$PI3K\delta$ Inhibitors in Cancer: Rationale and Serendipity Merge in the Clinic

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ABSTRACT Several phosphoinositide 3-kinase (PI3K) inhibitors are in the clinic and many more are in preclinical development. CAL-101, a selective inhibitor of the PI3Kô isoform, has shown remarkable success in certain hematologic malignancies. Although PI3Kô signaling plays a central role in lymphocyte biology, the degree of single-agent therapeutic activity of CAL-101 during early-phase development has been somewhat unexpected. CAL-101 works in part by blocking signals from the microenvironment that normally sustain leukemia and lymphoma cells in a protective niche. As PI3Ks enter the arena of molecular-targeted therapies, CAL-101 provides proof of principle that isoform-selective compounds can be effective in selected cancer types and patient populations.

SIGNIFICANCE: A key question is whether compounds targeting a single PI3K catalytic isoform can provide meaningful single agent efficacy in cancer cells that express multiple isoforms. Clinical studies of the drug CAL-101 have provided a significant advance by showing that selective targeting of PI3K δ achieves efficacy in chronic lymphocytic leukemia, in part through targeting the tumor microenvironment. *Cancer Discovery*; 1(7); 562-72. ©2011 AACR.

PHOSPHOINOSITIDE 3-KINASE AS A DRUG TARGET

Phosphoinositide 3-kinase (PI3K) was first discovered as an enzymatic activity coprecipitating with oncoproteins and activated growth factor receptors (1, 2). This spurred research in PI3K as an interesting new signaling molecule and a potential drug target. Subsequent cloning efforts identified 8 distinct genes encoding PI3K catalytic subunits (3–5). Four of these PI3K isoforms (PI3K α , PI3K β , PI3K γ , and PI3K δ) are categorized as class I enzymes because they can use phosphatidylinositol-4,5-bisphosphate (PtdIns-4,5-P₂) as a substrate to generate phosphatidylinositol-3,4,5-trisphosphate (PIP₃). Elevated PIP₃ in cellular membranes drives several hallmarks of the cancer phenotype: cell proliferation, survival, metabolic reprogramming, and migration (6, 7).

Diverse mechanisms can contribute to increased PIP₃ levels. Some of these are cell-intrinsic, such as oncogenic tyrosine kinases

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(e.g., EGFR, BCR-ABL) or loss of the lipid phosphatase tumor suppressor PTEN. Cell-extrinsic cues, including growth factors, cytokines, chemokines, and adhesion molecules, also promote PI3K activation and PIP₃ production. One reason that PI3K has received such attention as a cancer target is that inhibiting PI3K should block growth and survival signals emanating from both the cell-intrinsic mutations and the microenvironment. Supporting this prediction, first-generation PI3K inhibitors wortmannin and LY294002 cause cell-cycle arrest and death in a broad array of tumor cell lines and stromal coculture models.

These studies led to the concept of PI3K as a convergence point for diverse upstream oncogenic inputs such that PI3K inhibition could provide a general strategy for cancer therapeutics (8). The fact that PI3K enzymes are primarily lipid kinases with distinct structure from classic protein kinase targets afforded the opportunity for drug selectivity. However, it soon became apparent that LY294002 (and, to a lesser extent, wortmannin) are not selective; they inhibit not only class I PI3Ks, but many other lipid and protein kinases in cells, including mTOR, a central regulator of cell growth and proliferation (9–11). Consequently, LY294002 has been instrumental in establishing the importance of class I PI3K and mTOR signaling in tumor cell biology but is a blunt instrument for understanding whether a specific PI3K isoform or subgroup has a required function (Fig. 1).

These considerations, along with the poor pharmacologic properties of wortmannin and LY294002, prompted development of second-generation, more selective "pan-PI3K" inhibitors. Compounds that target all class I PI3Ks are effective in mouse models of cancer with acceptable toxicity, and several pan-PI3K inhibitors have finally entered the stage of clinical

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Success of $\text{PI3K}\delta$ Inhibitors in Leukemia and Lymphoma

REVIEW

Figure 1. Targeting PI3K and mTOR has broad applicability in cancer. A first-generation PI3K inhibitor (LY294002, center) inhibits all class I PI3K isoforms and also inhibits mTOR directly. The concentric circles indicate the PI3K isoform-selective inhibitors or mTOR inhibitors and their most likely targets with respect to tumor type and genetics. Bold print refers to topics of focus in this review. GBM, glioblastoma multiformae, MCL, mantle cell lymphoma; NET, neuroendocrine tumor RCC renal cell carcinoma: TBD, to be determined; TME, tumor microenvironment.



