

# Upper Bound on the Complexity of Solving Renaming

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Joint work with:

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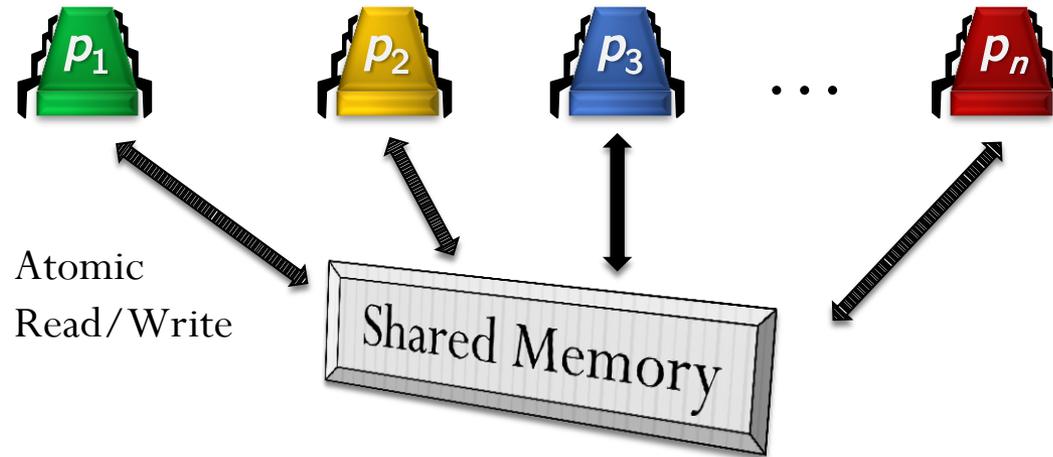
Maurice Herlihy, Brown

PODC 2013 Best Student Paper Award

# Introduction

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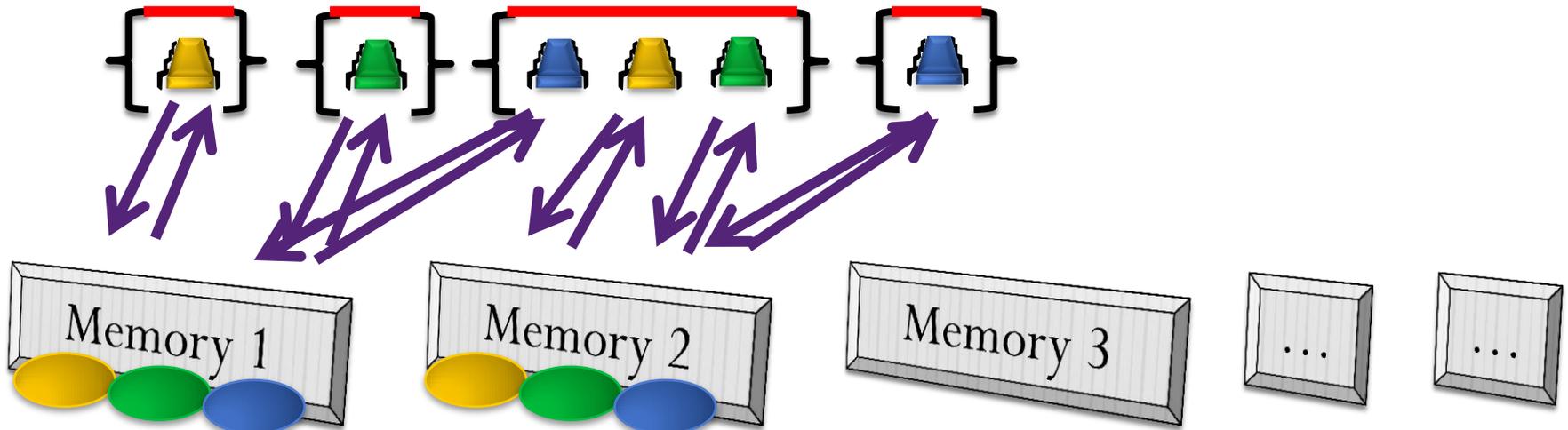
# The Model



- $n$  asynchronous processes.
- At most  $n-1$  processes can crash.
- Wait-free algorithms: each nonfaulty process produces an output.
- Full information.

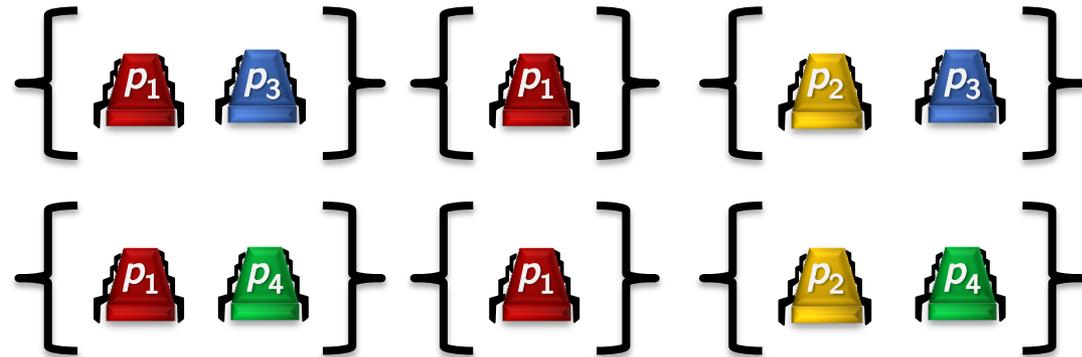
# Iterated Atomic Snapshot

- Execution induced by a sequence of **blocks**:
  - Write together;
  - Read together.
- **Fresh copy** of the memory every time.
- Implemented in  $O(n^2)$  overhead [Borowsky and Gafni 97].



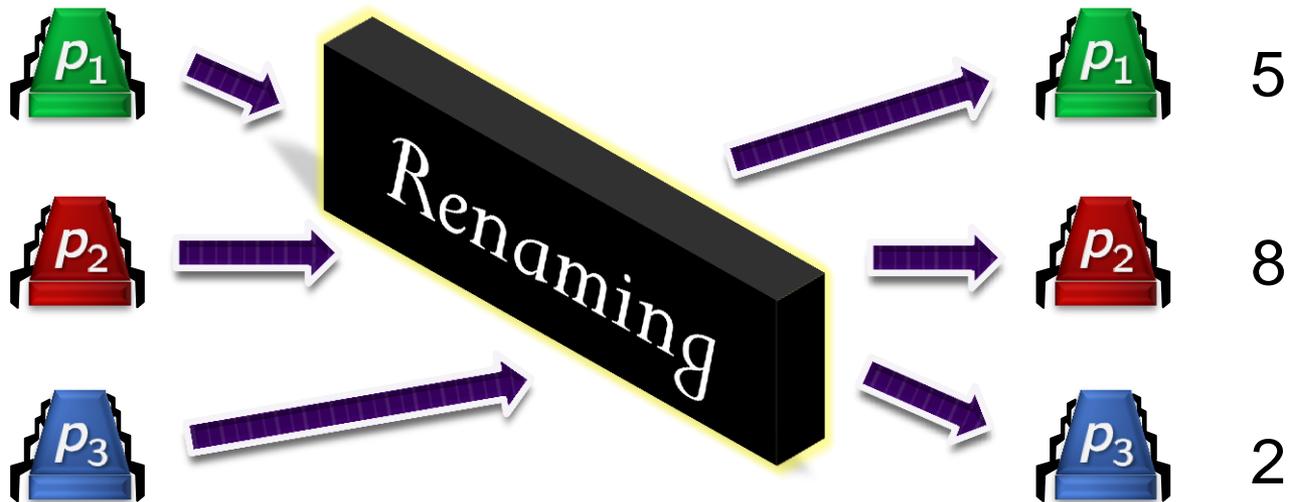
# Comparison Based Algorithms

- Processes only **compare** their identifiers.
- Execution by  $P_1, P_2, P_3$  looks like execution by  $P_1, P_2, P_4$ .



# M-Renaming

[Attiya et al. 90]



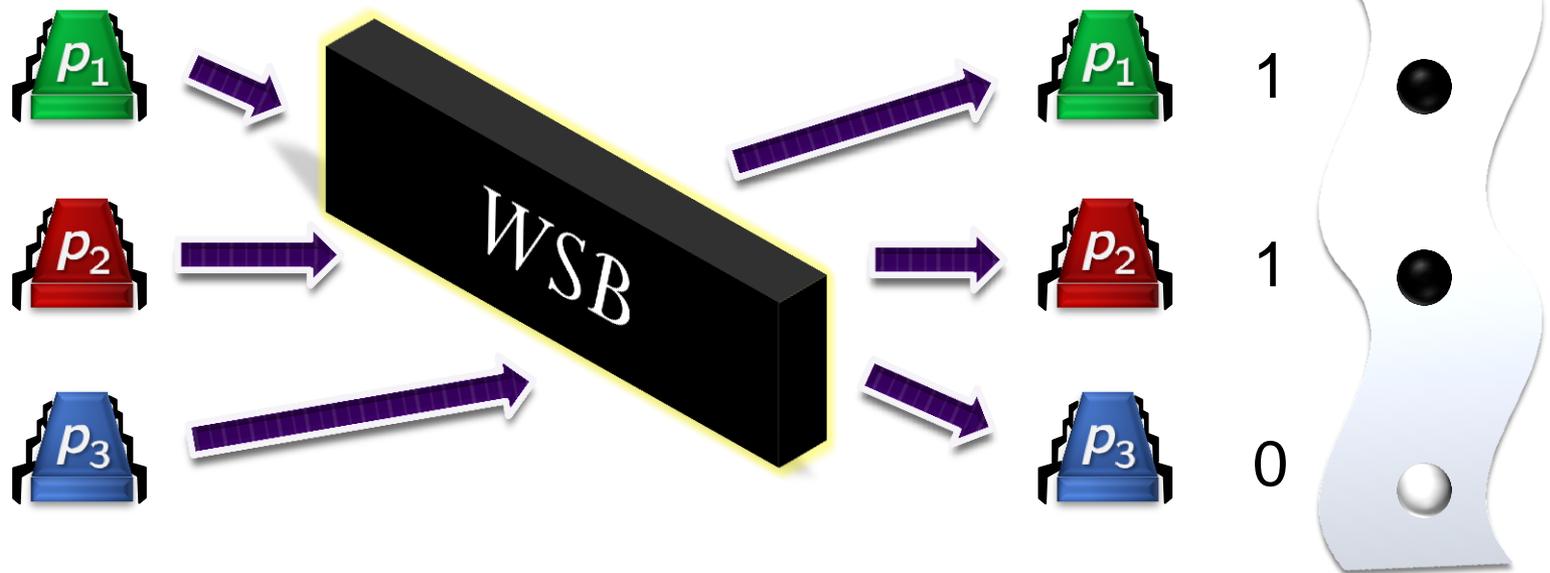
$n$  processes  
With identifiers

Outputs:  $1, \dots, M$   
Unique values

Processes are only allowed to compare their identifiers

# Weak Symmetry Breaking (WSB)

[Gafni et al. 06]

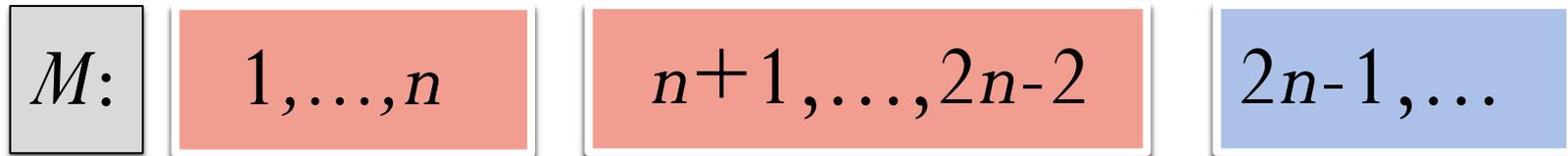


$n$  processes  
With identifiers

Outputs: 0/1  
If all output: not all the same

Equivalent to  $(2n-2)$ -renaming

# M-Renaming Bounds



WSB



[Attiya et al. 90]



Several Papers



[Attiya et al. 90]

# M-Renaming Bounds

[Castañeda and Rajsbaum 10]: Lower bounds are wrong.

$M:$	$1, \dots, n$	$n+1, \dots$	$2n-2$	$2n-1, \dots$
$n$			WSB	
Prime Power				
Non Prime Power				

# Renaming Bounds

[Castañeda and Rajsbaum 10]: Lower bounds are wrong.

- Existential proof.
- No bounds on steps complexity.

# Our Results

- $n$ -process algorithm for WSB and  $(2n - 2)$ -renaming, when  $n$  is not a prime power.
- Bounded step complexity:  $O(n^{q+5})$ , where  $q$  is the largest prime power dividing  $n$ .

# Topology & Distributed Computing

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# Simplexes

- Sets of objects.
- Represented as convex hulls of points.

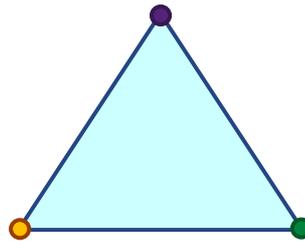
$\{x\}$



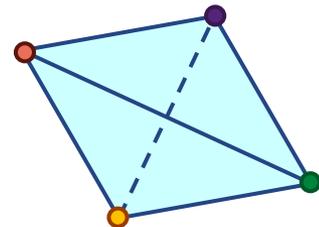
$\{x, y\}$



$\{x, y, z\}$

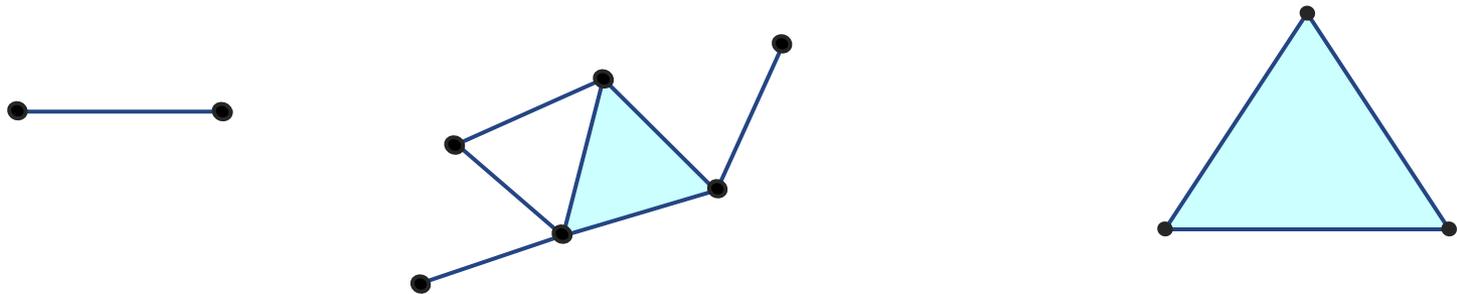


$\{x, y, z, w\}$



# Simplicial Complexes

- “Gluing” of simplexes.



- Some complexes are called *subdivisions* of others.

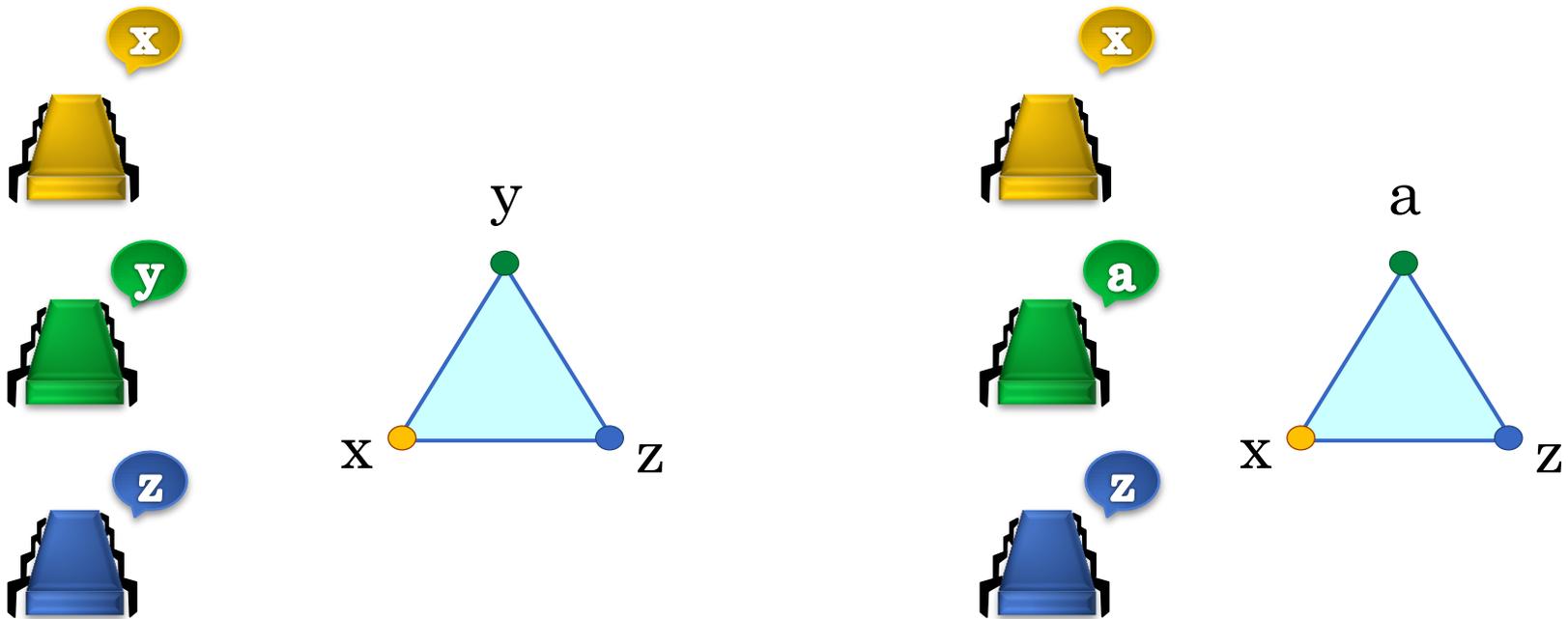


# Topology & Distributed Computing

[Borowsky and Gafni 93]; [Herlihy and Shavit 93,99];

[Saks and Zaharoglou 93,00]; [Herlihy and Rajsbaum 94,00].

