

# “One-stop shop” spectral imaging for rapid on-site diagnosis of lung cancer: a future concept in nano-oncology

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**Background:** There are currently many techniques and devices available for the diagnosis of lung cancer. However, rapid on-site diagnosis is essential for early-stage lung cancer, and in the current work we investigated a new diagnostic illumination nanotechnology.

**Methods:** Tissue samples were obtained from lymph nodes, cancerous tissue, and abnormal intrapulmonary lesions at our interventional pulmonary suites. The following diagnostic techniques were used to obtain the samples: endobronchial ultrasound bronchoscopy; flexible bronchoscopy; and rigid bronchoscopy. Flexible and rigid forceps were used because several of the patients were intubated using a rigid bronchoscope. In total, 30 tissue specimens from 30 patients were prepared. CytoViva® illumination nanotechnology was subsequently applied to each of the biopsy tissue slides.

**Results:** A spectral library was created for adenocarcinoma, epidermal growth factor receptor mutation-positive adenocarcinoma, squamous cell carcinoma, usual interstitial pneumonitis, non-specific interstitial pneumonitis, typical carcinoid tumor, sarcoidosis, idiopathic pulmonary fibrosis, small cell neuroendocrine carcinoma, thymoma, epithelioid and sarcomatoid mesothelioma, cryptogenic organizing pneumonia, malt cell lymphoma, and Wegener's granulomatosis.

**Conclusion:** The CytoViva software, once it had created a specific spectral library for each entity, was able to identify the same disease again in subsequent paired sets of slides of the same disease. Further evaluation of this technique could make this illumination nanotechnology an efficient rapid on-site diagnostic tool.

**Keywords:** lung cancer, endobronchial ultrasound, spectral imaging, diagnosis

## Introduction

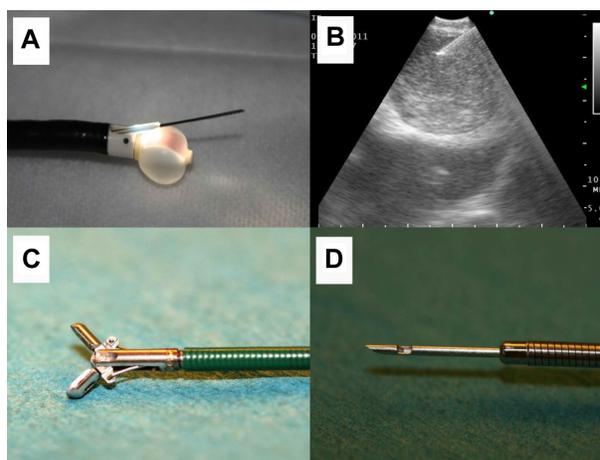
There are efficient nonspecific chemotherapeutic agents and new targeted therapies currently available for the treatment of cancer.<sup>1-5</sup> However, myelotoxicity remains an adverse effect that clinicians have to confront.<sup>6,7</sup> New localized therapies are also being explored along with conventional treatments for advanced lung cancer.<sup>8-14</sup> Resistance to nonspecific cytotoxic agents and novel targeted therapies has already been observed.<sup>15</sup> Therefore, early diagnosis and treatment of lung cancer remains the best option for prolonged survival.<sup>16</sup> New biomarkers are necessary for early diagnosis of lung cancer.<sup>17,18</sup> The autofluorescence bronchoscope technique and biomarkers from endobronchial tissue samples are also being used for early diagnosis of the disease.<sup>18,19</sup> Lung cancer staging has recently been re-evaluated and a new system has been proposed.<sup>20-25</sup> A new pathologic classification has been suggested for correct evaluation of the different molecular characteristics of the disease, along with patient survival.<sup>26-30</sup> Currently, there are many tools available for staging, and

these can be summarized as those using a display application (eg, 18F-fluorodeoxyglucose positron emission tomography/computed tomography, mini ultrasound, bone scan)<sup>31,32</sup> and those used for biopsy (eg, endobronchial ultrasound bronchoscopy, flexible bronchoscopy, rigid bronchoscopy).<sup>18,33–35</sup> The technique used along with the method used for pathologic evaluation of the tissue specimen determines how efficiently the disease is identified. Additional methods for enhancing diagnostic efficiency could include biomarkers or novel cell displays.<sup>18,36–56</sup> We have evaluated the CytoViva<sup>®</sup> illumination nanotechnology which, apart from being a novel method of display, contains software that is able to learn and store new patterns. This method is currently being used by our department and has been shown to be efficient for identification of nonviral particles.<sup>57</sup> Therefore, this technique is excellent for further investigation in other scientific fields. In the current work, we included tissue specimens from a range of benign diseases, including Wegener's granulomatosis, sarcoidosis, usual interstitial pneumonitis, nonspecific interstitial pneumonitis, cryptogenic organizing pneumonia, and interstitial pulmonary fibrosis.