development in oncology (12-19). On the other hand, targeting the entire group of class I PI3Ks is a "one-size-fits-all" strategy that might not achieve the selectivity for cancer cells that is a primary goal of molecular medicine: to target a selected patient population based on a defined molecular defect such that inhibiting the molecule has a greater impact in cancer cells than normal tissue. It is generally assumed that targeting a single or subgroup of isoforms will achieve the goal of limiting systemic toxicities (20). However, a central question has been whether anticancer efficacy can be achieved by just targeting a single isoform alone. Cell line studies suggest considerable redundancy among class I PI3Ks for sustaining proliferation and survival (21). Another challenge has been for medicinal chemists to design compounds that selectively target PI3K isoforms that are closely related in sequence and structure. Progress in this area has been advanced by x-ray crystal structures of each class I isoform (22-26) and by a landmark survey of diverse PI3K inhibitor chemotypes (11).

PI3Kα has received the most attention as a target in oncology. This is because gain-of-function mutations in the gene encoding PI3Kα (*PIK3CA*) occur very frequently in human tumors (27-29) (Fig. 1). Genetic experiments using cell lines and mouse models have supported the idea that activating *PIK3CA* mutations are both necessary and sufficient for tumor formation and maintenance (30-32). In addition, pharmacologic interrogations of cancer cell line panels have shown a good correlation between *PIK3CA* mutation status and sensitivity to pan-PI3K inhibitors or to compounds targeting both PI3K and mTOR (33-35). Early results from clinical studies support this correlation between *PIK3CA* mutation status and response to PI3K/mTOR inhibitors (36). PI3K α is also expressed in lymphocytes and one study found that compounds with some selectivity for PI3K α inhibit chemotaxis and survival of chronic lymphocytic leukemia (CLL) cells *in vitro* (37). Compounds with high selectivity for PI3K α are in early-stage clinical development (INK1117 and BYL-719), and one preclinical compound (A66) showed at least equivalent efficacy to a pan-PI3K/mTOR inhibitor in mouse models of *PIK3CA* mutant tumors (38).

The role of PI3KB in cancer is less clear. Overexpression of wild-type PI3K β is sufficient to transform chicken embryo fibroblasts (39), and a constitutively active mutant can cause prostate cancer in mice (40). However, activating mutations in the PIK3CB gene are not observed in human tumors. A recent crystal structure of PI3K β (26) provided a potential explanation by showing that the catalytic subunit (p110 β) is constrained by an additional inhibitory contact with the regulatory subunit, an interaction that is not present in PI3Kα. Despite the multiple inhibitory contacts between p110ß and the regulatory subunit, PI3K β seems to have basal activity in cells (11). Indeed, evidence has emerged that PI3K β is required for PIP₃ accumulation in epithelial tumor cells lacking PTEN phosphatase activity (Fig. 1). In a mouse model of prostate cancer driven by PTEN loss, deletion of PI3KB but not PI3Kα reduced tumorigenesis (41). PI3Kβ activation downstream of tyrosine kinases is difficult to detect (42); nevertheless, inactivating PI3K β impaired breast cancer development in a model driven by an activated tyrosine kinase, ERBB2 (43) (Fig. 1). PI3KB activity might also provide a resistance mechanism in tumors treated with selective PI3Ka

inhibitors (44). These findings have raised interest in clinical testing of PI3K β inhibitors or combined PI3K α /PI3K β inhibitors in cancer.

PI3Ky is expressed at highest levels in leukocytes but is also detectable in some other cell types. There have been sporadic reports of PI3K γ activity in certain tumor types, yet overall the role of PI3Ky as a cell-intrinsic oncoprotein seems limited. To date, much more effort has been devoted to PI3Ky as a target in inflammatory diseases driven by leukocytes (16, 20). Intriguingly, PI3K γ might have a very important role in the development of solid tumors through its role in innate immune cells. Inflammation driven by tumor-associated myeloid cells is now considered a hallmark of cancer, contributing to both cancer cell expansion and angiogenesis (7). A recent study showed that PI3K γ is essential for myeloid cell adhesion to endothelium and extravasation into tumor sites in mice (45) (Fig. 1). Blockade of PI3K γ specifically in myeloid cells is sufficient to diminish tumor growth with a concomitant reduction in vascular endothelial growth factor production and angiogenesis.

This discussion highlights the prominent role of PI3Ka and the potential contributions of PI3K β and PI3K γ in cancer. What about PI3Kô, the focus of this review? Like PI3K γ , the PI3K δ isoform is mainly expressed in leukocytes and has been widely viewed as a target for immune-related diseases (46). A large amount of effort has been devoted to understanding the biology of PI3Kô in the immune system and to develop and characterize PI3Kô-selective inhibitors. PI3K8 is expressed in most lymphoid tumors but so are other class I isoforms, and activating mutations have not been found for PI3Kô. Selective inhibitors of PI3Kô are not strongly cytotoxic in vitro (47-49). It has therefore been surprising and exciting that the PI3Kô-targeted compound CAL-101 has achieved remarkable clinical responses in certain B-cell malignancies (Fig. 1). As discussed in this review, the efficacy and tolerability of CAL-101 make sense in light of the somewhat restricted role for PI3Kô in leukocyte biology and the specific diseases where CAL-101 has been effective. From the perspective of pharmaceutical development, the success of CAL-101 emphasizes the importance of (i) knowing your target; (ii) selecting the right disease/patient population; and (iii) treating the disease with an isoform-selective PI3K drug.

