

# Some Results on Generalized Exponents

Stewart Neufeld

Department of Mathematics and Statistics  
University of Winnipeg, Manitoba  
R3B 2E9 Canada

Jian Shen

Department of Mathematics and Statistics  
Queen's University at Kingston  
K7L 3N6 Canada

November 1, 1998

## Abstract

A digraph  $G = (V, E)$  is primitive if, for some positive integer  $k$ , there is a  $u \rightarrow v$  walk of length  $k$  for every pair  $u, v$  of vertices of  $V$ . The minimum such  $k$  is called the exponent of  $G$ , denoted  $\exp(G)$ . The exponent of a vertex  $u \in V$ , denoted  $\exp(u)$ , is the least integer  $k$  such that there is a  $u \rightarrow v$  walk of length  $k$  for each  $v \in V$ . For a set  $X \subseteq V$ ,  $\exp(X)$  is the least integer  $k$  such that for each  $v \in V$  there is a  $X \rightarrow v$  walk of length  $k$ , i.e., a  $u \rightarrow v$  walk of length  $k$  for some  $u \in X$ . Let  $F(G, k) := \max\{\exp(X) : |X| = k\}$  and  $F(n, k) := \max\{F(G, k) : |V| = n\}$ , where  $|X|$  and  $|V|$  denote the number of vertices in  $X$  and  $V$ , respectively.

Recently B. Liu and Q. Li proved  $F(n, k) = (n - k)(n - 1) + 1$  for all  $1 \leq k \leq n - 1$ . In this paper, for each  $k$ ,  $1 \leq k \leq n - 1$ , we characterize the digraphs  $G$  such that  $F(G, k) = F(n, k)$ , thereby answering a question of R. Brualdi and B. Liu. We also find some new upper bounds on the (ordinary) exponent of  $G$  in terms of the maximum outdegree of  $G$ ,  $\Delta^+(G) = \max\{d^+(u) : u \in V\}$ , and thus obtain a new refinement of the Wielandt bound  $(n - 1)^2 + 1$ .

## 1 Introduction and Notation

Let  $G = (V, E)$  denote a digraph on  $n$  vertices. Loops are permitted but no multiple arcs. A  $u \rightarrow v$  walk in  $G$  is a sequence of vertices  $u, u_1, \dots, u_p = v$  and a sequence of arcs  $(u, u_1), (u_1, u_2), \dots, (u_{p-1}, v)$  where the vertices and the arcs are not necessarily distinct. A closed walk is a  $u \rightarrow v$  walk where  $u = v$ . A path is a walk with distinct vertices. A

cycle is a closed  $u \rightarrow v$  walk with distinct vertices except for  $u = v$ . The length of a walk  $W$  is the number of arcs in  $W$ . The girth  $s$  of  $G$  is the length of a shortest cycle in  $G$ . An  $r$ -cycle is a cycle of length  $r$ .

The notation  $u \xrightarrow{k} v$  (resp.  $u \not\xrightarrow{k} v$ ) is used to indicate that there is a  $u \rightarrow v$  walk (resp. no  $u \rightarrow v$  walk) of length  $k$  and the notation  $u \xrightarrow{k^+} v$  to indicate that  $u \xrightarrow{m} v$  for all  $m \geq k$ . Similarly, for a set  $X \subseteq V$ , the notation  $X \xrightarrow{k} v$  (resp.  $X \not\xrightarrow{k} v$ ) means that  $u \xrightarrow{k} v$  for some  $u \in X$  (resp.  $u \not\xrightarrow{k} v$  for all  $u \in X$ ), and the notation  $X \xrightarrow{k^+} v$  means that  $X \xrightarrow{m} v$  for all  $m \geq k$ .

A digraph  $G$  is strongly connected if there is a  $u \rightarrow v$  path for each pair  $u, v$  of vertices of  $G$ . A digraph  $G$  is primitive if there exists some positive integer  $k$  such that  $u \xrightarrow{k} v$  for every pair  $u, v$  of vertices of  $G$ . The minimum such  $k$  is called the exponent of  $G$ , denoted  $\exp(G)$ . A theorem of Moon and Moser [6] shows that almost all digraphs are primitive. The exponent of a vertex  $u \in V$ , denoted  $\exp(u)$ , is the least integer  $k$  such that  $u \xrightarrow{k} v$  for each  $v \in V$ . It is easy to see that if  $u \xrightarrow{k} v$  for each  $v \in V$ , then also  $u \xrightarrow{k^+} v$  for each  $v \in V$ .

Interest in primitive digraphs grew out of work done by Perron [7] and Frobenius [3] at the turn of the century on the combinatorial properties of matrices with non-negative real entries. We say  $G$  is the digraph associated with a non-negative matrix  $A = (a_{ij})$  if the adjacency matrix of  $G$  is the zero-nonzero pattern of  $A$ ; that is,  $(i, j)$  is an arc of  $G$  if and only if  $a_{ij} > 0$  in  $A$ . If  $A^k$  has all positive entries for some  $k \geq 1$ , then  $A$  is called primitive and the minimum such  $k$  is called the exponent of  $A$ , denoted  $\exp(A)$ . Clearly,  $\exp(A) = \exp(G)$ .

It is well-known that a strongly connected digraph  $G$  is primitive if and only if the greatest common divisor of the lengths of the cycles in  $G$  is one. Another equivalent formulation is given by Lewin [4]: A strongly connected graph  $G$  is primitive if and only if there is an integer  $k \geq 1$  such that  $u \xrightarrow{k, k+1} v$  for some vertices  $u, v \in V(G)$ .

