



## Improvement of the Real-time PM<sub>2.5</sub> Forecast over the Beijing-Tianjin-Hebei Region using an Optimal Interpolation Data Assimilation Method

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### ABSTRACT

A routine air quality data assimilation (DA) system was established at the China National Environmental Monitoring Center (CNEMC) based on the optimal interpolation (OI) method. The surface observations from more than 1,400 stations across China were assimilated into a real-time air quality forecast system with three nested domains. The initial conditions of NO<sub>2</sub>, SO<sub>2</sub> and PM<sub>2.5</sub> in the three domains were optimized by the data assimilation system. The impact of the data assimilation on the real-time PM<sub>2.5</sub> forecast over the Beijing-Tianjin-Hebei (BTH) Region during the heavy haze season of 2015 was evaluated. The results show that the DA can significantly improve real-time PM<sub>2.5</sub> forecasts, reducing the root mean square error (RMSE) by 23%, 8.2% and 4.8% in the forecasts of the first, second and third day, respectively. The mean fractional bias and the mean fractional error of the forecast were reduced from 50.9% and 70.67% to 40% and 62.3%, respectively, and the performance changed from “criteria” to approaching “goal” (as defined by Boylan and Russell, 2006). Additionally, increasing the assimilation frequency can improve the DA system performance for real-time forecasts. As can be seen from the various cases studied here, the improvement in data assimilation is more significant when the bias of the model is higher and there is still much room for correction. The results also show a rapid decay of the DA effects on the PM<sub>2.5</sub> forecast, which highlights the limitations of the current routine data assimilation system in which only initial conditions are optimized. Further improvements in the data assimilation system with meteorological data assimilation and chemical parameter optimization are needed.

**Keywords:** Real-time PM<sub>2.5</sub> forecast; Data assimilation; Optimal interpolation; Beijing-Tianjin-Hebei Region.

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### INTRODUCTION

China faces serious atmospheric pollution problems, with high concentrations of fine particulate matter (PM<sub>2.5</sub>) regularly causing serious and large scale haze pollution events (Huang *et al.*, 2014; Li *et al.*, 2016; Wang *et al.*, 2016; Sun *et al.*, 2016). In response to these large-scale haze pollution incidents, in the years since 2013 the China National Environmental Monitoring Center (CNEMC) and many provincial level environmental monitoring departments in China established air quality warning and forecasting

system based on the chemistry transport model (CTM). CTM can predict the temporal and spatial distribution of pollutants. But due to the complexity of atmospheric pollution caused by many different pollutants, the uncertainty of emissions and the chemical process modeled in the CTM, air quality forecasts still deviate from actual conditions (Carmichael *et al.*, 2008), in especially heavy pollution the deviation can reach up to 30–50% (Zheng *et al.*, 2015), and the simulation uncertainty of high chemical activity species such as nitrate can reach up to 3 times that (Hayami *et al.*, 2008). DA can reduce the uncertainty (such as its initial conditions) by coupling the model with observations to improve the model performance. (Houtekamer *et al.*, 2005; Tang *et al.*, 2011; Bocquet *et al.*, 2015).

DA has thus proven to be an effective method to improve weather forecasts (Bouttier and Courtier, 2002; Kalnay, 2003; Crawford *et al.*, 2016). In the field of air pollution forecasting, Sandu *et al.* (2011) have shown that

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data assimilation also plays an important role in improving air pollution prediction. Based on the NAQPMS (Nested Air Quality Prediction Model System, Wang *et al.*, 2001, 2002, 2006), Huang *et al.* (2016) reanalyzed the PM<sub>2.5</sub> pollution process in the Beijing-Tianjin-Hebei (BTH) region by using the optimal interpolation assimilation method and found that assimilation can reproduce the pollution process better. Wu *et al.* (2015) used the 3D-Var method to assimilate PM<sub>2.5</sub> initial conditions based on WRF-Chem (Grell *et al.*, 2005), and improved a 0–48-h PM<sub>2.5</sub> forecast, while Zhen *et al.* (2017) assimilated the PM<sub>2.5</sub> initial conditions and emission sources, which can also effectively improve the PM<sub>2.5</sub> forecast in China based on the WRF-Chem model and ensemble Kalman filter (EnKF) (Evensen, 2010; Schutgens *et al.*, 2010; Yumimoto *et al.*, 2016) method.

In recent years, countries in Europe and other regions have also established assimilation business systems for air quality forecasting (Kukkonen *et al.*, 2012; Marécal *et al.*, 2015). In contrast, in China only air quality prediction systems without DA business systems have been built. Meanwhile, although a large number of air pollutant monitoring sites have been established, their huge data sets are mostly used for *posthoc* case studies, and have not been used for real-time forecasting. Based on the NAQPMS and the observation data of 1,436 sites provided by CNEMC, we used the optimal interpolation (OI) method to build a data assimilation business system named ChemDAS for the PM<sub>2.5</sub> real-time forecast in CNEMC. It began operating in May 2015. In this paper, we added two sets of comparison experiments based on the results of ChemDAS, one is a 72-h forecast without DA and the other one is a 72-h forecast with different DA frequency, to evaluate the effect of DA for PM<sub>2.5</sub> real-time forecast (0–72 h) during heavy pollution events. The reduction in improvement by DA and the influence of assimilation frequency on real-time forecast are also discussed.