Target Validation of PI3K δ

The B-cell receptor (BCR) for antigen and the coreceptor CD19 strongly activate PI3K, as first reported in the mid-1990s (50-52). Subsequent work showed that inhibitory receptors limit B-cell activation by reducing $PIP_3(53, 54)$. The first genetic evidence for PI3K function in B cells came from studies of mice lacking the class I regulatory isoform p85α (55, 56). Deletion of p85a diminished the numbers of mature B cells and caused profound defects in B-cell proliferation and antibody production. In 2002, three groups reported similar B-cell defects in mice with targeted inactivation of Pik3cd, the gene encoding the PI3K8 catalytic subunit (often referred to as $p110\delta$) (57-59). One of these studies, from Okkenhaug and colleagues (59), used a knock-in approach in which a point mutation (D910A) produced a p1108 protein lacking lipid kinase activity but expressed at normal levels. The development of a kinase-dead knock-in model was a historic event in the PI3K field, because this approach prevents compensatory upregulation of other isoforms and retains potential noncatalytic functions of the protein (60). Hence, the kinase-dead knockin strains are more faithful models of pharmacologic kinase inhibitors. Indeed, the p110 δ^{D910A} strain has been the mouse model of choice for most subsequent studies of PI3K δ function. As a case in point, p110 δ^{D910A} B cells were used as a reference in a study of IC87114, the first PI3K δ -selective inhibitor to be published (47). Comparison of IC87114-treated and p110 δ^{D910A} B cells revealed similar impairments in BCR-mediated signaling and proliferation and equivalent defects in survival mediated by the cytokine interleukin-4 (IL-4).

IC87114 was originally discovered by ICOS Pharmaceuticals and subsequently developed further by Calistoga Pharmaceuticals. The high degree of selectivity of IC87114 towards PI3K δ was achieved well before the p110 δ crystal



Figure 2. The evolution of a selective PI3K δ inhibitor. The nonselective PI3K/mTOR inhibitor LY294002 along with wortmannin were standard pharmacologic tools for PI3K studies from 1994 through the mid-2000s. The ICOS compound IC87114 was the first highly selective PI3K δ inhibitor to be described. The mechanism of selectivity was revealed by the crystal structure of p110 δ /IC87114 (bottom) (22). Calistoga developed CAL-101 (now GS-1101) based on the IC87114 scaffold.

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structure was reported, yet IC87114 remains one of the most selective PI3K δ inhibitors known. We now understand that IC87114 binding is favored by the conformational flexibility of the catalytic domain of p110 δ and by the ability of IC87114 to interact with amino acid residues outside the ATP-binding pocket (Fig. 2) (22). The high selectivity of IC87114, together with the clear-cut B-cell deficits and overall benign phenotype of p110 δ^{D910A} mice, validated this compound class as potential therapeutics. Medicinal chemistry efforts using the IC87114 lead compound yielded the clinical candidate CAL-101 (Fig. 2). Before discussing CAL-101 efficacy and mechanism, we first review the known functions of PI3K δ in B cells.

PI3Kδ in B Cells

In mice, the naïve mature B-cell pool is divided into three major subsets: follicular (FO) B cells that recirculate, marginal zone (MZ) B cells that reside in the spleen, and B-1 cells that are abundant in body cavities. Genetic inactivation of PI3Kô function greatly reduces development of MZ and B-1 cells (57-59). Mice treated with IC87114 also display an altered MZ B-cell compartment (48); indeed, loss of MZ B cells is a useful biomarker of PI3Kδ inhibition in mice. Although selective loss of PI3K8 has little impact on numbers of mature FO B cells, the function of these cells is severely compromised (57-59). In vitro, B cells fail to enter the cell cycle after BCR stimulation and display reduced proliferation in response to Toll-like receptor ligands (e.g., LPS) (Fig. 3). Survival mediated by the cytokines IL-4 or BAFF is also diminished (Fig. 3). These defects are not a consequence of altered development as wild-type B cells treated with IC87114 show equivalent changes (47). In vivo, the antibody response to T-cell-independent antigens is reduced at least 100-fold. PI3Kô-deficient mice also display aberrant responses to T-cell-dependent antigens. This might be partly the result of defective antigen presentation by B cells (61) as well as defects in the follicular helper T-cell subset (62). PI3K δ does not play a positive role in all B-cell responses. For example, PI3Kô inhibition promotes immunoglobulin class switching *in vitro* (63) and specifically augments the production of IgE both *in vitro* and *in vivo* (64, 65).

