Temperature-Aware NBTI Modeling Techniques in Digital Circuits*

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SUMMARY Negative bias temperature instability (NBTI) has become a critical reliability phenomena in advanced CMOS technology. In this paper, we propose an analytical temperature-aware dynamic NBTI model, which can be used in two circuit operation cases: executing tasks with different temperatures, and switching between active and standby mode. A PMOS $V_{th}$ degradation model and a digital circuits’ temporal performance degradation estimation method are developed based on our NBTI model. The simulation results show that: 1) the execution of a low temperature task can decrease $\Delta V_{th}$ due to NBTI by 24.5%; 2) switching to standby mode can decrease $\Delta V_{th}$ by 52.3%; 3) for ISCAS85 benchmark circuits, the delay degradation can decrease significantly if the circuit execute low temperature task or switch to standby mode; 4) we have also observed the execution time’s ratio of different tasks and the ratio of active to standby time both have a considerable impact on NBTI effect.

key words: negative bias temperature instability (NBTI), temperature, reliability

1. Introduction

As semiconductor manufacturing migrates to more advanced technology nodes, accelerated aging effect for nanoscale devices poses as a key challenge for designers to find countermeasures that effectively mitigate the degradation and prolong system’s lifetime. Negative bias temperature instability (NBTI) is emerging as one of the major reliability concerns [1]. NBTI significantly shifts the threshold voltage $V_{th}$ on the order of 20–50 mV for devices operating at 1.2 V or below [2]. Previous research showed that $V_{th}$ shifts due to NBTI is expressed as a power-law time dependence [1], [3], and this aging-induced parameter variation has a negative impact on circuit performance. At the 65 nm technology node, after the aging time of $10^8$ s arising from NBTI, the variability of circuit delay can reach up to 15% [4].

NBTI phenomena occur when the PMOS transistor is negative biased. Under the negative bias, the Si-H bonds (which are formed during the manufacture procedure) capture the holes tunneling from the inversion layer, which causes the dissociation of the Si-H bonds and yields interface traps. As a result of the interface traps, the threshold voltage of PMOS transistor is shifted, carrier mobility and drain current are reduced [5], and then the performance degradation occurs [6]–[8]. The NBTI phenomena can be classified as static NBTI and dynamic NBTI. Static NBTI is under the DC stress condition, and the detailed physical mechanism was described in [9]. The impact of electric and environment parameters (such as electric field across the oxide and temperature) on the interface trap generation was studied in [10], [11]. Dynamic NBTI under AC stress condition leads to a less severe parameter’s shift over long time because of the recovery phenomenon [6], [11]–[13].

Prior works in analyzing and mitigating performance degradation due to NBTI can be classified into two categories:

- **NBTI modeling and analysis.** Many analytical models for circuit performance degradation due to NBTI have been proposed recently [7], [8], [14]. The impact of NBTI on the worst case performance degradation of digital circuits was analyzed in [15]. An analytical model for multicycle dynamic NBTI was proposed in [16], where a recursion process was used to evaluate the NBTI effect. A predictive NBTI model is proposed in [17], [18], the effect of various process and design parameters were described.

- **Circuit optimization to mitigate NBTI effects.** The circuit delay optimization and reliability improvement based on the previous analytical circuit degradation models were also studied. In [19], a gate-sizing optimization technique was used to guarantee the delay of the circuit. An NBTI-aware design for SRAM read stability was proposed in [8]. Various circuit design techniques, such as $V_{dd}/V_{t}$ tuning, were investigated [17]. A technology mapping technique that incorporates the NBTI effects was presented in [20] based on the model in [16].

Analytical NBTI models were useful in circuit analysis and optimization for NBTI effects. In previous analytical NBTI models [16]–[18], the circuit temperature was considered to be constant (about 400 K) during the circuit operation. However, the temperature of the circuit is variable during the circuit operation, and an analytical model considering arbitrary temperature variation for static NBTI was proposed in [21], but the relaxation phase of NBTI effect

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was ignored in the derivation, and this model lead to complex transformation in the calculation. Therefore, we consider two simpler but practical cases in our paper (based on our previous simple NBTI modeling work [22], [23]): a) the circuit operates all the time, but executes different tasks with different workloads, then the temperature varies between the tasks; b) the circuit operates in two modes: active and standby mode, thus the circuit temperature varies periodically between a high temperature and a low temperature. In both cases, the relaxation phase are included in our derivation, and we propose an analytical threshold voltage degradation model for dynamic NBTI effect considering the temperature variation on account of these two cases.

Our contribution in this paper distinguishes itself in the following aspects:

- We propose analytical models to estimate PMOS NBTI effect under AC stress condition considering both constant temperature and variable temperature. Furthermore, our temperature-aware model can be used in two circuit operation cases: a) the temperature varies due to tasks with different workloads; b) the temperature changes between two operation modes: active and standby mode. The threshold voltage degradation can be estimated in both cases.
- Based on our novel temperature-aware NBTI model, we study the impact of NBTI on the temporal performance degradation in combinational circuits. In one case that different tasks lead to different temperature, the relative execution time of these tasks will have impact on the NBTI effect. In another case that the circuit switches between active and standby mode, the time ratio of active to standby mode can affect the performance degradation significantly.

The rest of the paper is organized as follows. In Sect. 2, we first review the physical mechanism of NBTI and previous NBTI models. Then, in Sect. 3, we derive the NBTI-induced interface trap generation model with constant temperature, while in Sect. 4, this model is extended to the case where the temperature varies between a high temperature and a low temperature. The $V_{th}$ degradation model, and the circuit delay estimation method is proposed in Sect. 5. The simulation results of a single PMOS transistor and the ISCAS85 benchmark circuits are shown and analyzed in Sect. 6. Finally, Sect. 7 concludes the paper.