- Simplicial complexes represent states of the system.

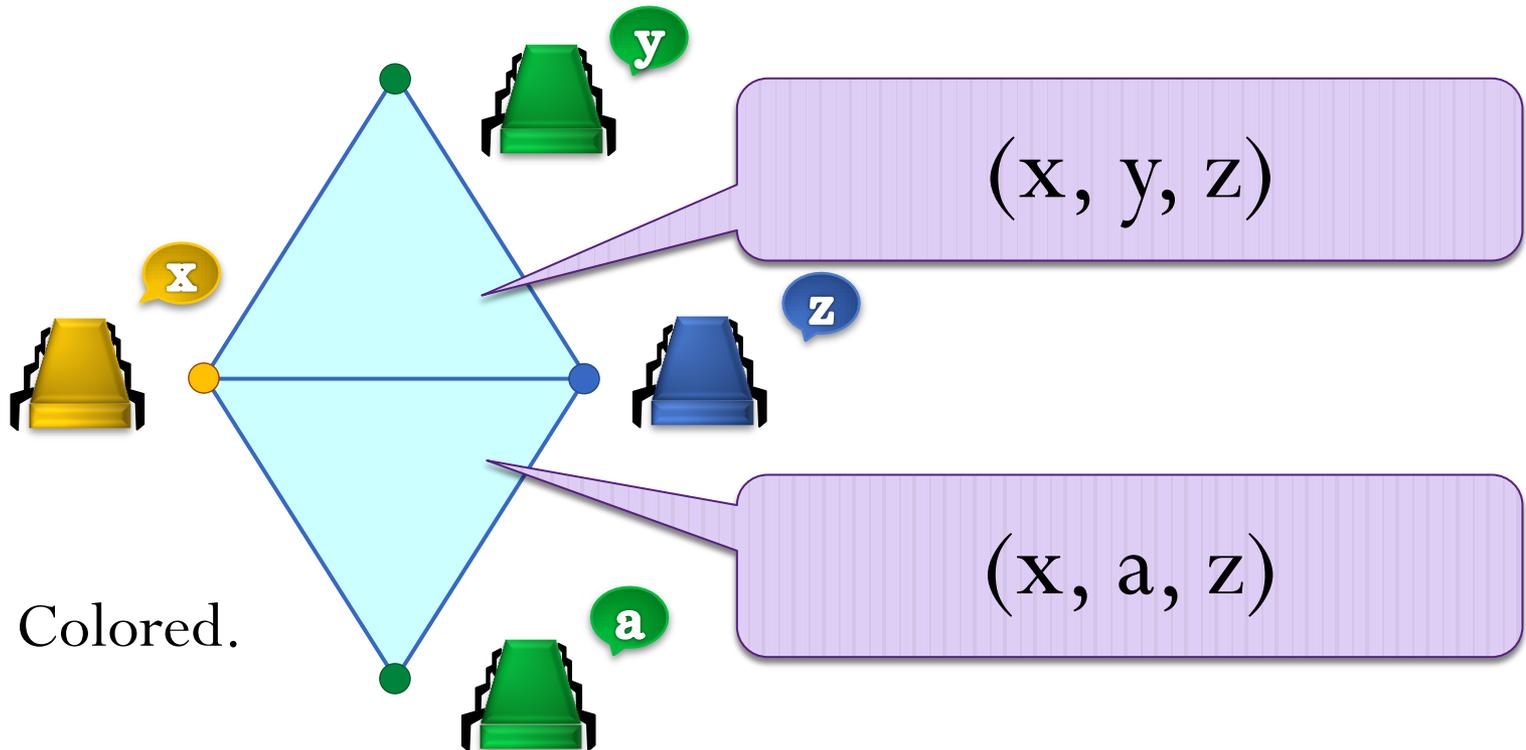


# Topology & Distributed Computing

[Borowsky and Gafni 93]; [Herlihy and Shavit 93,99];

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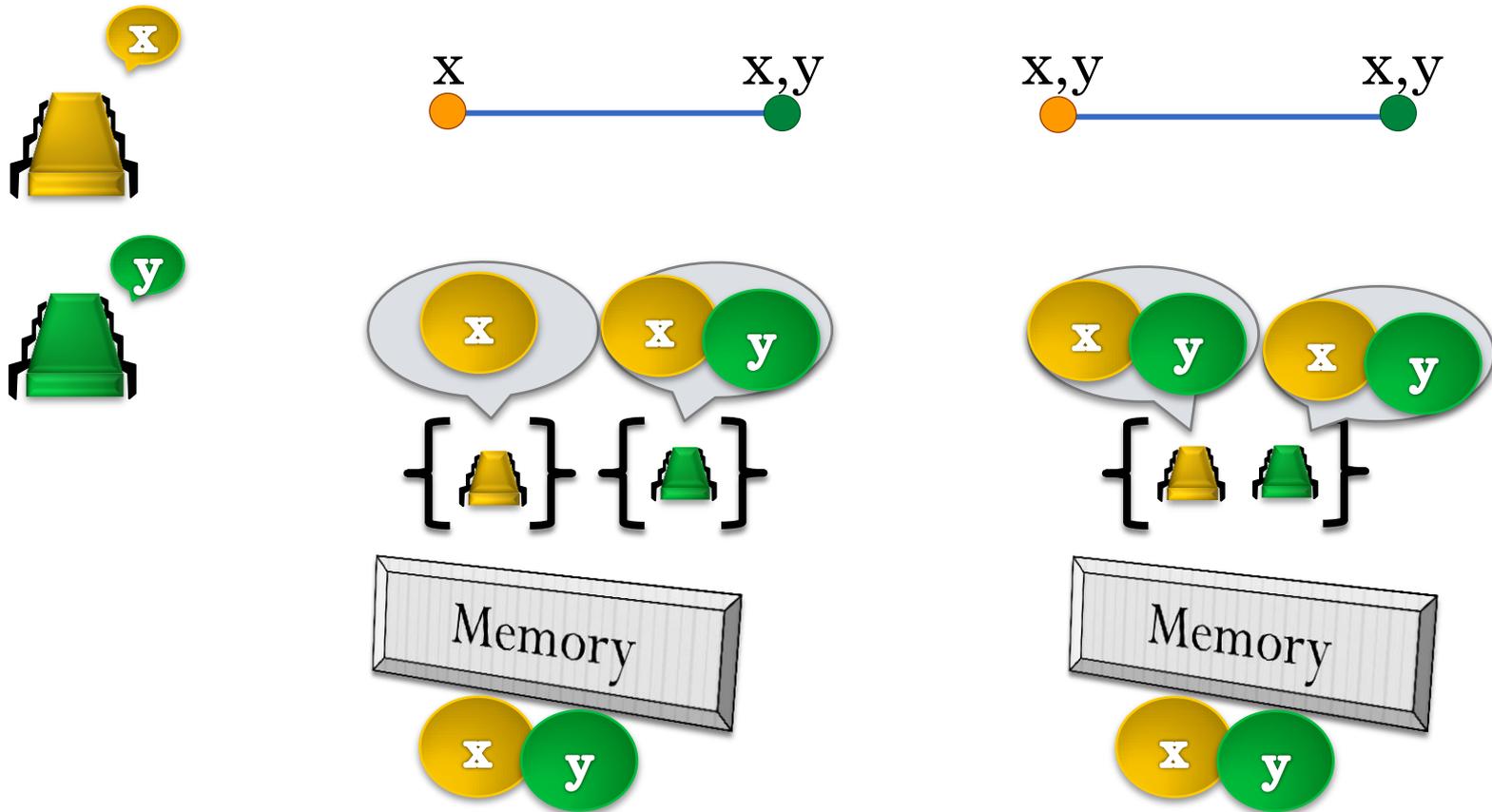
- Simplicial complexes represent states of the system.



- Colored.

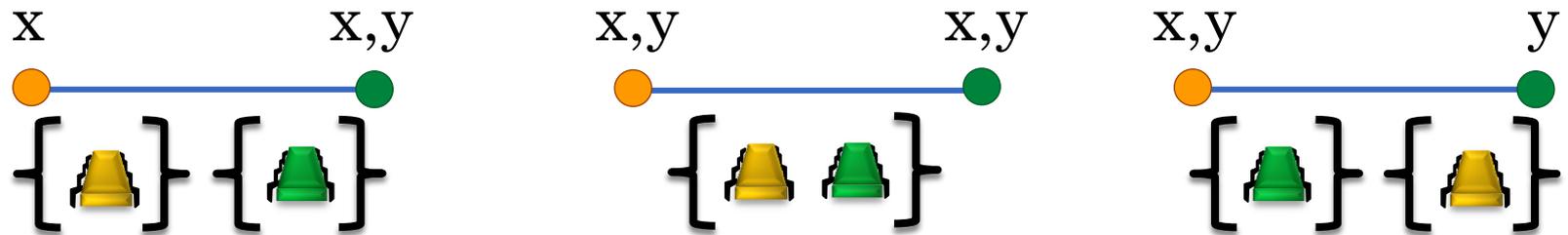
# Topology & Distributed Computing

- An execution.

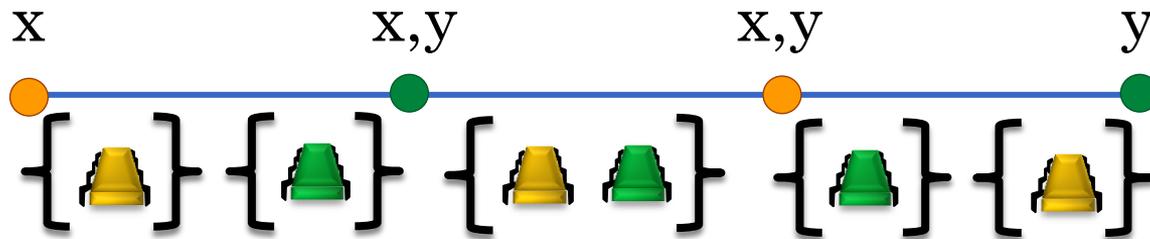


# Topology & Distributed Computing

- An execution.

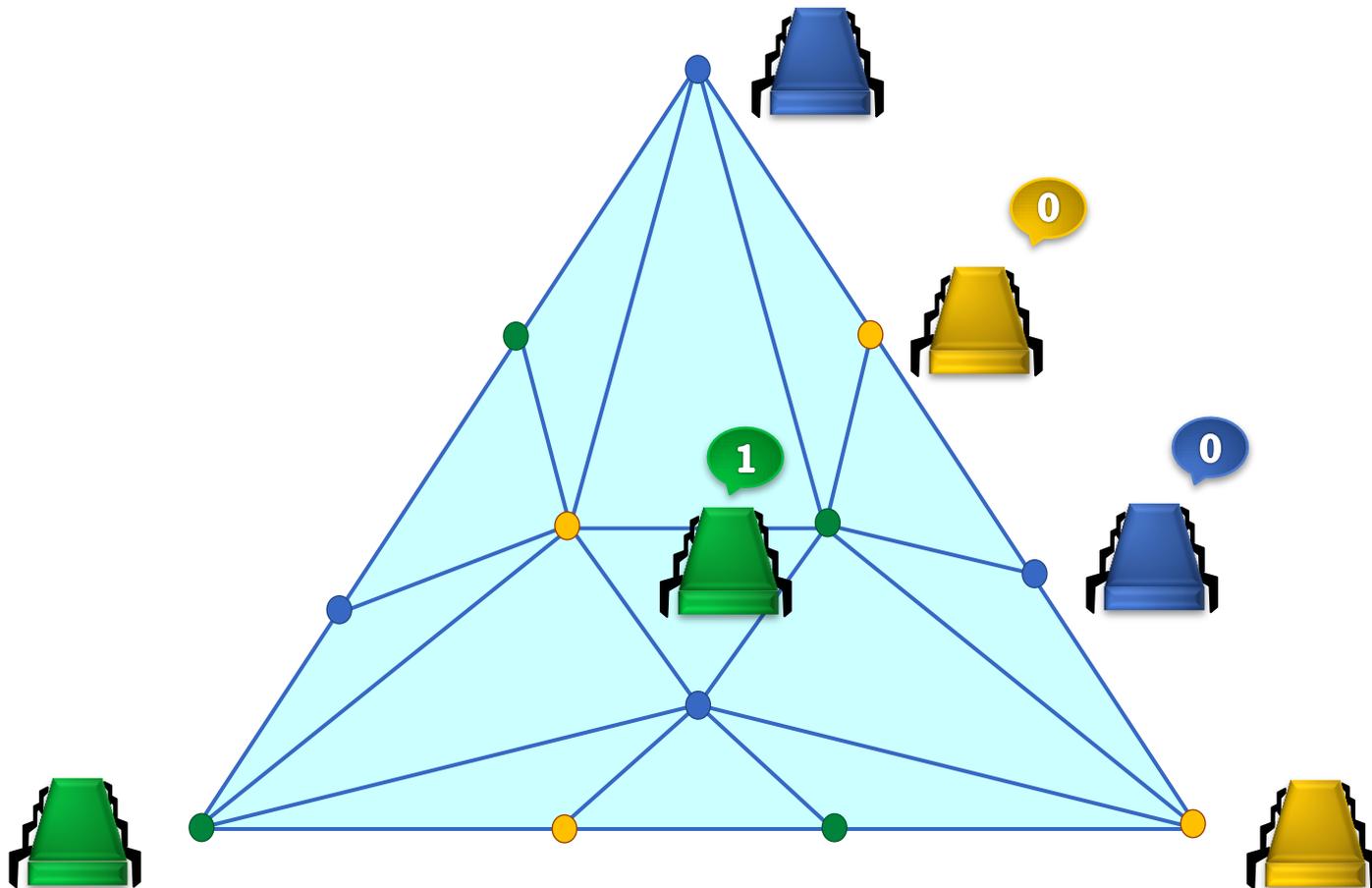


- All 1-step interleaving.



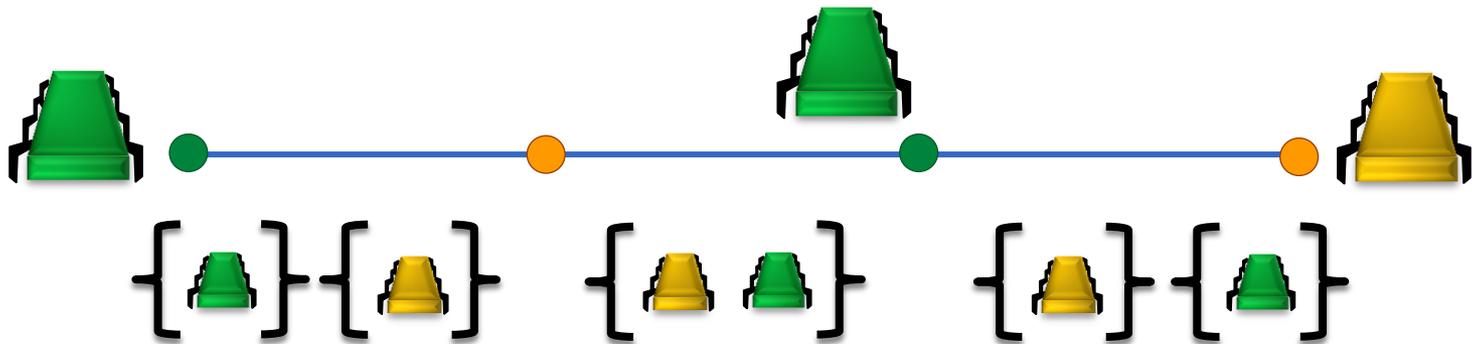
# Subdivision Implies Algorithm

- Simplicial approximation: processes converge on a simplex.