The mechanism for diminished BCR responses in PI3Kδdeficient B cells has been studied extensively [Fig. 3; for more detail, see review articles (46, 66)]. In response to BCR crosslinking, PI3Kδ-deficient B cells display a reduced Ca^{2+} mobilization response and an almost complete loss of AKT phosphorylation, a common readout of PI3K activation in cells (57–59). Again, similar results were observed in wild-type cells treated with IC87114 (47). The reduced Ca^{2+} response is likely to explain much of the functional deficits, because the Ca^{2+} signalosome controls early events in antigen recognition and capture as well as later events such as transcription factor activation and gene expression. NF- κ B activation and FOXO inactivation are both impaired in PI3Kδ-deficient B cells (47, 57).

The survival of peripheral B cells in the absence of antigen is sustained by the cytokine BAFF (Fig. 3) as well as tonic (basal) signaling through the BCR (67, 68). Chronic BCR signaling also sustains survival in a subset of diffuse large B-cell lymphoma (DLBCL) (69) and is implicated in survival of CLL cells (70). PI3Kδ-deficient mice show only a modest decrease in the FO B-cell pool (57-59), suggesting that other mechanisms can compensate to maintain survival. Srinivasan and colleagues (71), have shown that constitutively active PI3K α is sufficient to maintain FO B-cell survival after BCR inactivation. However, the converse experiment has shown that PI3Kα loss-of-function does not affect B-cell survival (72). Investigation of compound mutant mice showed that loss of both PI3Ka and PI3Kb, but not PI3Ka or PI3Kb alone, is required to eliminate peripheral FO B cells (72). One implication of this result is that selective pharmacologic PI3K8 inhibition should not lead to disappearance of mature FO cells, a conclusion supported by studies of mice treated with IC87114 (48). Assuming that BCR-positive B-cell tumors rely on similar mechanisms for survival, these results also predict that cell-intrinsic survival mechanisms might be resistant to selective PI3Kδ inhibition. Preclinical data with CAL-101 support this prediction, as discussed subsequently.



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An unexpected and important phenotype of PI3Kôdeficient B cells is their reduced response to chemokines (Fig. 3). Like other immune cells, B cells rely on chemokine signals to control their positioning within lymphoid tissue both in the absence and presence of antigen (73, 74). Resting FO B cells express high levels of the receptor CXCR5, which binds to CXCL13 localized in B-cell follicles. Activated FO B cells upregulate CCR7, whose ligands CCL19 and CCL21 are abundant in T-cell zones, thus allowing activated B cells to meet up with cognate T cells. B cells at most developmental stages also express CXCR4, whose ligand CXCL12 is important during bone marrow development and peripheral B-cell recirculation. CXCR4, CXCR5, and CCR7 are all G-proteincoupled receptors, which in most cells activate the PI3Ky isoform (and sometimes PI3K β) rather than PI3K α or PI3K δ (5). Nevertheless, chemokine-mediated signaling and migration are markedly impaired in PI3Kδ-deficient B cells (48, 75). The mechanism for chemokine receptor coupling to PI3Kô in B cells remains mysterious, but likely has central relevance to the efficacy of CAL-101 as discussed subsequently.

PI3Kô is also a signaling hub in T cells, mast cells, and other immune cells (Supplementary Fig. S1) (46, 76). However, PI3Kô inactivation generally has more modest effects on these other cell types. This distinction is partly the result of functional overlap of PI3Kô with other PI3K isoforms. For example, thymocyte development is severely compromised by combined loss of PI3Ky and PI3Kô but not by inactivation of PI3Kô alone (77-79). PI3Kδ does play a significant role in clonal expansion and differentiation of CD4 T cells (80-82). With respect to chemokine responses in T cells, the role of class I PI3K as a whole is rather limited, and PI3Ky seems to be the relevant isoform (83). Curiously, in some myeloid subsets, the PI3K γ isoform couples not only to chemokine receptors, but also to tyrosine kinase-linked receptors that conventionally signal through the other class I isoforms (45). Overall, mouse models of PI3K8 deficiency support the prediction that acute PI3Kô inhibition should affect the function of B cells more dramatically than most other immune cell types but with selective effects on trafficking and antigen responses.