## METHODOLOGY

### *Chemical Transport Model*

In this paper, the chemistry transport model NAQPMS was used, developed by the Institute of Atmospheric Physics, Chinese Academy of Sciences. Based on the three-dimensional Euler sulfide transport model, this model cases emission, advection, diffusion, dry and wet deposition, chemical (including gas phase, aqueous phase, aerosol, and heterogeneous phase) reaction processes, and the incorporation of pollution source tracking, process analysis, and other advanced model techniques, and can carry out multi-scale and multi-pollutant simulation in a mid-latitude area study. NAQPMS settings are consistent with those described in Chen *et al.* (2015): The improved RADM2 and ISORROPIA1.7 mechanisms are used in the gas phase and inorganic aerosol chemistries (SO<sub>4</sub>, NO<sub>3</sub>, and NH<sub>4</sub>). The dust and sea salt processes developed by Luo *et al.* (2006) and Athanasopoulou *et al.* (2008) are used to model their respective natural aerosols. The formation of secondary organic aerosols is based on Odum *et al.* (1997). The heterogeneous chemistry of the aerosol surface is also

considered, including 28 chemical reactions (Li *et al.*, 2012). As one of the official CTMs used in CNEMC's air quality prediction system, NAQPMS was successfully used during Beijing's Olympic Games, the Shanghai World Expo, APEC and other important activities in China to ensure air quality (Sun *et al.*, 2016).

### *Observation Network*

Some 1,436 national control observation sites data for PM<sub>2.5</sub>, SO<sub>2</sub>, NO<sub>2</sub> concentrations provided by CNEMC (<http://www.cnemc.cn/>) are used in this paper, and 80 observation sites in the BTH region. As all of these national control stations' observation data are collected within a 1-h process of automatic collection and upload, it is difficult to avoid errors in the data that may come from individual site abnormalities. On the other hand, as most of the observation sites are concentrated in the eastern part of China, and are densely distributed in urban areas, error data from individual abnormal sites may be diluted by other nearby sites, so it is necessary to pay special attention to the possibility of a larger error skewing the data. To prevent this, we created an automatic data quality control to remove data exceeding the maximum range according to the pollutant measurement instruments (PM<sub>2.5</sub> < 1000 µg m<sup>-3</sup>, SO<sub>2</sub> < 1428 µg m<sup>-3</sup>, NO<sub>2</sub> < 1026 µg m<sup>-3</sup>). Fig. 1(b) shows the distribution of observations sites in D1. For the first time, all country control sites in China are included in one real-time forecasting assimilation system.

### *Data Assimilation Method*

The OI method is one of the commonly used assimilation algorithms used to improve the initial conditions of CTMs. Collins *et al.* (2001) first developed OI to study the inversion of the aerosol optical depth. Wang and Niu (2013) used the OI method to study dust aerosol assimilation in eastern Asian in a mesoscale numerical weather prediction system (GRAPES/CUACE\_Dust). A DA system was developed by Jiang *et al.* (2013) in the WRF-Chem model that used the OI method to study the improvement of DA for PM<sub>10</sub> simulation over China. Wang *et al.* (2014) also used the OI method to study the impact of assimilation of lidar observations for aerosol forecasting in the western Mediterranean Basin. It was proved that the OI method has lower computational cost than other assimilation algorithms (Wu *et al.*, 2008), which means that it is easier to realize, especially in real-time forecast business systems. 4-dimensional variational (4D-Var) and the ensemble Kalman filter (EnKF) are two other widely used assimilation methods with better assimilation effects than OI method (Benedetti and Fisher, 2007; Candiani *et al.*, 2013), but 4D-Var requires a more complex concomitant model (Benedetti *et al.*, 2009; Sugimoto and Uno, 2009), while the computational cost of EnKF is much larger than an OI method (Denby *et al.*, 2008; Pagowski and Grell, 2012). Taking into account the timeliness and computational cost in real-time forecasting, our system used the OI method.

The OI approach uses the optimal linear combination between the background state and the observed value (Daley, 1991). The analytical values can be obtained from

the following equation

$$x_a = x_b + \text{BH}^T (\text{HBH}^T + \text{R})^{-1} (y - \text{H}[x_b]) \quad (1)$$

In this equation,  $x_a$  is the analyzed mass concentration,  $x_b$  is the background vector (model mass concentration),  $y$  is the observation vector,  $H$  is the observation operator,  $H$  is the tangent linear operator of the observation operator  $H$ ,  $B$  is the background error covariance matrix with static assumptions, and  $R$  is the observation error covariance matrix. Only a few observation data are important for incremental decision analysis in general, which means it only assimilates patterns of observation information around a model coordinate point. For the selection of the observation error covariance matrix  $R$ , the observation error variance is  $\delta^2 = [0.1Y(i)]^2$ , and the observation error mean variance is 15% for all the observation points. In addition, the spatial correlation of the observation site is ignored, so  $R$  is a diagonal matrix. This article used a static and isotropic background error covariance, and the error correlation between state variables of each grid only depends on the space distance between each grid. The horizontal correlation function uses a Gaussian distribution function:

$$\text{Cov}_{i,j}(d) = \left(1 + \frac{d}{L}\right) e^{-\frac{d}{L}} \text{Var}_i \quad (2)$$

$L$  is the characteristic scale of the spatial correlation. According to the model resolution and spatial distribution of the observation site, 125 km was selected for this paper.  $d$  is the distance between two grids, and  $\text{Cov}$  is the error covariance between two grids.  $\text{Var}$  is the model simulation error variance between two grids, according to a long-term comparison between the simulation and observation, it was set to 80% of the benchmark simulation concentration.