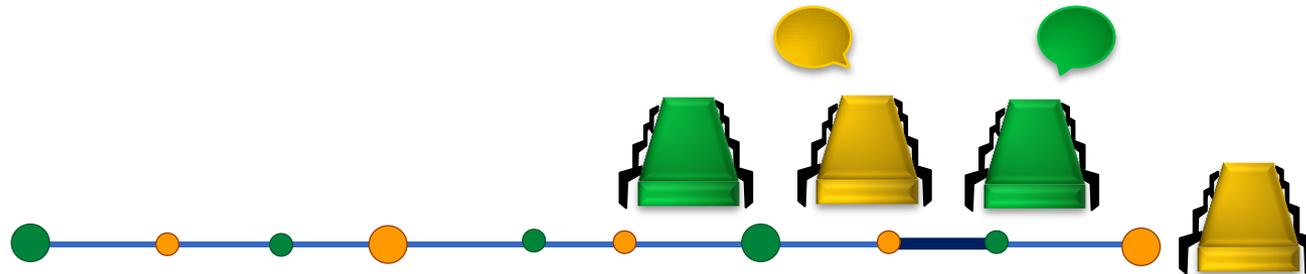
# Subdivision Implies Algorithm

- Execution: 



# Subdivision Implies Algorithm

- Execution:  {  } {  } {   }

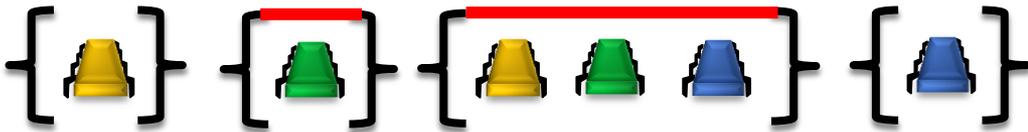


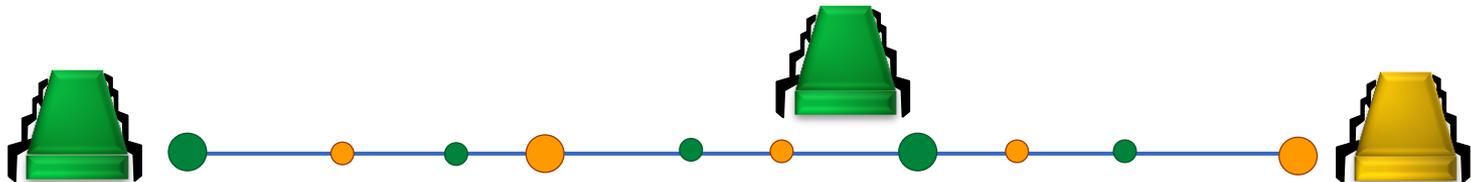
# Subdivision Implies Algorithm

- Execution: 



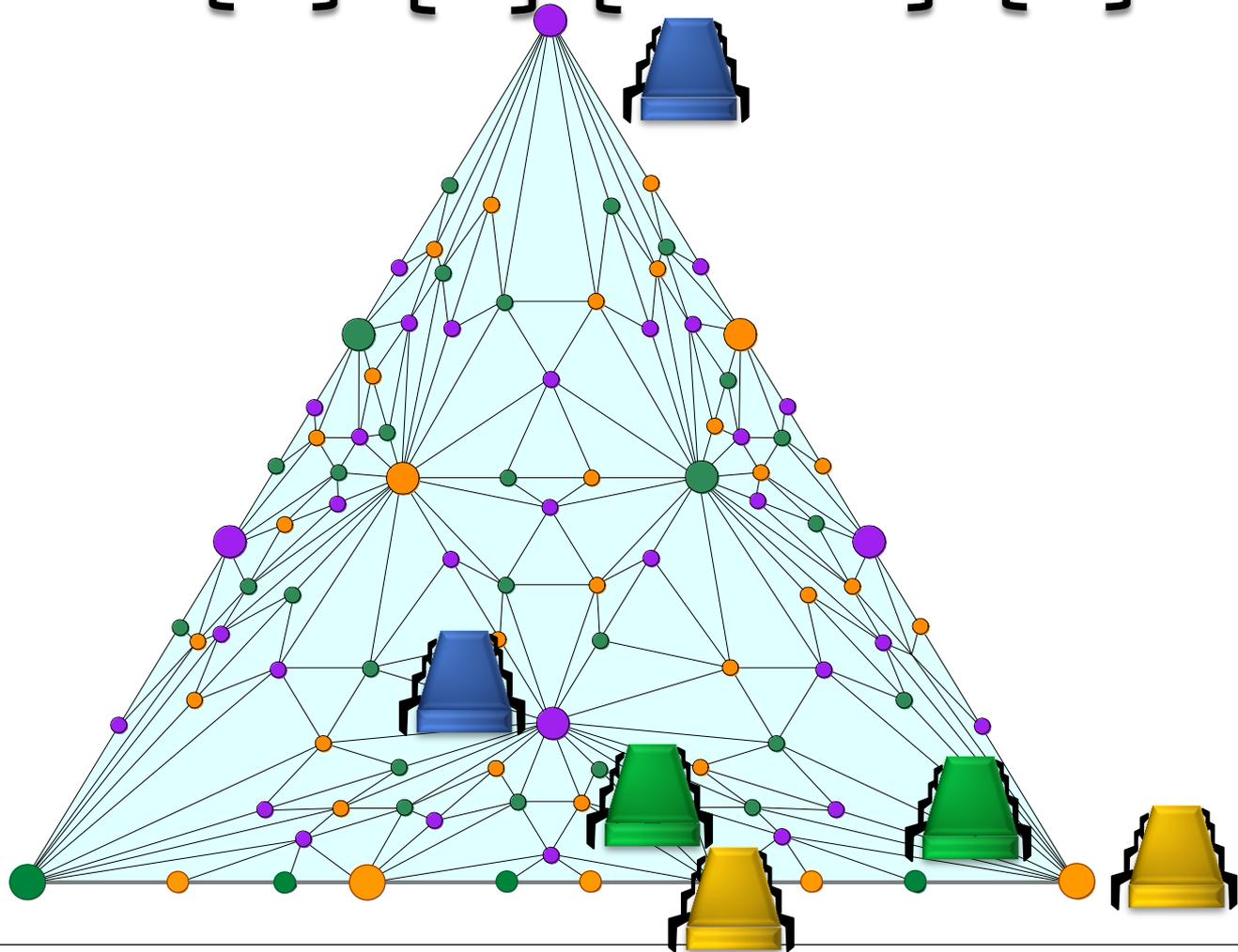
# Subdivision Implies Algorithm

- Execution: 



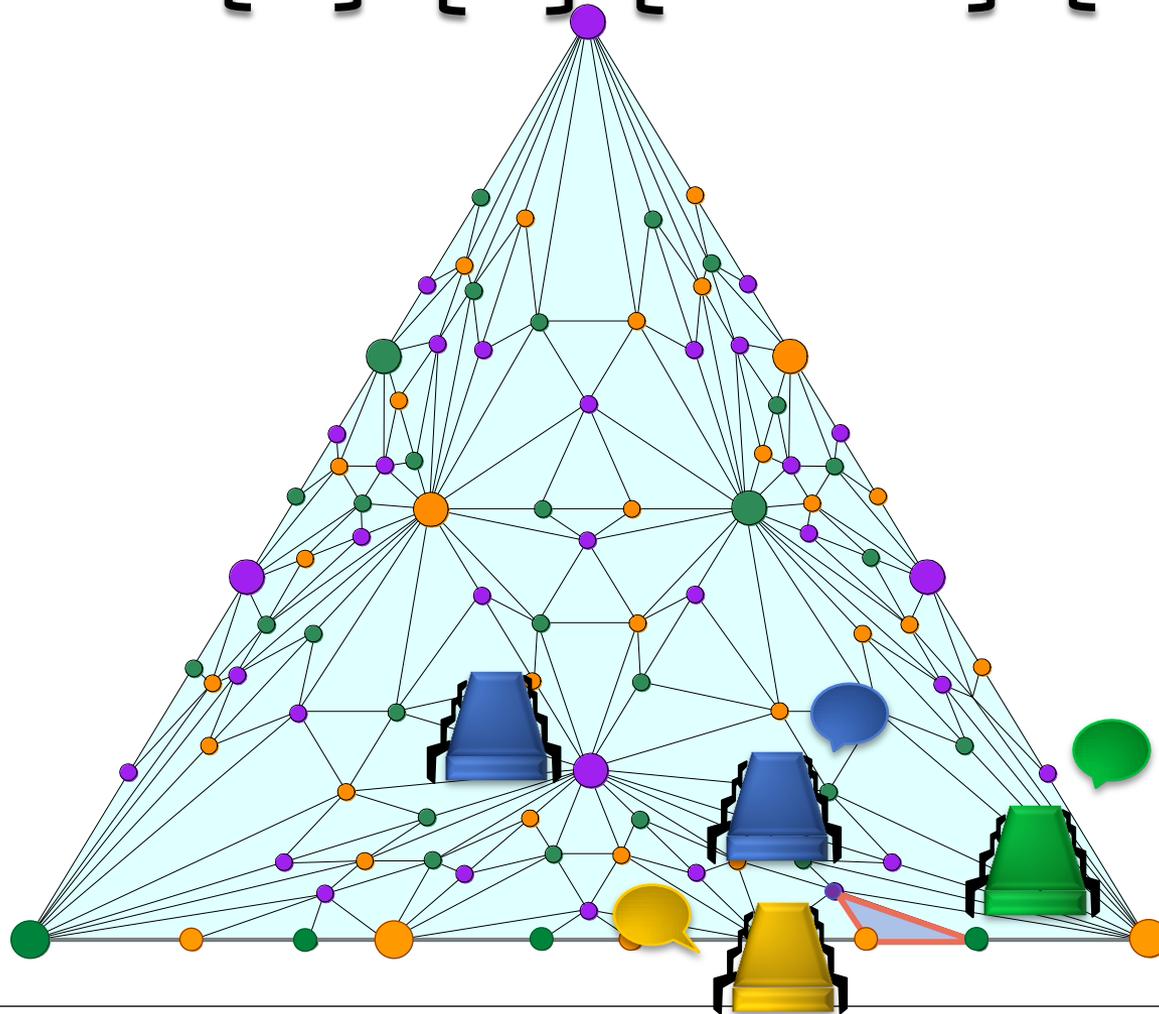
# Subdivision Implies Algorithm

- Execution: { [yellow] } { [green] } { [yellow] [green] [blue] } { [blue] }



# Subdivision Implies Algorithm

- Execution: {  } {  } {    } {  }



# Outputs

- Each vertex has double coloring:

- Process id

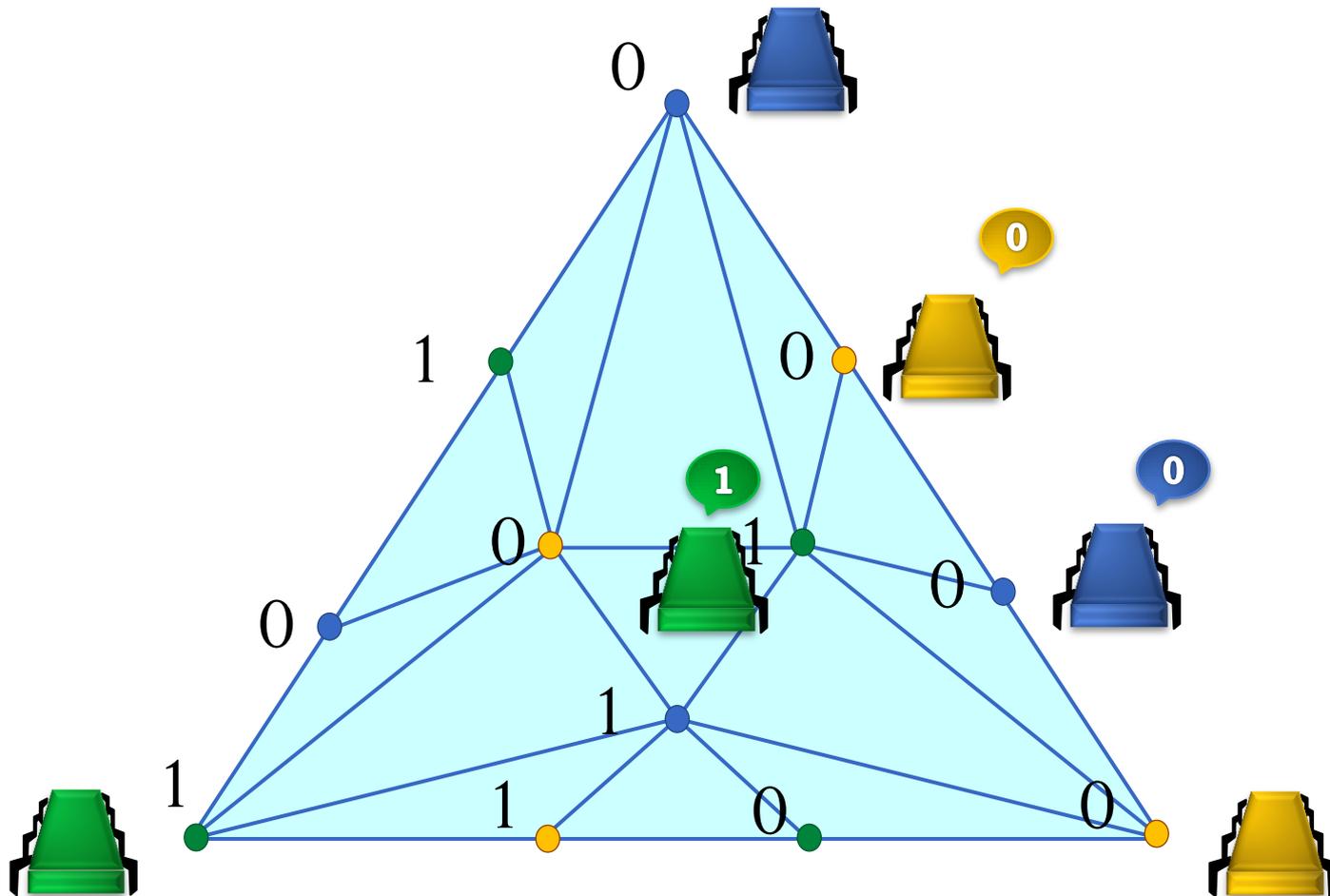


- Output value



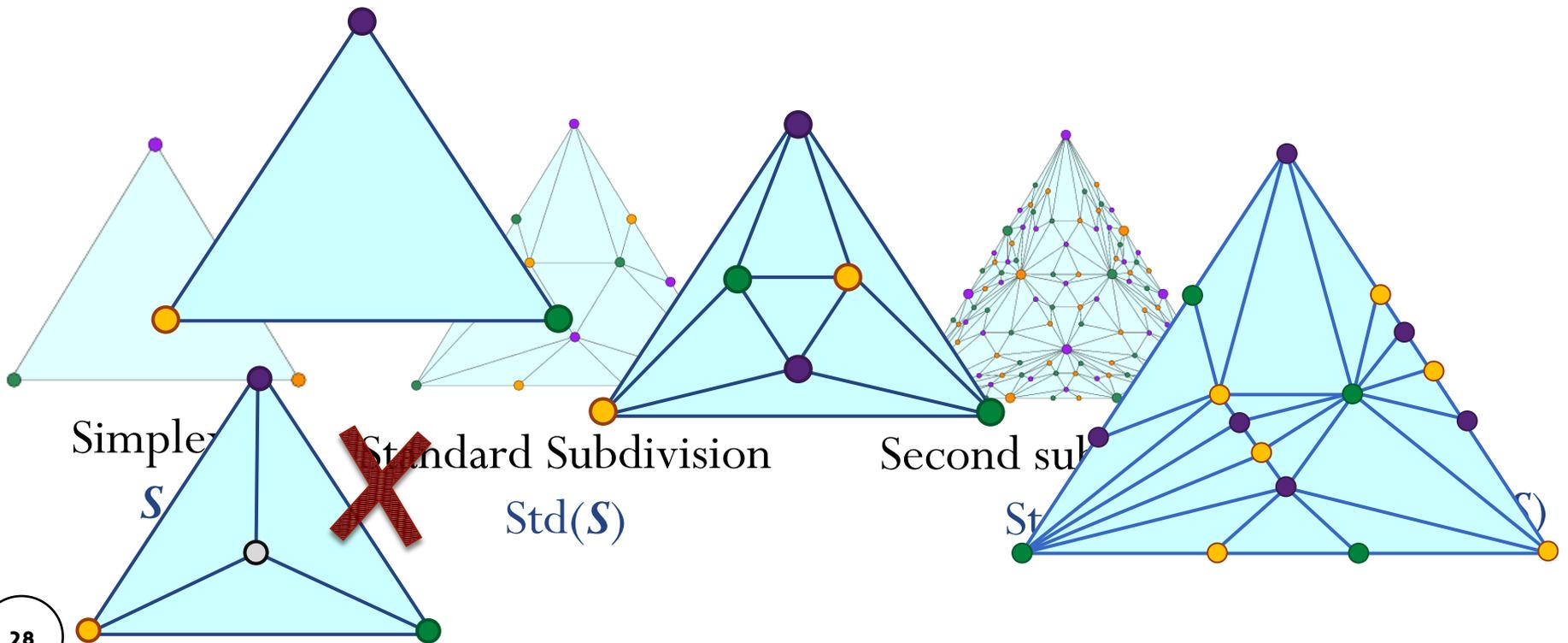
# Subdivision Implies Algorithm

- Simplicial approximation



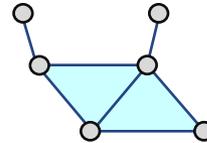
# Chromatic Subdivisions

- Chromatic subdivision: can assign a process to each vertex.
- An algorithm is induced by a specific subdivision:
  - Standard chromatic subdivision.