THE CAL-101 STORY

CAL-101 is a quinazoline–(S)-2-{1-[(9H-purin-6-yl)amino] propyl}-5-fluoro-3-phenylquinazolin-4(3H)-1–that inhibits PI3K δ with IC₅₀ value of 2.5nM *in vitro* (84). The compound inhibits other class I PI3Ks only at much higher concentrations (40- to 300-fold higher IC₅₀) and has essentially no activity against other lipid kinases and protein kinases including the PIKK-family kinases mTOR and DNA-PK (84, 85). In cell-based assays, CAL-101 blocks PI3K δ dependent responses at greater than 240-fold lower concentrations than responses dependent on other isoforms (85).

For the first CAL-101 study in human disease, Calistoga selected allergic rhinitis (Clinical Trials identifier NCT00836914). This choice reflects the initial positioning of PI3K δ inhibitors in the inflammation drug discovery pipelines (86). Although PI3K δ remains undoubtedly an attractive target for immune disease therapy, the subsequent studies of CAL-101 in B-cell malignancies have established drugs of this class as an exciting new therapeutic strategy for

the treatment of hematologic cancers. Therapeutic application in both inflammation and B-cell malignancies is reminiscent of the anti-CD20 antibody rituximab.

The first study of CAL-101 in cancer (NCT00710528) recruited patients with relapsed or refractory B-cell malignancies. These diseases included CLL, non-Hodgkin's lymphoma (NHL), acute myeloid leukemia (AML), and multiple myeloma (MM). Although most of the data have not yet been published, some of the results have been presented at conferences over the past year (87, 88). The most impressive clinical responses were in CLL and certain subtypes of NHL. In this phase I dose-escalation study, primary goals were to study CAL-101 safety, pharmacokinetics (PK), and pharmacodynamics. Therefore, it was surprising and encouraging that CAL-101 caused lymph node shrinkage in all evaluable patients with CLL and across all dose levels. A significant percentage of subjects showed durable responses (approximately 25% overall response rate) and remained on study for many cycles of treatment. CAL-101 showed a favorable tolerability profile, even after sustained treatment. Mouse studies had suggested that PI3K8 inhibition would greatly elevate levels of IgE (64, 65), but this has not yet been reported in the human patients. It will be important to determine whether reported dose-limiting toxicities are mechanism-based and predictive of PI3Ko inhibitors as a class (not only for oncology, but also to support development in other disease settings). It is worth pointing out that the CLL subjects were mostly older adults with comorbid conditions, who had been heavily pretreated and had failed previous therapies. Hence, achieving meaningful single-agent clinical responses with manageable side effects was a significant feat. Applying a PI3Kô inhibitor in front-line therapy might produce even better results.

A key observation in the patients with CLL was that lymph node responses were accompanied by a transient elevation in circulating lymphocyte counts, known as lymphocytosis. This phenomenon is likely the result of lymphocytes being released from lymphoid tissue microenvironments and/ or failing to home from the blood into lymph nodes. The observation that lymphocytosis occurs, but is transient, suggests that CAL-101 acts by dislodging CLL cells from the protective niche of the lymph node, rendering them susceptible to gradual apoptosis from loss of survival signals. It is also possible that CAL-101 has some direct proapoptotic effect on CLL cells both in lymphoid tissue and after release into the blood.

There is growing consensus that PI3K inhibitors, like most targeted therapies, will be most effective when used in combination (7, 89). One current study (NCT01088048) is testing combinations of CAL-101 with two commonly used agents in CLL and indolent NHL (iNHL): rituximab (anti-CD20) and bendamustine. The results that have been presented, albeit preliminary, indicate high response rates with all combinations in both diseases. Interestingly, patients with CLL treated with CAL-101 plus rituximab or bendamustine did not display lymphocytosis. One interpretation is that the combination treatment is directly toxic to malignant cells even in their microenvironmental niche. Another possibility is that CAL-101 releases the cells from these niches to increase vulnerability to toxic effects of the companion agent. Regardless of the

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mechanism, these results provide encouragement for testing PI3K δ inhibitor combinations in other disease settings, including blood cancers that do not respond to single-agent PI3K δ inhibition. Combining CAL-101 with lenalidomide in CLL also shows promise based on preclinical studies (90). An important objective for such combination studies is to establish appropriate long-term dose levels and safety margins.

The initial clinical data from CAL-101 trials has created tremendous excitement in the field of PI3K drug development. Thousands of biologists and chemists have been working for the past decade to validate targets and bring compounds forward, and CAL-101 provides proof-of-concept that these efforts will pay off with significant advances in clinical management of cancer. At the same time, there is considerable surprise that the biggest success to date has come in indolent blood cancers (CLL, iNHL) and that so far the most effective drug is selective for PI3Kô. Leukemia and lymphomas generally express all class I PI3K isoforms, rarely carry mutations in PI3K genes (91), and have lower incidence of PTEN loss compared with epithelial tumors (92, 93). CLL and iNHL do not generally express activated receptor tyrosine kinases or oncogenic Ras, yet these patients responded better than those with AML, a disease with high frequency of receptor tyrosine kinase activation (Flt3, Kit) or activated Ras and associated with elevated p110 δ expression (94, 95).