Let  $G = (V, E)$  be a primitive digraph on  $n$  vertices. In 1950, H. Wielandt [9] found that  $\exp(G) \leq (n-1)^2 + 1$  and showed there is a unique (up to isomorphism) digraph,  $W_n$ , that attains this bound. The digraph  $W_n = (V, E)$  is defined as follows:  $V = \{u_i : 1 \leq i \leq n\}$  and  $E = \{(u_i, u_{i+1}) : 1 \leq i \leq n-1\} \cup \{(u_{n-1}, u_1), (u_n, u_1)\}$ . Since then much work has been done on finding exponents for various classes of digraphs and on improving the Wielandt upper bound by introducing parameters such as the girth  $s$  and the diameter  $d$  of  $G$ .

In 1990, R. Brualdi and B. Liu [2] generalized the concept of exponent by considering  $X \rightarrow v$  walks, where  $X \subseteq V$  and  $X$  does not necessarily have cardinality one. We use

their notations in the following definitions.

Suppose  $G$  is primitive. For a set  $X \subseteq V$ , the exponent of the set  $X$ , denoted  $\exp(X)$ , is the least integer  $k$  such that  $X \xrightarrow{k} v$  for each  $v \in V$ . It is easy to see that if  $X \xrightarrow{k} v$  for each  $v \in V$ , then  $X \xrightarrow{k^+} v$  for each  $v \in V$ . Let  $F(G, k) := \max\{\exp(X) : |X| = k\}$ , where  $|X|$  denotes the number of vertices in  $X$ . Define  $F(n, k) := \max\{F(G, k) : |V| = n\}$ , where the maximum is taken over all primitive digraphs on  $n$  vertices.

Brualdi and Liu [2] prove that  $F(W_n, k) = (n-1)(n-k) + 1$  for all  $1 \leq k \leq n-1$ . When  $k = 1$ ,  $F(G, 1)$  is the ordinary exponent of  $G$  and so  $W_n$  is the unique digraph for which  $F(W_n, 1) = F(n, 1) = (n-1)^2 + 1$ . Brualdi and Liu also show  $F(W_n, n-1) = F(n, n-1) = n$ . They conjecture [2, Conjecture 5.6] that  $F(W_n, k) = F(n, k)$  for all  $2 \leq k \leq n-2$  and remark elsewhere [2, p. 486] that they believe, for each  $k$ ,  $1 \leq k \leq n-1$ ,  $W_n$  is the unique digraph  $G$  for which  $F(G, k) = F(n, k)$ . Their conjecture [2, Conjecture 5.6] was proved recently by B. Liu and Q. Li [5]. B. Liu and Q. Li also showed that for each integer  $k$ ,  $1 \leq k \leq n-1$ ,  $F(G, k) \leq s(n-k-1) + n$  for all primitive digraphs  $G$  with  $n$  vertices and girth  $s$ .

In Section 2 of this paper, we prove that, for each  $k$ ,  $1 \leq k \leq n-2$ ,  $F(G, k) = F(n, k)$  only for  $G = W_n$ . However, when  $k = n-1$ , we show there are digraphs  $G$  other than  $W_n$  such that  $F(G, k) = F(n, k) = n$ , thereby answering the question of R. Brualdi and B. Liu [2, p. 486]. Also in Section 2 we find sufficient conditions for  $F(G, k) = s(n-k-1) + n$ , where  $G$  is a primitive digraph with  $n$  vertices and girth  $s$ .

In Section 3, we obtain a new upper bound  $\exp(G) \leq (n - \Delta^+ + 1)^2 + 1$  for all primitive digraphs  $G$  with maximum outdegree  $\Delta^+(G) \leq (n+1)/2$ , and a characterization of digraphs attaining this bound. We also obtain a new proof of the Dulmage-Mendelsohn bound  $n + s(n-2)$  which implies the Wielandt bound  $(n-1)^2 + 1$ .

## 2 The Numbers $F(G, k)$

Let  $G = (V, E)$  be a digraph and choose a vertex  $u \in V$ . Let  $R_i(u) = \{v \in V : u \xrightarrow{i} v\}$  and  $R'_i(u) = \{v \in V : v \xrightarrow{i} u\}$  for all  $i \geq 1$ . For  $i = 0$ , we define  $R_i(u) = R'_i(u) = \{u\}$ . We begin with the following lemma.

**Lemma 1** *Let  $G$  be a primitive digraph on  $n$  vertices and let  $u \in V$ . Choose a positive integer  $r_1$  and a non-negative integer  $r_2$  and let  $A_i = R_{ir_1+r_2}(u)$  (resp.  $A'_i = R'_{ir_1+r_2}(u)$ )*

for all  $i \geq 0$ . Then,

$$\left| A_i \setminus \bigcup_{j=0}^{i-1} A_j \right| \geq 1 \text{ provided } \left| \bigcup_{j=0}^{i-1} A_j \right| < n.$$

Similarly,

$$\left| A'_i \setminus \bigcup_{j=0}^{i-1} A'_j \right| \geq 1 \text{ provided } \left| \bigcup_{j=0}^{i-1} A'_j \right| < n.$$

