A Characterization of Concept Lattices. Dual Concept Lattices

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Summary. In this article we continue the formalization of concept lattices following [6]. We give necessary and sufficient conditions for a complete lattice to be isomorphic to a given formal context. As a by-product we get that a lattice is complete if and only if it is isomorphic to a concept lattice. In addition we introduce dual formal concepts and dual concept lattices and prove that the dual of a concept lattice over a formal context is isomorphic to the concept lattice over the dual formal context.

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The articles [13], [5], [17], [8], [14], [2], [12], [18], [9], [16], [15], [1], [11], [4], [3], [19], [7], and [10] provide the notation and terminology for this paper.

1. Preliminaries

Let C be a FormalContext and let C_1 be a strict FormalConcept of C. The functor ${}^{@}C_1$ yielding an element of ConceptLattice C is defined by:

(Def. 1)
$${}^{\tiny{\textcircled{@}}}C_1 = C_1$$
.

Let *C* be a FormalContext. Observe that ConceptLattice *C* is bounded. We now state four propositions:

- (1) For every FormalContext C holds $\bot_{\text{ConceptLattice }C} = \text{Concept} \text{with} \text{all} \text{Attributes }C$ and $\top_{\text{ConceptLattice }C} = \text{Concept} \text{with} \text{all} \text{Objects }C$.
- (2) Let C be a FormalContext and D be a non empty subset of $2^{\text{the objects of }C}$. Then $(\text{ObjectDerivation }C)(\bigcup D) = \bigcap \{(\text{ObjectDerivation }C)(O); O \text{ ranges over subsets of the objects of }C: O \in D\}.$
- (3) Let C be a FormalContext and D be a non empty subset of $2^{\text{the attributes of }C}$. Then $(\text{AttributeDerivation }C)(\bigcup D) = \bigcap \{(\text{AttributeDerivation }C)(A); A \text{ ranges over subsets of the attributes of }C: A \in D\}.$
- (4) Let C be a FormalContext and D be a subset of ConceptLattice C. Then $\bigcap_{\text{ConceptLattice }C}D$ is a FormalConcept of C and $\bigcup_{\text{ConceptLattice }C}D$ is a FormalConcept of C.

Let *C* be a FormalContext and let *D* be a subset of ConceptLattice *C*. The functor $\bigcap_C D$ yielding a FormalConcept of *C* is defined as follows:

(Def. 2)
$$\bigcap_C D = \bigcap_{\text{ConceptLattice } C} D$$
.

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The functor $\bigsqcup_C D$ yielding a FormalConcept of C is defined as follows:

(Def. 3) $\bigsqcup_C D = \bigsqcup_{\text{ConceptLattice } C} D$.

One can prove the following propositions:

- (5) For every FormalContext C holds $\bigsqcup_C (\emptyset_{\text{ConceptLattice }C}) = \text{Concept} \text{with} \text{all} \text{Attributes }C$ and $\bigcap_C (\emptyset_{\text{ConceptLattice }C}) = \text{Concept} \text{with} \text{all} \text{Objects }C$.
- (6) For every Formal Context C holds $\bigsqcup_{C}(\Omega_{\text{the carrier of ConceptLattice }C}) = \text{Concept} \text{with} \text{all} \text{Objects }C$ and $\bigcap_{C}(\Omega_{\text{the carrier of ConceptLattice }C}) = \text{Concept} \text{with} \text{all} \text{Attributes }C$.
- (7) Let C be a FormalContext and D be a non empty subset of ConceptLattice C. Then
- (i) the extent of $\bigsqcup_C D = (\text{AttributeDerivation } C)((\text{ObjectDerivation } C)(\bigcup \{\text{the extent of } \langle E, I \rangle; E \text{ ranges over subsets of the objects of } C, I \text{ ranges over subsets of the attributes of } C: \langle E, I \rangle \in D\})),$ and
- (ii) the intent of $\bigsqcup_C D = \bigcap \{ \text{the intent of } \langle E, I \rangle; E \text{ ranges over subsets of the objects of } C, I \text{ ranges over subsets of the attributes of } C: \langle E, I \rangle \in D \}.$
- (8) Let *C* be a FormalContext and *D* be a non empty subset of ConceptLattice *C*. Then
- (i) the extent of $\bigcap_C D = \bigcap \{ \text{the extent of } \langle E, I \rangle; E \text{ ranges over subsets of the objects of } C, I \text{ ranges over subsets of the attributes of } C: \langle E, I \rangle \in D \}, \text{ and}$
- (ii) the intent of $\bigcap_C D = (\text{ObjectDerivation } C)((\text{AttributeDerivation } C)(\bigcup \{\text{the intent of } \langle E, I \rangle; E \text{ ranges over subsets of the objects of } C, I \text{ ranges over subsets of the attributes of } C: \langle E, I \rangle \in D\})).$
- (9) Let C be a FormalContext and C_1 be a strict FormalConcept of C. Then $\bigsqcup_{\text{ConceptLattice }C}\{\langle O,A\rangle;O \text{ ranges over subsets of the objects of }C, A \text{ ranges over subsets of the attributes of }C:\bigvee_{o:\text{object of }C} (o\in \text{the extent of }C_1 \land O=(\text{AttributeDerivation }C)((\text{ObjectDerivation }C)(\{o\})) \land A=(\text{ObjectDerivation }C)(\{o\}))\}=C_1.$
- (10) Let C be a FormalContext and C_1 be a strict FormalConcept of C. Then $\bigcap_{\text{ConceptLattice} C} \{\langle O, A \rangle; O \text{ ranges over subsets of the objects of } C, A \text{ ranges over subsets of the attributes of } C: \bigvee_{a: \text{Attribute of } C} (a \in \text{the intent of } C_1 \land O = (\text{AttributeDerivation } C)(\{a\}) \land A = (\text{ObjectDerivation} C)((\text{AttributeDerivation} C)(\{a\}))) \} = C_1.$

