

## ORIGINAL ARTICLE

# Sex Hormone–Binding Globulin and Risk of Type 2 Diabetes in Women and Men

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## ABSTRACT

**BACKGROUND**

Circulating sex hormone–binding globulin levels are inversely associated with insulin resistance, but whether these levels can predict the risk of developing type 2 diabetes is uncertain.

**METHODS**

We performed a nested case–control study of postmenopausal women in the Women’s Health Study who were not using hormone therapy (359 with newly diagnosed type 2 diabetes and 359 controls). Plasma levels of sex hormone–binding globulin were measured; two polymorphisms of the gene encoding sex hormone–binding globulin, *SHBG*, that were robustly associated with the protein levels were genotyped and applied in mendelian randomization analyses. We then conducted a replication study in an independent cohort of men from the Physicians’ Health Study II (170 with newly diagnosed type 2 diabetes and 170 controls).

**RESULTS**

Among women, higher plasma levels of sex hormone–binding globulin were prospectively associated with a lower risk of type 2 diabetes: multivariable odds ratios were 1.00 for the first (lowest) quartile of plasma levels, 0.16 (95% confidence interval [CI], 0.08 to 0.33) for the second quartile, 0.04 (95% CI, 0.01 to 0.12) for the third quartile, and 0.09 (95% CI, 0.03 to 0.21) for the fourth (highest) quartile ( $P < 0.001$  for trend). These prospective associations were replicated among men (odds ratio for the highest quartile of plasma levels vs. the lowest quartile, 0.10; 95% CI, 0.03 to 0.36;  $P < 0.001$  for trend). As compared with homozygotes of the respective wild-type allele, carriers of a variant allele of the *SHBG* single-nucleotide polymorphism (SNP) rs6259 had 10% higher sex hormone–binding globulin levels ( $P = 0.005$ ), and carriers of an rs6257 variant had 10% lower plasma levels ( $P = 0.004$ ); variants of both SNPs were also associated with a risk of type 2 diabetes in directions corresponding to their associated sex hormone–binding globulin levels. In mendelian randomization analyses, the predicted odds ratio of type 2 diabetes per standard-deviation increase in the plasma level of sex hormone–binding globulin was 0.28 (95% CI, 0.13 to 0.58) among women and 0.29 (95% CI, 0.15 to 0.58) among men, a finding that suggests that sex hormone–binding globulin may have a causal role in the risk of type 2 diabetes.

**CONCLUSIONS**

Low circulating levels of sex hormone–binding globulin are a strong predictor of the risk of type 2 diabetes in women and men. The clinical usefulness of both *SHBG* genotypes and plasma levels in stratification and intervention for the risk of type 2 diabetes warrants further examination.

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STUDIES SINCE THE MID-1990S HAVE SUGGESTED that sex hormone-binding globulin may have biologic functions beyond simply regulation of the levels of free sex hormones.<sup>1-3</sup> Classically, the primary function of sex hormone-binding globulin was thought to be the binding of circulating hormones in order to affect the bioavailable fraction and sequester circulating androgens and estrogens, in particular, from biologic action. However, emerging experimental evidence indicates that even sex hormones bound to sex hormone-binding globulin may directly mediate cell-surface signaling, cellular delivery, and biologic action of sex hormones.<sup>1-5</sup> Moreover, clinical studies have associated low circulating levels of sex hormone-binding globulin with impaired glucose control,<sup>6-9</sup> implicating the globulin in the maintenance of glucose homeostasis. In addition, strong associations, recently reported, between plasma levels of sex hormones and the risk of type 2 diabetes show associations of similar magnitude for free sex hormones and total sex hormones,<sup>10</sup> further indicating the bioactivity of both free and bound fractions. However, long-term prospective studies examining the role of sex hormone-binding globulin in the development of type 2 diabetes remain limited, particularly among women.<sup>6</sup>

Previous studies indicate that genetic variation may influence circulating levels of sex hormone-binding globulin.<sup>11-14</sup> Several polymorphisms in the human sex hormone-binding globulin (*SHBG*) gene have been found to be associated with circulating levels of sex hormone-binding globulin,<sup>15-19</sup> insulin resistance,<sup>20</sup> and other sex hormone-dependent conditions such as reduced bone mineral density,<sup>19</sup> breast cancer,<sup>4,21</sup> and prostate cancer.<sup>22</sup> In particular, the exon 8 single-nucleotide polymorphism (SNP) rs6259, encoding an amino acid substitution of asparagine for aspartic acid at position 356 (D356N),<sup>22</sup> has been associated with increased plasma levels of sex hormone-binding globulin.<sup>16,22</sup> However, prospective data examining *SHBG* polymorphisms and the risk of type 2 diabetes are lacking.