2. Review of NBTI

2.1 Physical Mechanism of NBTI

It is commonly admitted that the interface traps are generated under negative gate bias due to mismatches at the Si-SiO$_2$ interface, in particular at elevated temperature, and lead to degradation of device performance. During oxidation of Si, some of the Si atoms bond with hydrogen, leading to the formation of weak Si-H bonds. When a PMOS transistor is negative biased, the inversion layer holes tunnel to and are captured by the Si-H bonds at the interface, which weakens the bond and the subsequent thermally assisted dissociation of a Si-H pair yields one donor-like interface trap (i.e., silicon dangling bond) and one H atom that can diffuse in the oxide.

$$\text{SiH} + h^+ \leftrightarrow \text{Si}^+ + H \quad (1)$$

The dimerization reaction involves the reaction of H atoms to create molecular hydrogen, which is described by Eq. (2).

$$H + H \leftrightarrow H_2 \quad (2)$$

Then, molecular hydrogen can also diffuse in the oxide. Therefore, the diffusing species can be both atomic hydrogen and molecular hydrogen, as shown in Fig. 1.

Recent researches have shown that molecular hydrogen are the dominant diffusing species [24]. So in this paper, the diffusing species are assumed to be molecular hydrogen H$_2$. Combining Eq. (1) and (2), the final reaction can be [25]

$$\text{SiH} + h^+ \leftrightarrow \text{Si}^+ + \frac{1}{2}H_2 \quad (3)$$

Therefore, the rate equation for the quantity of Si$^+$ is

$$\frac{d[\text{Si}^+]}{dt} = k_f^0[\text{SiH}][h^+] - k_i[\text{Si}^+][H_2]^\frac{1}{2} \quad (4)$$

where $k_f^0$ and $k_i$ are forward and reverse reaction rate constants in Eq. (3).

Then, we denote the quantity of Si$^+$ as $N_{it}$, the initial quantity of Si-H bonds as $N_0$ and the quantity of H$_2$ molecules as $N_{i,H_2}$. Because the quantity of holes is only dependent on $V_{gs}-V_{th}$ ($[h^+] = C_{ox}(V_{gs}-V_{th})$), we combine the forward reaction rate constant $k_f^0$ and $[h^+]$ as one parameter $k_t$, which is dissociation rate of Si-H bonds. So Eq. (4) can be rewritten as Eq. (5),

$$\frac{dN_{it}}{dt} = k_t(N_0 - N_{it}) - k_iN_{it}N_{i,H_2}^\frac{1}{2} \quad (5)$$

The hydrogen molecules H$_2$ can diffuse into the oxide, which satisfies the following

$$\frac{\partial N_{H_2}}{\partial t} = D \frac{\partial^2 N_{H_2}}{\partial x^2} \quad (6)$$

$$\frac{dN_{it}}{dt} = -2 \cdot D \frac{\partial N_{it}}{\partial x} \bigg|_{x=0} \quad (7)$$

The above three equations Eq. (5) to (7) describe the interface trap generation, which is named as reaction-diffusion...
(R-D) model. The generated interface traps can both enlarge the threshold voltage and reduce the mobility. The mobility degradation due to Coulomb scattering can be expressed as a shift in the threshold voltage [26]. Therefore, the shift in the threshold voltage $\Delta V_{th}$ of the PMOS transistor is proportional to the interface trap generation due to NBTI, which can be expressed [19] as

$$\Delta V_{th} = (1 + m_p) \frac{qN_{it}(t)}{C_{ox}}$$  \quad (8)

where $m_p$ represents equivalent $V_{th}$ shifts due to mobility degradation described in [27]; $q$ is the electronic charge; $C_{ox}$ is the gate oxide capacitance; and $N_{it}(t)$ is the interface trap generation, which is the most important factor in evaluating performance degradation due to NBTI.

Interface traps are electrically active physical defects with their energy distributed between the valence and the conduction band in the band diagram. The interface traps shift the threshold voltage of MOSFETs, diminish carrier mobility, and add parasitic capacitances. All these effects reduce the drain current of the devices and thus the temporal performance. An increase of the interface traps not only leads to reduced temporal performance but also may cause reliability issues and potential device failure [5], [6], [8].

### 2.2 Previous Analytical Models

Kumar et al. proposed an analytical NBTI model [16] to handle multi-cycle AC stress condition; and assume the PMOS transistor is under AC stress with duty cycle of $c$ and period of $\tau$, the interface trap generation after $n+1$ cycles of AC stress can be evaluated by a recursion formula below,

$$N_{it}((n + 1)\tau) = \frac{\beta N_{it}(n\tau)}{1 + \beta} + \frac{N_{it}^0}{1 + \beta} \left[ c + \left( \frac{N_{it}(n\tau)}{N_{it}^0} \right)^6 \right]^{1/6}$$  \quad (9)

and the initial condition is

$$N_{it}(\tau) = \frac{c^{1/6}}{1 + \beta} N_{it}^0$$  \quad (10)

where $N_{it}^0 = A \tau^{1/6}$, and $\beta = \sqrt{\frac{1}{2} \frac{qT_{ox}}{kT}}$.

Predictive NBTI models are proposed in [17] and [18], the effect of various process and design parameters were described. The diffusing species were assumed to be hydrogen atoms in [17], and the default diffusing species in [18] were assumed to be hydrogen molecules. The threshold voltage shift $\Delta V_{th}$ can be calculated as [18]

$$\Delta V_{th} = \left( \frac{qT_{ox}}{kT} \right)^3 K C_{ox} (V_{gs} - V_{th}) \exp \left( \frac{2E_{ox}}{E_0} \right) \cdot (Ct)^{\frac{1}{2}}$$  \quad (11)

### 3. NBTI Model without Temperature Variation

In this section, an analytical dynamic NBTI model is derived based on the R-D model Eq. (5) to (7). This model will be extended to a temperature-aware model in the next section. As a basic assumption, the diffusing species are assumed to be molecular hydrogen.