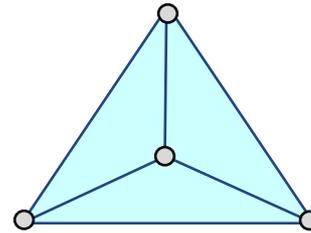


# Topological Notions

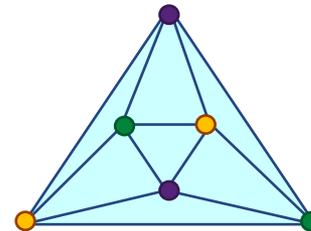
- Simplicial complex



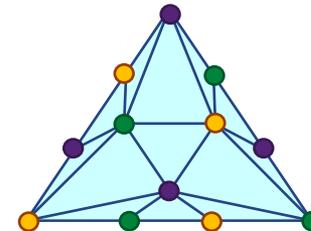
- Subdivision



- Chromatic Subdivision



- Standard chromatic Subdivision

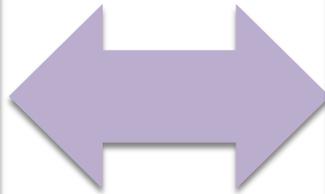


# Topology & Distributed Computing

## Theorem

[Herlihy and Shavit 99]

Chromatic  
Subdivision



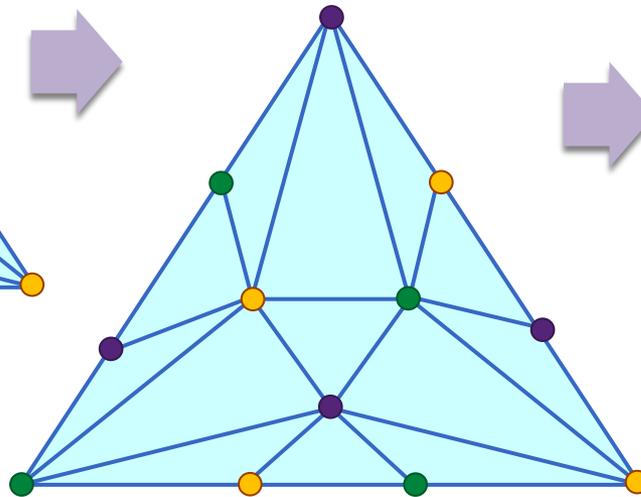
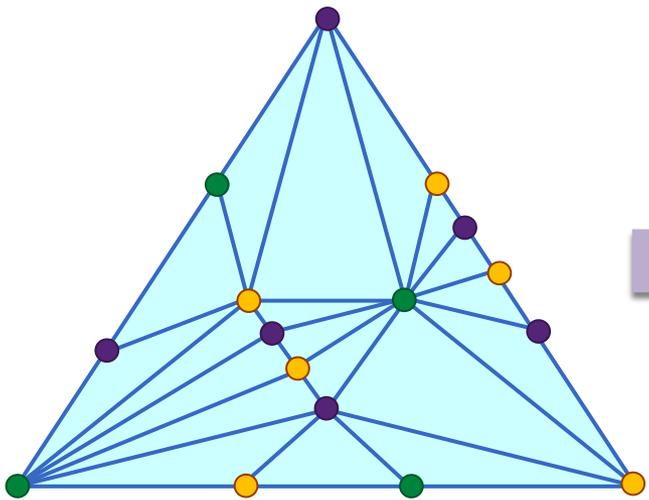
Distributed  
Algorithm

# From Subdivision to Algorithm

Chromatic  
Subdivision

Standard  
Chromatic  
Subdivision

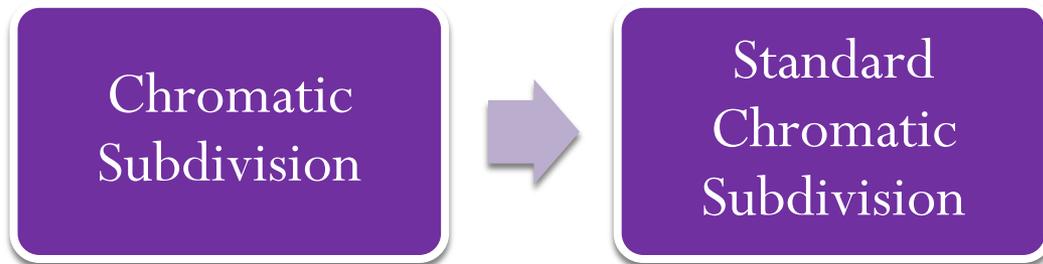
Distributed  
Algorithm



```
simulated  $\leftarrow$  0
Write(initialStatei) to Ri
while true do
  r  $\leftarrow$  Scan (R0, ..., Rn-1)
  if r contains all then
    return simulated
  simulated  $\leftarrow$  1
  Execute Local A (r)
  if A returns v then
    return the same value v
  Write (r) to R
...
```

# Colored Simplicial Approximation

[Herlihy and Shavit 99]



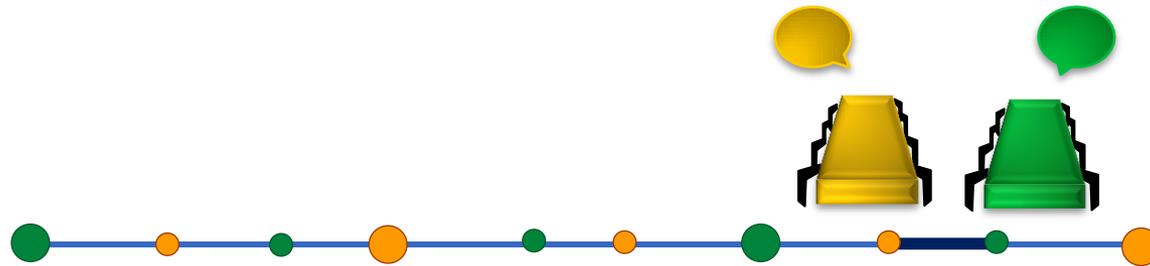
- Colored simplicial approximation theorem:  
any chromatic subdivided simplex can be “approximated”  
by a standard chromatic subdivision  $\text{std}^K(S)$ ...
  - ...for large enough  $K$ .
- Yields no bound on  $K$ .

# Subdivision Implies Algorithm

Standard  
Chromatic  
Subdivision



Distributed  
Algorithm



Step complexity = Number of subdivisions

- We count subdivisions, to get the step complexity.

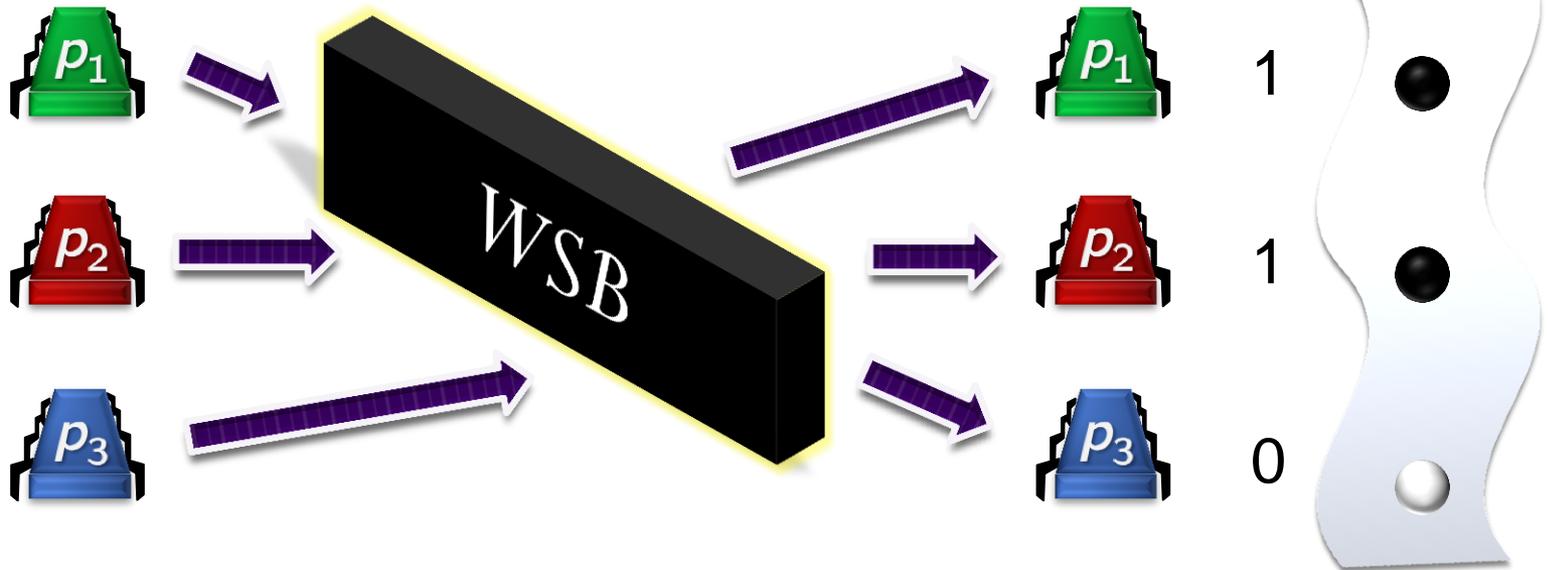
# Solving WSB

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Properties of the desired solution

# Recall: WSB

[Gafni et al. 06]



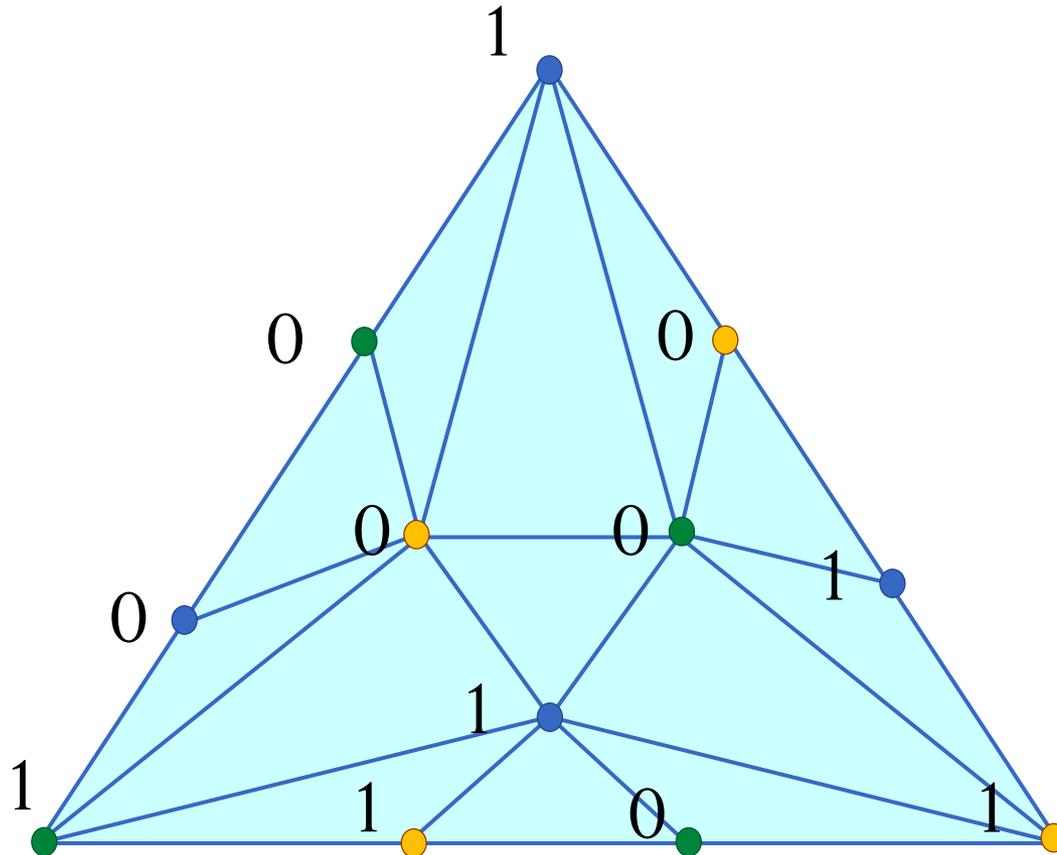
$n$  Processes  
With identifiers

Outputs: 0/1  
If all output: not all the same

Processes are only allowed to **compare** their identifiers

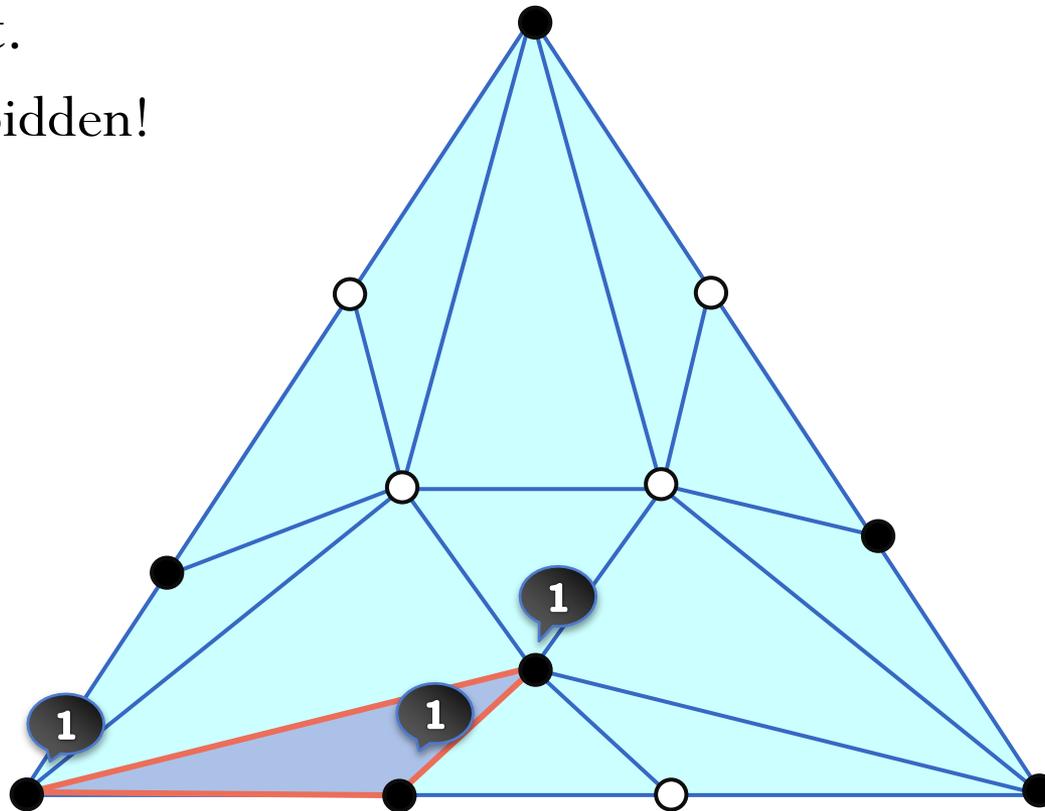
# Binary Outputs

- All output values are binary.



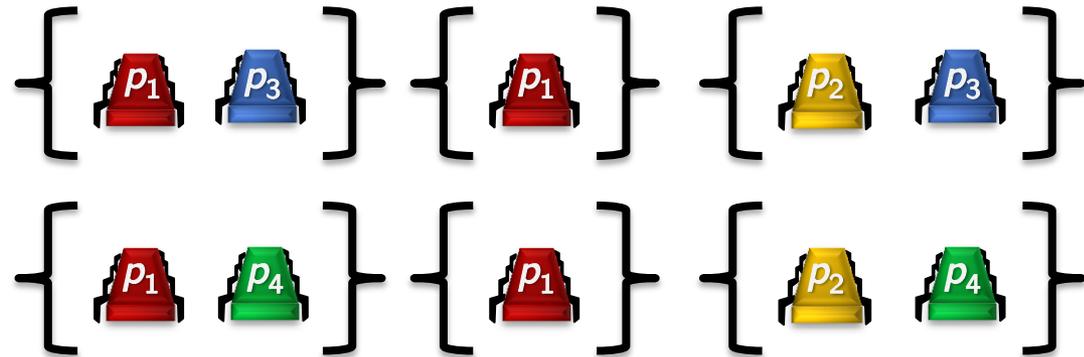
# Monochromatic Simplexes

- Represent executions with a single output.
  - Forbidden!