## Materials and methods

### Tissue samples

We collected 30 tissue samples from 30 patients attending four interventional pulmonary suites at the interventional pulmonary department, Ruhrlandklinik University Hospital, which is affiliated with the University of Duisburg-Essen in Essen, Germany. Currently, our department is equipped with state-of-the-art diagnostic equipment, including flexible high definition bronchoscopy (Olympus<sup>®</sup>, Japan, Tokyo), endobronchial ultrasound bronchoscopy (Olympus), mini-probe ultrasound (Olympus), and rigid bronchoscopy (Storz<sup>®</sup>, Karl Storz GmbH and Co, KG, Tuttlingen, Germany), as shown in Figures 1 and 2. We received ethical approval to use these entities because they are commonly used in our department. We also use a wide variety of flexible and rigid forceps (Figures 1 and 2). Our hospital is a center of pulmonary diagnostic excellence and is responsible not only for hospitalized patients but also for outpatients from regional hospitals. For this study, we obtained permission (Reference 2/7/13) from our investigational review board to use tissue samples only from our hospital. The patients attended the interventional pulmonary department based on their symptoms and were referred initially only with indications of possible disease. Tissue samples were obtained depending on clinical findings, and the site and characteristics of the lesions were recorded. A standard 1 mm glass slide with a Number 1 coverslip was

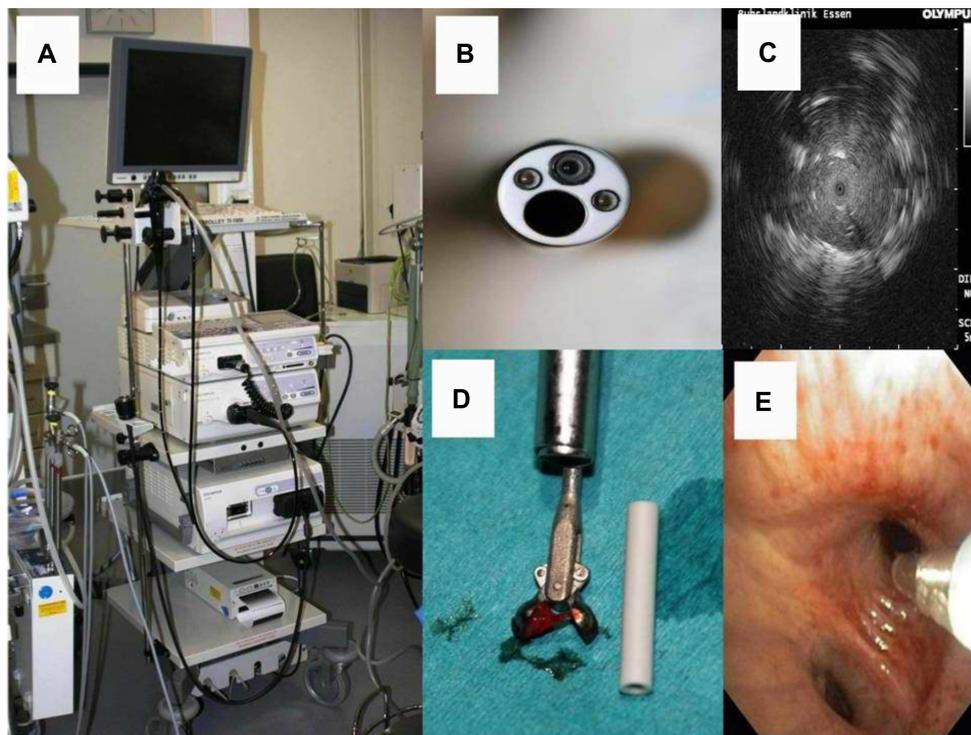


**Figure 1** (A) Endobronchial ultrasound tip with the ultrasound balloon dilated with water for injection. The biopsy tip is out of the plastic protection probe. (B) Lymph node seen on endobronchial ultrasound, showing the biopsy tip inside the lymph node. (C) Biopsy forceps and (D) biopsy needle.