**Proof.** It may be supposed that  $i \geq 1$ . Let  $G^{r_1} = (V', E')$  be the digraph with  $V' = V$  such that, for any two vertices  $u_1$  and  $u_2$  in  $V'$ ,  $(u_1, u_2) \in E'$  if and only if  $u_1 \xrightarrow{r_1} u_2$  in  $G$ . Let  $X = \bigcup_{j=0}^{i-1} A_j$ . Then  $X \neq \emptyset$ . Suppose  $|X| < n$ . Since  $G^{r_1}$  is strongly connected, there exist some  $v_1 \in X$  and some  $v_2 \in V \setminus X$  such that  $(v_1, v_2) \in E'$ ; i.e.,  $v_1 \xrightarrow{r_1} v_2$  in  $G$ . Then  $v_1 \in A_{i-1}$ ; otherwise if  $v_1 \in \bigcup_{j=0}^{i-2} A_j$ , then  $v_2 \in R_{r_1}(v_1) \subseteq \bigcup_{j=0}^{i-1} A_j = X$ , a contradiction. Therefore  $v_2 \in R_{r_1}(v_1) \subseteq A_i$  and so  $|A_i \setminus X| \geq |\{v_2\}| = 1$ . By a similar argument we also have  $|A'_i \setminus \bigcup_{j=0}^{i-1} A'_j| \geq 1$  provided  $|\bigcup_{j=0}^{i-1} A'_j| < n$ .  $\square$

The following lemma is an extension of the Dulmage-Mendelsohn bound to the generalized exponent  $F(G, k)$ .

**Lemma 2** ([5]) *Let  $G$  be a primitive digraph with  $n$  vertices and girth  $s$ . Then,*

$$F(G, k) \leq s(n - k - 1) + n \text{ for each integer } k, 1 \leq k \leq n - 1.$$

The following two theorems characterize the extreme digraphs  $G$  for which  $F(G, k) = F(n, k) = (n - 1)(n - k) + 1$ , where  $1 \leq k \leq n - 1$ .

**Theorem 3** *Let  $G$  be a primitive digraph on  $n$  vertices and let  $1 \leq k \leq n - 2$ . Then,  $F(G, k) = (n - 1)(n - k) + 1$  if and only if  $G = W_n$ , the Wielandt digraph on  $n$  vertices.*

**Proof.** Brualdi and Liu [2] have shown  $F(W_n, k) = (n - 1)(n - k) + 1$  for all integers  $k$ ,  $1 \leq k \leq n - 2$ . Now suppose  $F(G, k) = (n - 1)(n - k) + 1$  for some integer  $k$ ,  $1 \leq k \leq n - 2$ . If  $s \leq n - 2$ , then by Lemma 2,  $F(G, k) \leq (n - 2)(n - k - 1) + n = (n - 1)(n - k) + k + 2 - n < (n - 1)(n - k) + 1$ , a contradiction. Thus  $s = n - 1$ . There are only two primitive digraphs with girth  $s = n - 1$ . If  $G \neq W_n$ , then every vertex  $u \in V$  is on a cycle of length  $s = n - 1$ .

Let  $X$  be a set of vertices of  $G$  with  $|X| = k$ . Choose  $u \in V$ . Let  $A_i = R'_{i_s}(u)$  for all  $0 \leq i \leq n - k$ . By Lemma 1,  $|\bigcup_{j=0}^{n-k} A_j| + |X| > n - k + k = n$  and so there is an  $A_i$ ,

$0 \leq i \leq n - k$ , which contains a vertex  $v \in X$ . Thus,  $X \xrightarrow{is} u$  and so  $X \xrightarrow{s(n-k)} u$  since  $u$  is on some  $s$ -cycle. Since this holds for all vertices of  $G$  and all sets  $X$  with  $|X| = k$ ,  $F(G, k) \leq s(n - k) = (n - 1)(n - k) < F(W_n, k)$ , also a contradiction.  $\square$

**Theorem 4** *Let  $\mathcal{F}$  be the family of digraphs  $G = (V, E)$  on  $n$  vertices satisfying the following three conditions:*

- (i)  *$G$  contains a hamiltonian cycle  $u_0, u_1, u_2, \dots, u_{n-2}, u_{n-1}, u_0$ .*
- (ii) *The remaining arcs of  $E$  consist of a non-empty subset of  $\{(u_i, u_0) : 0 \leq i \leq n - 2\}$ .*
- (iii) *The arcs in Condition (ii) are chosen so that  $G$  is primitive.*

*Then,  $F(G, n - 1) = n$  if and only if  $G \in \mathcal{F}$ .*

**Proof.** Suppose  $G \in \mathcal{F}$ . Let  $X = \{u_1, u_2, \dots, u_{n-1}\}$ . Then  $X \xrightarrow{n-1} u_{n-1}$  and hence  $F(G, n - 1) \geq n$ . Since  $F(G, n - 1) \leq n$  by Lemma 2, we have  $F(G, n - 1) = n$ .