#### 3.1 Approximation for Diffusion Profile

The concentration of hydrogen molecules is highest at the interface, where Si-H bonds are broken and interface traps are generated, and gradually decreases as these molecules diffuse into the oxide illustrated in Fig. 2(a). The diffusion profile is described by the diffusion Eq. (6), and can be approximated as a triangle shown in Fig. 2(b), where the concentration is $N_{i,H_2}(t)$ at the interface and is zero at a point $L_d$ known as diffusion front or diffusion length. Because one hydrogen molecule corresponds to two interface traps, the interface traps can be expressed as

$$N_{it}(t) = \frac{1}{2} \cdot 2N_{i,H_2}(t) \cdot L_d(t) = N_{i,H_2}(t) \cdot L_d(t)$$  \quad (12)

#### 3.2 First Stress Phase

The initial density of Si-H bonds is much larger than the number of interface traps generated due to NBTI, so that in the right side of reaction Eq. (5), $N_0 - N_t \approx N_0$. And the reaction process will not deviate far from equilibrium, which is defined as quasi-equilibrium, so that $\frac{dN_t}{dt} \approx 0$. Therefore, Eq. (5) can be derived as

$$k_T N_0 \frac{N_t}{k_t} = N_t(t) \sqrt{N_{i,H_2}(t)}$$  \quad (13)

Because of the triangle approximation of diffusion profile, $\frac{\partial N_{i,H_2}(x,t)}{\partial x}|_{x=0}$ can be approximated as $N_{i,H_2}(t)/L_d(t)$, so substituting it into Eq. (7) and with Eq. (12), we obtain that

$$\frac{dN_{i,H_2}(t)}{dt} L_d(t) + N_{i,H_2}(t) \frac{dL_d(t)}{dt} = 2 \cdot D_{H_2} \frac{N_{i,H_2}(t)}{L_d(t)}$$  \quad (14)

From diffusion equation (6), we can derive that

$$\frac{dN_{i,H_2}(t)}{dt} = \frac{\partial N_{i,H_2}(0,t)}{\partial t} = D_{H_2}(T) \frac{\partial^2 N_{i,H_2}(x,t)}{\partial x^2} |_{x=0}$$  \quad (15)

Because of the triangle approximation, the right side of the above equation $\frac{\partial^2 N_{i,H_2}(x,t)}{\partial x^2} |_{x=0} \approx 0$, then $\frac{dN_{i,H_2}(t)}{dt} \approx 0$, so that Eq. (14) can be simplified as
Integrating the terms of two sides in above equation for time \( t \), we obtain that
\[
L_d^2(t) = 4 \cdot D_{H_2} (t - t_0)
\] (17)

For the first stress phase, \( t_0 = 0 \), so \( L_d(t) = \sqrt{4D_{H_2}t} \). Therefore, the diffusion length of the triangle approximated profile follows the relation of \( \sqrt{pD_{H_2}t} \), and in fact, according to the results from \([25]\), \( p = 9.61 \) is a more accurate value.

Otherwise, from Eq. (12) and quasi-equilibrium Eq. (13), we get that
\[
\left( \frac{k_iN_0}{k_r} \right)^2 = \left[ N_a(t) \right]^2 \cdot \frac{N_d(t)}{L_d(t)}
\] (18)
so the generated interface traps can be approximated as
\[
N_a(t) = \left( \frac{k_iN_0}{k_r} \right)^2 \cdot \sqrt{L_d(t)} = \left( \frac{k_iN_0}{k_r} \right)^2 \cdot (pDt)^{\frac{1}{2}}
\] (19)

### 3.3 First Relaxation Phase

We assume that PMOS transistor is being stressed for \( t_0 \), after the voltage is removed, the transistor will be in relaxation phase. In the relaxation phase, the generated hydrogen molecules recombine with the interface traps, and become Si-H bonds. This procedure is described by reverse direction of Eq. (3). The transistor has been in relaxation phase for \( \Delta t \), the number of annealed traps is denoted as \( N_{itr}^{\Delta t} \) \([10]\), then at time \( t_0 + \Delta t \), the generated interface trap can be described by
\[
N_{itr}(t_0 + \Delta t) = N_{itr}(t_0) - N_{itr}^{\Delta t}
\] (20)

In the relaxation phase, because no new traps and hydrogen molecules are generated, the diffusion is two-sided: on one side, the hydrogen molecules diffuse into gate continuously, on the other side, they diffuse back into interface and recombine with the traps. Assuming that no trap exist at the interface, the hydrogen molecules will diffuse into the silicon as illustrated in Fig. 3(a). The number of the annealed interface traps due to backward diffusion is shown as the left triangle area, and can be described as \([10]\)
\[
N_{itr}^{\Delta t} = N_{itr}(t_0 + \Delta t) \sqrt{\xi \cdot pD_{H_2} \Delta t}
\] (21)
where \( \xi \) is the two-sided diffusion factor, and theoretically \( \xi = 0.5 \).

In fact, the concentration of hydrogen molecules is almost zero at the interface. We can still use quasi-equilibrium condition to derive this conclusion. When the transistor is in relaxation, \( k_i \) in the reaction Eq. (5) is zero, and \( \frac{dN_d}{dt} \approx 0 \), so we have
\[
0 \approx -k_r N_d(t_0 + \Delta t) \sqrt{N_{itr}(t_0 + \Delta t)}
\] (22)

The interface traps \( N_{itr}(t_0 + \Delta t) \) is much larger than zero, so \( N_{itr} \approx 0 \). Therefore, the diffusion profile is shown in Fig. 3(b), and the maximum concentration of hydrogen molecules is at the position \( x = \delta \), which is very close to the interface. Since \( \delta \) is very small (\( \delta \approx 0 \)), the concentration of hydrogen molecules \( N_{itr}(t_0 + \Delta t) \) in Fig. 3(a) can be almost the same as \( N_{itr}^{\Delta t}(t_0 + \Delta t) \). Therefore, we can still use the triangle approximation approach in Section 3.1, the total number of interface traps is given by
\[
N_{itr}(t_0 + \Delta t) = N_{itr}(t_0 + \Delta t) \sqrt{pD_{H_2}(t_0 + \Delta t)}
\] (23)

From (21) and (23), we have
\[
N_{itr}^{\Delta t} = N_{itr}(t_0) \sqrt{\xi \Delta t}
\] (24)

Substituting for \( N_{itr}^{\Delta t} \) in Eq. (20), we have
\[
N_{itr}(t_0 + \Delta t) = N_{itr}(t_0) - N_{itr}(t_0 + \Delta t) \sqrt{\xi \Delta t}
\] (25)
and re-arranging some terms, we get the interface traps after this relaxation phase as the follow
\[
N_{itr}(t_0 + \Delta t) = \frac{N_{itr}(t_0)}{1 + \sqrt{\xi \Delta t}}
\] (26)

### 3.4 Subsequent Stress/Relaxation Phases

In this section, we use mathematical induction to derive the density of interface traps. We assume that the transistor is stressed by a periodic voltage waveform with the period \( \tau \), and the duty cycle of stress phase is \( c \). The interface traps generated after the \( m \) stress phases are denoted as \( N_{itr,m} \), and \( N_{itr,m} \) represents the number of traps after \( m \) relaxation phases.