cleaned and sealed. A coverslip was used for each examination glass. The paraffin-embedded tissue specimens were sliced as thinly as possible (10–20  $\mu\text{m}$ ). Our pathology department examined the specimens with its microscopy equipment, and based on the findings and additional help from patient files, diagnoses were made. Our pathology department has specialist expertise in the areas of diseases of the lung, thoracic surgery, and oncology. We obtained samples from patients with adenocarcinoma, epidermal growth factor receptor mutation-positive adenocarcinoma, usual interstitial pneumonitis, nonspecific interstitial pneumonitis, typical carcinoid, sarcoidosis, idiopathic pulmonary fibrosis, small cell neuroendocrine carcinoma, epithelioid mesothelioma, sarcomatoid mesothelioma, thymoma, Wegener's granulomatosis, cryptogenic organizing pneumonia, malt cell lymphoma, and squamous cell carcinoma. The tissue samples for usual interstitial pneumonitis, nonspecific interstitial pneumonitis, cryptogenic organizing pneumonia, and idiopathic pulmonary fibrosis were obtained by video-assisted thoracoscopic biopsy and not by endobronchial biopsy. These samples were obtained from our pathology archives and were again prepared from paraffin blocks. The slides were evaluated by an external pathologist (Department of Pathology, G Papanikolaou General Hospital, Thessaloniki, Greece) and sent for further evaluation using illumination nanotechnology (CytoViva Inc, Auburn, AL, USA).

### CytoViva technique

Optical and hyperspectral images along with hyperspectral data were captured utilizing a research grade optical



**Figure 2** (A) Olympus tower, ready to use for bronchoscopy, mini-probe ultrasound, or endobronchial ultrasound. (B) Bronchoscope and (C) the "Stop effect" showing a tumor by mini-probe ultrasound. The Olympus ultrasound tip stops as it meets tumor tissue inside subsegmental alveolar tissue. (D) Biopsy forceps with tissue material and (E) Aspiration probe.

microscope equipped with the patented CytoViva advanced dark field illumination system and integrated hyperspectral imaging system.

Data from these samples were collected using transmitted light–dark field imaging. The integrated hyperspectral imaging camera collects light that is directly incident on the sample. The automated stage allows confluent imaging of a chosen field of view one line at a time. Spatial and spectral data are collected in each pixel in the line. The data cube is created by compiling pixelated lines into a single image.

Once an image has been created, analysis is undertaken to determine the spectral location of the material of interest. The particle-filter algorithm is used to collect spectral information in a consistent, repeatable pattern based on the material of interest. A spectral reference library is created by comparing the particle-filter results with a negative control sample. This removes any false positive spectral data and ensures a valid result. The spectral library is then compared with an unknown sample. The software algorithm compares each spectrum in the reference library with each spectrum in the unknown sample and then pseudocolors the matching pixels red. The final result gives spatial and quantitative characteristics based on the matching spectral signatures in the unknown sample.

CytoViva enables better resolution and detection than the optical imaging techniques traditionally used for living, fixed, or nonbiologic samples. This ability is primarily the result of exceptional contrast (high signal to noise ratio), which allows utilization of nondiffraction-limited phenomena and improved point spread function.

The resolving power of a microscope depends on the properties of the objective and the degree of coherence of the light incident upon the object. Using traditional critical illumination, a uniformly bright light source is placed close behind a diaphragm and is imaged by a condenser onto an object plane of a microscope objective. The light source is focused on the object. With traditional Koehler illumination, a converging (collimating) lens is placed close to the diaphragm and forms an image of the source light in the focal plane of the condenser, which includes a condenser diaphragm. Rays from each light source point then emerge from the condenser as a parallel beam. The light source is focused on an aperture of the condenser. With CytoViva, complete Koehler illumination and a main feature of critical illumination are achieved using a novel illumination system. Koehler illumination is prealigned in the device by fixing the light source precisely on the entrance slit of the condenser. This allows the user to adjust a focus point on the sample, which is a useful feature

of critical illumination, and is achieved when the condenser is aligned with the objective to find the focal point on the sample. Thus, Koehler illumination is initially fixed, and the CytoViva can then be adjusted (up or down) to find the proper position and size of an illuminated spot for critical illumination. Both Koehler and critical illumination are not generally achievable in traditional microscopy. The resulting high signal to noise ratio of CytoViva allows the user to observe previously obscured nondiffraction-limited optical effects. The resolution of conventional optical microscopy is limited by the wave nature of light and is conventionally defined by the Rayleigh criterion; this is commonly referred to as diffraction-limited resolution (limit ~240 nm). With CytoViva, nondiffraction-limited optical effects and an improved point spread function are produced that extend its resolution well beyond diffraction limits.<sup>58</sup>

### Sample specifics

Samples were received as paraffin-embedded tissue sections mounted on standard glass microscope slides. A drop of type A immersion oil was placed on the section and then a coverslip was applied.