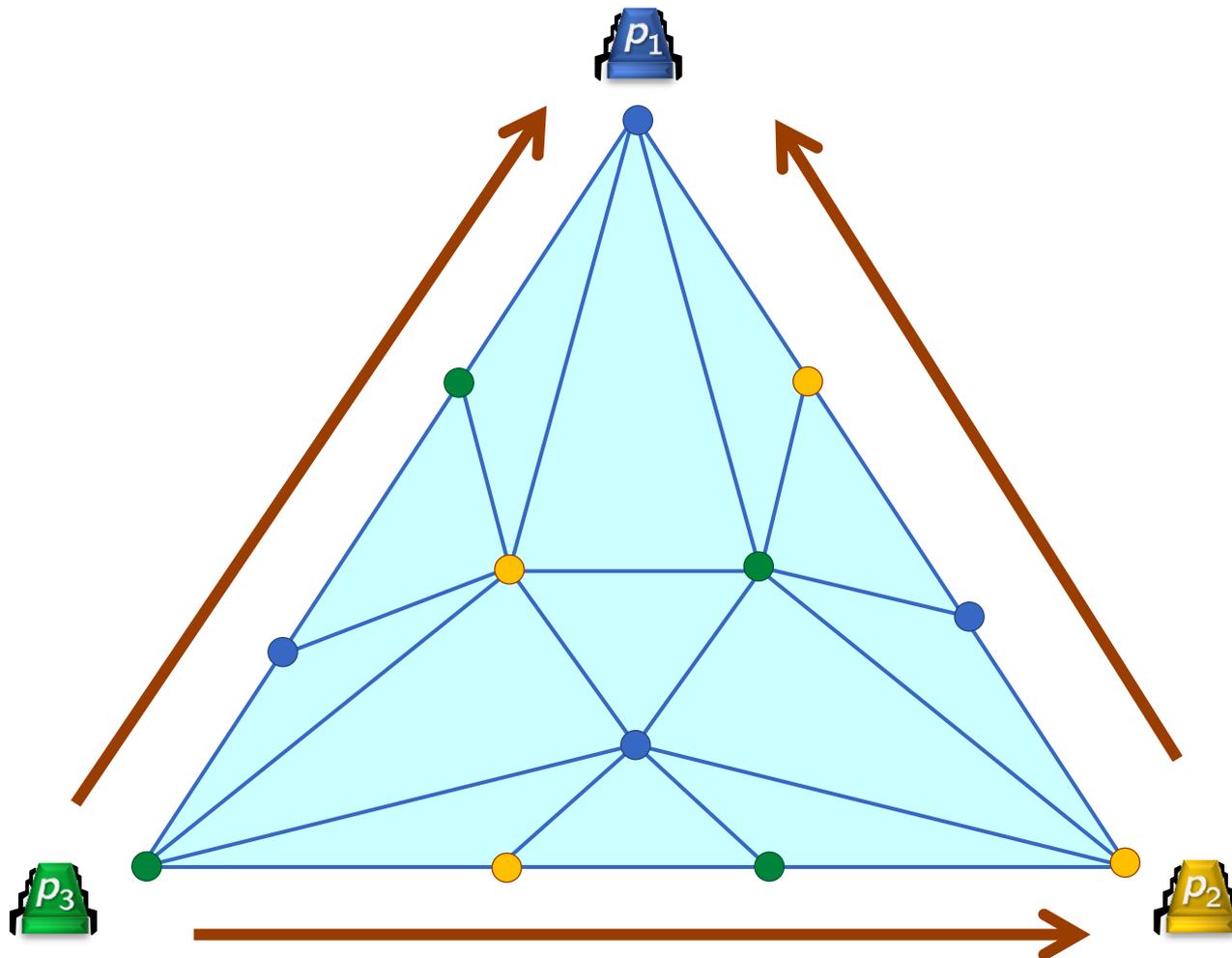
# Comparison Based Algorithms

- Processes only **compare** their values.
- Execution by  $P_1, P_2, P_3$  looks like execution by  $P_1, P_2, P_4$ .

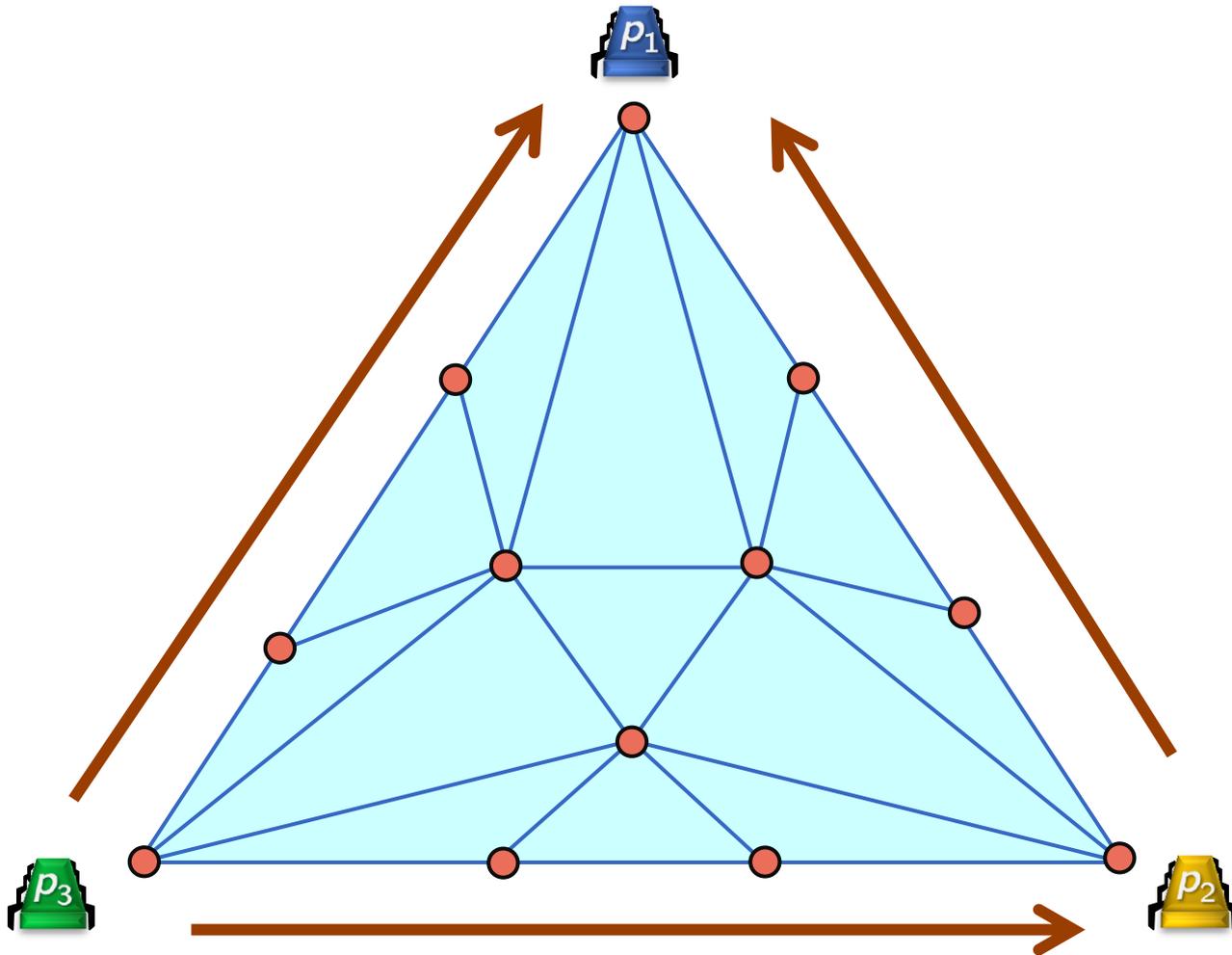


- Topology: implies symmetry on the boundary.

# Who is Bigger?



# Symmetric Output Coloring



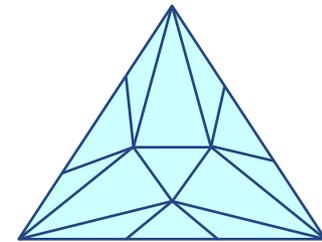
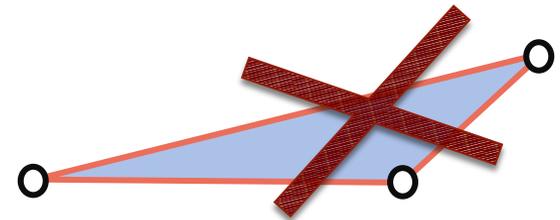
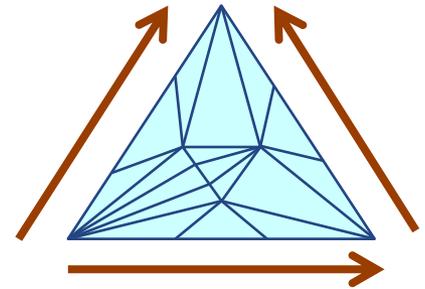
# Three Steps to Solution

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# Our Goal

Construct a subdivided simplex & coloring, s.t.:

- Symmetric coloring on the boundary.
- Without monochromatic simplexes.
- Standard chromatic subdivision.



# Three Step Plan

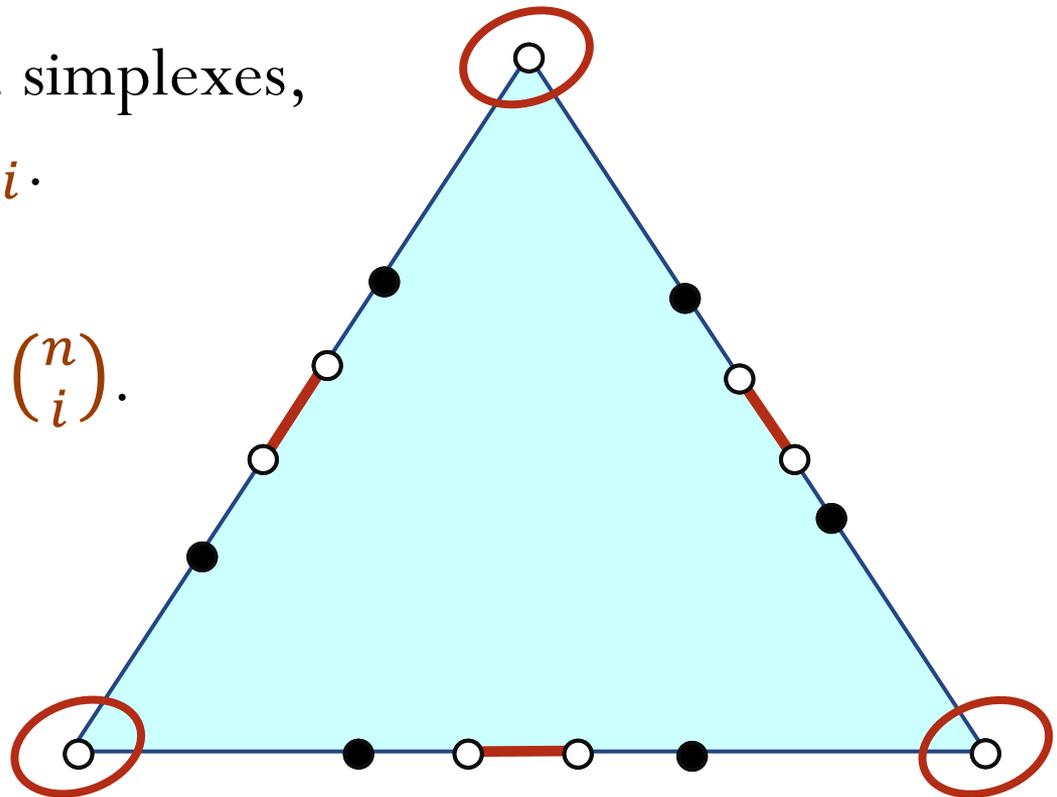
- **Step 1:** find a *symmetric* subdivision with only *good* monochromatic simplexes.
- **Step 2:** *eliminate* mono. simplexes, while preserving symmetry.
- **Step 3:** get a *mapping* from standard subdivision, yielding a WSB coloring and algorithm.

# Step One: Symmetric Boundary

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# 1. Create Boundary

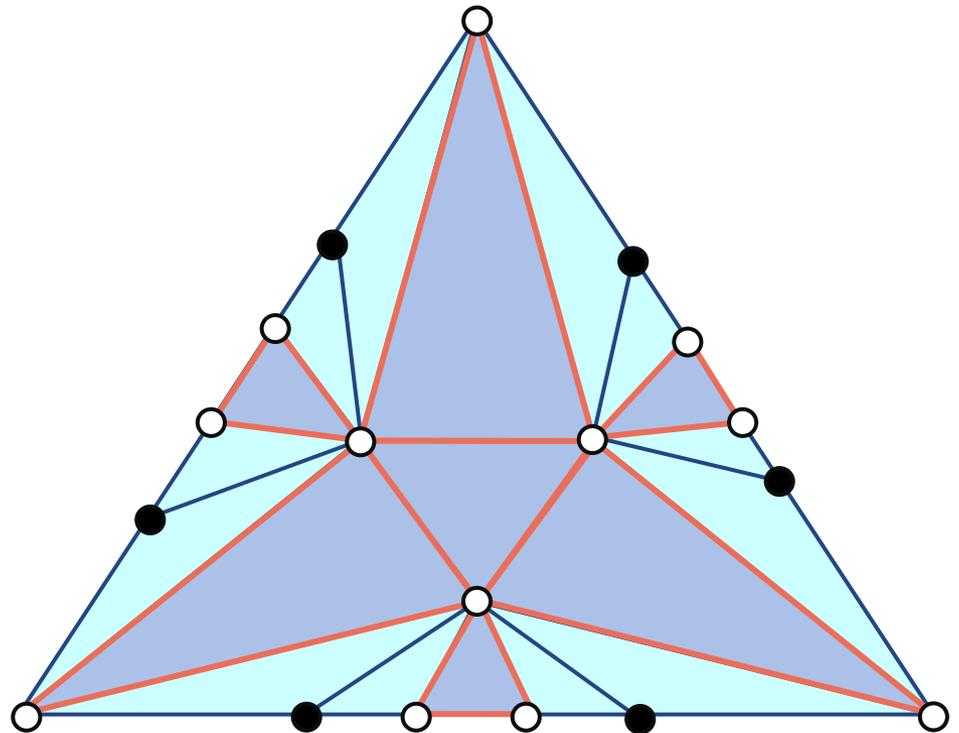
- Start by creating a symmetric boundary.
- Each  $i$ -face is subdivided and colored:
  - Create  $k_i$  0-mono. simplexes, for some integer  $k_i$ .
- Number of  $i$ -faces =  $\binom{n}{i}$ .



# 1. Fill in the Interior

- Add internal 0-mono. simplex.
- More 0-mono. simplexes are created.
- Total number of mono.:

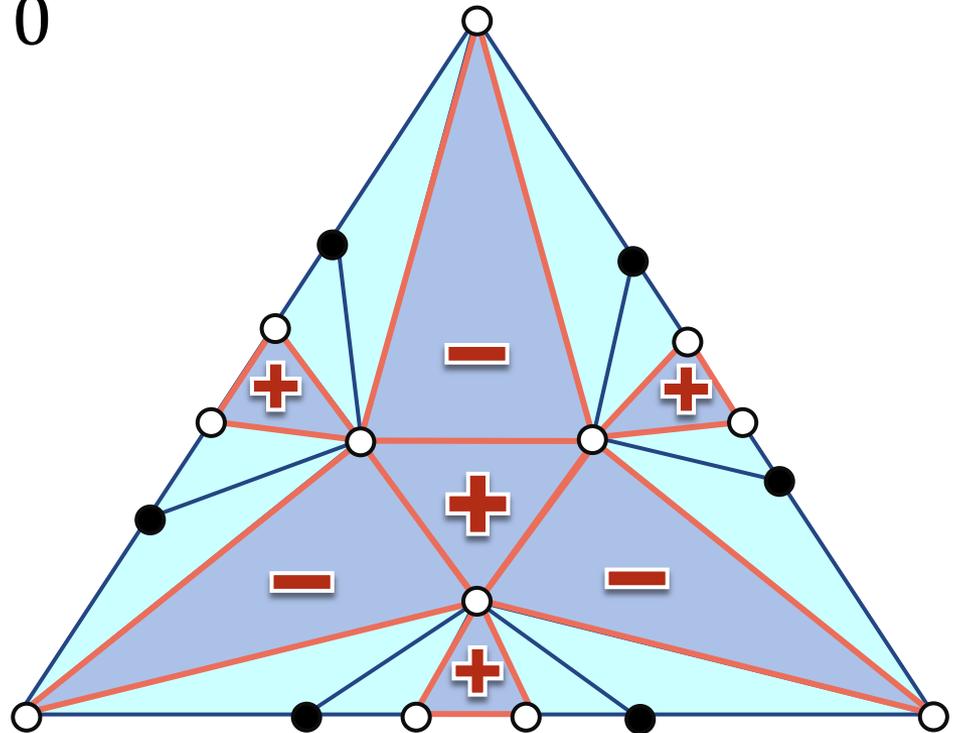
$$1 + \sum_{i=1}^{n-1} \binom{n}{i} k_i$$



# 1. Counting Mono. Simplexes

- Each  $k_i$  has a sign.
- We want:

$$1 + \sum_{i=1}^{n-1} \binom{n}{i} k_i = 0$$



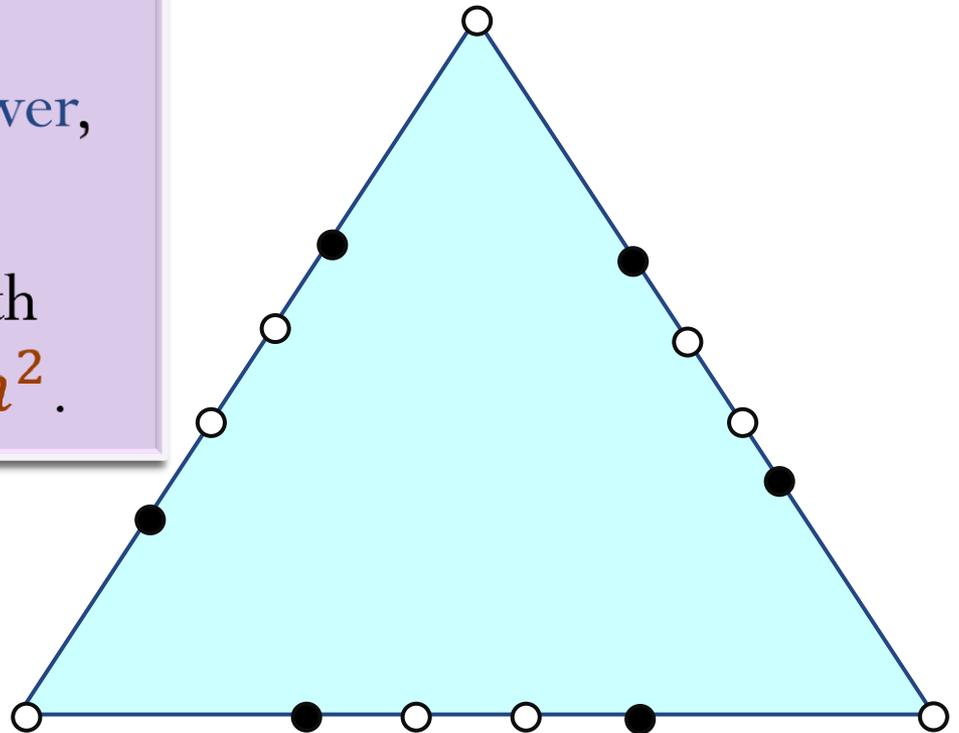
# 1. Creating the Boundary

- We want:  $1 + \sum \binom{n}{i} k_i = 0$ .
- Subdivide boundaries *simultaneously*.