We investigated the relations of plasma levels of sex hormone-binding globulin and *SHBG* polymorphisms with the risk of type 2 diabetes in a prospective study of postmenopausal women. If *SHBG* germ-line variants were found to be predictive of both the risk of type 2 diabetes and the plasma level of sex hormone-binding globulin, we planned to further evaluate the association between plasma levels of sex hormone-binding

globulin and the risk of type 2 diabetes by using mendelian randomization with *SHBG* genotypes as instruments to minimize residual confounding and reverse causation (since genotypes are thought to be independent of confounders and not to be modified by disease processes). (The relevant principles, assumptions, and specific methods of the mendelian randomization approach are detailed in the Supplementary Appendix, available with the full text of this article at NEJM.org.) To confirm relations between *SHBG* genotypes, plasma levels of sex hormone-binding globulin, and risk of type 2 diabetes, we conducted replication analyses in an independent cohort of men.

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## METHODS

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### STUDY POPULATION

The Women's Health Study, begun in 1993, is a randomized, double-blind, placebo-controlled, 2-by-2 factorial study of low-dose aspirin and vitamin E for the primary prevention of cardiovascular disease and cancer in 39,876 female health professionals in the United States who, at enrollment, were 45 years of age or older and did not have diabetes, cancer (other than nonmelanoma skin cancer), or cardiovascular disease.<sup>23</sup> A total of 28,345 women provided blood samples at baseline; 12,304 were postmenopausal and were not using hormone-replacement therapy at the time of blood collection. These 12,304 women were included in our study because hormone-replacement therapy influences plasma levels of sex hormones and sex hormone-binding globulin. During a 10-year follow-up period, we identified 366 cases of newly diagnosed type 2 diabetes. Using risk-set sampling,<sup>24</sup> we randomly selected controls from among women who remained free from type 2 diabetes and matched them to case patients, in a 1:1 ratio, according to age (within 1 year), duration of the follow-up period (within 1 month), self-reported race, and fasting status at the time of blood draw (with 72% of patients fasting, defined as there having been at least 10 hours since the previous meal). On the basis of these criteria, 359 case patients and 359 matched controls were selected from the Women's Health Study cohort.

A replication study was conducted within the Physicians' Health Study II of men<sup>25</sup> (for details, see the Supplementary Appendix). Of the 14,641 Physicians' Health Study II participants, 11,130 provided blood samples at baseline. During 8 years

of follow-up, diabetes developed in 170 initially healthy men. Risk-set sampling identical to that used in the Women's Health Study was applied to prospectively selected controls from the cohort person-time. Controls were randomly selected to match cases, in a 1:1 ratio, according to age (within 1 year), duration of the follow-up period (within 1 month), self-reported race, and time of blood draw. On the basis of these criteria, 170 cases and 170 controls were selected.

All participants in the Women's Health Study and the Physicians' Health Study II provided written informed consent before enrollment. This study was approved by the research review boards of Partners HealthCare and the University of California at Los Angeles (UCLA).

#### LABORATORY PROCEDURES

Plasma samples were stored in liquid nitrogen tanks until analysis. Matched case and control specimens were handled identically and were assayed in random order within each pair in the same analytical run for each cohort. Laboratory personnel were unaware of the case-control status during all assays. Plasma levels of sex hormone-binding globulin were measured with the use of a chemiluminescent immunoassay (with an Elecsys 2010 autoanalyzer, Roche Diagnostics), validated for plasma sex hormone-binding globulin.<sup>26</sup> The coefficient of variation for sex hormone-binding globulin data among blinded quality-control samples was 2.8%. Genotyping of *SHBG* polymorphisms of women was conducted at the Harvard Cancer Center's High-Throughput Polymorphism Detection Core laboratory. Replication genotyping in men was conducted through the Program on Genomics and Nutrition at UCLA. Detailed methods of SNP selection and genotyping are described in the Supplementary Appendix. Overall, five SNPs were genotyped in women (with a success rate of  $\geq 95\%$ ). Three noninformative SNPs were excluded from analysis: the rs6260 and rs9282845 loci had a minor-allele frequency of 0%, and rs6258 had a minor-allele frequency of less than 1%. Two informative SNPs, rs6257 and rs6259, were included in our study and were included for genotyping in the replication study (with a success rate of  $>99\%$ ). The frequencies of the genotypes were found to be consistent with Hardy-Weinberg equilibrium among controls ( $P > 0.05$  for all comparisons).

#### STATISTICAL ANALYSIS

Baseline characteristics were compared between case patients and controls using mixed-effects regression analysis for clustered data and conditional logistic-regression analysis. We divided the distributions of plasma levels of sex hormone-binding globulin among controls into quartiles and compared baseline characteristics across the quartiles. Because the incidence-density sampling method was used to match controls to case patients on the basis of the cohort person-time,<sup>24</sup> odds ratios (and 95% confidence intervals) for type 2 diabetes were computed by means of conditional logistic-regression analysis. Trend tests were computed to study the relations across increasing quartiles. In the primary multivariable model, we adjusted for the factors used in matching controls and case patients, as well as the body-mass index (BMI, treated as a continuous variable); smoking status; alcohol consumption; degree of exercise; presence or absence of family history of diabetes, history of hypertension, and past hormone-replacement therapy; years of oral contraceptive use and multivitamin use; years since menopause; and cause of menopause (see the Supplementary Appendix). To assess confounding by reproductive and sociologic covariates in a sensitivity analysis, we fit an expanded model to further adjust for age at menarche, total number of pregnancies, number of pregnancies lasting 6 months or more, age at first pregnancy of 6 months' gestation or more, marital status, and educational level. Similar analyses were carried out in men, with adjustment for BMI, smoking status, alcohol consumption, degree of exercise, systolic blood pressure, current use or nonuse of multivitamins, and presence or absence of family history of diabetes. Further sensitivity analyses excluded data for case patients in whom type 2 diabetes developed during the first 3 years of the follow-up period and accounted for waist circumference and baseline C-reactive protein (CRP) and glycated hemoglobin values. Owing to the apparent linearity of the observed associations and for parsimony, we expressed the odds ratio per natural-log standard-deviation increase when assessing the effect modification of BMI, past use of hormone-replacement therapy, years since menopause, and history of hypercholesterolemia or family history of diabetes. Geometric means and relative mean differences of plasma levels of

