A simulation model for the density of states and for incomplete ionization in crystalline silicon. II. Investigation of Si:As and Si:B and usage in device simulation

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Building on Part I of this paper [Altermatt et al., J. Appl. Phys. 100, 113714 (2006)], the parametrization of the density of states and of incomplete ionization (ii) is extended to arsenic- and boron-doped crystalline silicon. The amount of ii is significantly larger in Si:As than in Si:P. Boron and phosphorus cause a similar amount of ii although the boron energy level has a distinctly different behavior as a function of dopant density than the phosphorus level. This is so because the boron ground state is fourfold degenerate, while the phosphorus ground state is twofold degenerate. Finally, equations of ii are derived that are suitable for implementation in device simulators. Simulations demonstrate that ii increases the current gain of bipolar transistors by up to 25% and that it decreases the open-circuit voltage of thin-film solar cells by up to 10 mV. The simulation model therefore improves the predictive capabilities of device modeling of p-n-junction devices.


I. INTRODUCTION

In a preceding article (Part I), we collected a thorough physical understanding of the density of states (DOS) near the conduction band edge of crystalline silicon doped with phosphorus. Based on this, the DOS was parametrized with parameters derived from experiments. We approximated the DOS of the dopant band with the Gaussian function,

\[ D_{\text{dop}}(E, N_{\text{dop}}) = \frac{N_{\text{dop}} b}{\sqrt{2 \pi} \delta} \exp \left[ -\frac{(E - E_{\text{dop}}^0)^2}{2 \delta^2} \right]. \]  

Here, \( \delta \) is the half-width of the dopant DOS, \( b \) is the fraction of carriers in localized states, and the energy of the dopant level \( E_{\text{dop}} \) is defined as the energy where the dopant DOS peaks. We expressed \( E_{\text{dop}} \) as follows:

\[ E_{\text{dop}} = \frac{E_{\text{dop}, 0}}{1 + (N_{\text{dop}}/N_{\text{ref}})^d}, \]  

where \( E_{\text{dop}, 0} \) denotes the dopant energy at low \( N_{\text{dop}} \). For an expression of \( \delta \), we chose

\[ \delta = r N_{\text{dop}}^{1/2} (1 - e^{-d/N_{\text{dop}}}), \]  

and for \( b \) we used

\[ b = \frac{1}{1 + (N_{\text{dop}}/N_{\text{ref}})^d}. \]  

These equations and the parameters listed in Table I reproduce measurements of incomplete ionization (ii) in Si:P in the temperature range between 300 and 30 K.

In this paper, the parametrization is extended to arsenic and boron, and the equations for ii that are suitable for implementation into device simulators are derived. Simulations demonstrate that the current gain of bipolar transistors is significantly influenced by ii.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Si:P</th>
<th>Si:As</th>
<th>Si:B</th>
</tr>
</thead>
<tbody>
<tr>
<td>( E_{\text{dop}, 0} ) (meV)</td>
<td>45.5</td>
<td>53.7</td>
<td>44.39</td>
</tr>
<tr>
<td>( N_{\text{ref}} ) (cm(^{-3}))</td>
<td>(3 \times 10^{18})</td>
<td>(4 \times 10^{18})</td>
<td>(1.7 \times 10^{18})</td>
</tr>
<tr>
<td>( c )</td>
<td>2</td>
<td>1.5</td>
<td>1.4</td>
</tr>
<tr>
<td>( r ) (eV cm(^{3/2}))</td>
<td>(4.2 \times 10^{-12})</td>
<td>(4.2 \times 10^{-12})</td>
<td>(4.2 \times 10^{-12})</td>
</tr>
<tr>
<td>( s ) (cm(^{-3}))</td>
<td>(10^{19})</td>
<td>(10^{19})</td>
<td>(10^{19})</td>
</tr>
<tr>
<td>( N_b ) (cm(^{-3}))</td>
<td>(6 \times 10^{18})</td>
<td>(1.4 \times 10^{19})</td>
<td>(6 \times 10^{18})</td>
</tr>
<tr>
<td>( d )</td>
<td>2.3</td>
<td>3</td>
<td>2.4</td>
</tr>
<tr>
<td>( g^4 )</td>
<td>1/2</td>
<td>1/2</td>
<td>1/4</td>
</tr>
</tbody>
</table>

*aEquation (11) in Part I.

bEquation (11) in Part I.