Lemma:

- If  $n$  is not a prime power, such  $k_i$ s exist.
- There is a solution with small values:  $|k_i| < n^2$ .

- $O(1)$  subdivisions.

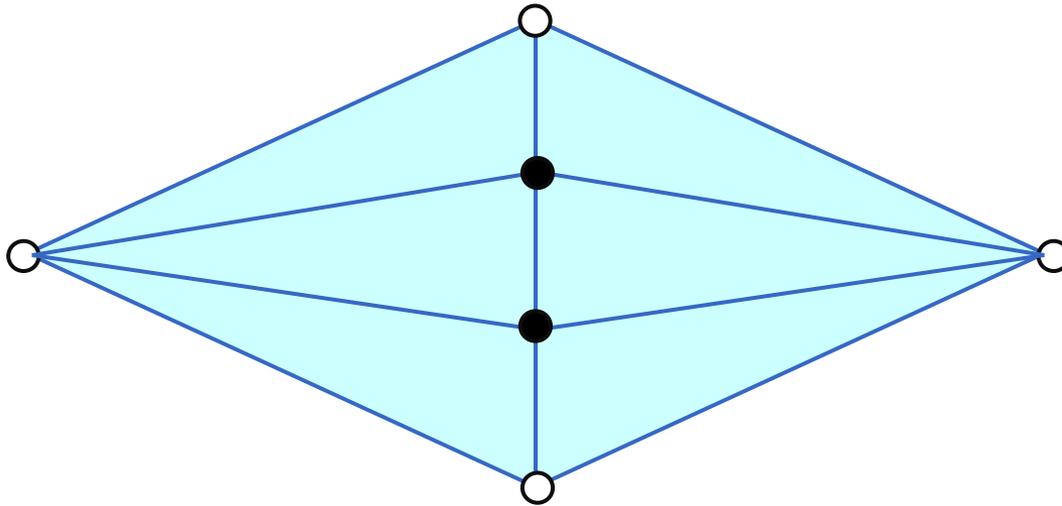


# Step Two: Eliminating Mono. Simplexes

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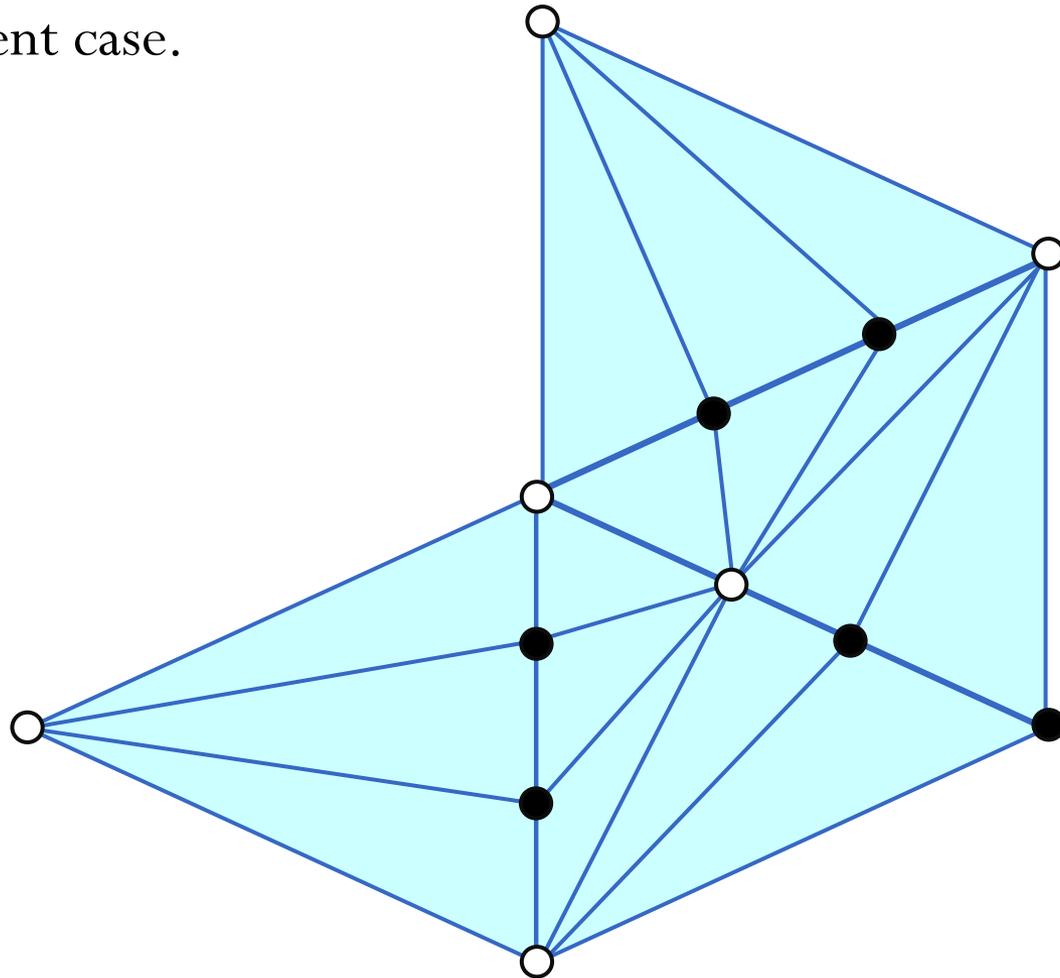
# Eliminating Monochromatic Simplexes

- Use *subdivisions* to eliminate monochromatic simplexes.
- While *preserving symmetry* on the boundary.
  - Adjacent case.



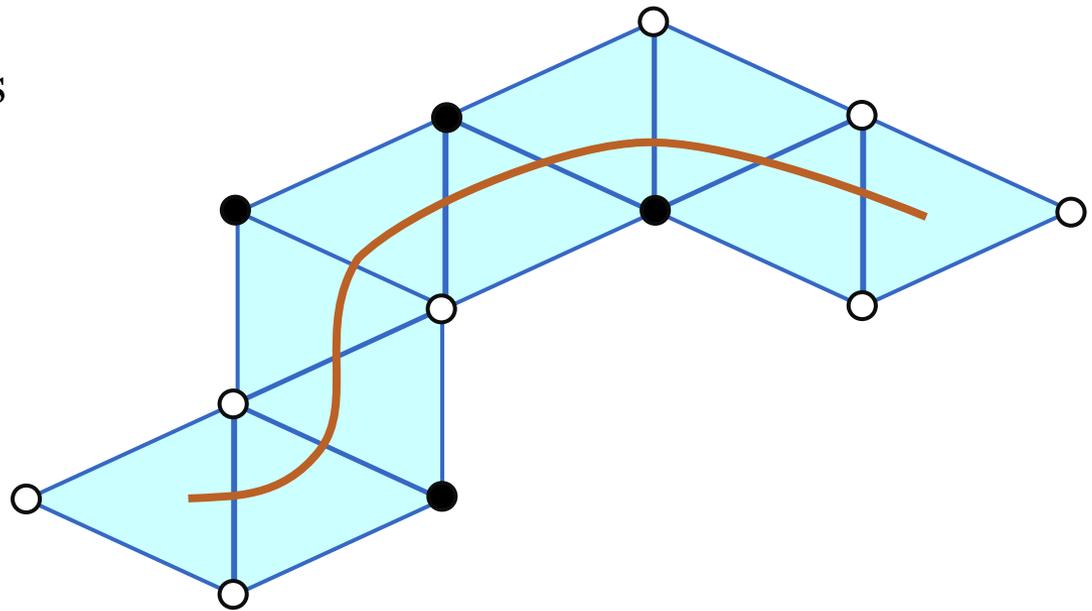
# Eliminating Monochromatic Simplexes

- Use subdivisions to eliminate monochromatic simplexes.
  - Non Adjacent case.



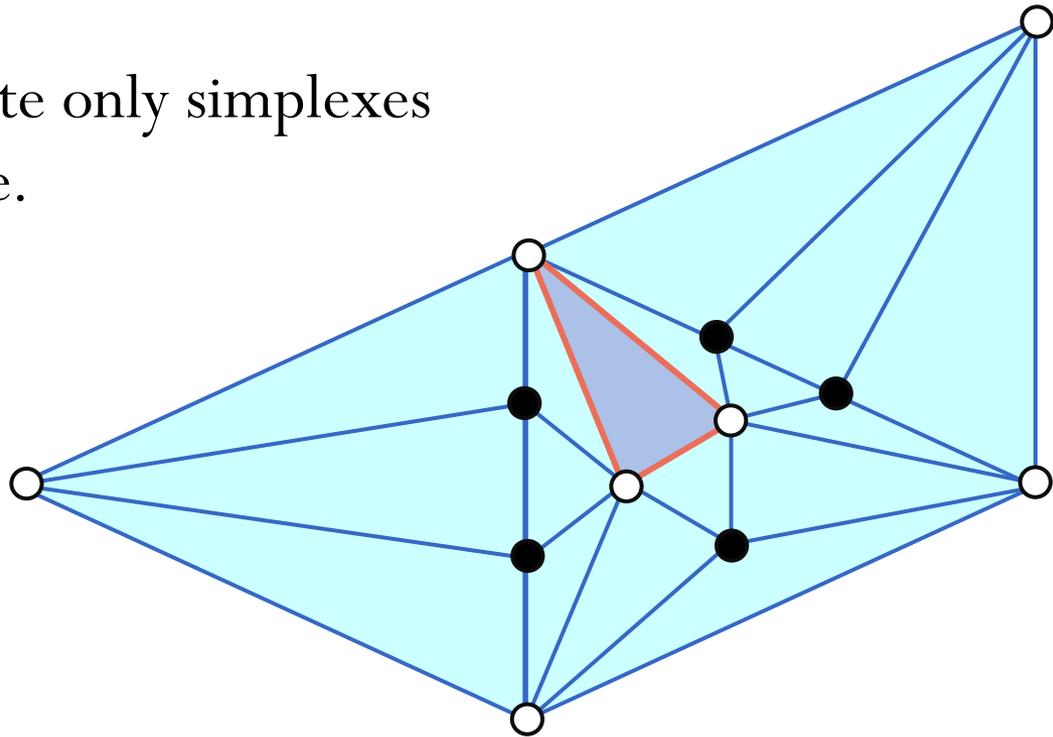
# Eliminating Monochromatic Simplexes

- We can use subdivisions to eliminate monochromatic simplexes.
- Similar constructions for longer paths.
- $O(\ell)$  subdivisions for  $\ell$ -length path.



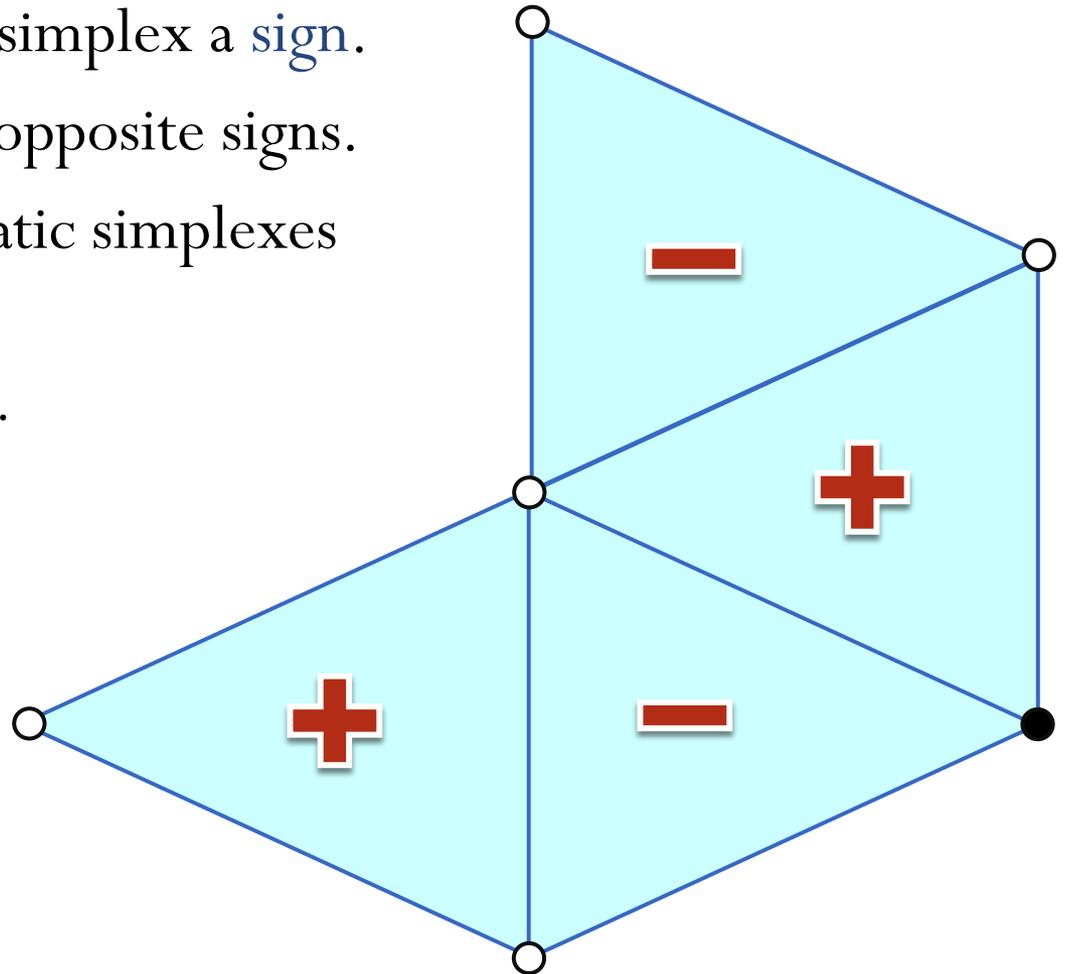
# Odd Paths

- Eliminate **odd** length paths?
  - Impossible!
- We can eliminate only simplexes of **even** distance.



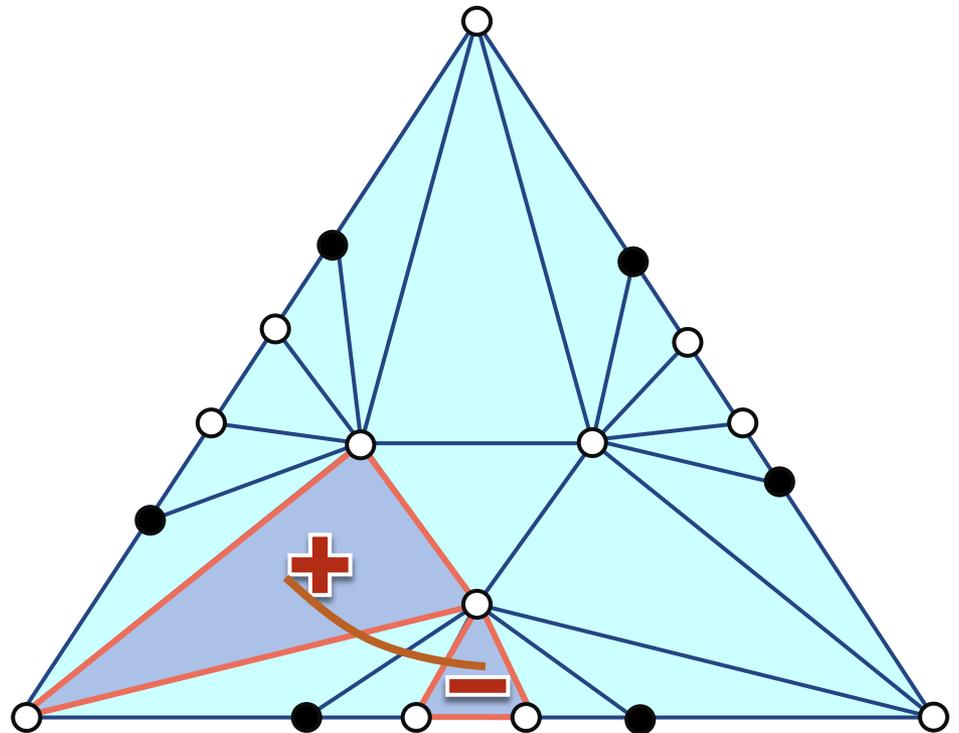
# Signs

- Give each maximal simplex a **sign**.
- Can eliminate only opposite signs.
- Count monochromatic simplexes by their sign.
  - This is an **invariant**.