Suppose  $F(G, n - 1) = n$ . Let  $X$  be a set of vertices of  $G$  such that  $|X| = n - 1$  and  $F(G, n - 1) = \exp(X) = n$ . Choose  $u_0 \in V$  such that  $u_0 \notin X$ . If there is a cycle  $C$  which does not contain  $u_0$ , then all the vertices of  $C$  are in  $X$  and so  $X \xrightarrow{n-|C|} v$  for all  $v \in V$ , which contradicts  $\exp(X) = n$ . Therefore,  $u_0$  is contained in all cycles of  $G$ . By [2, Lemma 5.2],  $F(G, n - 1) \leq \max\{n - s, t\}$ , where  $s$  and  $t$  are, respectively, the lengths of shortest and longest cycles in  $G$ . Thus,  $G$  must contain a hamiltonian cycle, i.e., condition (i) holds. Let  $u_0, u_1, \dots, u_{n-2}, u_{n-1}, u_0$  be this hamiltonian cycle. We note that  $G$  contains no  $(u_i, u_j)$  arc for all  $1 \leq i + 1 < j \leq n - 1$ , or else  $X \xrightarrow{n-1} u_{n-1}$  and hence  $X \xrightarrow{n-1} u$  for each  $u \in V$  which implies  $\exp(X) < n$ , a contradiction. Now since  $u_0$  must be contained in all the cycles of  $G$  and  $G$  contains at least two cycles and is primitive we have  $G \in \mathcal{F}$ .  $\square$

Let  $F(n, s, k) := \max_G \{F(G, k)\}$ , where  $1 \leq k \leq n - 1$  and the maximum is taken over all primitive digraphs  $G$  with  $n$  vertices and girth  $s$ . It is shown in Lemma 2 that  $F(n, s, k) \leq s(n - k - 1) + n$ . We are interested in finding digraphs for which equality holds in the previous inequality.

In the next lemma, we find for each integer  $k$ , where  $1 \leq k \leq n - 1$ , and for each integer  $s$ , where  $1 \leq s \leq n - 1$  and  $\gcd(n, s) = 1$ , a primitive digraph on  $n$  vertices and girth  $s$  such that  $F(G, k) = F(n, s, k) = s(n - k - 1) + n$ .

**Lemma 5**  *$F(n, s, k) = s(n - k - 1) + n$  whenever  $1 \leq k \leq n - 1$ ,  $1 \leq s \leq n - 1$  and  $\gcd(n, s) = 1$ .*

**Proof.** Let  $G$  be the primitive digraph consisting of the hamiltonian cycle  $0, 1, \dots, n-1, 0$  and the arc  $(0, n-s+1)$  for some integer  $s$ , where  $1 \leq s \leq n-1$  and  $\gcd(n, s) = 1$ . Thus,  $G$  has girth  $s$ . It is convenient to regard the vertices of  $G$  as integers modulo  $n$  represented by  $\{0, 1, \dots, n-1\}$ . Therefore, it is easy to see that  $R_{rs}(0) = \{0, s, 2s, \dots, rs\} = \{ts : 0 \leq t \leq r\}$  and  $R_{n-i+rs}(i) = R_{rs}(0) = \{ts : 0 \leq t \leq r\}$ , where  $1 \leq i \leq n$ .

Let  $X = \{1+ts : 0 \leq t \leq k-1\}$ . Then,  $R_{s(n-k-1)+n-1}(1+ts) = R_{n-(1+ts)+s(n-k-1+t)}(1+ts) = R_{s(n-k-1+t)}(0) = \{js : 0 \leq j \leq n-k-1+t\}$  which implies  $R_{s(n-k-1)+n-1}(X) = \{js : 0 \leq j \leq n-2\} \neq V$ . Thus,  $\exp_G(X) > s(n-k+1)+n-1$  and so  $F(G, k) = s(n-k-1)+n$  by Lemma 2. Therefore,  $F(n, s, k) = s(n-k-1)+n$ .  $\square$

Note that Lemma 5 is not satisfied by a unique digraph. In the next theorem we find sufficient conditions on a primitive digraph  $G$  with  $n$  vertices and girth  $s$  for which  $F(G, k) = F(n, s, k) = s(n-k-1)+n$ . These conditions are very similar to those given by J. Shao [8, Theorem 3.3] in characterizing primitive digraphs with exponent  $n + s(n-2)$  and in fact imply the conditions given in [8]. We first mention the relevant lemma of J. Shao.

**Lemma 6** ([8]) *Let  $G$  be a primitive digraph on  $n$  vertices and girth  $s \geq 2$ . Then,  $\exp(G) = n + s(n-2)$  if and only if the following four conditions hold.*

- (i)  $G = K_{s,n} \cup E'$ , where  $K_{s,n}$  is the digraph consisting of the hamiltonian cycle  $1, 2, \dots, n$  and the arc  $(s, 1)$ , and  $E'$  is a subset (possibly empty) of  $E$  where  $E = \{(j, i) : s+1 \leq i \leq j \leq n\}$ .
- (ii) Let  $n = r_1 > r_2 > \dots > r_\lambda = s$  be the set of distinct cycle lengths in  $G$ . Then,  $\gcd(n, s) = 1$  and  $r_2, r_3, \dots, r_\lambda$  are all multiples of  $s$ .
- (iii)  $G$  contains a unique cycle of length  $s$ .
- (iv) If  $E' \neq \emptyset$ , then  $n-1$  is not a non-negative integral combination of  $r_2/s, r_3/s, \dots, r_{\lambda-1}/s$ , i.e.,

$$n-1 \neq a_2 \frac{r_2}{s} + a_3 \frac{r_3}{s} + \dots + a_{\lambda-1} \frac{r_{\lambda-1}}{s}$$

for all nonnegative integers  $a_2, a_3, \dots, a_{\lambda-1}$ .