### Design

When using this system, the general outline of experimentation involves compiling a complete sample set, ie, control (healthy)

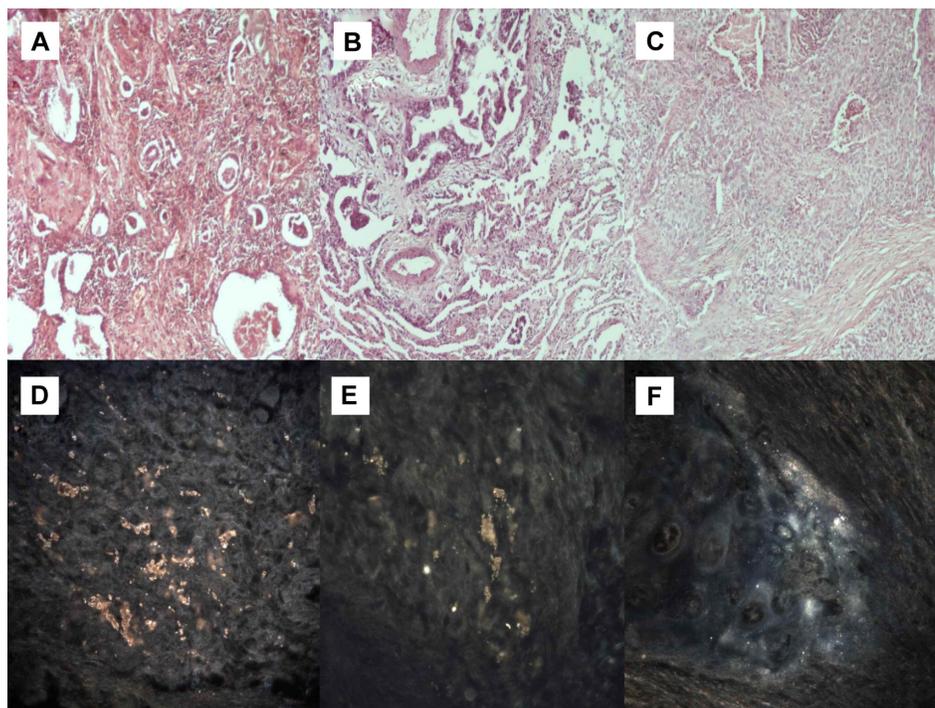
tissue and diseased tissue. Diseased tissue was imaged specifically for this research and examined manually for abnormalities. The abnormalities were imaged and a spectral library was created for each tissue. The spectral libraries were then systematically compared with each other until only the spectra unique to the sample were left. These libraries can be used to detect the presence of each disease in subsequent samples.

### Results

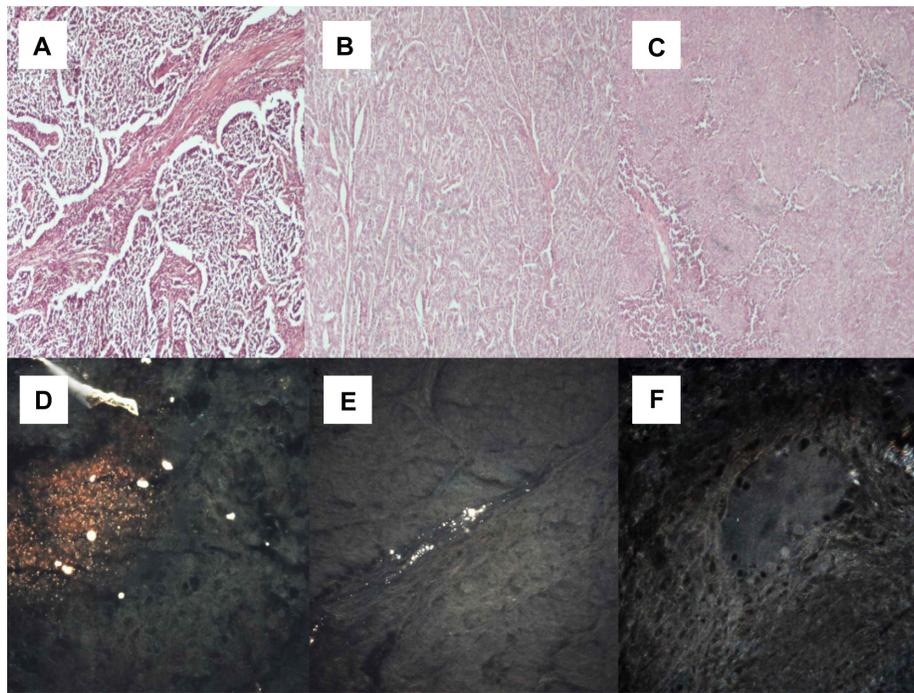
Each disease caused a unique spectral shift in tissue. This spectral shift can be used to evaluate the presence of the same disease in future patients. The pathologic findings are shown under 100× magnification in the upper rows of Figures 3–7, and the spectral images from CytoViva are shown in the lower rows. All entities were displayed according to the proposed technique and a unique spectral library was formed for each entity. The technique was able to identify the same unique spectral library in the second sets of slides for the same disease. The experiment had 100% efficiency for these diseases at least, and the two sets of examination slides that were used. A large clinical trial in the future will identify the advantages and disadvantages of the technique.