sex hormone-binding globulin between genotypes were calculated using linear regression analysis. Results between the sexes were pooled in random-effects models.

According to the mendelian law of independent assortment, genetic variants should be distributed independently and randomly with respect to other genetic variants, assuming no linkage disequilibrium or population stratification.<sup>27,28</sup> Since environmental and lifestyle covariates were evenly distributed at baseline across SHBG genotypes, we used genetic variants as ran-

domization instruments to estimate the potential causal association between plasma levels of sex hormone-binding globulin and the risk of type 2 diabetes. As instrumental variables, these SHBG variants seem to satisfy the three main criteria in mendelian randomization analysis: that the genotypes should be robustly associated with the intermediate phenotype, should not be associated with confounding factors that may bias the association between the intermediate phenotype and disease outcome, and should exert its effect on the clinical outcome only through the

**Table 1. Baseline Characteristics of Case Patients and Controls.\***

Characteristic	Case Patients	Controls	P Value†
<b>Women</b>			
No. of participants	359	359	
Age — yr	60.3±6.1	60.3±6.1	
White race — %‡	92.5	92.5	
Body-mass index§	30.9±6.1	26.0±4.9	<0.001
Alcohol use — g/day	2.62±7.4	4.19±8.3	0.007
Current smoking — %	14.2	13.7	0.83
Strenuous physical activity ≥once/wk — %	30.7	38.7	0.06
Past postmenopausal hormone use — %	32.0	27.9	0.22
Any oral contraceptive use — %	49.9	47.1	0.23
Age at menopause — yr	48.0±6.1	48.0±5.7	0.92
Time since menopause — yr	12.3±8.2	12.1±7.9	0.88
Natural cause of menopause — %	63.0	69.4	0.08
Age at menarche, <12 yr — %	25.4	21.7	0.46
Age at first pregnancy of ≥6-mo gestation, <25 yr — %	56.8	48.8	0.05
Family history of diabetes — %	48.5	24.0	<0.001
History of hypertension — %	50.1	30.4	<0.001
≥5 Pregnancies — %	30.1	34.0	0.10
Currently married — %	63.5	65.2	0.15
College graduate — %	30.4	38.7	0.05
SHBG — nmol/liter	22.3±13.8	36.9±17.4	<0.001
SHBG SNP — no./total no. with data (%)			
rs6257			0.08
Variant allele C	76/337 (22.6)	65/344 (18.9)	
Wild-type allele T	261/337 (77.4)	279/344 (81.1)	
rs6258			0.91
Variant allele T	2/339 (0.6)	4/347 (1.2)	
Wild-type allele C	337/339 (99.4)	343/347 (98.8)	
rs6259			0.33
Variant allele A	66/342 (19.3)	82/337 (24.3)	
Wild-type allele G	276/342 (80.7)	255/337 (75.7)	

Table 1. (Continued.)			
Characteristic	Case Patients	Controls	P Value†
<b>Men</b>			
No. of participants	170	170	
Age — yr	63.7±7.6	63.7±7.6	
White race — %‡	85.3	85.3	
Body-mass index§	28.9±3.9	25.5±3.4	<0.001
Alcohol use of ≥1 drink/wk — %	61.2	62.4	0.16
Current smoking — %	6.5	1.2	0.06
Vigorous physical activity ≥1 day/wk — %	55.3	65.9	0.34
Current multivitamin use — %	27.7	27.1	0.90
Systolic blood pressure — mm Hg	133±14	127±11	<0.001
Hyperlipidemia — %	67.1	58.8	0.09
Family history of diabetes — %	32.9	17.1	0.05
SHBG — nmol/liter	19.6±7.2	27.3±10.7	<0.001
SHBG SNP — no./total no. with data (%)			
rs6257			0.64
Variant allele C	33/167 (19.8)	29/164 (17.7)	
Wild-type allele T	134/167 (80.2)	135/164 (82.3)	
rs6259			0.98
Variant allele A	27/167 (16.2)	41/163 (25.2)	
Wild-type allele G	140/167 (83.8)	122/163 (74.8)	

\* Plus-minus values are means ±SD. SHBG denotes sex hormone-binding globulin, and SNP single-nucleotide polymorphism.