**Theorem 7** *Let  $G$  be a primitive digraph with  $n$  vertices and girth  $s$ . If  $G$  satisfies conditions (i), (ii), and (iii) in Lemma 6 and condition (v) below, then,*

$$\exp(G) = s(n-2) + n \quad \text{and} \quad F(G, k) = s(n-k-1) + n.$$

*Condition (v):* If  $E' \neq \emptyset$ , then  $n-k+i-1$  is not a non-negative integral combination of  $r_2/s, r_3/s, \dots, r_{\lambda-1}/s$  for all  $1 \leq i \leq \min\{t, k\}$ , where  $t = \lfloor (n-1)/s \rfloor$ , i.e.,  $n-k+i-1 \neq \sum_{j=2}^{\lambda-1} a_j r_j/s$  for all non-negative integers  $a_2, a_3, \dots, a_{\lambda-1}$ .

**Proof.** We first show that condition (v) implies condition (iv) of Lemma 6. If  $k \leq t$  then we get condition (iv) from condition (v) immediately. Suppose  $k > t$ . We note  $r_j \leq n-1-s$  and hence  $r_j/s \leq \lfloor (n-1)/s \rfloor - 1 = t-1$ ,  $2 \leq j \leq \lambda-1$ . Suppose condition (iv) does not hold, i.e.,  $\sum_{j=2}^{\lambda-1} a_j r_j/s = n-1$  for some non-negative integers  $a_2, a_3, \dots, a_{\lambda-1}$ . Then  $\sum_{j=2}^{\lambda-1} b_j r_j/s \in \{n-k, n-k+1, \dots, n-k+t-1\}$  for some non-negative integers  $b_2, b_3, \dots, b_{\lambda-1}$  since each  $r_j/s \leq t-1$ ,  $2 \leq j \leq \lambda-1$ , and  $\{n-k, n-k+1, \dots, n-k+t-1\}$  contains  $t$  consecutive integers. Thus, condition (v) is violated.

Therefore, condition (v) implies condition (iv) and hence if  $G$  satisfies conditions (i),(ii),(iii), and (v), then  $\exp(G) = n + s(n-2)$  by Lemma 6.

Now suppose conditions (i), (ii), (iii), and (v) are satisfied. Then from condition (i)  $G = K_{s,n} \cup E'$ . It is easy to verify that  $s+1 \xrightarrow{n+s(n-2)} n$  and  $s+1 \not\xrightarrow{n-1+s(n-2)} n$ . Let  $X = \{1 + is : 1 \leq i \leq k\}$ . Suppose  $1 + is \xrightarrow{n-1+s(n-k-1)} n$  for some integer  $i$ ,  $1 \leq i \leq k$ . Then clearly  $1 + s \xrightarrow{n-1+s(n-k+i-2)} n$ . Let  $t = \lfloor (n-1)/s \rfloor$ .

Case 1:  $i > t$ . Then  $1 + s \xrightarrow{ts} 1 + (t+1)s \xrightarrow{(i-t-1)s} 1 + is \xrightarrow{n-1+s(n-k-1)} n$ . Since  $1 + (t+1)s$  lies on the cycle of length  $s$ , we have  $1 + s \xrightarrow{n-1+s(n-2)} n$ , a contradiction.

Case 2:  $i \leq t$ . By assumption there is an  $s+1 \rightarrow n$  walk  $W$  of length  $n-1+s(n-k+i-2)$ . If any vertex of  $W$  lies on the cycle of length  $s$ , then we also have  $1 + s \xrightarrow{n-1+s(n-2)} n$ , a contradiction. Therefore,  $W \cap \{1, 2, \dots, s\} = \emptyset$  and so there exist non-negative integers  $a_2, a_3, \dots, a_{\lambda-1}$  such that  $n-s-1 + \sum_{j=2}^{\lambda-1} a_j r_j = n-1+s(n-k+i-2)$ , i.e.,  $\sum_{j=2}^{\lambda-1} a_j r_j/s = n-k+i-1$ , contradicting condition (v).  $\square$

We note that while conditions (i),(ii),(iii), and (v) are sufficient for  $F(G, k) = s(n-k-1) + n$ , they are not necessary. Consider the digraph  $G$  consisting of a path  $u_1, u_2, \dots, u_7$  and arcs  $(u_2, u_1), (u_5, u_1), (u_7, u_3)$ . Then  $G$  contains cycles of lengths 2 and 5 and so is primitive, and  $G$  does not have a hamiltonian cycle violating condition (i) of Lemma 6. Let  $k = 5$  and let  $X = \{u_2, u_3, u_5, u_6, u_7\}$ . Then,  $X \xrightarrow{s(n-k-1)+n-1} u_7$ , i.e.,  $X \xrightarrow{8} u_7$  and so  $F(G, k) = s(n-k-1) + n = 9$ . It seems difficult to give a complete characterization of the primitive digraphs  $G$  with  $n$  vertices and girth  $s$  such that  $F(G, k) = s(n-k-1) + n$ .

### 3 Exponent results based on maximum outdegree

In this section, we consider the exponent of a primitive digraph with  $n$  vertices and maximum outdegree  $\max\{d^+(u) : u \in G\} = \Delta^+$ . Since  $\exp(G) = \exp(G^t)$ , where  $V(G^t) = V(G)$  and  $E(G^t) = \{(u, v) : (v, u) \in E(G)\}$ , similar results hold for primitive digraphs with  $n$  vertices and maximum indegree  $\Delta^-$ . For simplicity of notation, in the following we let  $\Delta := \Delta^+$ .