### Discussion

We will not comment on the equipment used for diagnosis of lung cancer or the tissue samples necessary for this



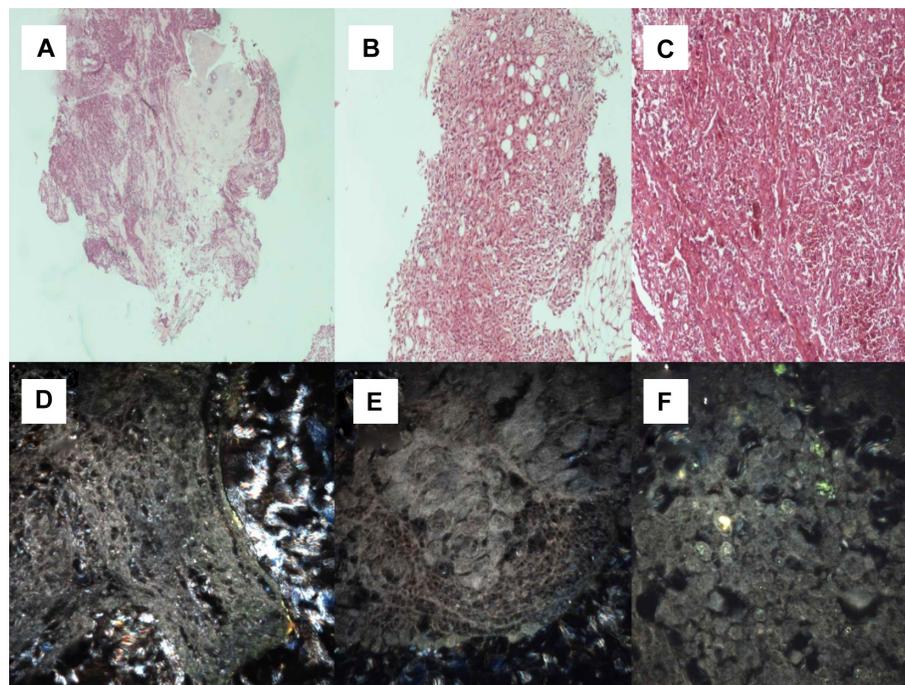
**Figure 3** (A) Adenocarcinoma (microscopic observation 100×). (B) Adenocarcinoma with epidermal growth factor receptor mutation (microscopic observation 100×). (C) Squamous cell carcinoma (microscopic observation 100×). CytoViva<sup>®</sup> spectral imaging of (D) an adenocarcinoma, (E) an adenocarcinoma with epidermal growth factor receptor mutation, and (F) a squamous cell carcinoma.