† P values for continuous variables were calculated by means of mixed-effects models used to determine the mean difference between case patients and controls; for categorical variables, by means of tests for homogeneity across levels, from conditional logistic-regression analysis; and for SNPs, by means of tests of Hardy-Weinberg equilibrium among controls. P values are not shown for the variables used to match case patients and controls (age and race).

‡ Race was self-reported.

§ The body-mass index is the weight in kilograms divided by the square of the height in meters.

specific intermediate phenotype.<sup>29</sup> If all these assumptions are satisfied, the coefficient estimates based on the use of SHBG genotypes as instruments would be unconfounded.<sup>28,30</sup> The mendelian randomization estimate was computed from the ratio of the coefficient of the association between genotype and disease to that of the association between genotype and sex hormone-binding globulin; the estimate reflects the potential causal effect of sex hormone-binding globulin levels on the risk of type 2 diabetes<sup>27</sup> (see the Supplementary Appendix).

We used the qvf command, with Murphy-Topel variance, for generalized linear models with instrumental variables<sup>31</sup> to fit the data to regression models for plasma levels of sex hormone-binding globulin and logistic-regression models

for type 2 diabetes using SHBG germ-line variants as randomized instruments. Finally, prediction analyses were conducted with the use of receiver-operating-characteristic curves and C statistics to assess the relative predictive ability of sex hormone-binding globulin beyond that of traditional risk factors. All analyses were conducted using Stata software, version 9.2.

## RESULTS

As expected, women in whom type 2 diabetes developed (case patients) generally had more adverse risk profiles at baseline than those who remained free of the disease (controls) (Table 1). Cross-sectional analyses at baseline revealed that higher levels of sex hormone-binding globulin were as-

**Table 2. Baseline Characteristics of Female Controls, According to Sex Hormone–Binding Globulin (SHBG) Level.\***

Characteristic	SHBG Quartile				P Value
	1 (lowest)	2	3	4 (highest)	
SHBG (nmol/liter)					
Median	17.1	29.3	39.0	55.8	
Range	5.8–24.7	24.8–34.6	34.7–44.3	44.4–122.4	
Median age (yr)	60.4	60.0	59.5	61.7	0.12
Median time since menopause (yr)	10.1	9.3	10.1	13.3	0.24
Age at menarche, <12 yr (%)	25.3	12.5	23.6	24.7	0.93
Median body-mass index†	28.3	26.2	24.2	23.7	<0.001
Median alcohol use (g/day)	0	0.4	0.9	0.9	0.91
Current smoking (%)	12.1	6.8	20.2	14.6	0.20
Physical activity ≥once/wk (%)	34.1	43.2	33.7	44.9	0.31
Past postmenopausal hormone use (%)	27.5	23.9	31.5	28.1	0.66
Pregnancies, ≥5 (%)	30.8	33.0	37.1	36.0	0.49
Natural cause of menopause (%)	70.3	69.3	61.8	76.4	0.63
History of hypertension (%)	47.2	28.4	20.2	25.8	0.001
Family history of diabetes (%)	27.5	23.9	19.1	25.8	0.63
Median fasting LDL cholesterol (mg/dl)	143	140	129	128	<0.001
Median fasting HDL cholesterol (mg/dl)	47	49	53	57	<0.001
Median fasting triglycerides (mg/dl)	150	118	82	88	<0.001
Median C-reactive protein (mg/liter)	3.1	1.6	1.5	1.0	<0.001
Median glycated hemoglobin (%)	5.13	5.06	5.02	5.09	0.12

\* HDL denotes high-density lipoprotein, and LDL low-density lipoprotein. To convert the values for cholesterol to millimoles per liter, multiply by 0.02586. To convert the values for triglycerides to millimoles per liter, multiply by 0.01129.

† The body-mass index is the weight in kilograms divided by the square of the height in meters.

sociated with lower BMI, a lower likelihood of having a history of hypertension, and more favorable lipid-profile and CRP levels (Table 2). Elevated levels of sex hormone–binding globulin were strongly and consistently associated with a reduced risk of type 2 diabetes (P for trend, <0.001) in both simple and multivariable analyses. Regardless of adjustment for a wide range of covariates or analysis through multiple sensitivity analyses, these findings did not materially change in direction or magnitude. The odds ratios of type 2 diabetes for quartiles 2, 3, and 4 (highest) of the sex hormone–binding globulin level, as compared with quartile 1 (lowest) were 1.00, 0.16 (95% confidence interval [CI], 0.08 to 0.33), 0.04 (95% CI, 0.01 to 0.12), and 0.09 (95% CI, 0.03 to 0.21), respectively (P value for trend, <0.001) (Table 3). In our independent replication study in men, results also corroborated the strong inverse association between sex hormone–binding globulin levels and risk of type 2 diabetes (odds ratio for the highest

vs. the lowest quartile, 0.10; 95% CI, 0.03 to 0.36); these findings remained highly robust in multiple sensitivity analyses (Table 3) and were consistent across subgroups (see the Supplementary Appendix).