#### Configuration of the Routine $\text{PM}_{2.5}$ Data Assimilation

In this system, the NAQPMS model uses a three-layer nested domain. As shown in Fig. 1(a), the first domain

(D1) covers the entire East Asian region, and the horizontal resolution is 45 km, the second domain (D2) covers much of China's landmass, and the horizontal resolution is 15 km. Hebei Province is the center of the third domain (D3) which includes the BTH region, Henan, Shanxi, Shandong, Liaoning and other neighboring provinces, the horizontal resolution of D3 is 5 km. The model uses a Sigma-Z terrain-following coordinate, the vertical layer of 1000–100 hPa was divided into 20 layers with 8 layers under 2 km. In this study, we mainly evaluate the improvement of simulation in the BTH region, so all the results presented in this paper are from D3.

Multi-Resolution Emission Inventory for China (MEIC, <http://www.meicmodel.org/>) developed by Tsinghua University was used for anthropogenic sources. The resolution is  $0.25^\circ \times 0.25^\circ$  and the base year is 2010. Biogenic emissions were taken from the Global Emission Inventory Activity (GEIA) (Guenther *et al.*, 1995) and biomass burning emissions were from Cao *et al.* (2005).

The mesoscale meteorological model WRFv3.6 was used for calculating the hourly model meteorological field, and the meteorological initial and boundary conditions were obtained from the National Centers for Environmental Prediction Global Forecast System (GFS). In this paper, the experiment period was November 1–December 3, 2015, during which there were three cases of heavy pollution over the BTH region. At every 0 UTC and 12 UTC a prediction was made using the previous prediction as a baseline. The model integration time step was 5 min and the output frequency was 1 h.

All the initial conditions of the three domains were assimilated during the simulation period. In the vertical direction, the range of assimilation was 3 layers. As  $\text{SO}_2$  and  $\text{NO}_2$  are related to the  $\text{PM}_{2.5}$  precursor, we assimilated  $\text{PM}_{2.5}$ ,  $\text{SO}_2$  and  $\text{NO}_2$  in the initial field. In the initial field, the variables related to  $\text{PM}_{2.5}$  concentration were  $\text{PM}_{2.5}$ , BC, OC, SOA1, SOA2, SOA3, SOA5, SOA6,  $\text{NH}_4\text{AQ}$ ,  $\text{SO}_4\text{AQ}$ ,  $\text{HSO}_4\text{AQ}$ ,  $\text{NO}_3\text{AQ}$ ,  $(\text{NH}_4)_2\text{SO}_4$ ,  $\text{NH}_4\text{NO}_3$ ,  $\text{H}_2\text{SO}_4\text{AQ}$ ,  $\text{NH}_4\text{HSO}_4\text{S}$ , and  $(\text{NH}_4)_4(\text{HSO}_4)_2$ , a total of 18 components in

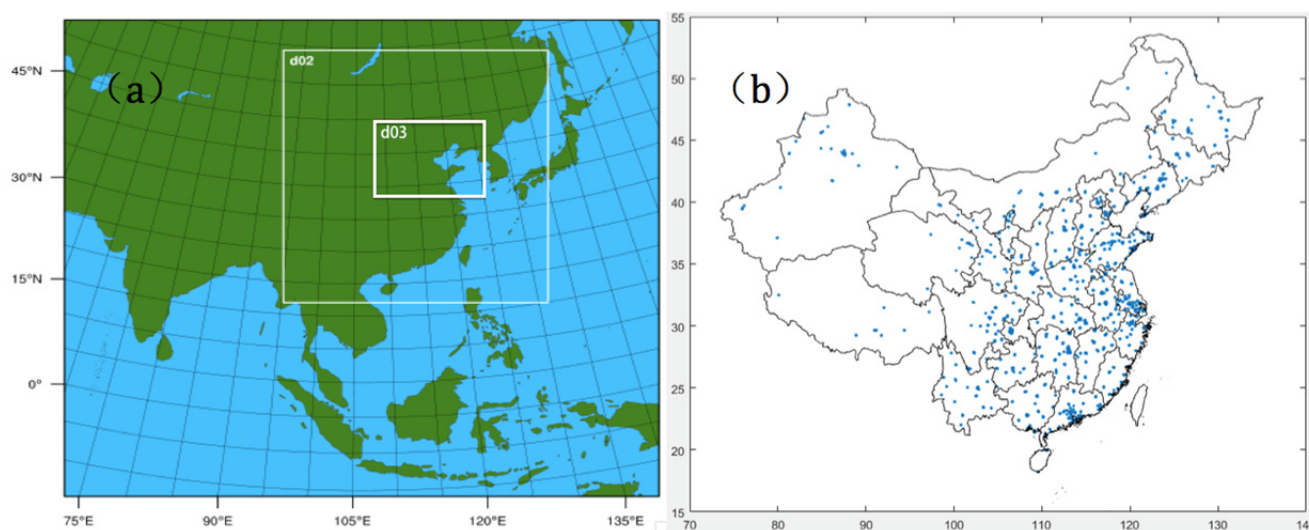


Fig. 1. (a) Domain description, (b) Observation site distribution in D1.

NAQPMS. We first distributed observed  $PM_{2.5}$  concentrations to all the related components, according to the 18 components concentration ratio in the original initial field, then assimilated all the components respectively.

In order to evaluate the effect of assimilation for real-time forecasting, we added two sets of simulation experiments shown in Table 1. Control (CT) was a 72-h forecast without DA at every 12 UTC. 24-h DA provided 72-h forecasting with DA at every 12 UTC to evaluate the impact of assimilation frequency for 72-h forecasting, and 12-h DA was the same as the system setup. All of the experiment's first forecasts were based on the same initial conditions before DA, which was taken from the business system.