After some stress and relaxation phases, the number of the generated interface traps becomes a new boundary condition as illustrated in Fig. 4(a). The relaxation phases reduce the interface traps, so if there are only stress phases, the same interface traps can be generated the effective diffusion profile in Fig. 4(b). Therefore, by this equivalent method, we can derive the generated interface traps in any stress

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In order to evaluate the interface traps after the $m + 1$ stress phase, we substitute $L_{\text{d,eff}}$ for $L_d$, and Eq. (17) becomes

$$L_{\text{d,eff}}^{m+1} = p \cdot D_{\text{H}_2}(cT)$$  \hspace{1cm} (27)

We should notice that $L_{\text{d,eff}}(m + cT)$ corresponds to the interface traps after $m + 1$ stress phases $N_{\text{its,}m+1}$, while $L_{\text{d,eff}}(nT)$ corresponds to $N_{\text{its,}m}$. From Eq. (18) and (27), we get that

$$\frac{(N_{\text{its,}m+1})^6 - (N_{\text{its,}m})^6}{(k_i N_0/L_{\text{d,eff}})^4} = p \cdot D_{\text{H}_2}(cT)$$  \hspace{1cm} (28)

so that we can derive the number of interface trap generated after $m + 1$ stress phases from the number after $m$ relaxation phases,

$$N_{\text{its,}m+1} = \left[ \frac{(N_{\text{its,}m})^6 + (k_i N_0/L_{\text{d,eff}})^4 \cdot p D_{\text{H}_2}(cT)}{\sqrt{\frac{\xi(1-c)^r}{mT}}} \right]^{\frac{1}{6}}$$  \hspace{1cm} (29)

We use the same derivation as in Section 3.3 to get the interface traps generated after $m$ relaxation phases

$$N_{\text{its,}m} = \frac{N_{\text{its,}m+1}}{1 + \sqrt{\frac{\xi(1-c)^r}{mT}}}$$  \hspace{1cm} (30)

From the above two equations, we get

$$N_{\text{its,}m+1} = \left[ \alpha_m^6 \cdot (N_{\text{its,}m})^6 + K(cT) \right]^{\frac{1}{6}}$$  \hspace{1cm} (31)

where

$$\alpha_m = \frac{1}{1 + \sqrt{\frac{\xi(1-c)^r}{mT}}} \quad \text{and} \quad K = \frac{(k_i N_0/L_{\text{d,eff}})^4}{k_T} \cdot p D_{\text{H}_2}$$

By mathematical induction, we have the number of interface traps after $m + 1$ stress phases,

$$N_{\text{its,}m+1} = [K(cT)]^{\frac{1}{6}} \left(1 + \alpha_m^6 + \alpha_m^6 \alpha_{m-1}^6 + \cdots + \alpha_m^6 \alpha_{m-2}^6 \cdots \alpha_1^6 \right)^{\frac{1}{6}}$$

$$= [K(cT)]^{\frac{1}{6}} \left[1 + \sum_{i=1}^{m} \left( \prod_{j=m-i+1}^{m} \alpha_j^6 \right)^{\frac{1}{6}} \right]$$  \hspace{1cm} (32)

We should notice that $\alpha_1 < \alpha_2 < \cdots < \alpha_m < 1$ and $\lim_{m \to \infty} \alpha_m = 1$, so that we can eliminate the style of the series sum and get a bound of the interface traps' number,

$$N_{\text{its,}m+1} \leq [K(cT)]^{\frac{1}{6}} \left(1 + \alpha_m^6 + \alpha_m^6 \alpha_{m-1}^6 + \cdots + \alpha_m^6 \alpha_{m-2}^6 \cdots \alpha_1^6 \right)^{\frac{1}{6}}$$

$$\leq [K(cT)]^{\frac{1}{6}} \left(1 - \alpha_m^6 \right)^{\frac{1}{6}}$$  \hspace{1cm} (33)

When $m$ is large enough, $\sqrt{\frac{\xi(1-c)^r}{mT}} \approx 1$, then

$$1 - \alpha_m^6 \approx 1 - \left(1 - \sqrt{\frac{\xi(1-c)^r}{mT}} \right)^6 \approx 6 \sqrt{\frac{\xi(1-c)^r}{mT}}$$  \hspace{1cm} (34)

so the number of interface traps after $m + 1$ stress cycles

$$N_{\text{its,}m+1} \approx \left[\frac{\sqrt{mT} \cdot K(cT)}{6 \sqrt{\xi(1-c)^r}}\right]^6$$  \hspace{1cm} (35)

If the discrete variable $m$ is transformed to the continuous variable $t$, the above equation becomes

$$N_{\text{its}}(t) = \left[\frac{K c T \sqrt{t}}{6 \sqrt{\xi(1-c)^r}}\right]^6$$  \hspace{1cm} (36)

From the predictive model Eq. (11) proposed in [18], the threshold voltage degradation $\Delta V_{\text{th}}$ can be calculated as

$$\Delta V_{\text{th}} = K_V \left[\frac{c T \sqrt{t}}{6 \sqrt{\xi(1-c)^r}}\right]^6$$  \hspace{1cm} (37)

where

$$K_V = \left(\frac{q T_{\text{ox}}}{E_{\text{ox}}}\right)^3 K^2 C_{\text{ox}} (V_{\text{gs}} - V_{\text{th}}) \sqrt{C} \exp \left[\frac{2E_{\text{ox}}}{E_0}\right]$$  \hspace{1cm} (38)

All these parameters are defined in [18].

4. NBTI Model with Temperature Variation

In previous section, an dynamic model was derived under the assumption that the temperature remains constant. In fact, the circuit is not operated in a constant temperature. In this section, the NBTI model with temperature variation will be derived. We use a similar approach as in the above section to derive our model. First, we simplify the temperature varies as a periodical waveform, and the waveform of the temperature is described. Second, the recursive equations in stress and relaxation phases are derived. Finally, we can eliminate the recursion and get a closed-form equation.