In genotype analyses, neither the rs6257 nor the rs6259 SNP had genotype distributions deviating from Hardy–Weinberg equilibrium among controls. The pairwise linkage disequilibrium between rs6257 and rs6259 was minimal ( $r^2=0.13$ ,  $P=0.02$ ). Although the rs6257 and rs6259 variants explained 2.2% of the variance in plasma levels of sex hormone–binding globulin, this statistic is not the only measure of instrument strength. Most importantly, carriers of an rs6257 variant allele (CC or CT) had a 10% lower plasma level of sex hormone–binding globulin than the wild-type homozygotes (TT) ( $P=0.004$ ), and carriage of a variant allele appeared to increase the risk of type 2 diabetes among both men and women. In contrast, carriers of an rs6259 variant al-

**Table 3. Risk of Type 2 Diabetes among Women and Men, According to Sex Hormone-Binding Globulin (SHBG) Level.\***

Variable	SHBG Quartile				P Value for Trend
	1 (lowest)	2	3	4 (highest)	
<b>Women</b>					
Median SHBG level — nmol/liter (range)	17.1 (5.8–24.7)	29.3 (24.8–34.6)	39.0 (34.7–44.3)	55.8 (44.4–122)	
No. of participants — case patients/controls†	267/91	49/88	19/89	24/89	
Simple model 1 — odds ratio (95% CI)	1.00	0.26 (0.11–0.33)	0.08 (0.04–0.16)	0.12 (0.05–0.25)	<0.001
Multivariable model 2 — odds ratio (95% CI)	1.00	0.16 (0.08–0.33)	0.04 (0.01–0.12)	0.09 (0.03–0.21)	<0.001
Sensitivity model — odds ratio (95% CI)					
Multivariable + reproductive and sociologic covariates	1.00	0.11 (0.05–0.24)	0.03 (0.01–0.10)	0.08 (0.02–0.27)	<0.001
Multivariable + waist circumference	1.00	0.16 (0.07–0.34)	0.04 (0.01–0.12)	0.09 (0.03–0.23)	<0.001
Multivariable + C-reactive protein	1.00	0.18 (0.08–0.39)	0.05 (0.01–0.14)	0.11 (0.04–0.27)	<0.001
Multivariable + fasting LDL and HDL cholesterol and triglycerides	1.00	0.22 (0.09–0.51)	0.06 (0.02–0.24)	0.16 (0.06–0.45)	<0.001
Multivariable + glycated hemoglobin	1.00	0.22 (0.09–0.50)	0.06 (0.02–0.19)	0.12 (0.04–0.37)	<0.001
Multivariable (excluding first 3 yr of follow-up)	1.00	0.16 (0.06–0.38)	0.04 (0.01–0.12)	0.06 (0.02–0.19)	<0.001
<b>Men</b>					
Median SHBG level — nmol/liter (range)	15.2 (4.4–19.4)	22.2 (19.4–25.7)	29.7 (25.8–33.9)	38.0 (34.2–75.7)	
No. of participants — case patients/controls	92/43	47/42	24/43	7/42	
Simple model 1 — odds ratio (95% CI)	1.00	0.62 (0.31–1.23)	0.36 (0.16–0.82)	0.11 (0.03–0.37)	<0.001
Multivariable model 2 — odds ratio (95% CI)	1.00	0.48 (0.22–1.03)	0.41 (0.15–1.14)	0.10 (0.03–0.36)	<0.001
Sensitivity model — odds ratio (95% CI)					
Multivariable + glycated hemoglobin	1.00	0.39 (0.08–1.97)	0.21 (0.01–3.37)	0.10 (0.01–0.75)	0.02
Multivariable (excluding first 2 yr of follow-up)	1.00	0.63 (0.26–1.53)	0.38 (0.11–1.37)	0.08 (0.01–0.69)	<0.001

\* Simple model 1 was adjusted for body-mass index (BMI) and three matching factors: age, self-reported race, and fasting status. For women, multivariable model 2 was adjusted for BMI; the three matching factors; smoking status; alcohol use; degree of exercise; presence or absence of hypertension, family history of diabetes, past use of hormone-replacement therapy, and multivitamin use; years of oral contraceptive use and years since menopause; and cause of menopause. Sensitivity models for women were adjusted as for model 2 plus age at menarche, number of pregnancies, age at first pregnancy of  $\geq 6$  months' gestation, marital status, and educational level. For men, multivariable model 2 was adjusted for BMI; the three matching factors; smoking status; degree of exercise; alcohol use; presence or absence of multivitamin use, hyperlipidemia, and family history of diabetes; and blood pressure. HDL denotes high-density lipoprotein, and LDL low-density lipoprotein.

† Data for two controls were missing and were not included.

lele (AA or AG) had a 10% higher plasma level of sex hormone-binding globulin ( $P=0.005$ ) and a lower risk of type 2 diabetes (Table 4).