## RESULTS AND DISCUSSION

### $PM_{2.5}$ Pollution Episodes

From November 1 till December 3, 2015, most regions in the BTH region were heavily polluted as a whole ( $PM_{2.5}$  average concentration up to  $95 \mu g m^{-3}$ ). Beijing, Baoding, Langfang and some other cities'  $PM_{2.5}$  daily average concentration reached  $500 \mu g m^{-3}$  or more. Fig. 2 shows the time series of daily average  $PM_{2.5}$  concentration of all cities in the BTH region during the simulation period. The red line represents the average in the BTH region and the

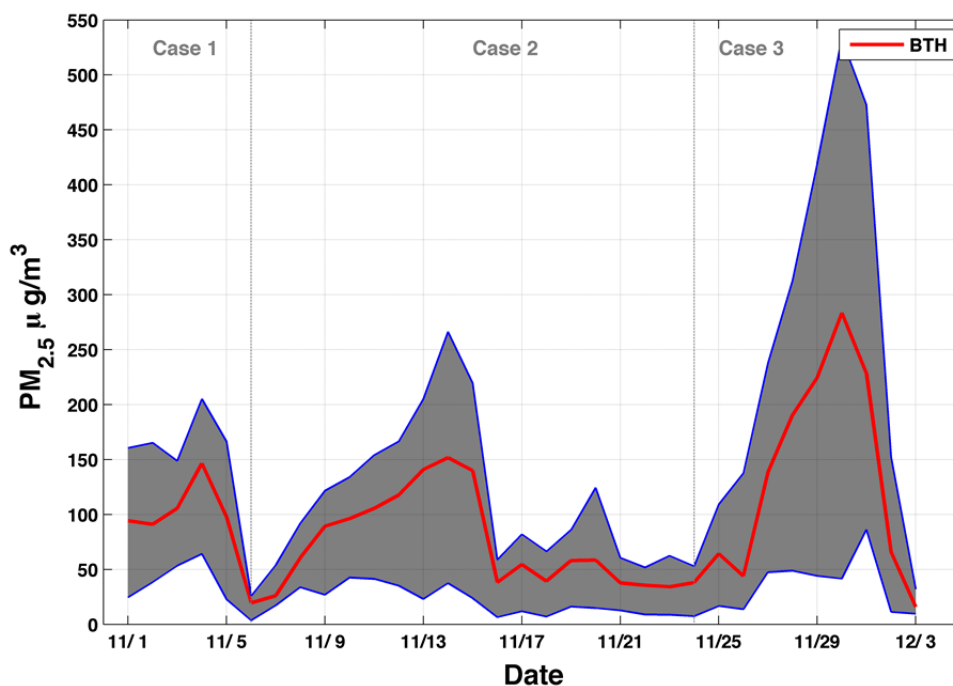
shadowed areas represent Beijing, Tianjin, Shijiazhuang and all the other cities in Hebei Province. As can be seen in Fig. 2, the different cities experienced different degrees of haze pollution during the simulation period, but the aggregate trend is consistent. In addition to assessing the effect of assimilation over the whole period, we also wanted to know the effect of assimilation during each process of the pollution event, so we divided the whole simulation time into three cases according to changes in  $PM_{2.5}$  concentration. Among them, Case 2 was the longest-running case, lasting 10 days in total, and Case 3 was the most serious case, with the daily average concentration of  $PM_{2.5}$  reaching up to  $250 \mu g m^{-3}$  or more in the whole BTH area.

### Improvement of Initial Conditions

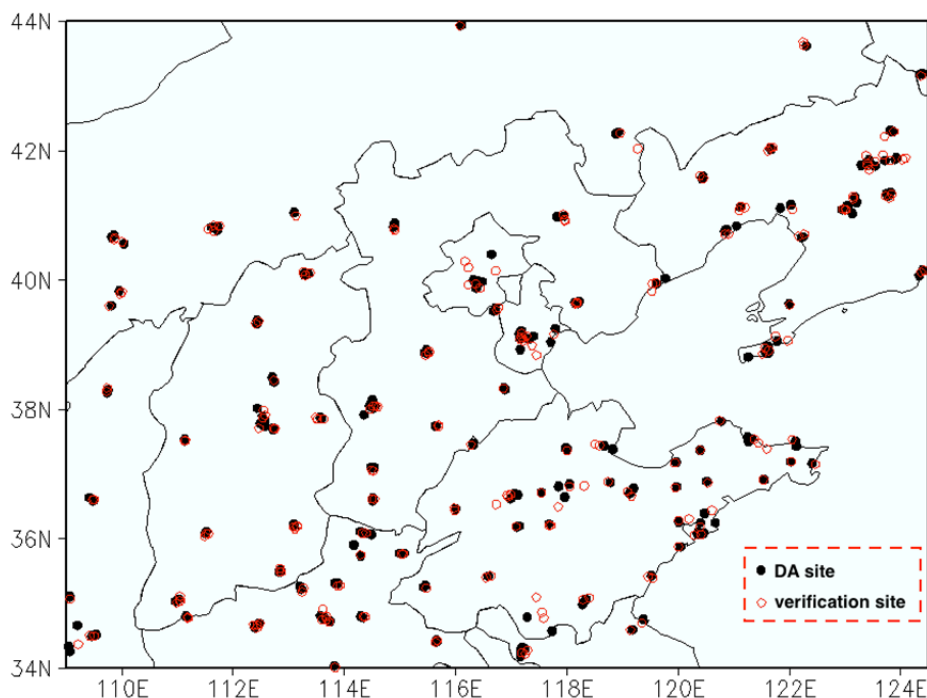
We chose D3 for the initial conditions verification because at the highest resolution (5 km) it allows the observed data to distribute as much as possible in the different grids. Therefore, all the observations sites (490) in the D3 were divided into two groups averagely in each city, one for assimilation and the other group for verification. These sites are shown in Fig. 3(a) (black point sites are those used for assimilation and red circle sites are those used for verification). Fig. 3(b) shows the scatter plot comparison of  $PM_{2.5}$  concentrations of the simulation and

