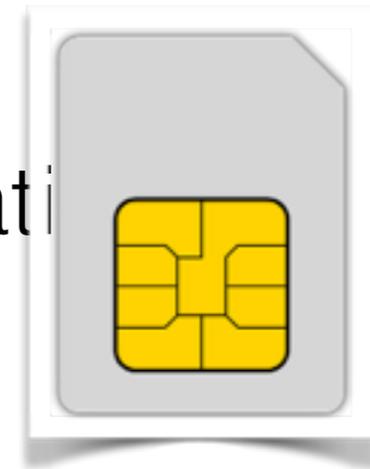


Automated backward analysis of PKCS#11 v2.20

Robert Künnemann — Technische Universität Darmstadt

PKCS#11

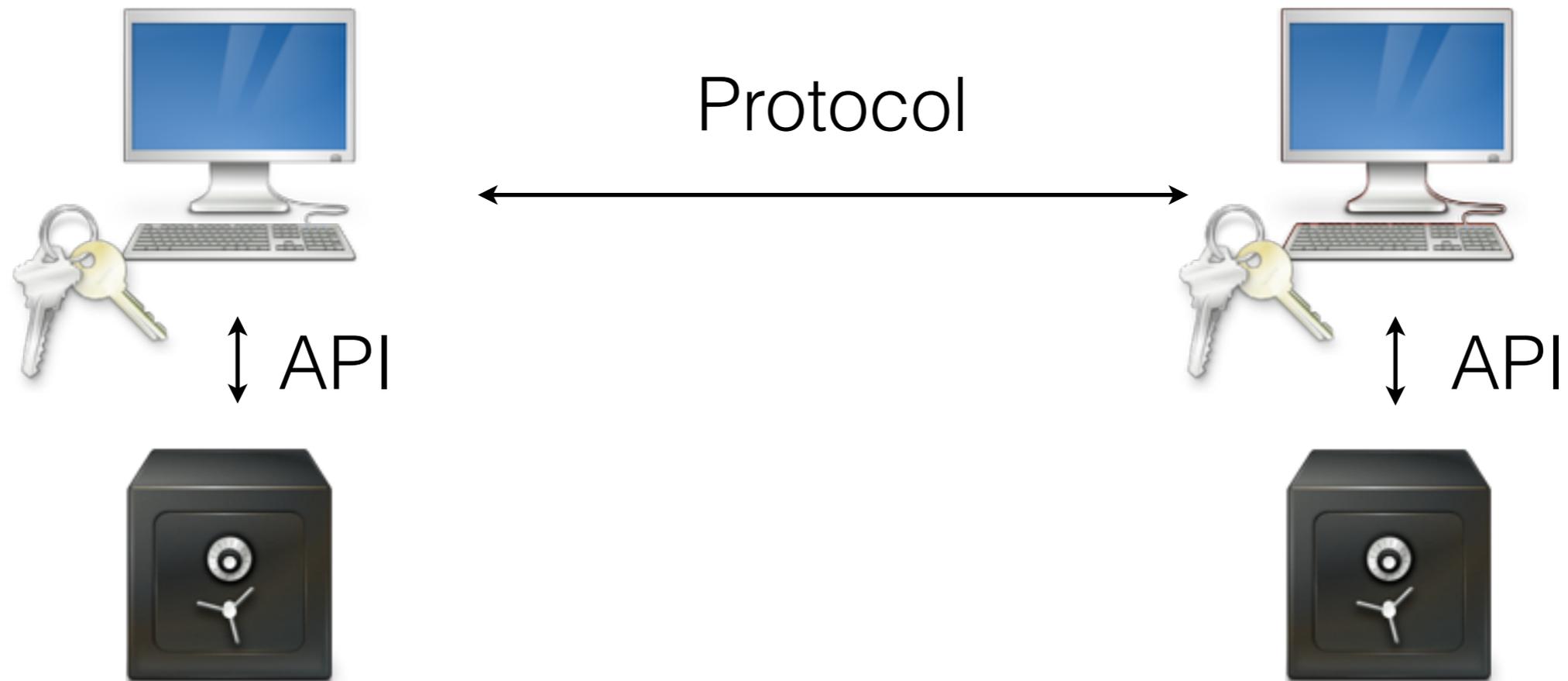
- defin
- used



- Hardware Security Modules (HSMs)
- Smart cards
- Software implementations, e.g. in Firefox

PKCS#11

- goal: protect cryptographic material:



PKCS#11

- goal: protect cryptographic material:
- corollary: must contain keys and implement cryptographic functions
- indirect access via handles
- security property: "sensitive" keys cannot be learned, even by corrupted parties