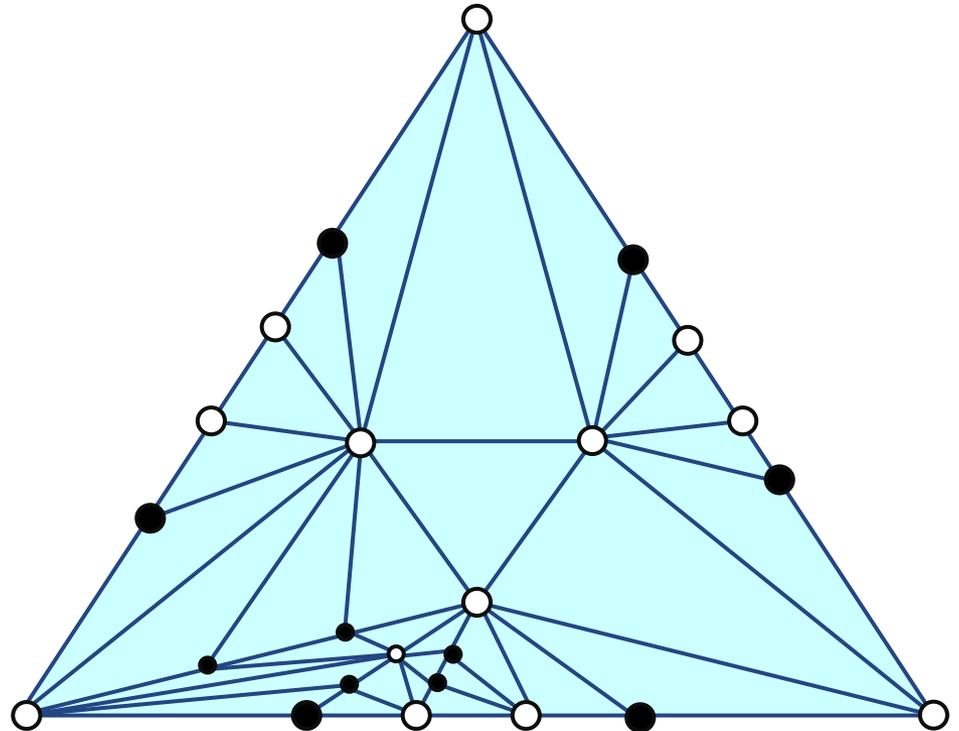
## 2. Create Path

- Choose mono. simplexes of opposite signs.
- Find a connecting path.



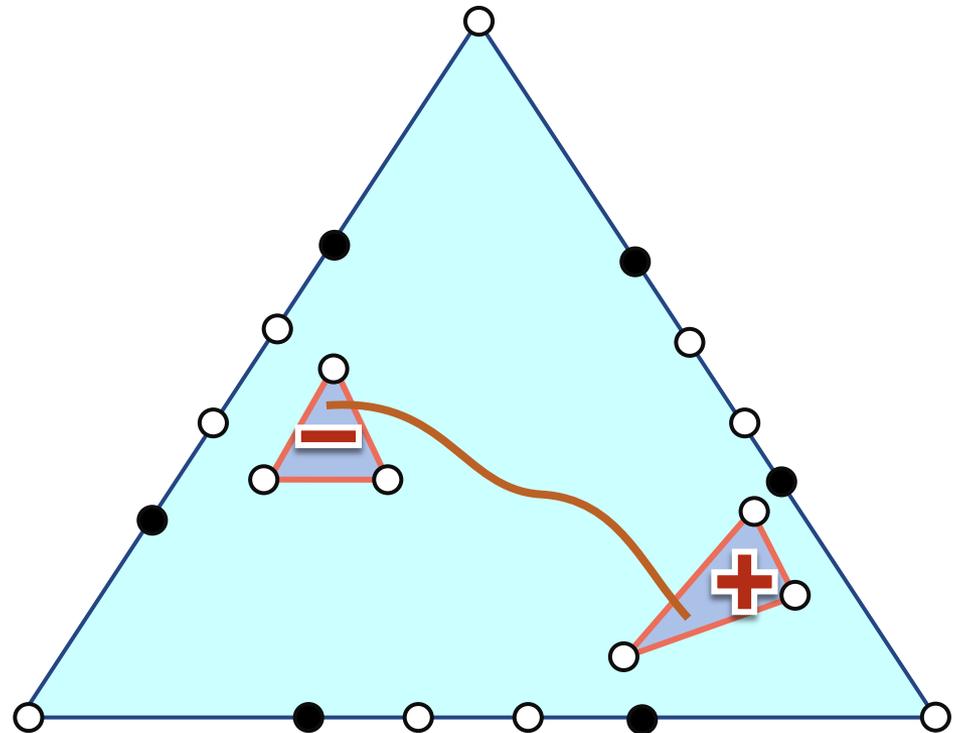
## 2. Eliminate

- Choose mono. simplexes of opposite signs.
- Find a connecting path
- Eliminate.



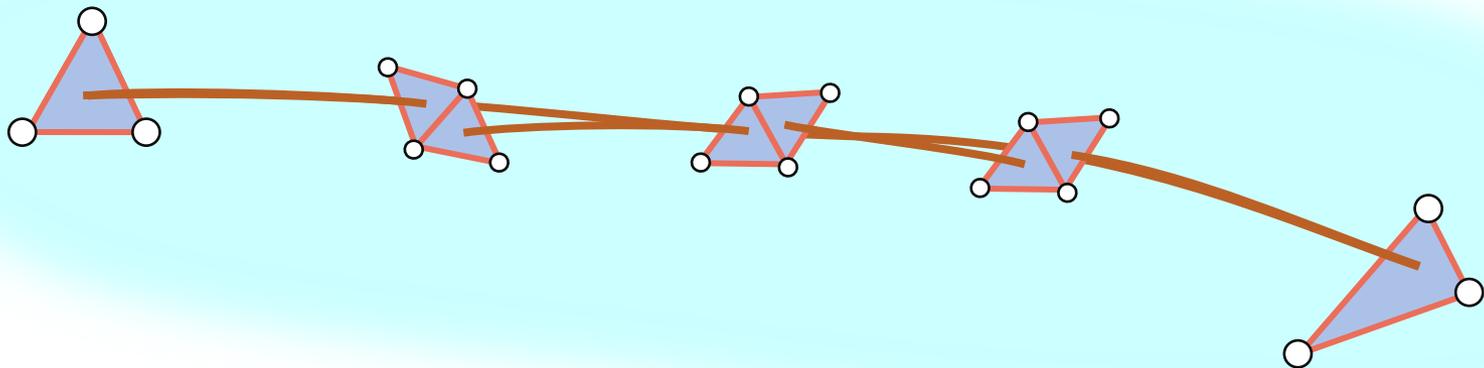
## 2. Longer Paths

- Path between simplexes of opposite signs.
- The longer the path, more subdivisions are needed.



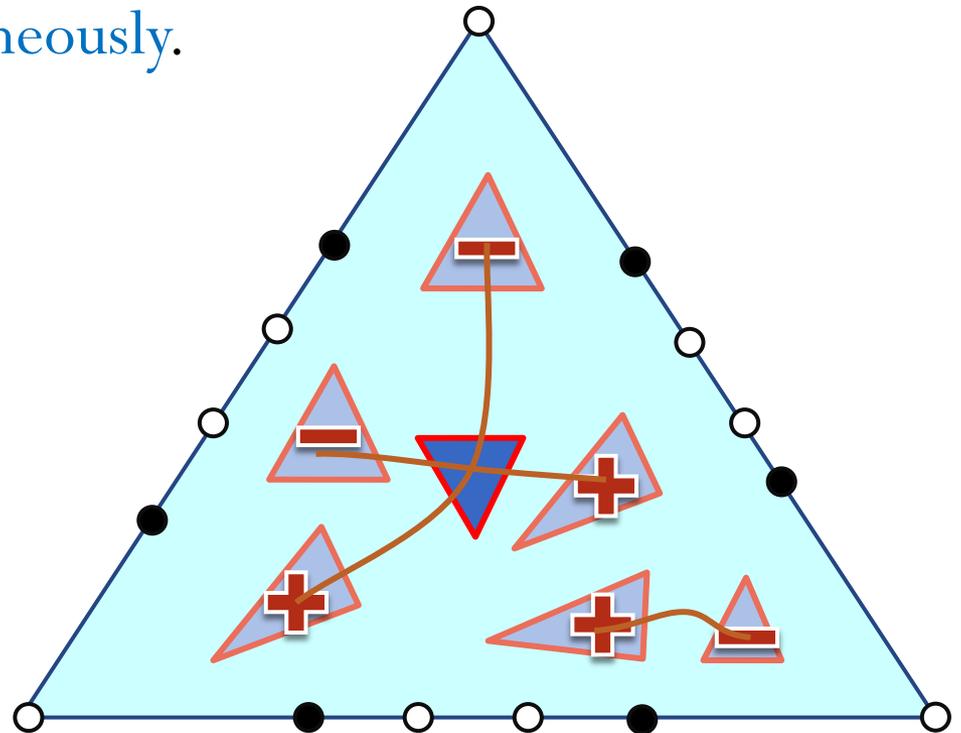
## 2. Longer Paths

- Path between simplexes of opposite signs.
- The longer the path, **more subdivisions** are needed.
- Solution:
  - Break into **short paths**.
  - Many  $n$ -length paths, subdivided simultaneously in  $O(n)$ .



## 2. Eliminate Paths

- Match all simplexes in pairs.
- Eliminate pairs.
- Cannot be done *simultaneously*.

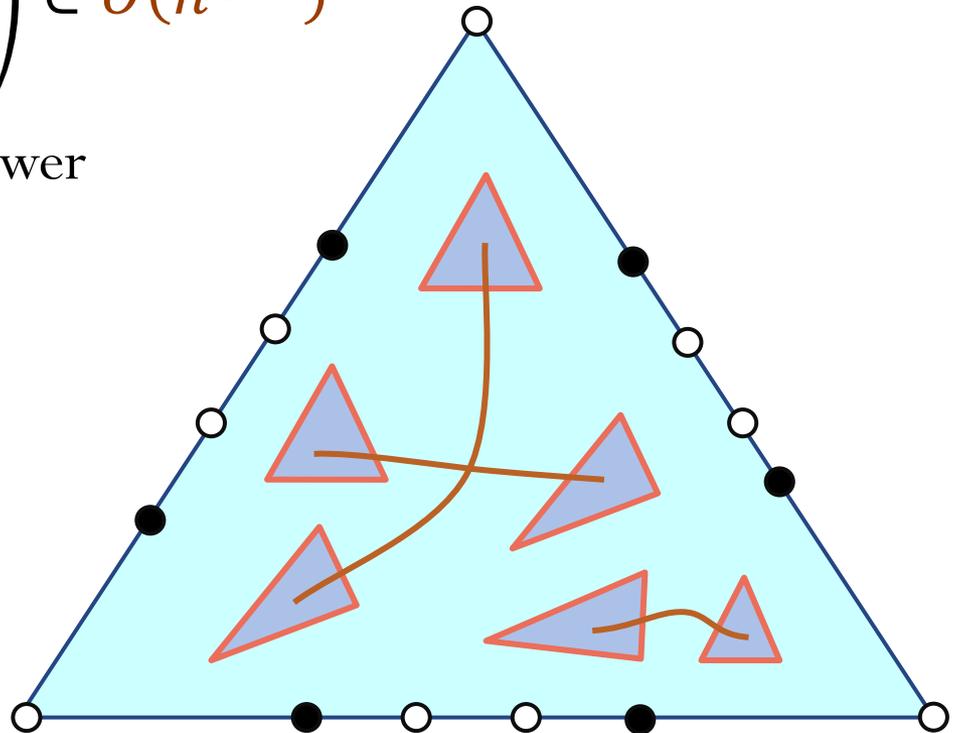


## 2. Number of paths

- Number of paths:
  - Half the number of mono. simplexes:

$$\frac{1}{2} \left( 1 + \sum_{i=1}^{n-1} \binom{n}{i} |k_i| \right) \in O(n^{q+2})$$

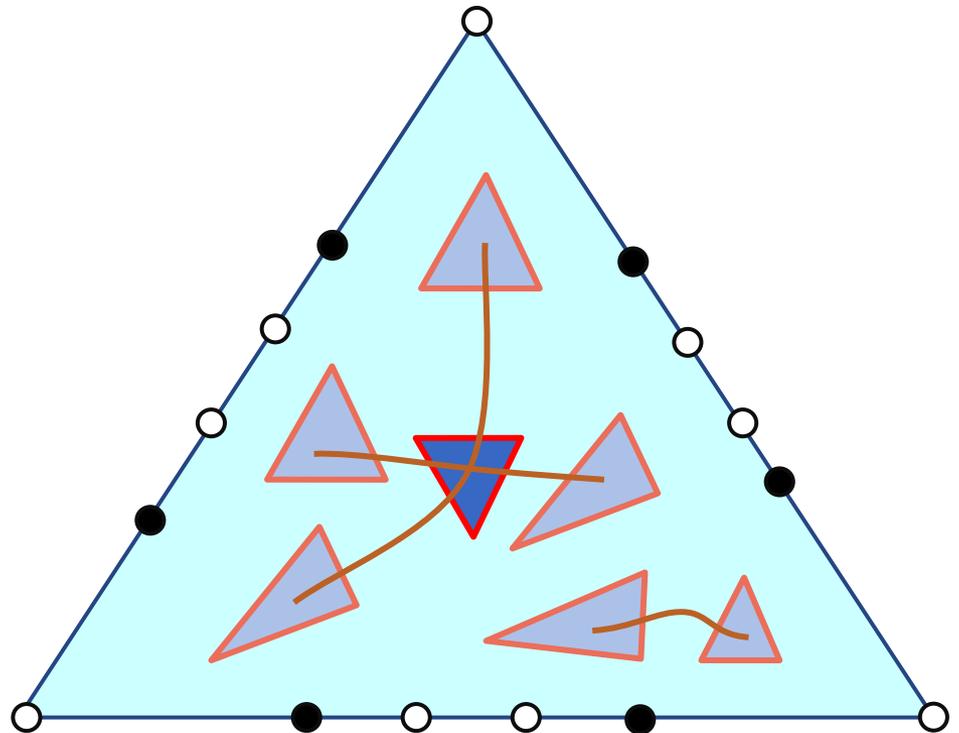
- $q$  is the largest prime power dividing  $n$ .



## 2. Number of Subdivisions

- The “expensive” part:
  - A simplex shared by many paths is subdivided many times.
- $O(n^{q+3})$  subdivisions.

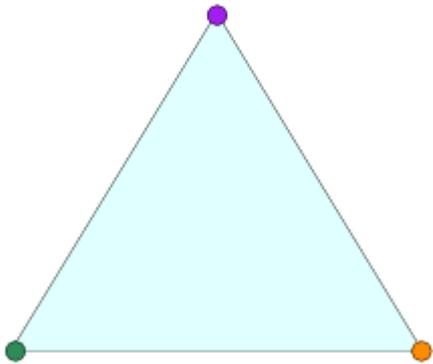
Possible solution:  
finding disjoint paths.



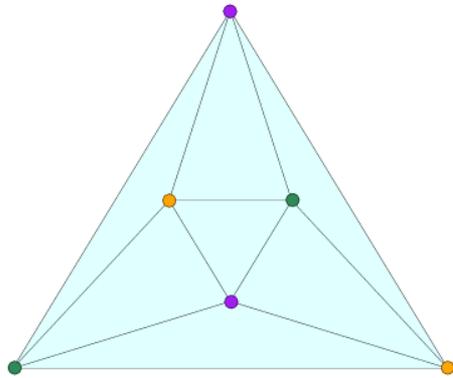
# Step Three: The Output Map

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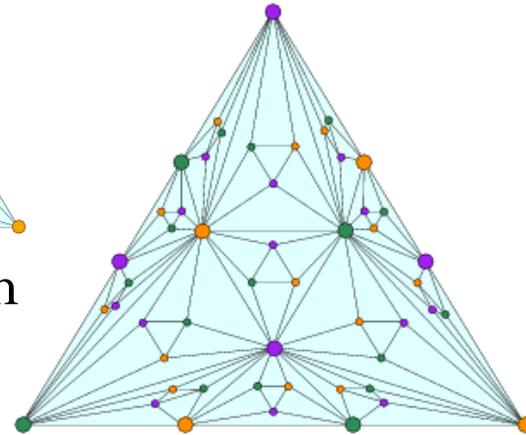
# Cone Subdivision



Simplex  $S$



Cone subdivision

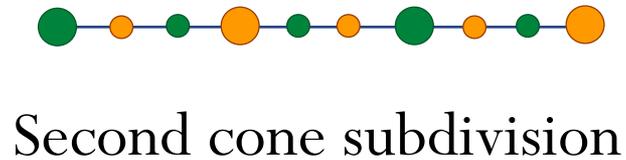
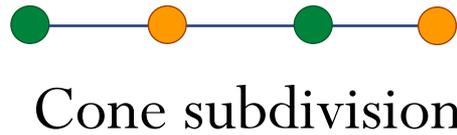


Second cone subdivision

...