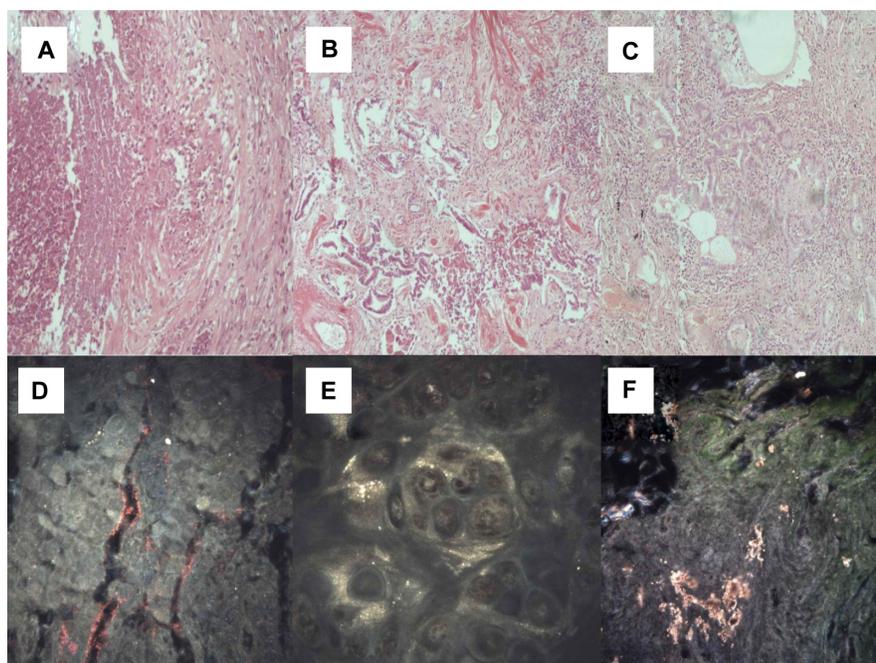
**Figure 4** (A) Small cell neuroendocrine carcinoma (microscopic observation 100×). (B) Typical carcinoid tumor (microscopic observation 100×). (C) Thymoma (microscopic observation 100×). CytoViva® spectral imaging of (D) a small cell neuroendocrine carcinoma, (E) a typical carcinoid tumor, and (F) a thymoma.

because they have been evaluated previously and are accepted worldwide.<sup>33</sup> However, we should state that the equipment is expensive, a large number of samples can be examined in a short time, and in time, the initial expense covers the ongoing expense of the pathology laboratory. The novel

technique described here is under evaluation, and only after a large trial has been performed will we be able to draw conclusions regarding its efficiency and limitations. The major limitation of our present study is its small sample size. There are currently several different methodologies used to



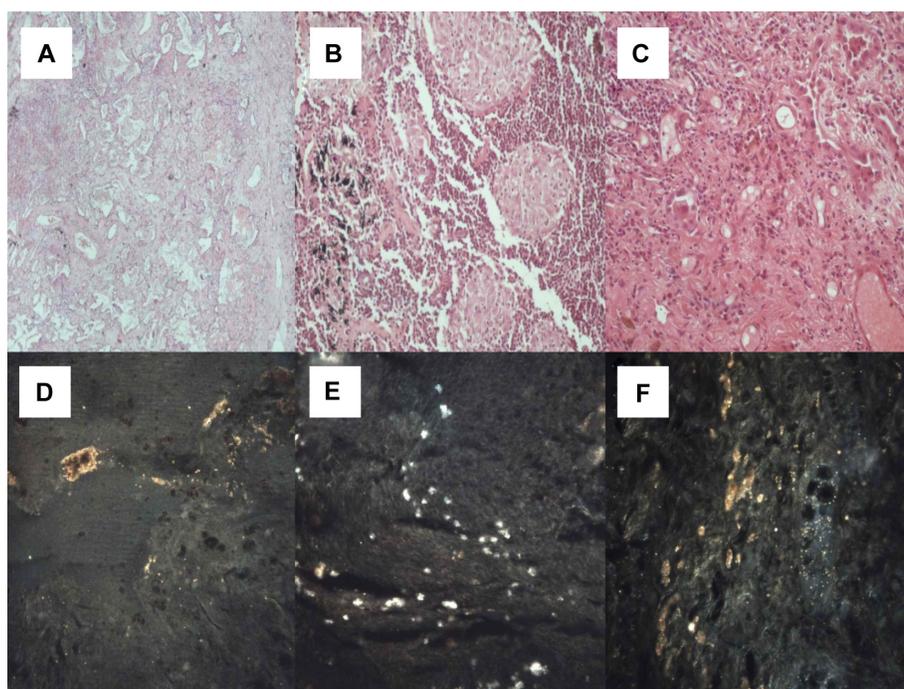
**Figure 5** (A) Malt cell lymphoma (microscopic observation 100×). (B) Sarcomatoid mesothelioma (microscopic observation 100×). (C) Epithelioid mesothelioma (microscopic observation 100×). CytoViva® spectral imaging of (D) a malt cell lymphoma, (E) a sarcomatoid mesothelioma, and (F) an epithelioid mesothelioma.