In addition, the first set of preclinical studies of CAL-101 did not clearly predict the dramatic efficacy of the drug in human trials (84, 85, 96). Cytotoxic effects on human CLL samples in tissue culture are inconsistent and generally achieved only at CAL-101 concentrations approaching 10 μ M. This is approximately 1,000 times the IC₅₀ value for CAL-101 in PI3K δ -selective cell-based assays and is well within the range where PI3K β and PI3K γ isoform inhibition is observed. These results suggest that selective PI3K δ inhibition has minimal impact on cell-intrinsic survival signals in B-lineage cancer cells. It should be noted that clinical exposures to CAL-101 are greater than 2 μ M even at the lowest doses tested, suggesting that partial inhibition of non-PI3K δ isoforms may contribute to cytotoxic effects in patients.

Further clues to the efficacy of CAL-101 emerge when examining data from CLL cells cultured in the presence of cytokines or stromal cells. The cytokines BAFF and TNF-a boost survival of primary CLL cells in vitro but this effect is attenuated by CAL-101 at the relatively low concentration of 0.1 µM (96). Similarly, the survival advantage conferred by growth on fibronectin or stromal cell layers is reversed by 0.1 µM CAL-101 (96). At a concentration of 0.5 µM, CAL-101 completely blocks survival signaling from BCR engagement and significantly reduces survival of CLL cells cultured on specialized "nurse-like cells" (NLC) derived from peripheral blood monocytes (97). Together these observations suggest that PI3Ko is essential for CLL cell survival pathways initiated by extrinsic factors in the microenvironment. This mechanism could also contribute to the impressive efficacy of CAL-101 combination therapies. Indeed, CAL-101 potentiates the prodeath effects of bendamustine, fludarabine, and dexamethasone in CLL cells grown on stromal cells (97).

A recent publication from Calistoga, in collaboration with MD Anderson Cancer Center, provided additional key insights into the mechanism of action of CAL-101 (97). One important finding was that CAL-101 blocks CLL cell chemotaxis in response to CXCL12 and CXCL13. In accord, CAL-101 inhibits chemokine-dependent increases in AKT phosphorylation. These results are consistent with studies of PI3K&-deficient mouse B cells mentioned previously and provide a likely explanation for the release of CLL cells from the lymph node environment into the circulation. CAL-101 also suppresses the secretion of cytokines by both the CLL cells (CCL2, CCL3) and stromal cells (CXCL13) in coculture.



The coculture of CLL and NLC triggers release of additional factors (CCL7, CCL17, CCL22, soluble CD40L, IL-6, and TNF- α) whose secretion is reduced by CAL-101. An important element of this study was the analysis of cytokine levels in peripheral blood of patients with CLL treated with CAL-101. The data show a uniform decrease in CCL2, CCL3, and CXCL13 in patient plasma after 28 days of treatment compared with pretreatment measurements (97). Assessment of AKT phosphorylation in circulating CLL cells was used as a pharmacodynamics marker and showed a strong correlation with reduced chemokine concentrations. This indicates that at pharmacologically active doses, CAL-101 disrupts the CLL microenvironment, resulting in reduced production of chemokines.

Putting all the data together, one can now propose a model to explain the efficacy of CAL-101 in patients with CLL (Fig. 4). PI3K δ inhibition reduces both the production of chemokines and the response of CLL cells to these factors. This leads to release of CLL cells from the protective niche in lymphoid tissue. Concurrently, those cells remaining in the niche receive weakened survival signals from microenvironmental factors such as BAFF, CD40L, adhesion molecules, and extracellular matrix. Some of the tumor cells may die rapidly but the majority of cells seem to exit lymphoid tissue and circulate in the blood. Gradually, CLL cells excluded from the lymphoid tissue niche undergo apoptosis. When rituximab or bendamustine is included in the treatment regimen, the destruction of these circulating B cells is accelerated.

OUTLOOK

It seems that PI3K inhibitors are now destined to enter clinical practice in CLL and possibly other blood cancer settings. Calistoga became part of a larger pharma company (Gilead), and plans are in place for accelerated clinical trials and rapid registration of CAL-101 (now named GS-1101). Several other companies have PI3K inhibitor programs (Table 1) and some are no doubt diverting resources from inflammation to oncology. At the same time, the CAL-101 data raise several interesting and important questions for further consideration.