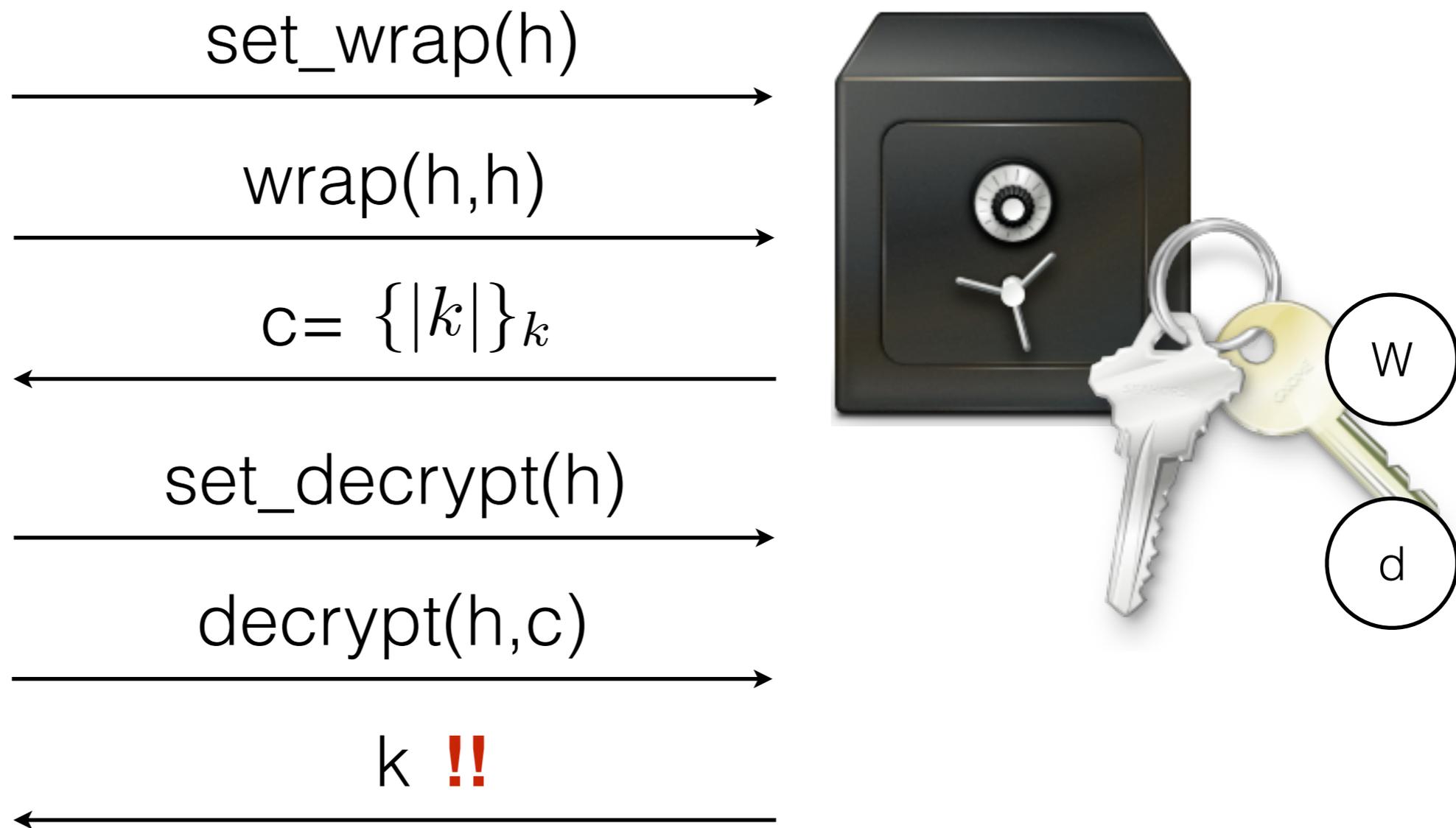
PKCS#11 (core)

- key-usage:
 - symmetric encryption/decryption
 - etc.
- key-management:
 - creation of keys
 - export (wrapping) and import (unwrapping)
- handles map to keys x templates (= set of attributes, e.g. enc, dec, wrap, unwrap, sensitive,..)

Contributions

- formal model of PKCS#11v2.20 in cryptographic process calculus with state (Dolev-Yao model)
- policy that allows for secure backup of usage-keys
 - secrecy of sensitive keys established using backward analysis
 - less automated, but more flexible than previous models
- proof finding heuristics for PKCS#11

Clulow's attack



Policies

- incomplete implementation of PKCS#11
- typically restricts creation/import of keys (templates) and attribute changes

PKCS#11 v2.20

- new attributes:
 - **wrap-template**: wrap k under k_w
template of k have to match k_w 's wrap template
 - **unwrap-template**: import key in c using k_w
handle to new key will have template matching k_w 's unwrap template
 - **recursive**: templates contain attributes wrap-template and unwrap-template