Owing to the low linkage disequilibrium between these two variant SNPs, we also conducted a joint association analysis involving stratification on the basis of the genotypes of rs6257 and rs6259, rather than a haplotype analysis. The findings indicated the independent and additive effects of rs6257 and rs6259 on plasma levels of sex hormone-binding globulin and on the risk of type 2 diabetes (Fig. 1). The presence of variant alleles of both SNPs yielded a difference of

20% (95% CI, 6 to 35) in plasma levels of sex hormone-binding globulin. Furthermore, consistent with differences in plasma levels of sex hormone-binding globulin, participants with the rs6257 wild-type genotype (TT) and a rs6259 variant genotype (AG or AA) (reflecting 21.4% of controls) had a lower risk of type 2 diabetes than those carrying an rs6257 variant allele (CT or CC genotype) and the rs6259 wild-type genotype (GG) (representing 15.6% of controls) (odds ratio, 0.43; 95% CI, 0.22 to 0.87) (Fig. 1). No association was observed between SHBG polymorphisms and BMI in these two cohorts, indicating that the ef

**Table 4. Plasma Sex Hormone–Binding Globulin (SHBG) Levels and Risk of Type 2 Diabetes among the Study Participants, According to Polymorphisms in the SHBG Gene.\***

Variable	SHBG Genotype					
	rs6259			rs6257		
	GG (wild-type)	AG or AA (variant)	P value	TT (wild-type)	CT or CC (variant)	P value
<b>SHBG level</b>						
Relative mean difference, women and men — odds ratio (95% CI)	1.00	1.10 (1.03–1.18)	0.005	1.00	0.90 (0.84–0.97)	0.004
<b>Women only</b>						
Mean (95% CI) — nmol/liter	25.1 (24.0–26.1)	27.1 (25.2–29.3)		26.3 (25.2–27.3)	23.4 (21.5–25.4)	
Relative mean difference — odds ratio (95% CI)	1.00	1.08 (0.99–1.18)		1.00	0.89 (0.81–0.98)	
<b>Men only</b>						
Mean (95% CI) — nmol/liter	21.8 (20.7–23.0)	24.7 (22.6–27.0)		22.8 (21.7–23.9)	20.9 (19.1–22.8)	
Relative mean difference — odds ratio (95% CI)	1.00	1.13 (1.02–1.25)		1.00	0.92 (0.83–1.01)	
<b>Risk of type 2 diabetes, women and men — odds ratio (95% CI)†</b>						
Model 1	1.00	0.66 (0.47–0.93)	0.02	1.00	1.39 (0.94–2.04)	0.09
Model 2	1.00	0.68 (0.45–1.02)	0.06	1.00	1.68 (1.07–2.64)	0.02

\* The relative mean difference is the ratio of the means between the variant and wild-type genotypes.  $P > 0.50$  for all comparisons between women and men.

† Model 1 was adjusted for body-mass index (BMI) and three matching factors: age, self-reported race, and fasting status. Model 2 was adjusted for BMI and the three matching factors, as well as smoking status; alcohol use; degree of exercise; presence or absence of history of hypertension, family history of diabetes, and multivitamin use; plus (in women) presence or absence of past hormone-replacement therapy and years of oral contraceptive use.

fects of the SHBG gene on the risk of type 2 diabetes may be independent from the effect of BMI.

Using rs6257 and rs6259 alleles as instruments in mendelian randomization analysis, we ascertained that the predicted odds ratio of type 2 diabetes per natural-log standard-deviation increase in the plasma level of sex hormone–binding globulin was 0.28 (95% CI, 0.13 to 0.58) in women and 0.29 (95% CI, 0.15 to 0.58) in men (Table 5). These highly concordant estimates were virtually identical to odds ratios obtained through conventional multivariable analyses and were consistently observed in both women and men, indicating negligible residual confounding of sex hormone–binding globulin level and risk of type 2 diabetes in these two cohorts.

We further conducted a relative receiver-operating-characteristic analysis to determine whether the plasma level of sex hormone–binding globulin could classify case patients with type 2 diabetes and controls more accurately than multiple established risk factors. Plasma sex hormone–binding globulin improved the relative prediction

of type 2 diabetes in all models ( $P < 0.001$  for all comparisons), including the base model comprising traditional risk factors, an expanded model comprising traditional risk factors plus CRP, an expanded model comprising traditional risk factors plus glycated hemoglobin, and a comprehensive model that included traditional risk factors, CRP, and glycated hemoglobin (see the Supplementary Appendix).