**Figure 6** (A) Wegener's granulomatosis (microscopic observation 100 $\times$ ). (B) Usual interstitial pneumonia (microscopic observation 100 $\times$ ). (C) Nonspecific interstitial pneumonia (microscopic observation 100  $\mu$ m). (D) CytoViva<sup>®</sup> spectral imaging of Wegener's granulomatosis, (E) usual interstitial pneumonia, and (F) nonspecific interstitial pneumonia.

display cells and tissue samples efficiently and to enhance the ones already used. In a study by Li et al,<sup>55</sup> a Congo red-bovine serum albumin-gadolinium-diethylene triamine penta acetate magnetic resonance imaging probe was constructed and used to display individual senile plaques in a

study of Alzheimer's disease. Exofacial protein thiols have been used as a route for internalization of gadolinium (III)-based complexes for labeling of subcellular structures, such as endosomes seen on magnetic resonance imaging.<sup>49</sup> Tc99 radiolabeling of adenoviral particles containing capsid



**Figure 7** (A) Cryptogenic organizing pneumonia (microscopic observation 100 $\times$ ). (B) Sarcoidosis (microscopic observation 100 $\times$ ). (C) Interstitial pulmonary fibrosis (microscopic observation 100 $\times$ ). CytoViva<sup>®</sup> spectral imaging of (D) cryptogenic organizing pneumonia, (E) sarcoidosis, and (F) interstitial pulmonary fibrosis.

protein IX-human metallothionein in vivo has been used as a method of display for gene therapy.<sup>54</sup> Ga68 has been used to display bifunctional versions of a 1,2-[[6-(carboxylato)pyridin-2-yl] methylamino] ethane (H2dedpa) scaffold for conjugation with a target vector.<sup>50</sup> This method can also be used for imaging of the corresponding conjugates in gene therapy experiments. Breast carcinoma tissue expressing human epidermal growth factor receptor 2 has been efficiently displayed by radiolabeling DOTA-(GSG)-KCCYSL with <sup>111</sup>In.<sup>42</sup> This method can be used as a tumor-imaging agent or vehicle for cytotoxic agents and radionucleotides in tumors overexpressing human epidermal growth factor receptor 2. Two-photon imaging has been used in vivo to perform live imaging of p-myelin basic protein-enhanced green fluorescent protein–*Escherichia coli* nitroreductase during myelin repair.<sup>53</sup> Near-infrared phosphorescent probes and live-cell fluorescence has been used for measurement of oxygen in single mammalian cells.<sup>52</sup> A novel peptide sequence with nanomolar affinity for near infrared (benz) indolium fluorochromes has also been used as a site-specific protein labeling method. This peptide sequence is known as "IQ-tag" and is anticipated to have a variety of applications in drug development, cell tracking, site-specific protein imaging, and target identification.<sup>41</sup> Infrared imaging of vascular dysfunction has been also done in sickle cell disease.<sup>39</sup> Orthogonal polarization spectral imaging has also been used to assess quantitative changes in microvessels. This method enables noninvasive evaluation of tissue perfusion in preterm and term infants.<sup>44</sup> A vessel catheter with optical coherence tomography has been constructed for accurate evaluation of structural information from developing vessels.<sup>40</sup> In a further study, <sup>18</sup>F-ZW-104, a novel positron emission tomography radioligand, was developed to display central nicotinic acetylcholine receptors in baboons,<sup>59</sup> and the results indicated that this new radioligand could be used in humans to study nicotinic acetylcholine receptors containing the  $\beta$ 2 subunit. Further, in a study by Muller et al,<sup>47</sup> a novel optical high resolution system was developed to display adult cardiomyocytes. All these studies demonstrate materials that can be used to design a mold where two-dimensional and three-dimensional cell cultures can be proliferated.

Additional software was also developed, working either in stand-alone mode or plug-in mode. This represents not only an excellent methodology combining both the external materials necessary for a cell culture and also the methodology for efficient cell imaging. Nanobarcoded superparamagnetic iron oxide nanoparticles were developed and characterized by zeta potential, hyperspectral characteristics,