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TABLE II. The energy of the dopant ground state at low $N_{\text{dop}}$, and the critical $N_{\text{dop}}$ where the $M-I$ transition occurs, given for various dopants in Si. NA stands for “not available.”

<table>
<thead>
<tr>
<th>Material</th>
<th>$E_{\text{dop,0}}$ (meV)</th>
<th>$N_{\text{crit}}$ (cm$^{-3}$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Si:Li</td>
<td>33.02$^a$</td>
<td>N/A</td>
</tr>
<tr>
<td>Si:Sb</td>
<td>42.7$^b$</td>
<td>$3.0 \times 10^{18}$</td>
</tr>
<tr>
<td>Si:P</td>
<td>45.5$^c$</td>
<td>$3.74 \times 10^{18}$</td>
</tr>
<tr>
<td>Si:As</td>
<td>53.7$^d$</td>
<td>$8.5 \times 10^{18}$</td>
</tr>
<tr>
<td>Si:Bi</td>
<td>71.0$^e$</td>
<td>$1.8 \times 10^{19}$</td>
</tr>
<tr>
<td>Si:B</td>
<td>44.39$^f$</td>
<td>NA</td>
</tr>
<tr>
<td>Si:Al</td>
<td>69.0$^g$</td>
<td>NA</td>
</tr>
<tr>
<td>Si:Ga</td>
<td>72.73$^h$</td>
<td>NA</td>
</tr>
<tr>
<td>Si:In</td>
<td>155.58$^i$</td>
<td>NA</td>
</tr>
</tbody>
</table>

$^a$Reference 2.  
$^b$Reference 6.  
$^c$Reference 5.  
$^d$Reference 1.  
$^e$Reference 7.  
$^f$Reference 4.  
$^g$Reference 8.  
$^h$Reference 9.

II. ARSENIC-DOPED SILICON

From Table II it follows that the donor state of As is situated deeper in the band gap than the donor state of P, and the Mott transition occurs at higher $N_{\text{dop}}$ in Si:As (Ref. 4) than in Si:P. Hence, we expect that the amount of $ii$ is larger in Si:As than in Si:P.

In a previous paper (Part I), the amount of $ii$ was derived from the ratio between the mobility $\mu_{\text{cond}}$ measured via conductivity and the mobility $\mu_H$ measured with the Hall effect. This ratio reflects incomplete ionization only if $\mu_{\text{cond}}$ is measured with the common assumption that $n=N_{\text{dop}}$ and if $\mu_H$ is obtained with an appropriate Hall correction factor $r$. The same is done here for the case of Si:As. We assume that $r$ is identical in Si:As and in Si:P, given by Eq. (12) of Part I. This means that the scattering of free electrons at the donor ions is independent of the dopant type as will be justified below. Figure 1 shows the amount of $ii$ in Si:As obtained with this procedure (filled symbols) in comparison with Si:P (crosses, taken from Fig. 5 of Part I). Although the data scatter considerably, it is obvious that the amount of $ii$ is significantly larger in Si:As than in Si:P, as expected from the differences in $E_{\text{dop,0}}$ and $N_{\text{crit}}$ between the two materials.

In order to calculate incomplete ionization in Si:As, some model parameters need to be adjusted to measurements. We do not know published measurements of the activation energy $E_{\text{act}}$ and $E_{\text{dop}}$ in Si:As except in boron-compensated material or a paper where $E_{\text{act}}$ was given with rather low precision. Therefore, we assume that $E_{\text{dop}}$ of Si:As behaves similarly to Si:P (as a function of $N_{\text{dop}}$), but is shifted towards higher $N_{\text{dop}}$ (or higher energy) by the same amount as $N_{\text{crit}}$ (or $E_{\text{dop,0}}$) differs between the two. The resulting parameters are listed in Table I. Because the experimental data scatter considerably, we did not attempt to fit the calculations with a least-square method.