One question is whether targeting additional PI3K isoforms would provide even greater benefit. The pan-PI3K inhibitors and PI3K/mTOR inhibitors currently in clinical trials have been tested mainly in patients with solid tumors. Would a broad-spectrum PI3K inhibitor provide more rapid elimination of CLL cells, and/or durable responses in patients refractory to CAL-101? This possibility is supported by data showing greater inhibition of CXCR4-mediated CLL chemotaxis by pan-PI3K inhibitors relative to PI3K-selective inhibitors (37). It is possible that pan-PI3K inhibitors will

Discovery Eli Lilly ^a Merck Serono S.A. ^b Incyte Corporation ^c	Preclinical XL-499 (Exelixis) ^f KAR-4141 (Karus) ^g	Phase I IPI-145 (Intellikine/Infinity) ^j CAL-263 (Calistoga/Gilead) ^k	Phase II CAL-101/GS-	
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Merck Serono S.A. ^b	KAR-4141 (Karus) ^s UCB Pharma ^h	CAL-263 (Calistoga/Gilead) ^k		
Incyte Corporation ^c	UCB Pharma ^h		1101 (Calistoga/Gilead)	
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Respivert Limited ^d	Incozen Therapeutics ⁱ	GlaxoSmithKline ^m		
Cellzome ^e				
Pathway Therapeutics				
Genentech				
Intellikine/Infinity				
^b Marsk Caraca patent applications. W0200	NO20110E8140			
Cincyte patent applications: WU2UIIU/5630; WU2UIIU08487.				
^a Respivert patent applications: WO2011048111.				
^e The company has phosphoinositide 3-kinase program. Status and patent information are not available.				
^f The structure of XL-499 (Exelixis) is not disclosed. The patent information is not available.				
⁸ The structure of KAR-4141 (Karus) is not disclosed. The patent information is not available.				
^h The development candidate ID and structure are not disclosed. UCB Pharma Patent applications: WO2011058113; WO2011058112; WO2011058111; WO2011058110; WO2011058109; WO2011058108.				
ⁱ The development candidate ID and structure are not disclosed. Incozen Patent application: WO2011055215.				
JPI-145 is a dual PI3Kδ/γ inhibitor. The structure is not disclosed. Intellikine/Infinity patent applications: W02011008302; W02010129816; W02010036380; W02009088986; W02009088990.				
^k The structure of CAL-263 is not disclosed. Calistoga/Gilead patent application: WO2010123931.				
The structure of AMG-319 is not disclosed. Amgen patent applications: W02011075628; W02010151740; W02010151737; W02010151735; W02010151791; W02008118468; W02008118455; W02008118454.				
"The clinical candidate ID and structure are not disclosed. The patent information is not available.				

Table 1. Phosphoinositide 3-kinase inhibitors in development

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be more effective than selective PI3K inhibitors in leukemias arising from immature B cells (B-ALL), germinal center B cells (DLBCL), plasma cells (MM), or myeloid progenitors (AML). If pan-PI3K inhibitors cause unmanageable side effects, an alternative strategy would be to design compounds that target PI3K along with one other class I isoform. A dual PI3Kδ/PI3Kα inhibitor would be predicted to suppress survival more completely in malignancies derived from mature B cells. Alternatively, a dual PI3K8/PI3Ky inhibitor might block chemokine signaling across a broader range of lymphoid malignancies. Dual PI3K8/PI3Ky inhibitors were found to suppress inflammatory markers more effectively than selective PI3K inhibitors using in vitro assays of human lymphocytes (49). A molecule that inhibits PI3K and mTOR might also be useful. Targeting mTOR with an allosteric (Rapalog) or ATP-site kinase inhibitor (TORC1/2) could further suppress survival signals and metabolic responses to environmental cues including growth factors and nutrients.

The mechanism of action of CAL-101 also raises the question of whether blocking microenvironmental signals is a general key to success in targeted cancer therapies. Many drugs that kill cancer cells in vitro fail to suppress tumor growth in vivo because of cell-extrinsic survival signals. Conversely, the CAL-101 story teaches us that a drug with limited activity on isolated tumor cells can be highly effective in vivo by disrupting the microenvironment. Altering the in vitro conditions to include stromal support, thereby modeling the microenvironment more faithfully, provided a sensitized system that revealed potent antileukemic activity. Such systems are therefore valuable even at early stages of drug screening. An intriguing possibility is that PI3K inhibition could also provide benefit in solid tumors in which B cells contribute to the supportive microenvironment. For example, B cells are part of the inflammatory infiltrate supporting survival of prostate cancer cells (98).

It is also important to understand more about the microenvironment in malignancies that do not respond to isoformselective compounds. For example, CAL-101 has shown lesser efficacy in MM versus CLL. Both are relatively slow-growing malignancies arising in B-lineage cells, and patient samples cultured *in vitro* showed similar degrees of growth arrest and apoptosis in the presence of CAL-101 (84, 85, 96). However, the MM patient population did not respond as favorably to CAL-101 treatment in clinical trials. Whereas the protective niche for CLL cells is in lymph nodes and the spleen, the primary niche for MM cells is in the bone marrow. In this case, *in vitro* models were not predictive of *in vivo* efficacy because CAL-101 was able to block the prosurvival effects of IL-6 or bone marrow stromal cells in MM cell lines in culture (84).