and dynamic light imaging measurements.<sup>51</sup> Cyanine dye 5 was used to label the nanobarcoded superparamagnetic iron oxide nanoparticles and then confocal microscopy was used to display the cellular uptake. In situ polymerase chain reaction was used to detect signals from nanobarcoded superparamagnetic iron oxide nanoparticles inside HeLa cells; however, minimal cellular uptake was observed with confocal microscopy. Time-lapse confocal imaging was used to observe fluorescently-labeled microglia in retinal explants from CX3CR1<sup>+/GFP</sup> mice.<sup>46</sup> This method can be used to display focal tissue injury efficiently ex vivo as well as the response to focal laser photocoagulation. Immunogold labeling, a spot fluorescence photolabeling method, was also used to demonstrate the absence of a lipid continuum between parasite membranes, Maurer's clefts, and the host membrane.<sup>45</sup> Currently, a similar project using three-dimensional optical projection tomographic microscopy is being developed by Visiongate to identify morphology unique to different cells.<sup>36,37</sup> This new methodology is already able to identify cancer cells from expectorated human sputum with a very low false negative false rate. Results from a large ongoing study of this methodology in the early detection of lung cancer are awaited.

Three-dimensional tissue reconstruction using cryoimaging techniques has been investigated, allowing resolution at the micron level. Cancer cell migration was also investigated using this method in a glioma cancer cell model.<sup>60</sup> Further, novel chimeric proteins were constructed from human type II transmembrane proteins conjugated with in-frame red fluorescence protein. Transgenic cancer lines express these proteins, and metastasis of cancer cells has been observed using this methodology.<sup>43</sup> Metastasis and visual targets of cancer cells were also seen using a dual multicolor fluorescent protein.<sup>38</sup> Cancer cells were labeled with this novel protein, and trafficking of cancer cells inside vessels was able to be done.

Using CytoViva, we were able to create unique spectral libraries of lung cancer subtypes and benign diseases. The software recognizes only the spectral library. In the upper rows of Figures 3–7 we have included the "picture" that the pathologists recognize, while in the bottom rows we have included the "picture" that the CytoViva equipment recognizes. The software is still evolving, in that the software illustrates several "spots" or formations that are identical within the same tissue samples, eg, adenocarcinoma, squamous cell carcinoma, or sarcoidosis, but cannot identify whether these are vessels, fibrinous tissue, or interstitial fluid. Since we have provided information in the software based on examination by our own pathologists, which

spectral library corresponds to which entity, (eg, adenocarcinoma with epidermal growth factor), each time after the first slide the software immediately identifies the spectral library of a new tissue sample with the same pathological entity, since the same library is recognized by the CytoViva software. The same occurs for every tissue slide that the spectral library has previously created and tagged. So, on its own, the equipment does not have the ability to identify tissue on an examination slide. However, if a series of spectral libraries are created from slides with several tissue samples and archived in the database of the equipment, then, with the appropriate validation, the technique could be used as a rapid on-site diagnostic tool for several types of tissue. Rapid on-site diagnosis of malignant disease is crucial for patients with lung cancer because decisions regarding treatment are based on it. Accurate staging is very important for appropriate decision-making regarding treatment of lung cancer, and evaluating the lymph nodes one by one during the diagnostic process is essential. Rapid on-site diagnosis can prevent excessive and unnecessary tissue sampling and thereby reduce comorbidity for patients.

A major limitation of this study was the inability to evaluate the technique in lymph node samples, because we had only acquired permission to obtain results concerning the feasibility of the technique. There are still questions to be answered, for example, whether the biopsy site within a lymph node or tumor plays a role in the creation of spectral imaging or if the method of biopsy provides different spectral imaging results. In the case of the “stop effect”, the biopsy method certainly plays a crucial role in obtaining appropriate material for diagnosis<sup>61</sup> (Figure 2C).

## Conclusion and future perspectives

The software used for this equipment is now able to identify subsequent lung tissue specimens by introducing into the CytoViva apparatus a standard 1 mm glass slide with a #1 coverslip carrying a 10  $\mu$ m lung tissue. Rapid on-site disease staging is essential for the patient with lung cancer. It remains for a larger series of lung tissue tests to be performed in order to investigate the sensitivity and specificity of this technique as a future on-site diagnostic tool and to obtain additional technical information. In our department, we have created a probe that is inserted through the working channel of the bronchoscope and has the ability to create a spectral library of any tissue it touches without the need for tissue sampling and preparation of slides for examination. However, a large clinical trial is necessary to investigate the strengths and weaknesses of this technique. Additionally, a large clinical

trial in several diseases will identify if this technique is applicable for diagnosis in all tissues.

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## Disclosure

The authors have no relevant affiliations or financial involvement with any organization or entity with a financial interest in or financial conflict with the subject matter or materials discussed in this paper. This includes employment, consultancies, honoraria, stock ownership or options, expert testimony, grants or patents received or pending, or royalties.

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