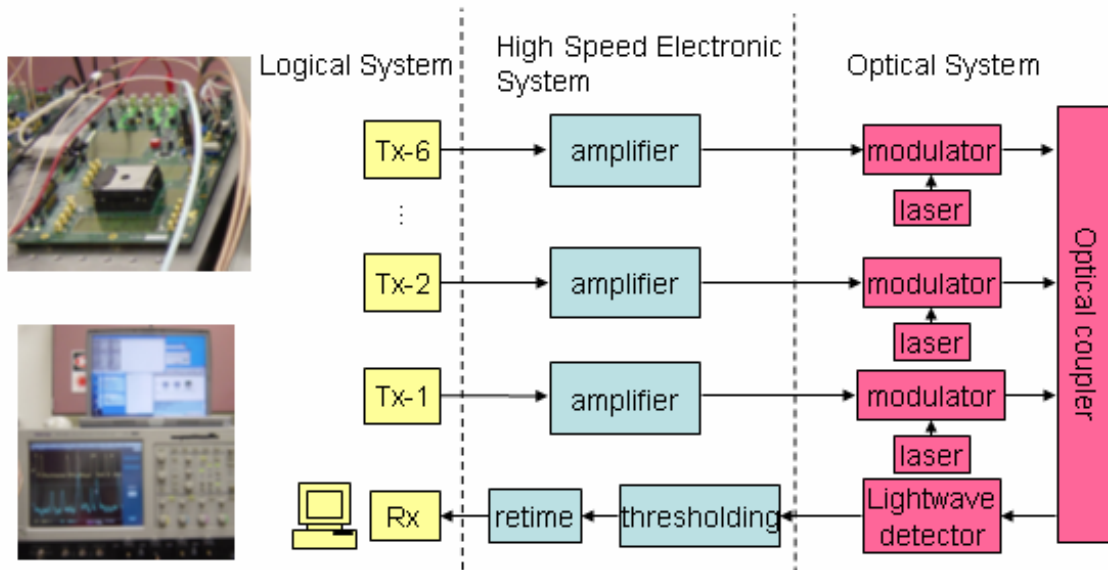


- **Results:**
  - A concatenation of the rate-1/20 NL-TCM code with (255 bytes, 247 bytes) Reed-Solomon code has been tested for the 6-user OR-MAC scenario.
  - This RS-code corrects up to 8 erred bits.

Rate	Sum-rate	$p$	$\alpha$	BER
0.0484	0.29	0.125	0.4652	$2.48 \times 10^{-10}$

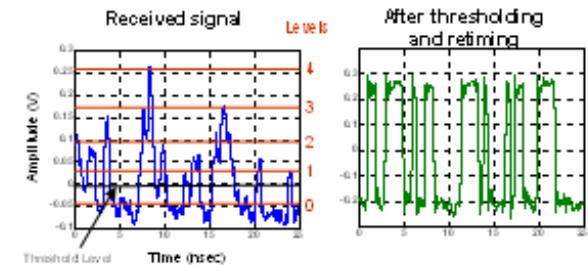
- Although we don't have simulations for the 100-user case, it may be inferred that a similar BER would be achieved.

# System Implementation



## Optical Receiver

- Lightwave detector converts optical signal to multilevel electrical signal
- Threshold level of flip flop converts to two level signal output
- Variable delay line matches clock phase to that of desired transmitter.



## Logic Implementation

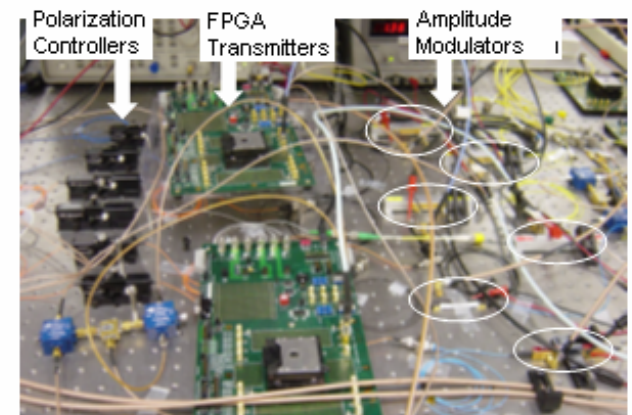
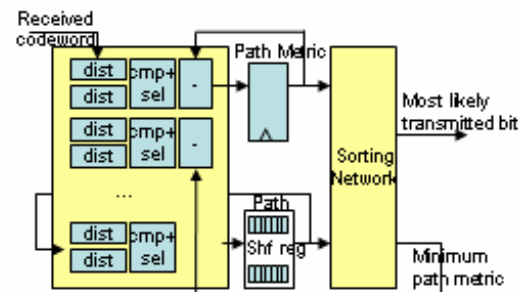
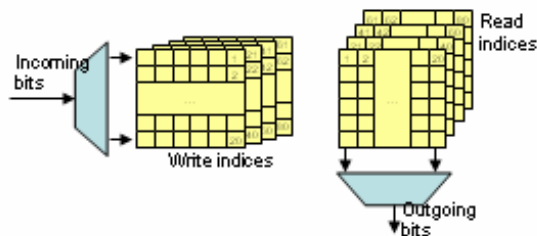
Channel coding implemented on VirtexII-Pro FPGAs

Interleaver:

- 1600 bit interleaver
- Use novel random write-by-row, read-by-column scheme

Trellis code:

- 64 state parallel Viterbi decoding
- Heavily pipelined



■ **Winner of 1<sup>st</sup> Prize on Student Design Contest organized jointly by the 2006 ACM-DAC and IEEE International Solid State Circuits.**

# Conclusions

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- We have presented an IDMA-based architecture, where every user treats the others as noise, to provide uncoordinated multiple access to the OR-Channel.
- The goal is to provide access to a large number of users with feasible complexity.
- Non-linear trellis codes
  - Very low complexity and latency, not capacity achieving.
  - Efficiency of 30% with very low BER ( $BER < 10^{-9}$ ) when concatenated with Reed-Solomon Code.
- Tight bit error bounds for NLTC over the Z-Channel have been presented.
- Real implementation for 6-user Optical MAC.

# Ongoing work

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- Non-linear turbo codes: parallel concatenation of NLTCs.
  - To be presented in Globecom'06.
  - We achieve similar BER at sum-rates of ~60%.
- More general models:
  - Allow 1-0 transitions: Binary Asymmetric Channel.
  - Soon to be submitted to *Trans. on Comm.*

Thank you!