$L$ -cone  
subdivision

# Cone Subdivision

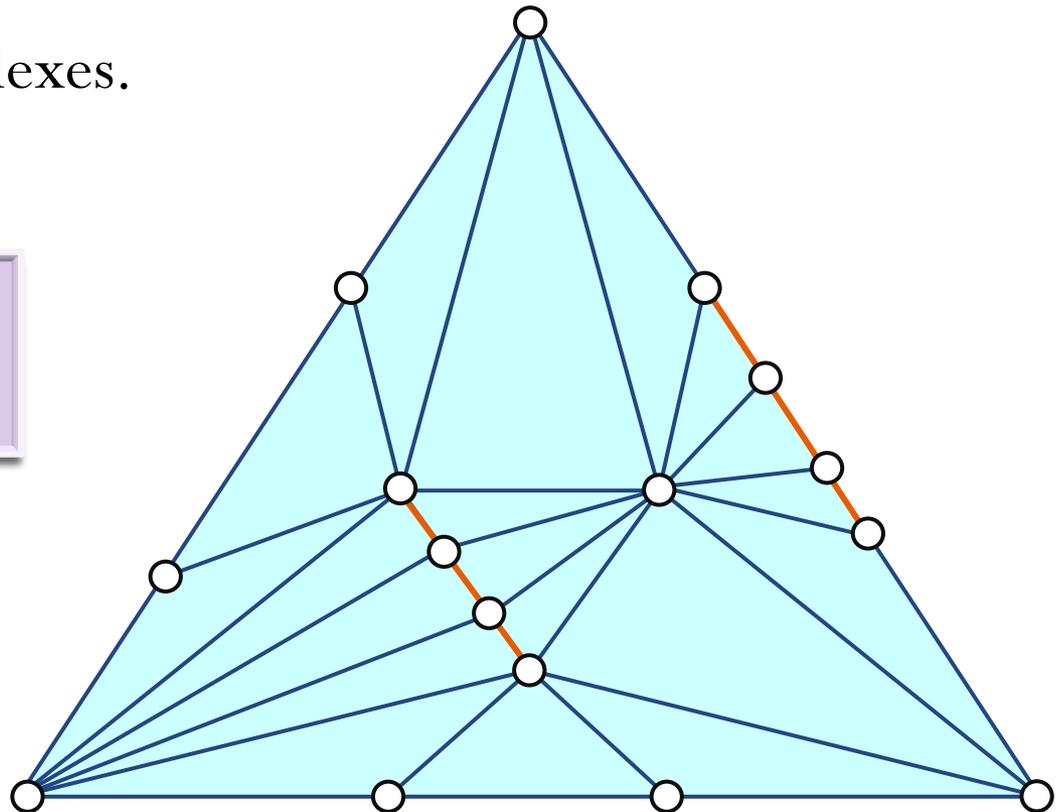


...  $L$ -cone  
subdivision

# Constructing Subdivisions

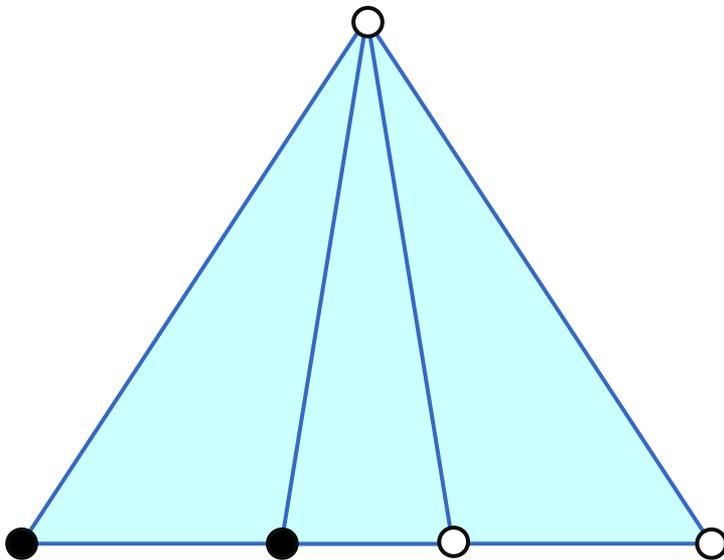
1. Pick simplexes and an integer  $L$ .
2.  $L$ -cone (in parallel) these simplexes.
3. Extend to all simplexes.

These are the  
subdivisions we used



# 3. Cone Subdivisions

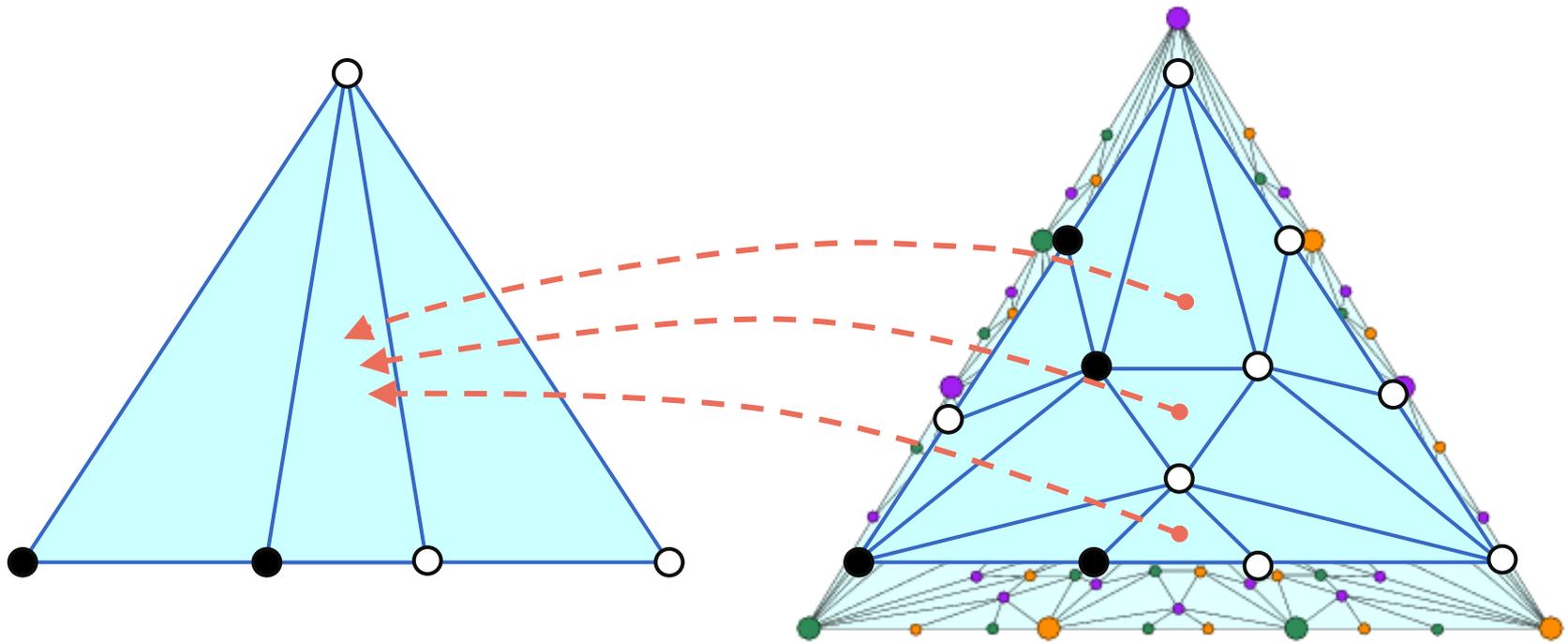
- We use cone subdivisions.
- How to derive an algorithm?



```
simulated  $\leftarrow$  0
Write(initialStatei) to Ri
while true do
  r  $\leftarrow$  Scan (R0, ..., Rn-1)
  if r contains all then
    return simulated
  simulated  $\leftarrow$  1
  Execute Local A (r)
  if A returns v then
    return the same value v
  Write (r) to R
...
```

# Cone Subdivisions

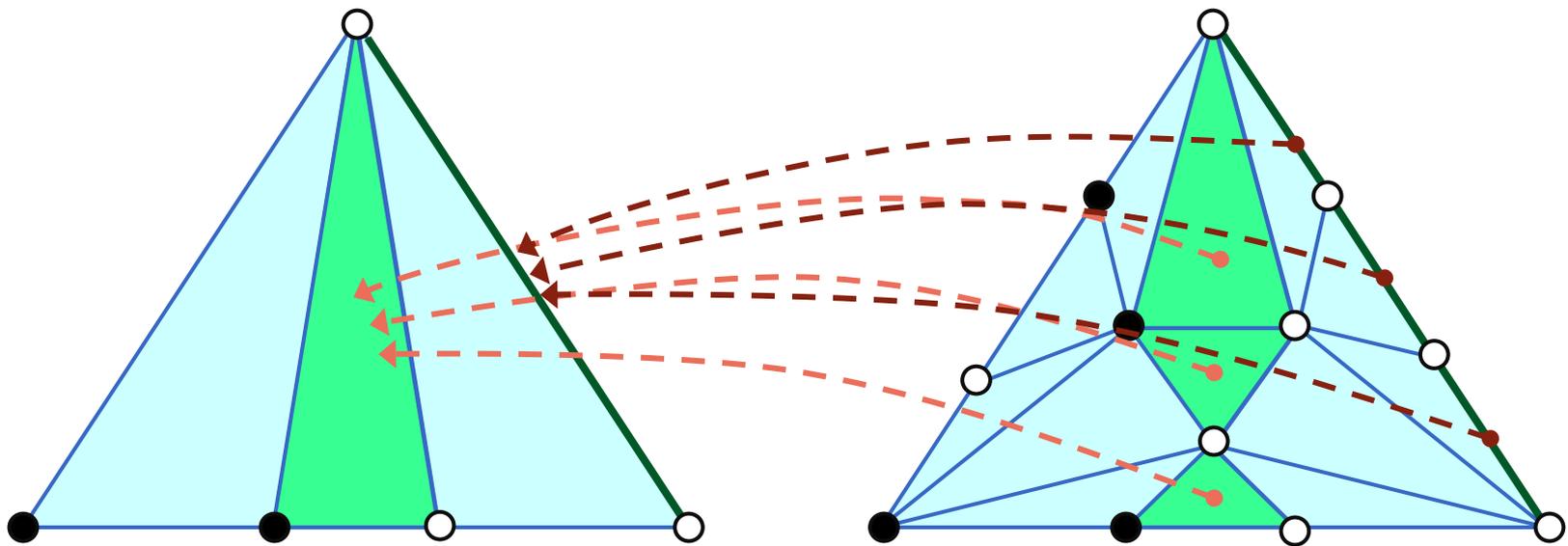
- Use cone subdivisions, than map standard subdivision to them.



- Without using simplicial approximation!

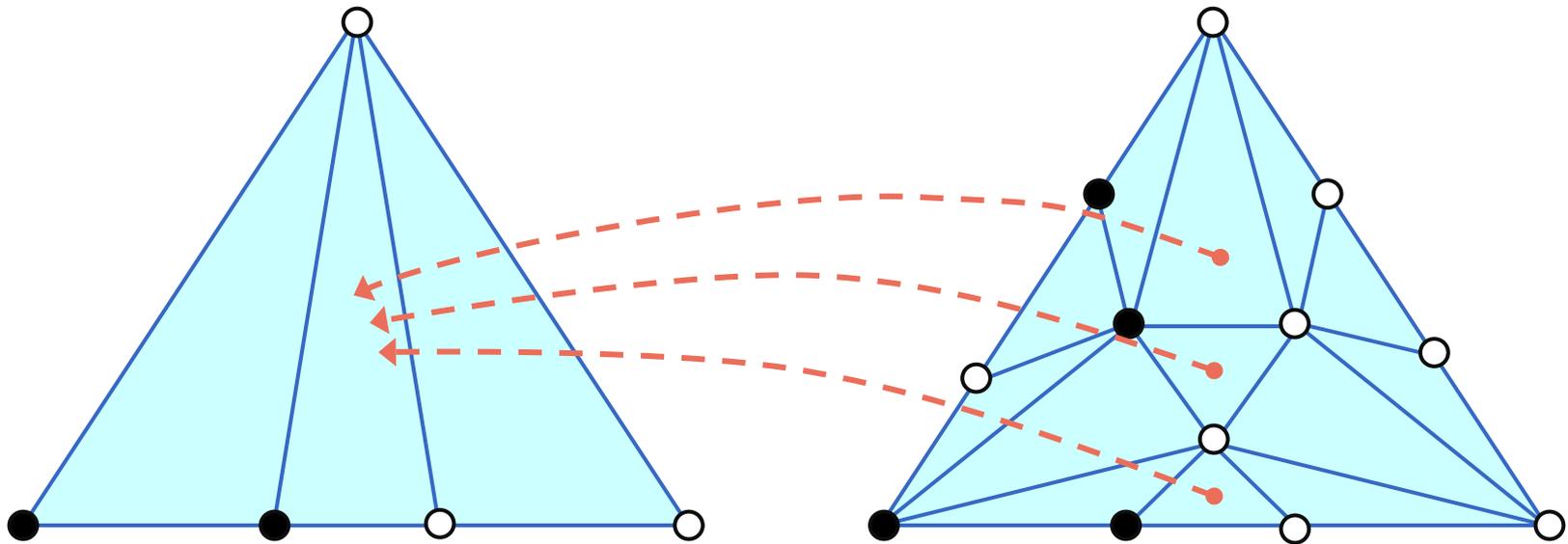
# 3. Mapping

- Solution:
  - Map standard chromatic subdivisions to cone subdivisions.
  - “Pull back” coloring accordingly.



# 3. Mapping

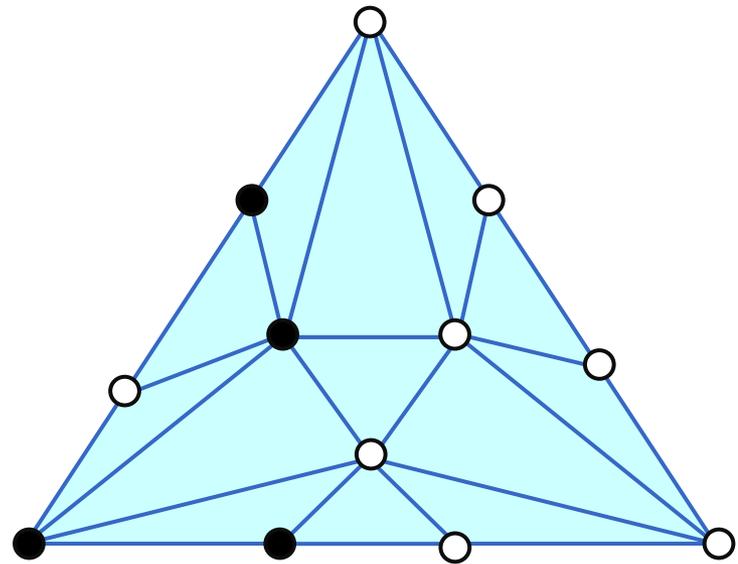
- Properties:
  - Map **simplexes to simplexes**.
  - Preserve process **identifiers**.
  - Preserve the **structure of the subdivision**.



# 3. Mapping

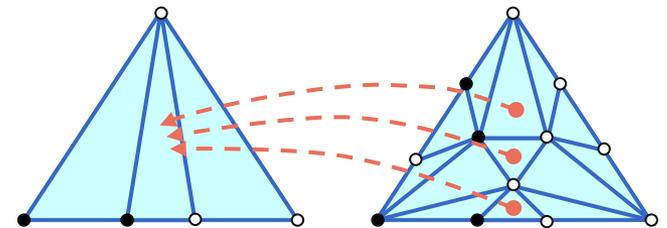
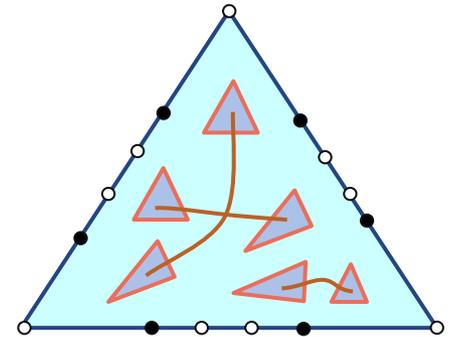
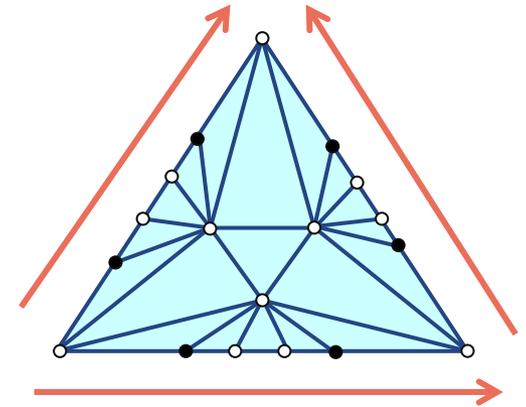
- From a standard chromatic subdivision, we derive an algorithm.

```
simulated  $\leftarrow$  0
Write(initialStatei) to Ri
while true do
  r  $\leftarrow$  Scan (R0, ..., Rn-1)
  if r contains all then
    return simulated
  simulated  $\leftarrow$  1
  Execute Local A (r)
  if A returns v then
    return the same value v
  Write (r) to R
...
```



# Wrap Up

- **Step 1:** symmetric subdivision, with 0 mono. simplexes by sign.
  - $O(1)$  subdivisions.
- **Step 2:** eliminate mono. simplexes, while preserving symmetry.
  - $O(n^{q+3})$  subdivisions.
- **Step 3:** mapping from standard subdivision.
  - No subdivisions.



Total:  $O(n^{q+3})$  subdivisions.

# Main Results

- Upper bound on the complexity of solving WSB and  $(2n-2)$ -renaming.
  - Not just existence.
- Explicit mapping of standard chromatic subdivision to cone subdivision.
  - “We do not discuss Lebesgue numbers in a polite company” [M. P. Herlihy].
- Improved path-elimination procedure.
  - Do not depend on the length of the path.

# Open Questions

- Non-intersecting matching paths.
- Intuitive WSB algorithm.
- $(2n-3)$ -renaming and below.
- Colored computability theorem with bounds.