Policy (simplified)

name	wrap/unwrap	enc/dec	sensitive	wt/ut
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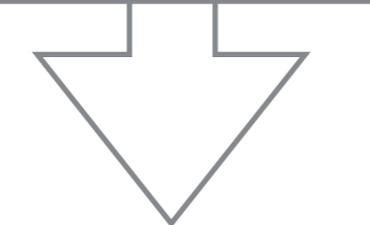
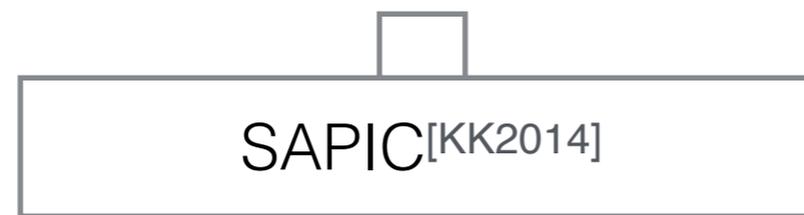
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usage		•	•	-
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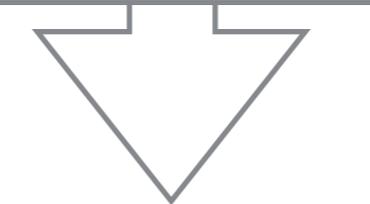
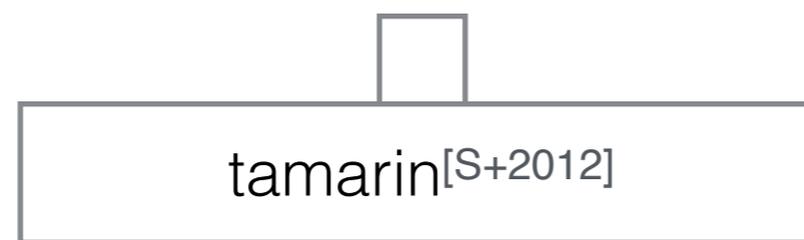
untrusted		•		-
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Approach

$P_{init};!(P_{create} \mid P_{dec} \mid P_{enc} \mid P_{wrap} \mid P_{unwrap} \mid P_{get_keyval})$ + helping lemmas



$l - [a] \rightarrow r$



✓/✗/∞

- backward-analysis
- interactive
- automatic w/ custom heuristics

Modelling

$P_{init};!(P_{create} \mid P_{dec} \mid P_{enc} \mid P_{wrap} \mid P_{unwrap} \mid P_{get_keyval})$

$P_{wrap} := \text{in}(\langle h1, h2 \rangle);$

Verification

- drawback: helping lemmas need to be written (but are verified automatically)
- standard 'smart' heuristic fails, e.g. wastes time on deduction of handles
- heuristics adapted to use case, e.g. resolve template lookups first
- optimisations for SAPIC output in general, e.g. resolve unlock operations and previous states right away

Proof

- drawback: helping lemmas need to be written (but are verified automatically)
 1. message obtained by decryption was input by adversary OR a bad thing happened
 2. imported (unwrapped) keys were once created on device OR a bad thing happened
 3. if one bad thing happened, a worse thing happened before

Evaluation

lemma	interaction	
	no heuristics	heuristics
dec_limits	11	0
bad_keys	0	0
no key is wrap+dec	15	0
no key is enc+unwrap	29	0
cannot obtain key	6	0

Related work

Three major lines of work:

1. protocol verification approach
2. program verification approach
3. type-checking approach

- security token is (sole) participant in protocol
- early results using model checking [DKS2010], soundness for static policies [FS2009,B+2010]
- soundness result is model-specific (e.g. cannot deal with v2.20)

Related work

Three major lines of work:

1. protocol verification approach
2. program verification approach
3. type-checking approach

- first-order linear time logic with past operators [FS2010]
- manual (tableau) proofs, backward-analysis
- but: support for wrap/unwrap templates [FS2011]

Related work

Three major lines of work:

1. protocol verification approach
 2. program verification approach
 3. type-checking approach
- static analysis on C-implementation of token[CFL2013]
 - generalised version that maps to PKCS#11 v2.20[AFL2013] with similar policy

Limitations & Future work

- raise degree of automation
 - synthesise lemmas (hard)
 - derive "general" lemmas (heuristics may help)
- policy can be expressed without v2.20 features, and was proven secure before ^[AFL2013] (using type-checking)
 - try approach on "real" dynamic policy
 - helping lemmas need to be altered

Conclusion

- backward-analysis approach can be automated (or protocol analysis approach can be made more precise)
- flexible and expressive modelling language in SAPIC, precise analysis with tamarin (msr)
- no model-specific soundness results needed
- possibility of analysing "real" dynamic policies for PKCS#11

Thank you for your attention.

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PKCS#11 (core)

- key-usage:
 - symmetric/asymmetric encryption/decryption
 - ~~signatures, MAC, random number generation ...~~
- key-management:
 - creation ~~and (unencrypted) import~~ of keys
 - export (wrapping) and import (unwrapping)
 - ~~key derivation~~
- keys have attributes: enc,dec,wrap,unwrap,sensitive,..