In Part I, the dopant band width $\delta$ was adjusted with photoluminescence (PL) measurements. In Si:As, we know only of very few PL measurements, and we are unable to derive $\delta$ as a function of $N_{\text{dop}}$ from them. These PL spectra are similar as in Si:P, indicating that $\delta$ is not vastly different between the two materials. Because $\delta$ has only a minor effect on the amount of $ii$ (as shown in Part I), we choose the same parametrization for $\delta$ as in Si:P without causing substantial errors.

In contrast to $\delta$, $b$ influences the amount of incomplete ionization strongly above $N_{\text{crit}}$. As in Part I, $b$ is adjusted by fitting the measurements in Fig. 1 with Eqs. (10) and (11) of Part I. The results are shown as a solid curve in Fig. 1, and the parameters for $b$ are listed in Table I. The resulting $b$ is different from Si:P, which is expected from the conductivity and magnetoresistance measurements.

Some data points at $N_{\text{dop}}<10^{18}$ cm$^{-3}$ in Fig. 1 show a far larger amount of $ii$ than our calculations do. We judge these data as unprecise because we would obtain such a large amount of $ii$ only if using a considerably larger $E_{\text{dop,0}}$ than is experimentally confirmed.

As promised above, we justify in the following why we use the same Hall correction factor $r$ in both materials. It has been concluded from a recent debate that state-of-the-art theories predict no significant difference between electron scattering at As and at P ions. Indeed, it is shown in a separate paper that the measured mobility is the same in both materials if the differences in $ii$ are included. This implies that the scattering mechanisms are the same, and so is $r$. Past mobility measurements indicated differences in mobility between the two materials because the mobility data of both materials were plotted with inconsistent assumptions, and it was quantified insufficiently.

III. BORON-DOPED SILICON

In Fig. 2, $E_{\text{act}}$ measurements of Si:B are compared to Si:P (the latter are taken from Fig. 3 of Part I). These data indicate differences between B and P at medium dopant densities (between $10^{17}$ and $10^{18}$ cm$^{-3}$). At first
Within the scatter of the data, significant deviations are observed between $E_{\text{act}}$ in Si:B and for P, the measured differences in $E_{\text{act}}$. We conclude that this may affect differences in $E_{\text{act}}$. With the approximation that the width $\delta$ of the dopant band is the same for B and for P, the measured differences in $E_{\text{act}}$ between the two dopant types are identical to their differences in $E_{\text{act}}$, i.e., there holds $E_{\text{act,B}} = E_{\text{act,P}} - (E_{\text{act,B}} - E_{\text{act,B}})$. The results are shown as dashed and solid lines in Fig. 2 and were made using Eq. (2) and the parameters of Table I.

Figure 3 shows measurements of $\mu$ in Si:B (filled symbols). They were obtained with the same method as in Part I, except that the Hall correction factor $r = 0.8$ was taken independently of $N_{\text{dop}}$ as previously observed. At first, it is surprising that these data coincide (within their uncertainty) with the $\mu$ data of Si:P (crosses), although $E_{\text{act}}$ differs between boron and phosphorus. The reason for this becomes obvious by calculating $\mu$ with Eqs. (1)–(4) as well as with Eqs. (10) and (11) of Part I. The result is shown as solid line in Fig. 3 and is similar in both materials because the boron ground state is fourfold degenerate (apart from a negligibly small splitting of the energy level), in contrast to the phosphorus ground state whose degeneracy is only twofold. Therefore, $g = 1/4$ for boron as has been used in previous calculations.

We like to mention that Thurber et al. measured a significant amount of $\mu$ at high $N_{\text{dop}}$ (triangles in Fig. 3) because not all boron atoms were electronically active in their highly doped samples.

We assume that the broadening of the dopant band is identical in Si:B and in Si:P because we are unable to deduce $E_{\text{act,B}}$ and $\delta$ independently from photoluminescence data due to lack of data. We did not succeed in extracting the DOS from tunneling experiments either. However, our approximation is well justified with the outcome: the resulting incomplete ionization, shown as solid line in Fig. 3, reproduces the measurements within their precision.

IV. COMPENSATION AND OTHER DOPANTS THAN P, AS, AND B

We aim that the parameters of the simulation model are deduced from measurements, such as the conductivity, the Hall mobility and, if possible, $E_{\text{act}}$, and other quantities.

Unfortunately, we have not found sufficient experimental data in the literature on silicon doped with antimony, bismuth, aluminium, gallium, indium, cadmium, or indium to serve as a firm experimental base for the simulation model. We therefore do not give a parametrization for these dopant types here.