**Table 1.** Experiments setup.

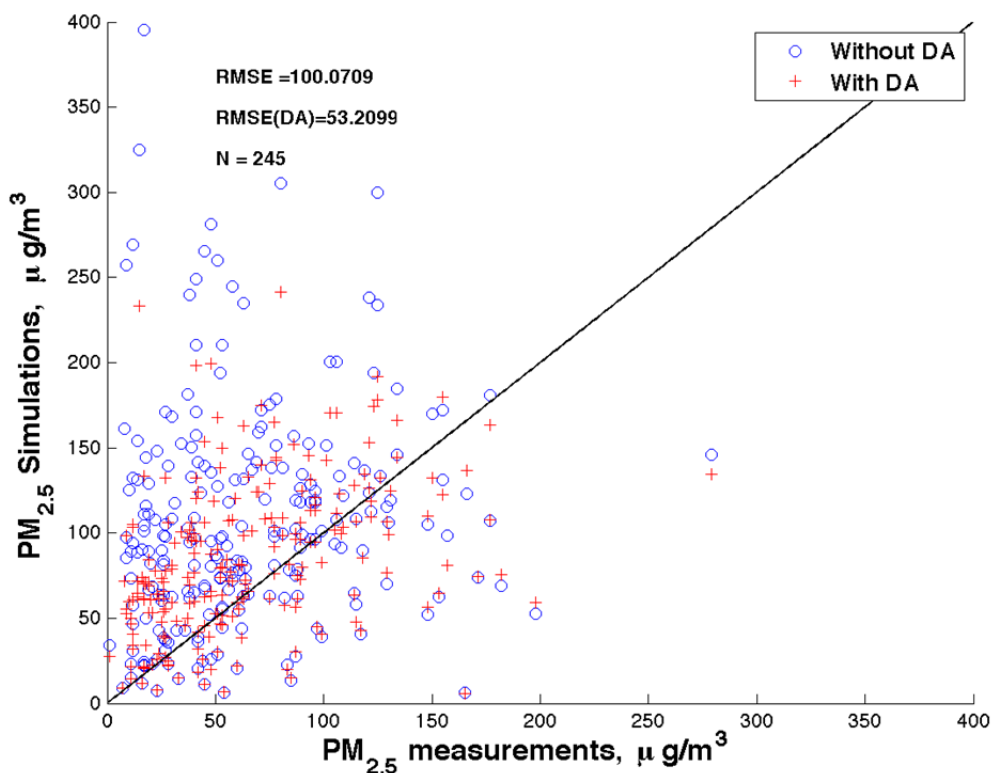
Experiment	Forecasting setup	DA method	DA time
Control (Model without DA)	72 hours at 12 o'clock (UTC)	-	-
24-h-DA	72 hours at 12 o'clock (UTC)	OI	12 o'clock (UTC)
12-h-DA	72 hours at 12 o'clock (UTC), 12 hours at 0 o'clock (UTC)	OI	12 o'clock (UTC), 0 o'clock (UTC)



**Fig. 2.** Time series of  $PM_{2.5}$  daily average observations concentration of all cities in BTH during the simulation period, red line represent average in BTH and shadow areas represent cities.



**Fig. 3(a).** Verification and assimilation observation sites distribution in D3, black point sites for assimilation and red circle sites for verification.



**Fig. 3(b).** The scatter plot of the comparison of  $PM_{2.5}$  concentration of simulation and observations before and after assimilation in D3 on October 31, 2015.

actual observations both before and after assimilation in D3 on October 31. It is obvious that the assimilation corrected the initial  $PM_{2.5}$  concentration significantly, especially in the region overestimate, and the RMSE of all verification sites

was reduced from  $100 \mu g m^{-3}$  to  $53.2 \mu g m^{-3}$ . Comparison of the RMSE of every 72-h forecast's initial conditions during experimental period before and after DA (12-h DA experiment, all the same in Section 3.2, Figs. 3 and 4) is