We should notice that all the coefficients in Eq. (5) to (7) are depend on temperature.

$$D_{\text{H}_2}(T) = D_0 \exp\left(\frac{E_d}{k_B T}\right),$$  \hspace{1cm} (39)
The reaction process can be approximated to be in quasi-equilibrium, because the diffusion is considered to be a much slower process than reaction. Then \( \frac{dN_{it}(t)}{dt} \approx 0 \) in (5), so that (5) is simplified to

\[
\frac{N_{it}(t) \sqrt{N_{it}(0,t)}}{N_{0} - N_{it}(t)} = \frac{k_{i}(T)}{k_{r}(T)} \approx k_{fr} (42)
\]

Because \( E_{f} - E_{r} \approx 0 \) [25], \( k_{i}(T)/k_{r}(T) \) can be considered independent of temperature, so the right-hand side of Eq. (42) \( k_{fr} \) is \( k_{fr}/k_{0} \). Then the interface trap generation is considered to be only affected by temperature through the diffusion coefficient \( D_{H2} \).

If no relaxation is considered, NBTI-induced PMOS degradation under arbitrary dynamic temperature variation can be derived [21]. The interface trap generation under dynamic temperature variation \( N_{it}[t, T(t)] \) can be converted to the equivalent NBTI effect under constant temperature \( T_{ref} \) [21]

\[
N_{it}[t, T(t)] = N_{it}[\tau(t), T = T_{ref}] (43)
\]

where the transformation from time \( \tau \) into equivalent time \( \tau \) is described in [21] and it is very complex for arbitrary temperature variation. Therefore, we will propose a much simpler but practical enough model in this paper.

4.1 Waveform of Temperature

The digital circuits often switch between active and standby modes, so that the temperature changes relevantly between a high temperature and a low temperature. Before our analysis, we assume that the device operates at a constant temperature \( T_{H} \) in active mode and at another temperature \( T_{L} \) in standby mode as shown in Fig. 5. For convenience, the temperature is considered to change periodically with the period \( \tau_{p} \). In a single period, the duration of high and low temperature is \( \tau_{h} \) and \( \tau_{l} \) respectively, and the rise and fall time is \( \tau_{r} \) and \( \tau_{l} \).

The stress voltage on the device changes much faster than the temperature, i.e. \( \tau_{T} \gg \tau \), so we can further assume that the temperature remains constant in a couple of stress and relaxation phases, as illustrated in Fig. 5. This assumption is also reasonable for transition phase if the temperature is simplify to be a step function.

4.2 Stress Phases

Firstly, we consider the impact of temperature variation in stress phases. According to the assumption in the above section, the temperature remains constant in a whole stress/relaxation cycle. So the recursive formula (29) can be still used

\[
k_{i}(T) = k_{i0} \exp\left(-\frac{E_{i}}{k_{B}T}\right), \quad (40)\n\]

\[
k_{i}(T) = k_{i0} \exp\left(\frac{E_{i}}{k_{B}T}\right) \quad (41)
\]

\[
\text{Fig. 5} \quad \text{Waveform of the temperature.}
\]

\[
\frac{N_{it}(t) \sqrt{N_{it}(0,t)}}{N_{0} - N_{it}(t)} = \frac{k_{i}(T)}{k_{r}(T)} \approx k_{fr} \approx \frac{1}{n_{r}} \frac{D_{H2} \cdot m \cdot \tau}{(1 - c) \tau} \quad (47)
\]

4.3 Relaxation Phases

Here, we will derive the recursive equation in the relaxation phase. The reaction process is in quasi-equilibrium, so that

\[
-k_{i}[T(t)]N_{it} \sqrt{N_{it}H2} \approx 0 \quad (45)
\]

Though the coefficient \( k_{i}[T(t)] \) is time variant, the generation of interface traps is not relative with this coefficient. Therefore, we can still use the same approach in Sect. 3.3 to get the number of interface traps after \( m \) relaxation phases,

\[
N_{it,m+1} = \left( \frac{N_{it,m}}{N_{ref}} \right)^{6} + \left( \frac{k_{i}N_{0}}{k_{r}} \right)^{4} \cdot D_{H2,m+1} \cdot \cdot (ct) \quad (44)
\]

where \( D_{H2,m+1} \) is the diffusion coefficient in the \( m + 1 \) cycle, and as the notation in the above, \( k_{i}/k_{r} \) is independent on the temperature.

\[
N_{it,m} = N_{it,m} \left( 1 + \frac{\xi D_{H2,m}(1 - c) \tau}{\sum_{i=1}^{m} (D_{H2,m} \cdot \tau)} \right) \quad (46)
\]

4.4 Full Model

Combining the formulas in Sect. 4.2 and 4.3, we can get complete recursive formula for \( N_{it} \)

\[
N_{it,m+1} = \left( \alpha_{m} \cdot \left( N_{it,m} \right)^{6} \right) + K' \left( D_{H2,m+1} \cdot \cdot (ct) \right) \quad (48)
\]

where

\[
\alpha_{m} = \left( 1 + \frac{\xi D_{H2,m}(1 - c) \tau}{D_{H2} \cdot \cdot (mct)} \right), \quad K' = p \left( \frac{k_{i}N_{0}}{k_{r}} \right)^{4}
\]
Hence, the close form expression of the generated interface traps after \( m + 1 \) stress phases can be derived as

\[
N_{it\text{,s+m}} = [K'(ct)]^\frac{1}{2}(D_{m+1} + a_m^b D_m + a_m^b a_m^{-1} D_{m-1} + \cdots + a_m^b a_m^{-1} \cdots a_1^b D_1)^{\frac{1}{2}}
\]

\[
= [K'(ct)]^\frac{1}{2} \left[ D_{m+1} + \sum_{i=1}^{m} \left( D_{m-i+1} \prod_{j=m-i+1}^{m} a_j^b \right) \right]^{\frac{1}{2}}
\]

\[
\leq [K'(ct)]^\frac{1}{2} \left[ \sum_{i=0}^{m} \left( D_{m-i+1} \cdot (a_i^b)^y \right) \right]^{\frac{1}{2}} \tag{49}
\]

Here all the subscripts \( H_2 \) of the diffusion coefficients are omitted for brevity.