Let C be a FormalContext. The functor $\gamma(C)$ yielding a function from the objects of C into the carrier of ConceptLattice C is defined by the condition (Def. 4).

(Def. 4) Let o be an element of the objects of C. Then there exists a subset O of the objects of C and there exists a subset A of the attributes of C such that $(\gamma(C))(o) = \langle O, A \rangle$ and $O = (AttributeDerivation <math>C)((ObjectDerivation C)(\{o\}))$ and $A = (ObjectDerivation C)(\{o\})$.

Let C be a FormalContext. The functor δ_C yields a function from the attributes of C into the carrier of ConceptLattice C and is defined by the condition (Def. 5).

(Def. 5) Let a be an element of the attributes of C. Then there exists a subset O of the objects of C and there exists a subset A of the attributes of C such that $\delta_C(a) = \langle O, A \rangle$ and $O = (AttributeDerivation <math>C)(\{a\})$ and $A = (ObjectDerivation <math>C)((AttributeDerivation C)(\{a\}))$.

The following propositions are true:

- (11) Let C be a FormalContext, o be an object of C, and a be an Attribute of C. Then $(\gamma(C))(o)$ is a FormalConcept of C and $\delta_C(a)$ is a FormalConcept of C.
- (12) For every FormalContext C holds $\operatorname{rng} \gamma(C)$ is supremum-dense and $\operatorname{rng}(\delta_C)$ is infimum-dense.
- (13) Let C be a FormalContext, o be an object of C, and a be an Attribute of C. Then o is connected with a if and only if $(\gamma(C))(o) \sqsubseteq \delta_C(a)$.

2. THE CHARACTERIZATION

Next we state the proposition

(14) Let L be a complete lattice and C be a FormalContext. Then ConceptLattice C and L are isomorphic if and only if there exists a function g from the objects of C into the carrier of L and there exists a function d from the attributes of C into the carrier of L such that rng g is supremum-dense and rng d is infimum-dense and for every object o of C and for every Attribute a of C holds o is connected with a iff $g(o) \sqsubseteq d(a)$.

Let L be a lattice. The functor Context L yielding a strict non quasi-empty Context Str is defined by:

(Def. 6) Context $L = \langle \text{the carrier of } L, \text{ the carrier of } L, \text{ LattRel}(L) \rangle$.

The following two propositions are true:

- (15) For every complete lattice L holds ConceptLattice Context L and L are isomorphic.
- (16) For every lattice L holds L is complete iff there exists a FormalContext C such that ConceptLattice C and L are isomorphic.

3. DUAL CONCEPT LATTICES

Let L be a complete lattice. Observe that L° is complete.

Let C be a FormalContext. The functor C° yields a strict non quasi-empty ContextStr and is defined as follows:

(Def. 7) $C^{\circ} = \langle \text{the attributes of } C, \text{ the objects of } C, \text{ (the information of } C)^{\smile} \rangle$.

The following propositions are true:

- (17) For every strict FormalContext C holds $(C^{\circ})^{\circ} = C$.
- (18) For every FormalContext C and for every subset O of the objects of C holds $(\text{ObjectDerivation } C)(O) = (\text{AttributeDerivation } C^{\circ})(O)$.
- (19) For every FormalContext C and for every subset A of the attributes of C holds (AttributeDerivationC) $(A) = (ObjectDerivation <math>C^{\circ})(A)$.

Let C be a FormalContext and let C_1 be a ConceptStr over C. The functor C_1° yields a strict ConceptStr over C° and is defined by:

(Def. 8) The extent of C_1° = the intent of C_1 and the intent of C_1° = the extent of C_1 .

Let C be a FormalContext and let C_1 be a FormalConcept of C. Then C_1° is a strict FormalConcept of C° .

We now state the proposition

(20) For every FormalContext C and for every strict FormalConcept C_1 of C holds $(C_1^{\circ})^{\circ} = C_1$.

Let C be a FormalContext. The functor DualHomomorphism C yielding a homomorphism from (ConceptLattice C) $^{\circ}$ to ConceptLattice C $^{\circ}$ is defined by:

(Def. 9) For every strict FormalConcept C_1 of C holds (DualHomomorphism C)(C_1) = C_1° .

We now state two propositions:

- (21) For every FormalContext *C* holds DualHomomorphism *C* is isomorphism.
- (22) For every FormalContext C holds ConceptLattice C° and (ConceptLattice C) $^{\circ}$ are isomorphic.

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