**Theorem 8** *Suppose  $G$  is a primitive digraph on  $n$  vertices and  $u \in V$  is a vertex with outdegree  $d^+(u)$ . Let  $r$  be the length of a shortest cycle containing  $u$ . Then,*

$$\exp(u) \leq r(n - d^+(u)) + 1.$$

**Proof.** Let  $A_i = R_{ir+1}(u)$  for all  $i \geq 0$ . Since  $|A_0| = d^+(u)$ , and by Lemma 1  $|A_i \setminus \bigcup_{j=0}^{i-1} A_j| \geq 1$  for  $i \geq 1$  provided  $|\bigcup_{j=0}^{i-1} A_j| < n$ , we obtain  $|\bigcup_{i=0}^{n-d^+(u)} A_i| \geq \min\{n, |A_0| + n - d^+(u)\} = n$ . Thus,  $|\bigcup_{i=0}^{n-d^+(u)} A_i| = n$  and  $u \xrightarrow{1+r(n-d^+(u))} v$  for each vertex  $v \in V$  since  $u$  lies on a  $r$ -cycle in  $G$ . Therefore  $\exp(u) \leq r(n - d^+(u)) + 1$ .  $\square$

**Corollary 9** *Suppose  $G$  is a primitive digraph on  $n$  vertices and  $u \in V$  is a vertex with outdegree  $\Delta$ . Let  $r$  be the length of a shortest cycle containing  $u$ . Then,*

$$\exp(G) \leq r(n - \Delta) + n.$$

**Proof.** By Theorem 8,  $\exp(u) \leq r(n - \Delta) + 1$ , i.e.,  $u \xrightarrow{r(n-\Delta)+1} v$  for each  $v \in V$ . Let  $w$  be any vertex of  $G$  and let  $P$  be a shortest  $w \rightarrow u$  path. Then  $w \xrightarrow{|P|} u \xrightarrow{r(n-\Delta)+1} v$ , which implies  $w \xrightarrow{r(n-\Delta)+n} v$  for each  $v \in V$  since  $|P| \leq n - 1$ . Thus,  $\exp(G) \leq r(n - \Delta) + n$ .  $\square$

The following corollary is a new proof of the Dulmage-Mendelsohn bound.

**Corollary 10** *Let  $G$  be a primitive digraph on  $n$  vertices and girth  $s$ . Then,*  
 $\exp(G) \leq s(n - 2) + n \leq (n - 1)^2 + 1$ .



**Proof.** Let  $C$  be a cycle of length  $s$ . Since  $G$  is primitive, there is a vertex  $u \in V(C)$  with outdegree  $d^+(u) \geq 2$ . Thus, by Theorem 8 and Corollary 9,  $\exp(G) \leq \exp(u) + n - 1 \leq s(n - d^+(u)) + n \leq s(n - 2) + n \leq (n - 1)(n - 2) + n = (n - 1)^2 + 1$ .  $\square$

Let  $a_1 < a_2 < \dots < a_p$  be positive integers. The Frobenius-Schur index,  $\phi(a_1, a_2, \dots, a_p)$ , is the least integer such that the equation  $x_1 a_1 + x_2 a_2 + \dots + x_p a_p = n$  has a solution in non-negative integers  $x_1, x_2, \dots, x_p$  for all  $n \geq \phi(a_1, \dots, a_p)$ . We first state a result due to A. Brauer in 1942.

**Lemma 11** ([1]) *Let  $m$  be a positive integer. Then,*

$$\phi(m, m + 1, \dots, m + j - 1) = m \left( 1 + \left\lfloor \frac{m - 2}{j - 1} \right\rfloor \right).$$

**Theorem 12** *Let  $G$  be a primitive digraph on  $n$  vertices with maximum outdegree  $\Delta \leq (n + 1)/2$ . Then,*

$$\exp(G) \leq (n - \Delta + 1)^2 + 1.$$

**Proof.** Let  $u \in V$  be a vertex with outdegree  $d^+(u) = \Delta$  and  $r$  be the length of a shortest cycle  $C$  containing  $u$ . It is easy to verify that  $r \leq n - \Delta + 1$ . Let  $Y = R_1(u) = \{b_1, b_2, \dots, b_\Delta\}$ ,  $b_1 \in V(C)$ , and  $R_1(b_1) \cap V(C) = \{v\}$ . By Corollary 9,  $\exp(G) \leq r(n - \Delta) + n$ . We consider two cases.

Case 1:  $r \leq n - \Delta$ . Then,

$$\exp(G) \leq (n - \Delta)^2 + n < (n - \Delta + 1)^2 + 1.$$

Case 2:  $r = n - \Delta + 1$ . Then  $V = V(C) \cup Y$ . Let  $P_i$  be a shortest  $b_i \rightarrow v$  path,  $1 \leq i \leq \Delta$ . Note that there is no arc from any vertex in  $Y$  to any vertex of  $C$  other than  $b_1$  and  $v$  since  $r$  is the length of a shortest cycle containing  $u$ . Note also that because  $V(C) \cup Y = V$ ,  $|P_i|$  is the number of vertices of  $P_i$  which are in the set  $Y$ . Let  $t = \max_{1 \leq i \leq \Delta} \{|P_i|\}$ . If  $t = 1$ , then by Theorem 8,  $\exp(G) \leq n - \Delta + \exp(u) \leq n - \Delta + 1 + r(n - \Delta) = (n - \Delta + 1)^2$ . Suppose  $t \geq 2$ . Let  $w \in C \setminus Y$ . Then  $w$  is contained in cycles of lengths  $n - \Delta + 1, n - \Delta + 2, \dots, n - \Delta + t$  and  $\exp(w) \leq n - \Delta + \phi(n - \Delta + 1, n - \Delta + 2, \dots, n - \Delta + t) = n - \Delta + (n - \Delta + 1)(1 + \lfloor (n - \Delta - 1)/(t - 1) \rfloor)$ , applying Lemma 11. Since  $v \in C \setminus Y$  we also have  $\exp(G) \leq n - \Delta + t + (n - \Delta + 1)(1 + \lfloor (n - \Delta - 1)/(t - 1) \rfloor)$ .