Because the variation of the temperature is much slower than the variation of the stress voltage applied on the gate of the transistor, in order to simplify the computation, we assume that \( h_T = n_r \), while \( n_r = n_0, n_1, = n_r, t_1 = n_r, t_leq n_r, \) obviously, there is \( n = n_0 + n_1 + n_0 + n_1 \). If we consider the number of the interface trap generation after a long time, i.e. \( m \gg 1 \), we can further assume that \( m + 1 = qn \) and the temperature starts at the high temperature. According to these assumptions above and the waveform of the temperature as shown in Fig. 5, there is \( D_{m+qn} = D_m, D_{p+qn} = D_h (1 \leq p \leq n_h), \) \( D_{p+qn} = D_h (n_h + n_0 + 1 \leq p \leq n_h + n_1 + n_q) \). Therefore, we can combine some terms in Eq. (49) and get the interface traps

\[
N_{it\text{,qn}} = (K'(ct))^\frac{1}{2} \left[ (a_m^b)^{y-1} \beta_h + (a_m^b)^{y-1} \beta_l + \cdots + (a_m^b) \beta_h + (a_m^b) \beta_l + \cdots + \beta_h + \beta_l \right]^{\frac{1}{2}}
\]

\[
= (K'(ct))^\frac{1}{2} \left[ 1 - a_m^b \right](\beta_h + \beta_l + \beta_l)^{\frac{1}{2}}
\]

\[
\leq (K'(ct))^\frac{1}{2} \left[ 1 - a_m^b \right](\beta_h + \beta_l + \beta_l)^{\frac{1}{2}} \tag{50}
\]

where

\[
\beta_h = \left[ (a_m^b)^{y-1} + \cdots + (a_m^b)^{y-n_h} \right] D_h
\]

\[
\beta_l = \left[ (a_m^b)^{y-n_h-n_0} + \cdots + (a_m^b)^{y-n_h-n_0} \right] D_l
\]

\[
\beta_l = \left[ (a_m^b)^{y-n_h-n_0} + \cdots + (a_m^b)^{y-n_h-n_0} \right] D_h + \beta_l
\]

Because \( m \gg 1 \), the difference between \( D_{it\text{,m}} \) and \( D_{it\text{,l}} \) can be ignored. From Eq. (48), we define \( \alpha_{qn} \) as \( \alpha \),

\[
\alpha \approx \alpha_m = 1 \left[ 1 + \sqrt{\frac{H_2 \cdot (1 - c) \tau}{q n \tau}} \right]
\]

Firstly, we consider that the temperature changes rapidly between the high and low temperature, which can significantly simplify the solution. In this case, \( \beta_l \) and \( \beta_l \) are both zero. Notice that \( n = n_0 + n_1, \) then

\[
\beta_h = a_0^b \frac{1 - a_0^b}{1 - a_0^b} D_h
\]

\[
\beta_l = \frac{1}{1 - a_0^b} D_l
\]

and using Taylor approximation \( a^k \approx 1 - k \frac{\xi \cdot (1 - c) \tau}{qn \tau} \), we have

\[
\beta_h + \beta_l = \frac{6n_h D_h + 6n_l D_l}{1 - a_0^b} \left( 1 - a_0^b \right) \tag{58}
\]

Hence

\[
N_{it\text{,qn}} = (K'(ct))^\frac{1}{2} \left[ 1 + \frac{n_h D_h + n_l D_l}{1 - a_0^b} \right]^{\frac{1}{2}} \tag{59}
\]

Secondly, the temperature transition between the high and low temperature is often slow, so \( \beta_l \) and \( \beta_l \) can not be ignored. If the average value of the diffusion coefficient in the transition phase is used, using a similar technique as above, we have

\[
N_{it\text{,qn}} = (K'(ct))^\frac{1}{2} \left[ 1 - a_m^b \right] \left( 1 - a_0^b \right) \left( 1 - a_0^b \right) \tag{60}
\]

Compared the above equation with Eq. (33), the interface trap generation due to NBTI with temperature variation can be estimated by the same equation as the constant temperature case, if the diffusion coefficient is replaced by an effective one

\[
D_{it\text{eff}} = \frac{h_T D_h + n_l D_l + n_l D_l + n_l D_l}{T_f}
\]

According to Eq. (39), we can use the diffusion coefficient under a reference temperature, such as \( D_h \), to denote \( D_{it\text{eff}} \). First of all, because the variation between high and low temperature is linear, we can use three point trapezium approximation to compute \( D_l \) and \( D_l \)

\[
D_l = D_l \approx \frac{D_h + D_l + \frac{D_h + D_l}{2}}{2}
\]

We can get the effective diffusion coefficient if \( D_h \) is taken as a reference,

\[
D_{it\text{eff}} = \frac{h_T D_h + n_l D_l + (n_l + n_l) + (n_l + n_l)}{T_f} \left( \frac{D_h + D_l}{2} + \frac{\mu n D_h}{2} \right)
\]

From Eq. (39), we can derive the ratios \( \mu_1 \) and \( \mu_m \) in Eq. (63)

\[
\mu_1 = \frac{D_l}{D_h} = \exp \left[ \frac{E_D}{k_B \left( \frac{1}{T_L} - \frac{1}{T_H} \right)} \right]
\]
The circuit runs all the time, but execute different tasks. The tasks have different work load, so the temperature corresponding different executing task is different. We assume that there are two tasks, TASKH and TASKL. When the circuit execute TASKH, the temperature is $T_H$, and TASKL corresponds to $T_L$. If the circuit switch between the two tasks, the temperature will change as the waveform in Fig. 5. Therefore, the threshold voltage degradation is that there are two tasks, TASK H and TASK L. When the circuit switch between the two tasks, the temperature will change as the waveform in Fig. 5. Therefore, the threshold voltage degradation is

$$\frac{\Delta V_{th,H}}{(D_{th,H})^2} = \exp \left( -\frac{E_d}{k_B \left( \frac{2}{T_H + T_L} - \frac{1}{T_H} \right)} \right)$$

(64)

The above derivation shows that we can still use the same dynamic model to estimate the number of the generated interface traps due to NBTI effect with variable temperature, if the diffusion coefficient of a constant temperature is transformed to the effective diffusion coefficient by Eq. (63).

In fact, the above derivation can be extended to the multiple temperature case, and the effective coefficient can be calculated.