## DISCUSSION

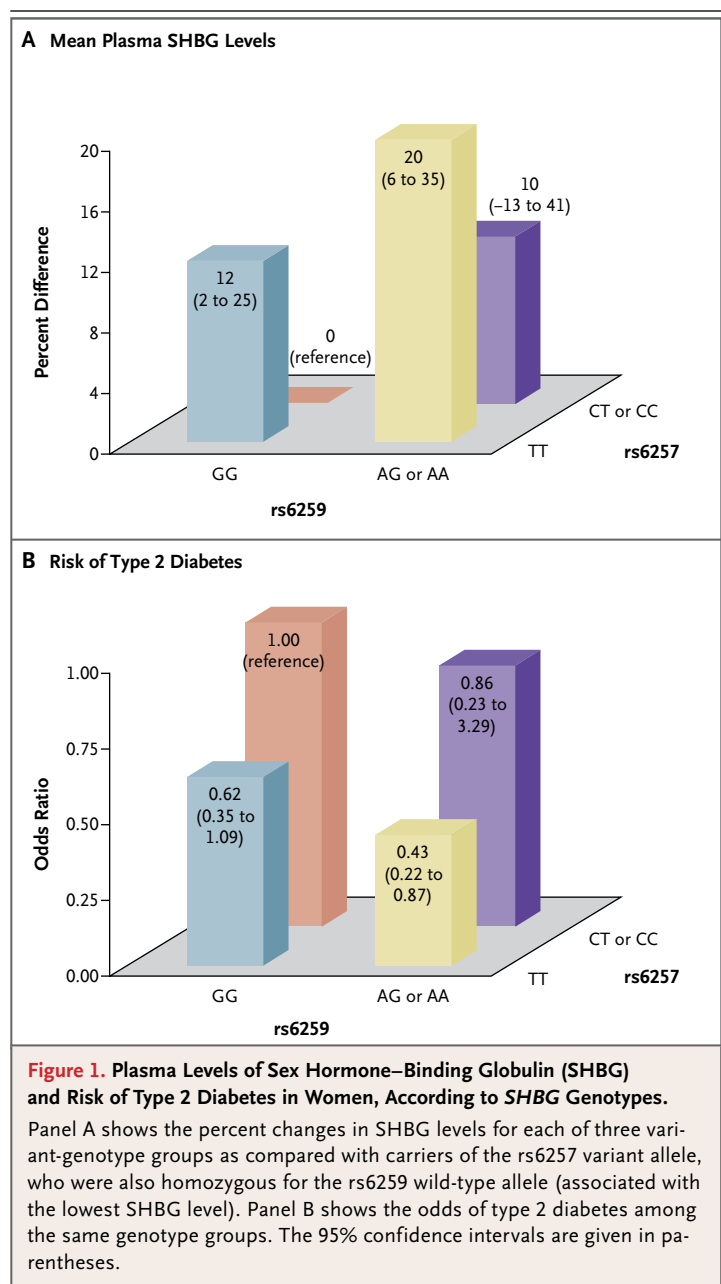
There are four main findings regarding sex hormone–binding globulin and the risk of type 2 diabetes from these two prospective studies. First, the risk of type 2 diabetes among participants with sex hormone–binding globulin levels in the highest quartile appeared to be only one tenth the risk among those with levels in the lowest quartile. Second, the rs6257 and rs6259 SHBG polymorphisms were consistently associated with plasma levels of sex hormone–binding globulin and were predictive of risk of type 2 diabetes in



directions corresponding to their effects on plasma sex hormone-binding globulin levels. Third, the strong relation between plasma levels of sex hormone-binding globulin and risk of type 2 diabetes was confirmed both in standard multivariable analyses and in mendelian randomization analyses in which suitable genetic variants were used as randomization instruments. Finally, plasma levels of the globulin appeared to have a predictive ability for the risk of type 2 diabetes beyond that of traditional risk factors, including glycated hemoglobin and CRP.

Our prospective findings for plasma levels of sex hormone-binding globulin levels and risk of type 2 diabetes are consistent with results from previous cross-sectional studies of diabetes.<sup>6,8</sup> Sex hormone-binding globulin may play an important role in the pathogenesis of type 2 diabetes, by modulating the biologic effects of sex hormones (testosterone and estrogen) on peripheral tissues (i.e., liver, muscle, and fat). Studies suggest that sex hormones bound to sex hormone-binding globulin may also be biologically active, amplifying their signaling, endocytosis, or overall biologic actions.<sup>1-3</sup> For example, sex hormone-binding globulin has been shown to have direct cellular antagonistic properties against estrogen<sup>4,32</sup>; interaction of sex hormone-binding globulin with the cellular estrogen receptor can trigger a biologic antiestrogen response,<sup>4</sup> a form of mediation beyond simple hormone sequestration. Our results may provide a potential explanation of the intriguing divergent effects on the risk of diabetes, observed in two randomized trials, of transdermal estradiol (which elevates plasma glucose levels) and oral estrogen (which lowers glucose levels).<sup>33-35</sup> In direct comparisons, transdermal estradiol does not affect sex hormone-binding globulin levels, whereas oral-estrogen therapy favorably increases levels of sex hormone-binding globulin.<sup>36-38</sup>

Although reverse causation has been suggested by results of cross-sectional studies in which prediabetic hyperinsulinemia is thought to inhibit the production of sex hormone-binding globulin,<sup>39,40</sup> we prospectively studied participants who were apparently healthy at baseline, thus establishing a basis for detection of a temporal relationship. Potential reverse causation from undiagnosed diabetes may be a concern in our work. However, all participants were health professionals, with more valid diagnostic information and higher screen-



ing rates than those in the general population. Moreover, exclusion of the first few years of follow-up data in both studies did not affect our results, decreasing the likelihood of reverse causation. Our findings remained robust in multiple sensitivity analyses restricted to participants with glycated hemoglobin values of less than 6%. Overall, the strength and robustness of the associations indicate that residual confounding and reverse causation are unlikely.