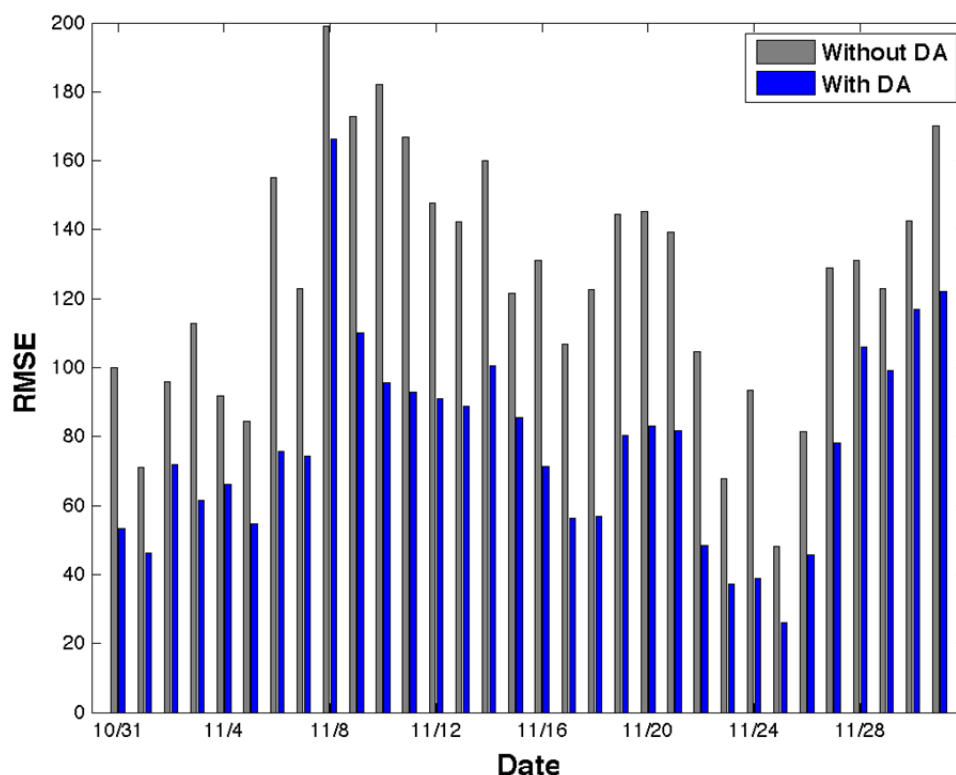
shown in Fig. 3(c). The improvement of initial conditions with DA was significant for the majority of these verifications, except on November 8. The reason for the high value of RMSE before and after DA on November 8 might be that the observed data include a sudden increase in most of Tianjin's sites, further illustrating the importance of the observations' data quality for DA. In addition, as Fig. 4 shows, we compared the  $PM_{2.5}$  observations and  $PM_{2.5}$  concentration in initial conditions before and after DA within all the 1,436 observations sites in every domain on October 31. This was done to understand the impact of DA for initial conditions in the system's actual operating situation. In D1 and D2, the improvement of the simulation in the southwest region of China is obvious, while in D3 the distribution of  $PM_{2.5}$  concentration is closer to the observations distribution after DA.

#### Improvement of the 24-h $PM_{2.5}$ Forecast

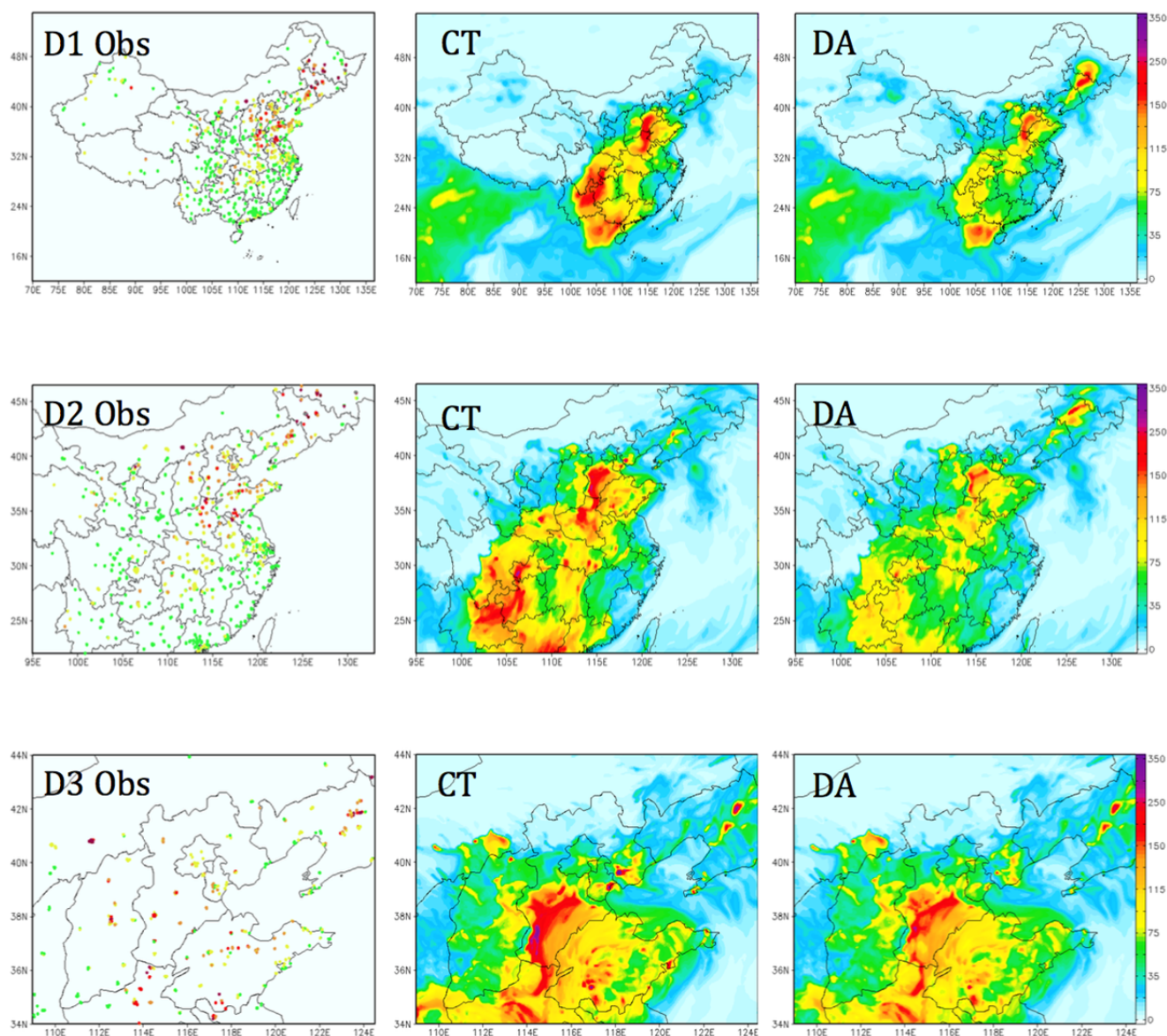
Fig. 5 shows the time series of the 24-h real-time forecast  $PM_{2.5}$  daily average concentrations of the three experiments in Beijing during experimentation period, with the statistical related coefficient (R) and RMSE, in which *a* represents CT, *b* represents 24-h DA, and *c* represents 12-h DA. It can be seen from the figure that the correlation coefficients of the three experiments were both satisfactory ( $R > 0.82$ ) in Case 1, and the RMSE of 12-h DA was reduced from 61.3 (CT) to 45.9, in Case 2, the relative coefficient of 24-h DA and 12-h DA had a small improvement compared to CT, while the RMSE both improved remarkably (12-h DA's RMSE decreased by 37.4%). However, the RMSE after