Many properties of the dopant band depend on the screening among the free carriers which, in turn, depends on both the doping conditions and the injection conditions of free carriers.

Compensational doping changes the screening properties in the following way. For example, in Si:P, screening is dominated by scattering of electrons among themselves and with the P ions; when Si:P is compensated with B, electron-hole scattering comes into play, as well as scattering at B ions. The effects on the dopant band have been predicted in theoretical investigations, showing that some phenomena are hardly affected by compensation (for example, the electronic specific heat), while others are more affected (for example, $N_{\text{crit}}$ and $E_{\text{act,B}}$) or even substantially affected (such as the conductivity near 0 K).

The amount of screening can be influenced in other ways than by compensational doping. Firstly, when free carriers are additionally injected by an amount comparable to or exceeding $N_{\text{dop}}$, as it happens in strongly forward-biased $p$-$n$ junctions of bipolar power devices. Such high-injection conditions lower $E_{\text{act}}$. Secondly, the depletion of carriers in $p$-$n$ junctions results in a loss of screening because the free carriers.
carrier density is normally orders of magnitude lower than the doping density. This increases $E_{dop}$ towards $E_{dop,0}$ in depletion zones.

V. IMPLEMENTATION OF THE DOS MODEL

Equation (1)–(4) are generally unsuitable for implementation in a device simulator. A modification of the equations is needed, and we suggest the following approach.

It was demonstrated in Part I that the half-width of the dopant band, $\delta$, has a negligible influence on the amount of ii below $N_{crit}$ and plays a minor role above $N_{crit}$s, where the influence of factor $b$ dominates. Therefore, ii is implemented in the following with a discrete dopant level instead of a dopant band. This means that the Gaussian (1) is replaced by a delta function. This approximation requires only minor adjustments to $b$ above $N_{crit}$. The equations for the degree of ionization, Eqs. (10) and (11) in Part I, then become

$$\frac{N_{don}^+}{N_{don}} = 1 - \frac{b}{1 + g e^{-(E_{Fp} + E_{dop} - E_p)/kT}}, \quad (5a)$$

$$\frac{N_{acc}^-}{N_{acc}} = 1 - \frac{b}{1 + g e^{-(E_{Fp} - E_{dop} - E_p)/kT}}, \quad (5b)$$

where the function $b = b(N_{dop})$ describes the decreasing fraction of the bound states according to Eq. (4) and $E_{dop}$ is the screening-dependent binding energy of the dopant. These equations contain the quasi-Fermi levels $E_{Fp}$ and $E_{Fn}$. It is important to note that ii shifts the Fermi levels towards mid-gap, as is shown in the case of Si:P in Fig. 4. When using Eqs. (5a) and (5b) in a device simulator, the Fermi levels adjust themselves to their “real” (i.e., with ii) values due to the self-consistent solution of Poisson and continuity equations. The parameters of the simplified models (5a) and (5b) are listed in Table III. The deviations from the original models (1)–(4) are minor compared to the scattering of the experimental data in Figs. 1 and 3 and in Fig. 5 of Part I.

As discussed in Sec. IV, depletion or accumulation of free carriers may influence $E_{dop}$. In order to take such effects into account, $E_{dop}$ and $b$ should be taken as a function of $n + p$ instead of $N_{dop}$. This would not affect the results in neutral regions, where $n + p = N_{dop}$, but would improve the situation in space charge regions. We will discuss this point below.

For a device model it is advantageous to replace the Fermi levels in (5a) and (5b) by the densities. This is easily done in the case of Boltzmann statistics and leads to

$$\frac{N_{don}^+}{N_{don}} = 1 - \frac{bn}{n + gn_1}, \quad (6a)$$

$$\frac{N_{acc}^-}{N_{acc}} = 1 - \frac{bp}{p + gp_1}, \quad (6b)$$

with

$$n_1 = N_{d} e^{-E_{dop}/kT}, \quad p_1 = N_{p} e^{-E_{dop}/kT}. \quad (7)$$

It is interesting to note that for low doping densities (limit $E_{dop,0}$) the densities $n_1$ and $p_1$ take the values $2.35 \times 10^{18}$, $1.71 \times 10^{18}$, and $1.38 \times 10^{18}$ cm$^{-3}$ for phosphorus, arsenic, and boron, respectively, which are very close to the parameter $N_{ref}$ in Table III. The difference between (5a) and (5b) and (6a) and (6b) is not larger than 1% and is only visible in the minima of the ionization level. This proves that using Boltzmann statistics in replacing Fermi levels by densities is indeed sufficient, because the ionization level quickly tends to 1 as the carriers become degenerate. Therefore, the maximum error occurs at the Mott density, where Boltzmann statistics is still a reasonable approximation.