**Table 5. Odds Ratios for Type 2 Diabetes per Unit of Increase in the Sex Hormone–Binding Globulin (SHBG) Level.\***

Analysis	Odds Ratio (95% CI)
<b>Mendelian randomization analysis†</b>	
Allele rs6259 and rs6257	
Women	0.28 (0.13–0.58)
Men	0.29 (0.15–0.58)
Allele rs6259	
Women and men‡	0.23 (0.10–0.54)
Women only	0.19 (0.04–1.01)
Men only	0.25 (0.09–0.65)
Allele rs6257	
Women and men‡	0.40 (0.19–0.88)
Women only	0.39 (0.17–0.88)
Men only§	0.53 (0.05–5.22)
<b>Conventional multivariable analysis¶</b>	
Women	
Simple model 1	0.40 (0.31–0.51)
Multivariable model	0.34 (0.26–0.45)
Men	
Simple model 1	0.43 (0.31–0.59)
Multivariable model	0.39 (0.27–0.58)

\* The unit of increase measured was the natural-log standard-deviation in sex-specific control distributions.

† The mendelian randomization analyses involved *SHBG* genotypes as instrumental variables in multivariable generalized linear models.<sup>31</sup>

‡ P=0.78 and P=0.81 for the difference between men and women in carriage of a variant rs6259 allele and carriage of a variant rs6257 allele, respectively. All P=0.001 for mendelian instrument rs6259 and for joint analyses. P=0.02 for mendelian instrument rs6257, and P<0.001 for the conventional multivariable analyses.

§ The variant of the odds ratio associated with the rs6257 variant, for men only, was calculated with the use of a robust variance estimator.

¶ Conventional models were adjusted for covariates as described for each model in Table 3.

Furthermore, the identification of *SHBG* germ-line variants affecting the risk of type 2 diabetes allowed us to use mendelian randomization, rather than just conventional multivariable methods, to account for potential biases due to residual confounding and reverse causation. The estimates of genotype–disease association appear to support the plausibility of a causal relationship between plasma levels of sex hormone–binding globulin and type 2 diabetes. Analyses of data from women and men in our study yielded consistent associations among *SHBG* SNPs, intermediate phenotypes, and type 2 diabetes: carriage of variant rs6257 was associated with lower levels of sex

hormone–binding globulin and higher risk of type 2 diabetes, whereas carriage of rs6259 was associated with higher levels of sex hormone–binding globulin and lower risk of type 2 diabetes. Elevated levels of circulating sex hormone–binding globulin among carriers of an rs6259 variant allele warrant further functional studies; the elevation may be due to an amino acid substitution of asparagine for aspartic acid (D356N) at rs6259. This locus is an N-glycosylation consensus site that alters the binding of sex hormone–binding globulin to membrane receptors and other proteins and reduces its clearance from the circulation, resulting in higher plasma levels of the globulin.<sup>22</sup> The associations found for rs6257, a SNP that flanks, and is located 17 bp upstream of, exon 2 also suggests the presence of potential key splicing or regulatory elements in that region. Since *SHBG* genetic variants may exert their effects across carriers' lifetimes, the strong odds ratios relating plasma levels of sex hormone–binding globulin to the risk of type 2 diabetes obtained from our mendelian randomization analysis may represent the average lifetime risk attributable to sex hormone–binding globulin alone, independent of traditional risk factors.

Our study has several limitations. First, the statistical power, with fewer than 600 newly diagnosed cases in the two cohorts, may be relatively limited, especially with regard to the genetic associations observed. Nevertheless, we demonstrated that two *SHBG* SNPs were suitable randomization instruments for elucidating the relation between plasma levels of sex hormone–binding globulin and the risk of type 2 diabetes. Although residual confounding, particularly by adiposity, is possible with conventional observational analysis of biomarkers, plasma levels of sex hormone–binding globulin were only modestly correlated with adiposity, and these results were robust even after dual adjustment for BMI and waist circumference (see the Supplementary Appendix). More importantly, these interrelationships among genotypes, plasma protein levels, and phenotypes of type 2 diabetes outcomes were consistently observed in two independent cohorts.

In conclusion, our prospective studies of postmenopausal women and men showed that higher levels of circulating sex hormone–binding globulin were strongly associated with a decreased risk of type 2 diabetes. Two germ-line variants in the *SHBG* gene were also identified as being directly

associated with both plasma levels of sex hormone-binding globulin and the risk of type 2 diabetes. These strong and consistent findings, obtained with the use of multiple analytic approaches and subgroup analyses in two independent cohorts, support the notion that sex hormone-binding globulin may play an important role in the development of type 2 diabetes at both the genomic and phenotypic levels and that sex hormone-binding globulin could be an important target in stratification for the risk of type 2 diabetes and early intervention.

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Drs. Liu, Manson, and Ding report being listed on a provisional patent application for the use of sex hormone-binding globulin for determining risk of type 2 diabetes filed by UCLA. Dr. Liu reports receiving grant support from the General Mills Bell Institute of Health and Nutrition. No other potential conflict of interest relevant to this article was reported.

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