assimilation was still high ( $RMSE > 100$ ), which means the assimilation effect still had a larger optimized space. Case 3 had a smaller assimilation effect compared with the other two cases. In general, the assimilation improvement effect of the 24-h real-time forecast was acceptable for the heavy pollution event in Beijing, especially for the RMSE, and the improvement effect is more obvious when the simulation deviation is larger. In addition to the northern cities such as Zhangjiakou and Chengde, most of cities in the BTH region had similar characteristics with Beijing. Besides this, the assimilation improvement effect of 12-h DA was also better than 24-h DA. Fig. 6 shows the distribution of  $PM_{2.5}$  monthly mean concentrations of observations and three experiments in D3 during November 2015. The simulated concentration of  $PM_{2.5}$  in the whole BTH region is significantly reduced after assimilation, which is in good agreement with the spatial distribution of the  $PM_{2.5}$  observations. Here the improvement effect by 12-h DA is also better than 24-h DA.

According to the study of Boylan and Russell (2006), the mean fractional bias (MFB) and the mean fractional error (MFE) can be used to judge model performance. When both MFE and MFB are less than or equal to +75% and  $\pm 60\%$  respectively, the model performance "criteria" has been met. Additionally, the model performance "goal" has been met when both the  $MFE \leq +50\%$  and  $MFB \leq \pm 30\%$ . Table 2 shows the statistics of the 24-h  $PM_{2.5}$  real-time forecast results of three experiments in the BTH region that lasted from November 1 till December 3, 2015. From the average of the observations (MO) and the average



**Fig. 3(c).** Comparison of the RMSE of every 72-h forecast's initial conditions during the experimental period before and after DA.



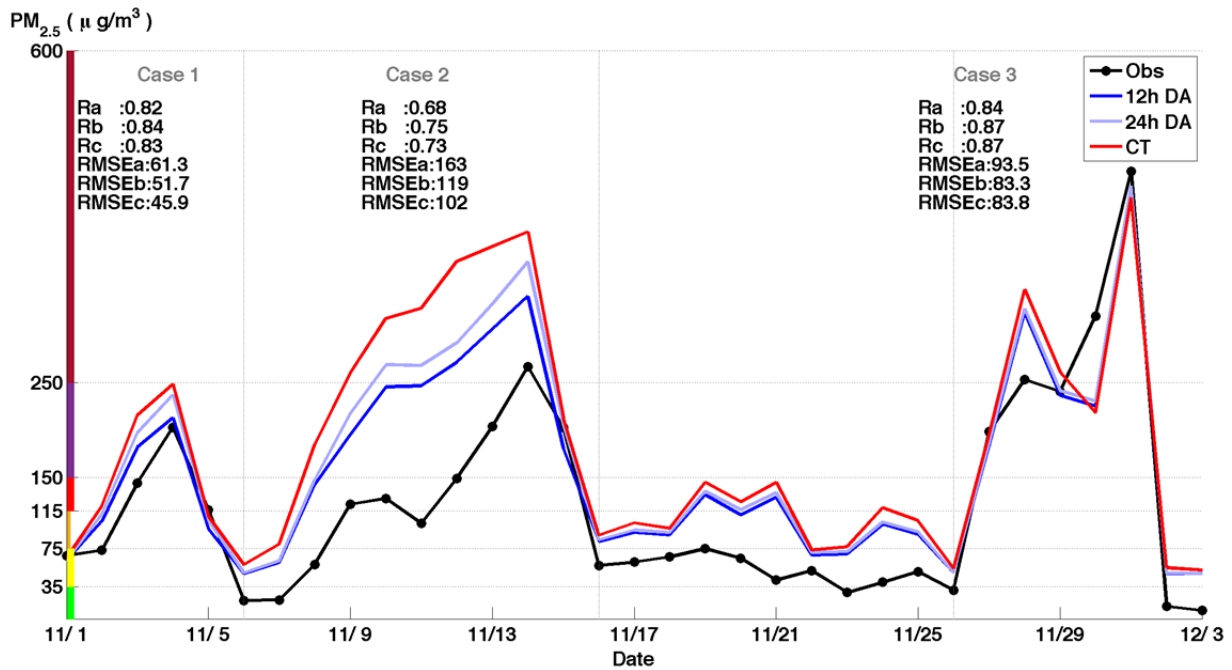
**Fig. 4.** Comparison of the  $PM_{2.5}$  observations and  $PM_{2.5}$  concentration in initial conditions before and after DA within all the 1436 observation sites in every domain on October 31, 2015, within Obs for observations, CT for initial conditions without DA and DA for initial conditions with DA.