Selectively targeting PI3K isoforms to promote rather than disrupt immune responses to tumors is an important goal. CAL-101 is not cytotoxic to normal lymphocytes even at 10 μ M. However, it is important to keep in mind that PI3K inhibitors are likely to impact significantly the differentiation and migration of T cells, thereby influencing the immune response to tumor cells. IC87114 suppresses proliferation of both murine and human T cells (82). CAL-101 strongly suppresses cytokine production by human T cells, even when the drug is present at a low concentration (0.1M) (96). PI3K inhibition also promotes the induction of a regulatory T-cell fate but can also inhibit

the function of these cells (66, 76). PI3K inhibition affects the activation of natural killer cells (99) with possible detrimental effects on immunosurveillance and antibody-dependent cellular cytotoxicity (ADCC) (100). Because rituximab and other monoclonal antibody therapies require ADCC for maximal efficacy, interfering with ADCC will limit the efficacy of combination approaches. PI3K isoforms (including PI3K δ) regulate maturation and migration of macrophages and dendritic cells (101–103), which play important roles in the microenvironment of many tumors. In summary, understanding how PI3K inhibitors influence the function of T cells, natural killer cells, and myeloid cells will be key to choosing the optimal pharmacologic profile.

Discussions of PI3K inhibitor efficacy in cancer often touch on the issue of cancer stem cells. Most normal stem cells in tissues exhibit low PI3K activity, and artificial elevation of PI3K or AKT, or inactivation of FOXO proteins, promotes exit from quiescence and stem cell exhaustion (104-107). Consequently, there is concern that cancer stem cells will be resistant to PI3K inhibition, analogous to the imatinib-resistance of leukemic stem cells (LSC) in CML. Although some studies suggest that LSCs have elevated PI3K/AKT activity relative to normal hematopoietic stem cells (105), a recent article showed that LSCs in AML have elevated FOXO function that is necessary to maintain this compartment (108). Therefore, PI3K inhibitors such as CAL-101 might be less effective in AML because such agents would enforce FOXO activity in the LSC compartment. It will be interesting to examine the differentiation state of resistant cells in patients who exhibit incomplete responses to PI3K inhibitors. This can be done using multiparameter flow cytometry or through genomewide studies of transcriptional or epigenetic signatures.

A broader question is whether the success of CAL-101 should alter the development path for other PI3K inhibitors. Current dogma is that candidate therapeutics should be validated extensively in cell-based assays and then rodent tumor models before initiating human trials. However, cell lines and xenograft models have significant limitations for predicting drug response of human tumors growing in their natural host (14). As discussed previously, coculture systems to mimic the microenvironment are helpful but do not always provide predictive value. Genetically engineered mice have advantages for modeling cancer development and maintenance but have a high cost and do not recapitulate the genetic complexity of bona fide human tumors. In the case of CAL-101, no data have been published from mouse tumor models (probably as a result of poor PK of this drug in mice) and the data from isolated cell lines did not predict a strong cytotoxic effect. On the other hand, the clinical data from human patients demonstrated clear efficacy even in a relatively small (and therefore relatively inexpensive) phase I study. The clinical trial was initiated before much of the mechanistic data on chemokines and microenvironmental signals was generated. These considerations support the merit of moving compounds quickly into the clinic as soon as favorable tolerability can be shown in preclinical toxicity studies. Contrary to early predictions, most PI3K inhibitors (including pan-PI3K and PI3K/mTOR) appear to be reasonably well tolerated and there is strong justification for evaluating their efficacy in patients with cancer, ideally selected (enriched) cancer types.

Regardless of the path to the clinic, it is clear that trials should incorporate extensive analysis of biomarkers of treatment response. These can be biomarkers of intracellular signaling (phospho-AKT, phospho-S6) as well as immune activation (cytokines, chemokines). Correlation of biomarker changes with treatment response will help reveal the mechanism of drug action *in vivo*. In the case of the CAL-101 trial in CLL, the dramatic reductions in circulating cytokines and chemokines provided important clues to explain drug efficacy (97). Measuring chemokines and cytokines in plasma might help establish a blood-based clinical biomarker for correlation with drug dose/exposure and therapeutic efficacy.

In summary, CAL-101 has opened up many new avenues of research for both laboratory and clinical scientists. As more PI3K pathway inhibitors are tested in human patients, we anticipate further exciting advances in disease management along with new biologic insights.

Disclosure of Potential Conflicts of Interest

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