Our recommended device model for ii is given by Eqs. (6a) and (6b) with dopant-density dependent functions $b$, [Eq. (4)] and $E_{dop}$ [Eq. (2)] together with the parameters given in Table III. In order to demonstrate the effect of ii on device performance, we intended to implement this model into the device simulator DESIS-ISE (Ref. 59) via the physical model interface (PMI). The PMI allows us to use our own models, however, within the restrictions of predefined dependencies in order not to jeopardize the robustness of the code. The most serious restrictions apply to the dependence on the carrier densities. In case of ii the predefined functionality in DESIS-ISE is given by

$$\frac{N_{don}^+}{N_{don}} = \frac{1}{1 + g \rho \phi / n_1}, \quad (8a)$$

where $\rho$ is the density of the dopant.

TABLE III. The parameters used to calculate the amount of incomplete ionization in device simulations.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Si:P</th>
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<th>Si:B</th>
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</tr>
<tr>
<td>$N_{ref}$ (cm$^{-3}$)</td>
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<td>$3 \times 10^{14}$</td>
<td>$1.3 \times 10^{14}$</td>
</tr>
<tr>
<td>$c$</td>
<td>2</td>
<td>1.5</td>
<td>1.4</td>
</tr>
<tr>
<td>$N_{d}$ (cm$^{-3}$)</td>
<td>$6 \times 10^{18}$</td>
<td>$9 \times 10^{18}$</td>
<td>$4.5 \times 10^{18}$</td>
</tr>
<tr>
<td>$d$</td>
<td>2.3</td>
<td>1.8</td>
<td>2.4</td>
</tr>
<tr>
<td>$g$</td>
<td>1/2</td>
<td>1/2</td>
<td>1/4</td>
</tr>
</tbody>
</table>
The difference to the original model is obvious from Eqs. 5a and 5b, the degree of ionization becomes equal to 1 when the free carrier density vanishes. Therefore, the form of the effective degeneracy factors $g_{D,A}$ does not influence the degree of ionization as long as the distance between binding energy level and Fermi level is large compared to $kT$ (and positive). The only slight error occurs at the boundaries of depletion regions. In the case of high injection, the excess carrier density strongly screens the Coulomb potential and would rather make the ionization complete (see the discussion in Sec. IV). However, a large error is not to be expected if the local carrier density takes the value of the injected density rather than that of the local ionized doping. This is indeed the case in important applications (see below).

### VI. DEVICE EXAMPLES

We implemented the models (8a) and (8b) with (10a) and (10b) into the device simulator DESSIS-ISE (Ref. 59) for the species P, As, and B. As first example, we simulate a double polyemitter $n$-$p$-$n$ bipolar transistor of a 0.3 $\mu$m bipolar complementary metal-oxide-semiconductor (BiCMOS) process, where the geometry and doping information were taken from Ref. 60. The key points of this technology are the use of shallow and deep trench isolations to achieve a low collector-base junction capacitance and the use of a nonselective epitaxially grown base, aiming at high $f_{max}$ and $BV_{CEO}$. Details of the dc and ac calibrations for this device can be found in Ref. 61. The simulated Gummel characteristics and the common-emitter current gain are depicted in Fig. 6 for the cases with and without ii, respectively. We observe an increase of the maximum gain by 25% due to the effect of incomplete ionization. This increase, which is related to the increase of the collector current at moderate $V_{BE}$, is directly caused by the lower active boron doping in the base, since the gain is proportional to $N^+_{don,emitter}/N^-_{acc,base}$.