Suppose  $t \geq 3$ . Then  $n \geq 5$  since  $t \leq \Delta$  and  $\Delta \leq (n+1)/2$  by assumption. Thus,  $\exp(G) \leq n - \Delta + 3 + (n - \Delta + 1)(1 + \lfloor (n - \Delta - 1)/2 \rfloor) \leq 2(n - \Delta + 2) + (n - \Delta)^2/2 - 1/2 = (n - \Delta + 1)^2 + 5/2 - (n - \Delta)^2/2 < (n - \Delta + 1)^2 + 1$ , since  $n \geq 5$ .

Suppose  $t = 2$ . Then  $\exp(G) \leq n - \Delta + 2 + (n - \Delta + 1)(n - \Delta) = (n - \Delta + 1)^2 + 1$ .  $\square$

We next characterize those primitive digraphs with  $n$  vertices and maximum outdegree  $\Delta \leq (n+1)/2$  which have exponent  $\exp(G) = (n - \Delta + 1)^2 + 1$ . For  $\Delta = 2$ , only one digraph,  $W_n$ , satisfies  $\exp(G) = (n - 1)^2 + 1$ .

**Theorem 13** *Let  $\mathcal{F}$  be the family of primitive digraphs  $G = (V, E)$  satisfying the following four conditions:*

- (i)  $V = \{u_i : 1 \leq i \leq n - \Delta\} \cup B_1 \cup B_2$ , where  $B_1, B_2$  are non-empty and  $2 \leq |B_1| + |B_2| = \Delta \leq (n+1)/2$ .
- (ii)  $E_0 \subseteq E \subseteq E_0 \cup E_1$ , where  $E_0 = \{(u_i, u_{i+1}) : 1 \leq i \leq n - \Delta - 1\} \cup \{(u_{n-\Delta}, b) : b \in B_1 \cup B_2\} \cup \{(b, u_1) : b \in B_1\}$  and  $E_1 = \{(b_i, b_j) : b_i \in B_1 \cup B_2, b_j \in B_1\} \cup \{(u_{n-\Delta-1}, b) : b \in B_2\}$ .
- (iii)  $R_2(B_2) = \{u_1\}$  and  $R_2(B_1) \subseteq \{u_1, u_2\}$ , where  $R_2(B_i)$  denotes the set of vertices of  $G$  which can be reached by a walk of length 2 from some vertex in  $B_i$ .
- (iv) Either there exist some  $b_1 \in B_1$  and  $b_2 \in B_2$  such that the subdigraph of  $G$  induced by the set of vertices  $\{b_1, b_2, u_1, u_2, \dots, u_{n-\Delta}\}$  is isomorphic to  $W_{n-\Delta+2}$ , the Wielandt digraph of order  $n - \Delta + 2$ , or there exists some  $b_1 \in B_1$  such that there is no  $(b, b_1)$  arc for all  $b \in B_2$ .

Suppose  $G$  is a primitive digraph with  $n$  vertices and maximum outdegree  $\Delta$ , where  $2 \leq \Delta \leq (n+1)/2$ . Then,  $\exp(G) = (n - \Delta + 1)^2 + 1$  if and only if  $G \in \mathcal{F}$ .

**Proof.** If  $G \in \mathcal{F}$ , then  $G$  is primitive and by conditions (i) and (ii),  $G$  contains cycles of lengths  $n - \Delta + 1$  and  $n - \Delta + 2$ , has a vertex  $u = u_{n-\Delta}$  with  $d^+(u) = \Delta \leq (n+1)/2$  and the shortest cycle containing  $u$  has length  $n - \Delta + 1$ . Thus, by Theorem 12,  $\exp(G) \leq (n - \Delta + 1)^2 + 1$ . By condition (iii),  $G$  contains no cycle with length other than  $n - \Delta + 1$  or  $n - \Delta + 2$ . By conditions (iii) and (iv),  $R_2(b_2) = \{u_1\}$  and so either there is no  $b_2 \rightarrow b_2$  walk or no  $b_2 \rightarrow b_1$  walk of length  $\phi(n - \Delta + 1, n - \Delta + 2) + n - \Delta + 1 = (n - \Delta)(n - \Delta + 1) + n - \Delta + 1 = (n - \Delta + 1)^2$ . Thus,  $\exp(G) \geq (n - \Delta + 1)^2 + 1$  whence we conclude  $\exp(G) = (n - \Delta + 1)^2 + 1$ .