5. $V_{th}$ and Circuit Delay Degradation Model

Previous section describes the analytical model of interface trap generation due to NBTI considering the temperature variation. In order to evaluate the temporal performance degradation due to NBTI, the threshold voltage degradation model and circuit delay model are described in the following sections.

5.1 PMOS $V_{th}$ Degradation Model

In this section, we will derive the threshold voltage degradation model due to NBTI. Two circuit operation cases are considered.

1. Case A

The circuit runs all the time, but execute different tasks. The tasks have different work load, so the temperature corresponding different executing task is different. We assume that there are two tasks, TASKH and TASKL. When the circuit execute TASKH, the temperature is $T_H$, and TASKL corresponds to $T_L$. If the circuit switch between the two tasks, the temperature will change as the waveform in Fig. 5. Therefore, the threshold voltage degradation is

$$\Delta V_{th} = \Delta V_{th,H} \left( \frac{D_{th}}{D_H} \right)^2$$

(65)

where $\Delta V_{th,H}$ is the $V_{th}$ degradation at a constant temperature $T_H$, and the ratio $D_{eff}/D_H$ can be calculated by Eq. (63).

2. Case B

The circuit has two modes, active and standby modes. In active mode, the circuit runs as normal and the temperature will be high; while in standby mode, the circuit stops, and remains in low power mode, so the circuit is considered to be in room temperature (about 300 K). This case is describe in Fig. 6. In active mode, the temperature is $T_H$ and the period of the input voltage is $\tau$ with the duty cycle of $c$. In standby mode, the temperature is $T_L$, and the input voltage remains in logic 1 or logic 0. We assume the circuit operates in active and standby mode alternately, and in one cycle, the active time is $\tau_A$, while the standby time is $\tau_S$. We also denote the ratio of active to standby time as $R_{AS}$. As shown in Fig. 6, when the circuit changes from standby mode to active mode, the temperature begins to increase from $T_L$ to $T_H$, and the rise time is $t_R$. The fall time of the temperature is defined as $t_F$, similarly.

In order to calculate the threshold voltage degradation, firstly, the effective diffusion coefficient should be used just as in case A. In active mode, the input voltage is a periodic square wave, but in standby mode, the input voltage is set to logic 1 or logic 0 constantly. From [16], the NBTI effect by a random signal can be equivalent as a square wave signal, if these two waves have the same signal probability. Therefore, we should transform the overall input signal to a square wave signal, and use an effective duty cycle $c$ to calculate the threshold voltage degradation.

If the input signal in standby mode remains logic 0, the overall signal probability of logic 0 (effective duty cycle of stress phase) is

$$c_0 = \frac{c_{\tau_A} + t_s}{\tau_A + t_S}$$

(66)

If the input signal remains logic 1 in standby mode, the effective duty cycle is

$$c_1 = \frac{c_{R_{AS}}}{R_{AS} + 1}$$

(67)

Therefore, if we know the input of the given PMOS transistor in standby mode, the effective duty cycle $c_{\tau}$ or $c_1$ can be calculated. If the information is unknown, we assume that the chance of logic 1 and logic 0 is the same, so the effective duty cycle $c_M$ is

$$c_M = \frac{c_{R_{AS}} + 0.5}{R_{AS} + 1}$$

(68)

In both case A and case B, we can estimate the threshold voltage degradation due to NBTI with temperature variation.

5.2 Gate and Circuit Delay Degradation Analysis

In this paper, the delay of a gate $v$ can be approximately expressed as [19]

$$d(v) = \frac{C_1 V_{dd}}{I_d} = \frac{K}{(V_{GS} - V_{th})^\alpha}$$

$$K = \frac{C_1 V_{dd}}{\mu C_{ox} W_{eff} / L_{eff}}$$

(69)

where $\alpha$ is the velocity saturation index, whose value ranges from 1 to 2, and depends on the type of logic gate.
Depending on the circuit operation states, the shift in the transistor threshold voltage $\Delta V_{th}$ can be derived using the approach in the above section. Hence, the delay degradation $\Delta d(v)$ for gate $v$ can be derived as

$$
\Delta d(v) = \frac{K}{(V_{gs} - V_{th} - \Delta V_{th})^\alpha} - \frac{K}{(V_{gs} - V_{th})^\alpha}
= \left(1 - \frac{\Delta V_{th}}{V_{gs} - V_{th}}\right)^\alpha - 1 \times d(v).
$$

(70)

We use Taylor series expansion on the right side of Eq. (70), neglecting the higher order terms, giving that

$$
\Delta d(v) = \frac{a \Delta V_{th}}{V_{gs} - V_{th}} \times d(v)
$$

(71)

where $V_{th0}$ is the original transistor threshold voltage and $d(v)$ is the original delay of gate $v$.

6. Simulation Results

In this section, we present the simulation results in order to validate the NBTI models. Firstly, a single PMOS transistor is used to evaluate the NBTI effect considering temperature variation. Secondly, ISCAS85 circuits are used as the benchmark. In this paper, the standard cell library is constructed using the PTM 65 nm bulk CMOS model [28]. $V_{dd} = 1.2V$, $|V_{th}| = 220$ mV are set for all the transistors in the circuits. Our NBTI aware Static Timing Analysis is based on our previous STA tool [29].

6.1 Single PMOS Device NBTI Analysis

As in Sect. 5.1, we consider two circuit operation cases. The default duty cycle of the PMOS transistor $c$ is set to 0.5, and the overall operation time is 10 yr (about $3 \times 10^8$s).

(1) Case A

The circuit works all the time, and executes two tasks, TASKH and TASKL. We assume the circuit switches between these two tasks periodically, and in one cycle, the executing time of TASKH is $t_H$, while time of TASKL is $t_L$. The transition time of the temperature is denoted as $T_{tr}$. The temperature executing TASKH is 373 K (100°C), and TASKL corresponds to 323 K (50°C). The $V_{th}$ degradation of PMOS transistor in the following five cases is shown in Table 1.

A1) the circuit executes only one task TASKH, and $t_L = 0$, so the temperature keep at 373 K;
A2) $t_H = 1h$, $t_L = 1h$, and $T_{tr} = 0$;
A3) $t_H = 1h$, $t_L = 1h$, and $T_{tr} = 10$ min;
A4) $t_H = 1h$, $t_L = 1h$, and $T_{tr} = 20$ min;
A5) $t_H = 1h$, $t_L = 1h$, and $T_{tr} = 30$ min.