In the high-injection region, ii has the effect of a slightly faster saturation of the collector current. The rf transistor under study has a highly antimony-doped buried layer (with a peak concentration of $1.5 \times 10^{17}$ cm$^{-3}$) to decrease the collector resistance. We used the phosphorus parameters of our model for antimony. The buried layer profile is a critical
issue and must be carefully modeled such that the soft breakdown in the early characteristics at high $V_{CE}$ is best reproduced for all base currents. A stronger doping tail extending into the upper region of the collector causes an increase of the field strength and, consequently, enhances the avalanche multiplication. Furthermore, the collector doping profile affects the turn-on of the saturation current in the forward early characteristics, since a larger fraction of the collector bias effects the turn-on of the saturation current in the forward early characteristics, since a larger fraction of the collector bias can drop over the emitter-base junction at small $V_{CE}$. This shows that the ii model plays an important role in the modeling of the collector.

Figure 7 shows the density of ionized boron atoms (with and without the effect of ii) for different injection conditions. In the vicinity of the emitter-base junction the effect of ii disappears at small $V_{BE}$ as discussed above. Increasing $V_{BE}$ has the effect that the electron Fermi level approaches the boron binding energy level which results in an increased ii effect at the junction. In the high-injection range the density of the electron-hole plasma in the intrinsic base increases to far more than $10^{19}$ cm$^{-3}$ and to more than $10^{18}$ cm$^{-3}$ in the upper part of the collector. As can be seen from Eqs. (6a) and (6b), the ionization level becomes $1 - b$ in the limit of very high injection, and ii artificially increases with decreasing ratio $N_{dop}/N_b$. Note that this artifact would not occur if $b$ and $E_{dop}$ could be modeled as function of the free carrier densities. Nevertheless, the artifact has no effect on the device behavior, because the injected carrier density is independent of the local ionized doping density in the high-injection region (the plasma density profiles with and without ii, respectively, are almost identical).

As second device example, we simulate crystalline Si thin-film solar cells, which are asymmetrical $p$-$n$ diodes. Such cells are, in contrast to wafer cells, relatively highly doped in order to minimize resistive losses in lateral currents. To demonstrate the maximum possible impact of ii on the operation of such cells, we choose $N_{dop}=2 \times 10^{18}$ cm$^{-3}$ in the boron-doped base, and phosphorus is diffused 1 μm deep into one surface to form a Gaussian emitter layer with a peak dopant density of $5 \times 10^{18}$ cm$^{-3}$. The simulated open-circuit voltage $V_{oc}$ decreases due to an ii from 624 to 614 mV, because the band-bending across the $p$-$n$ junction is reduced. From the viewpoint of charge neutrality, the reduction of the hole majority carrier density increases the electron minority carrier density and hence the recombination rate in the base. In precise cell simulations, a reduction by 10 mV is a noticeable effect.

Besides the rf bipolar transistor and the thin-film solar cell, the impact of ii was studied for a number of other devices and phenomena: the transfer characteristics of a metaloxide-semiconductor field-effect transistor (MOSFET), the CV characteristics of a MOS capacitor, and the gate direct tunnel current of a state-of-the-art MOSFET. In all examples, the active device regions had a doping concentration near the Mott density. Although ii had a visible effect in all cases, its size was found not to be of practical interest. In MOS devices, even with a boron substrate concentration of $2 \times 10^{18}$ cm$^{-3}$, ii becomes negligible, since in the depletion region under the gate the effect of ii is not present (large distance between boron level and Fermi level), and in the inverted channel the carrier density is fixed by the amount of gate charge.

VII. CONCLUSIONS

The parametrization of the density of states (DOS) near the band edge of crystalline silicon was extended from phosphorus to arsenic and boron. The amount of incomplete ionization in Si:As is significantly larger than in Si:P, as expected from the differences in the energy of the dopant levels and in the Mott densities. The boron energy level has a distinctly different behavior as a function of dopant density than phosphorus. However, the amount of incomplete ionization is about the same in these two dopant types because the boron ground state is fourfold degenerate, while in phosphorus the degeneracy is twofold. A formula for incomplete ionization suitable for implementation in device simulators was derived. The simulations demonstrate that the current-gain of $p$-$n$-$p$ bipolar transistors is increased by incomplete ionization by up to 25% and that the open-circuit voltage of thin-film silicon solar cells suffers by 10 mV.
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