On the other hand, suppose  $\exp(G) = (n - \Delta + 1)^2 + 1$ . Let  $u \in V$  be a vertex with outdegree  $\Delta$  and let  $Y = R_1(u) = \{b_i : 1 \leq i \leq \Delta\}$ . By the proof of Theorem 12, a shortest cycle containing  $u$  has length  $n - \Delta + 1$ . Let  $C : b_1, u_1, u_2, \dots, u_{n-\Delta} = u$  be such a shortest cycle. Also, by the proof of Theorem 12,  $\max_{1 \leq i \leq \Delta} |P_i| = 2$ , where  $P_i$  is a shortest  $b_i \rightarrow u_1$  path in  $G$ . Let  $B_j := \{b_i : |P_i| = j\}$  for  $1 \leq j \leq 2$ . Then,  $B_1$  and  $B_2$  are non-empty, and so condition (i) holds.

Again, by the proof of Theorem 12, no vertex  $w \in C \setminus Y$  can be contained in cycles of length greater than  $n - \Delta + 2$  or length less than  $n - \Delta + 1$ , so condition (iii) holds and  $G$  contains no arc in the set  $\{(u_i, u_j) : 1 \leq i \leq n - \Delta, j \neq i + 1\} \cup \{(b, u_i) : b \in B_1, 2 \leq i \leq n - \Delta\} \cup \{(b, u_i) : b \in B_2, 1 \leq i \leq n - \Delta\} \cup \{(u_i, b) : b \in B_2, 1 \leq i \leq n - \Delta - 2\} \cup \{(u_i, b) : b \in B_1, 1 \leq i \leq n - \Delta - 1\}$ , so condition (ii) holds.

If condition (iv) does not hold, then  $\{(u_{n-\Delta-1}, b) : b \in B_2\} \subseteq E$ . Thus every vertex in  $B_2$  is contained in cycles of lengths  $n - \Delta + 1$  and  $n - \Delta + 2$ . Also for each vertex  $b_1 \in B_1$  there is a vertex  $b_2 \in B_2$  such that  $(b_2, b_1) \in E$ . Thus,  $u \xrightarrow{\phi(n-\Delta+1, n-\Delta+2)} v$  for each pair  $u, v$  of vertices of  $B_2$  and so  $b_2 \xrightarrow{1+\phi(n-\Delta+1, n-\Delta+2)} b_1$  for each vertex  $b_2 \in B_2$  and each vertex  $b_1 \in B_1$ . Then it is not difficult to verify that  $\exp(G) \leq n - \Delta + 1 + \phi(n - \Delta + 1, n - \Delta + 2) = (n - \Delta + 1)^2$ , a contradiction. Thus, condition (iv) holds and therefore  $G \in \mathcal{F}$ .  $\square$

**Remark.** If  $\Delta > (n + 1)/2$ , then we do not necessarily have  $\exp(G) \leq (n - \Delta + 1)^2 + 1$  (Theorem 12). Here is a counterexample. Let  $G = (V, E)$ ,  $V = \{1, 2, \dots, n\}$  and  $E = \{(i, i + 1) : 1 \leq i \leq n - 1\} \cup \{(n - 1, 1)\} \cup \{(n, i) : 1 \leq i \leq \Delta\}$ . Then vertex  $n$  is contained in cycles of lengths  $n - \Delta + 1, n - \Delta + 2, \dots, n - 1, n$ . We note by Lemma 11  $\phi(n - \Delta + 1, n - \Delta + 2, \dots, n - 1, n) = n - \Delta + 1$  since  $\Delta \geq (n + 1)/2$ . Then,  $\exp(G) \geq \exp(1) = n - 1 + \phi(n - \Delta + 1, n - \Delta + 2, \dots, n - 1, n) = 2n - \Delta > (n - \Delta + 1)^2 + 1$  provided that  $\Delta$  is sufficiently large.

**Acknowledgement.** We are grateful to two referees. One referee provided a number of helpful suggestions which clarified several of the proofs in this manuscript. Another referee informed us that some results in this paper had appeared or would appear in the following two papers:

B. Liu, Generalized exponents of Boolean matrices, Proceedings of international workshop on discrete mathematics and algorithms, 181-191, 1994.

B. Liu and Z. Bo, The  $k^{th}$  upper multiexponent of primitive matrices, Graphs and Combinatorics (Japan), to appear.

## References

- [1] A. Brauer, On a problem of partitions, *Amer. J. Math.* **64** (1942), 299-312.
- [2] R. A. Brualdi and B. Liu, Generalized exponents of primitive directed graphs, *J. Graph Theory* **14** (1990), 483–499.
- [3] G. Frobenius, Über Matrizen aus nicht negativen Elementen, *Sitzungsberichte der Königlich Preussischen Akademie der Wissenschaften zu Berlin* (1912), 456-477.
- [4] M. Lewin, On exponents of primitive matrices, *Numerische Mathematik* **18** (1971), 154-161.
- [5] B. Liu and Q. Li, On a conjecture about the generalized exponent of primitive matrices, *J. Graph Theory* **18** (1994), 177-179.
- [6] J. W. Moon and L Moser, Almost all (0,1) matrices are primitive, *Studia Scientiarum Mathematicarum Hungarica* **1** (1966), 153-156.
- [7] O. Perron, Zur Theorie der Matrices, *Mathematische Annalen* **64** (1907), 248-263.
- [8] J. Shao, On the exponent of a primitive digraph, *Linear Algebra Appl.* **64** (1985), 21–31.
- [9] H. Wielandt, Unzerlegbare, nicht negative Matrizen, *Math. Zeit.* **52** (1950), 642-645.