Table 1 shows that the execution of the task TASKL with low temperature compensates 10.7% of $V_{th}$ degradation for the PMOS transistor. We should also notice that the impact of the temperature transition time can be ignored.

We denote the ratio of time $t_H$ to $t_L$ as $R_{HL}$, and $V_{th}$ degradation with different $R_{HL}$ and $T_{tr}$ is shown in Table 2. The simulation is also run at another TASKL temperature $T_{L} = 353$ K. The comparison is shown in Fig. 7.

Figure 7 shows that longer execution time of TASKL decreases $V_{th}$ degradation, and lower TASKL temperature enlarges this effect. If the temperature of TASKL is 323 K, and the ratio of these two tasks’ execution time is 1 : 9, the threshold voltage degradation can be decreased by 24.5%.

(2) Case B

The circuit periodically switches between active and standby mode. We still assume that the temperature transition time both from active to standby and from standby to active is the same, which is denoted as $T_{tr}$. The temperature of active mode is $T_A = 373$ K, and temperature of standby mode $T_S = 303$ K. The active and standby time is $t_A$ and $t_S$ respectively. The $V_{th}$ degradation of PMOS transistor in the following five cases is shown in Table 3.

B1) the circuit is always active, thus $t_S = 0$, so the temperature keep at 373 K;
B2) $t_A = 1h$, $t_S = 1h$, $T_{tr} = 0$, and gate input $V_g = 0$;
B3) $t_A = 1h$, $t_S = 1h$, $T_{tr} = 30$ min, and $V_g = 0$;
B4) $t_A = 1h$, $t_S = 1h$, $T_{tr} = 0$ min, and $V_g = 1$;
B5) $t_A = 1h$, $t_S = 1h$, $T_{tr} = 30$ min, and $V_g = 1$.

Table 3 also shows that impact of temperature transition time can be ignored, and logic 1 of the gate input can significantly decrease $V_{th}$ degradation by 23.0%. We denote the ratio of active time $t_A$ to standby time $t_S$ as $R_{AS}$, and $V_{th}$ degradation with different $R_{AS}$ and different gate input

### Table 1 $V_{th}$ degradation in case A1 to A5.

<table>
<thead>
<tr>
<th>Case</th>
<th>A1</th>
<th>A2</th>
<th>A3</th>
<th>A4</th>
<th>A5</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\Delta V_{th}$</td>
<td>52.6 mV</td>
<td>47.5 mV</td>
<td>47.3 mV</td>
<td>47.0 mV</td>
<td>46.7 mV</td>
</tr>
</tbody>
</table>

### Table 2 $V_{th}$ degradation with different $R_{HL}$ and $T_{tr}$.

<table>
<thead>
<tr>
<th>$R_{HL}$</th>
<th>9:1</th>
<th>3:1</th>
<th>1:1</th>
<th>1:3</th>
<th>1:9</th>
</tr>
</thead>
<tbody>
<tr>
<td>$T_L = 353$ K</td>
<td>51.2 mV</td>
<td>51.2 mV</td>
<td>49.7 mV</td>
<td>49.7 mV</td>
<td>46.5 mV</td>
</tr>
<tr>
<td>$T_L = 323$ K</td>
<td>51.7 mV</td>
<td>50.4 mV</td>
<td>47.5 mV</td>
<td>43.5 mV</td>
<td>39.7 mV</td>
</tr>
</tbody>
</table>

![Fig. 7 $\Delta V_{th}$ with different $R_{HL}$ and $T_{tr}$](image-url)
Table 3  $V_{th}$ degradation in case B1 to B5.

<table>
<thead>
<tr>
<th>Case</th>
<th>B1</th>
<th>B2</th>
<th>B3</th>
<th>B4</th>
<th>B5</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\Delta V_{th}$</td>
<td>52.6 mV</td>
<td>50.9 mV</td>
<td>49.5 mV</td>
<td>41.6 mV</td>
<td>40.5 mV</td>
</tr>
</tbody>
</table>

Fig. 8  $\Delta V_{th}$ with different $R_{AS}$ and gate input.

Fig. 9  $\Delta V_{th}$ with different $R_{HL}$ in Case A and $R_{AS}$ in Case B.

is shown in Fig 8.

From Fig. 8, we can conclude that 1) longer standby time leads to a smaller NBTI effect on the $V_{th}$ degradation; 2) logic 1 gate input significantly increase the above effect, and the maximum decrease of $\Delta V_{th}$ can reach up to 52.3%.

6.2 Performance Degradation Analysis in Digital Circuits

Figure 9 shows the performance degradation of ISCAS85 c432 benchmark with different $R_{HL}$ in Case A and $R_{AS}$ in Case B. In circuit simulation, we do not set all the states of internal node at standby mode, but use a logic simulator to get the logic states. From Fig. 9, we can conclude that longer time of a low temperature state (TASKL execution time in Case A and standby mode in Case B decreases the circuit performance degradation. Therefore, we can use this to mitigate the NBTI effect. Table 4 shows the result of the circuit delay degradation analysis. In Case A, $R_{HL}$ is set to 1:1, and in Case B, the ratio of active to standby time $R_{AS}$ is set to 1 : 9. The $\Delta$delay is around 18.0% of the original circuit delay when the circuit runs at a constant temperature 373 K. If the temperature variation is considered, the $\Delta$delay in Case A is around 15.9%, which decreases by 13.2% compared to the constant temperature case, and the $\Delta$delay in Case B decreases by 45.2%.

7. Conclusion

In this paper, we propose an analytical model of temporal performance degradation due to PMOS NBTI effect under AC stress condition. We also demonstrate that the temperature variation due to the execution of different tasks and the change of circuit operation mode (active and standby mode) can have significant impact on PMOS NBTI effect: executing a low temperature task or remaining in standby mode can mitigating the effect of NBTI. Therefore, we propose an analytical model that considers the temperature variation, such that a more accurate performance degradation estimation can be performed. Our results of the circuit delay degradation analysis show that the existence of the standby mode can significantly decrease the delay degradation by 45.2%.

References


[27] R. Wittmann, Miniaturization problems in CMOS technology: In-
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