of the model results (MM), it is clear that the model estimation was higher than the observations over the whole BTH region, and the model bias (MB) was big. It also can be seen from the table, the model performance is “criteria” level without DA (MFB is 50.9% and MFE is 70.7%). The model performance was improved in the 24-h DA experiment: The MFB and MFE were both reduced and the RMSE was reduced by about 16% in BTH. Compared to the 24-h DA experiment, the model performance of the 12-h DA experiment was further improved, the RMSE was reduced by about 10%, and the MFB and MFE are further approximated to the “goal” level, so increasing assimilation frequency can help to improve the assimilation effect. The results for Beijing, Tianjin and Shijiazhuang also show with the same characteristics. Among them, the model performance in Tianjin is closest to the “goal” level with

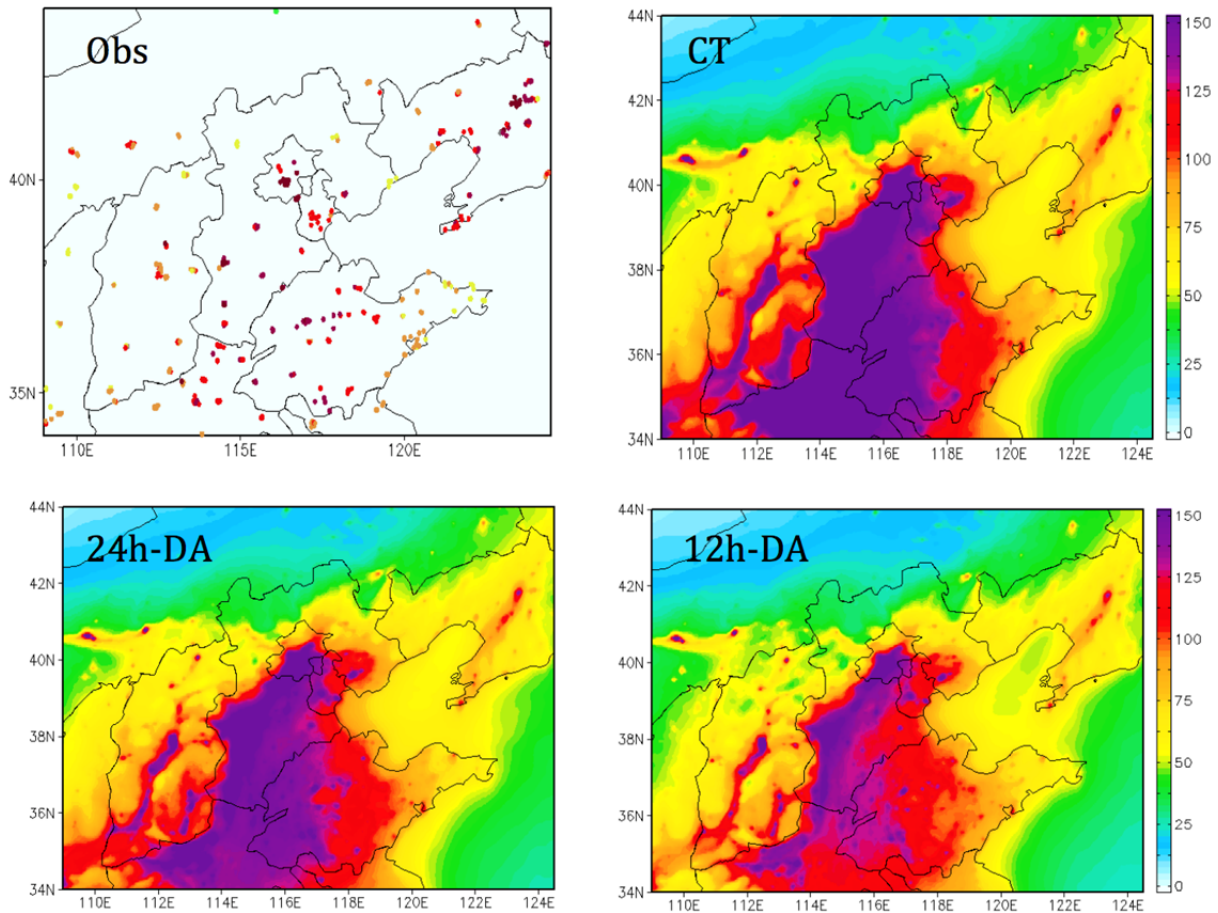
DA, and the assimilation helped the model performance in Shijiazhuang to reach the “criteria” level.

#### **Improvement of the 72-h $PM_{2.5}$ Forecast**

The simulation effect of 72-h real-time forecast is important for air quality prediction, so it is necessary to evaluate the assimilation improvement effect of the 72-h real-time forecast. Fig. 7 shows the 0–72 forecast hour  $PM_{2.5}$  concentrations of observations, a CT and 12-h DA experiment, and the RMSE of CT and a 12-h DA experiment in both a single real-time forecast (on November 5) and over the whole experiment period (with averages corresponding to the same forecast hour) in BTH. In both the single real-time forecast and the whole experiment period, the assimilation effect on the 0–24-h forecast was significantly higher than that of 24–48-h forecast, the assimilation improvement



**Fig. 5.** Time series of the 24-h real-time forecast  $PM_{2.5}$  daily average concentration of the three experiments in Beijing during experimentation period, with the statistical of related coefficient (R) and RMSE, in which a represents CT, b represents 24-h-DA, and c represents 12-h-DA.

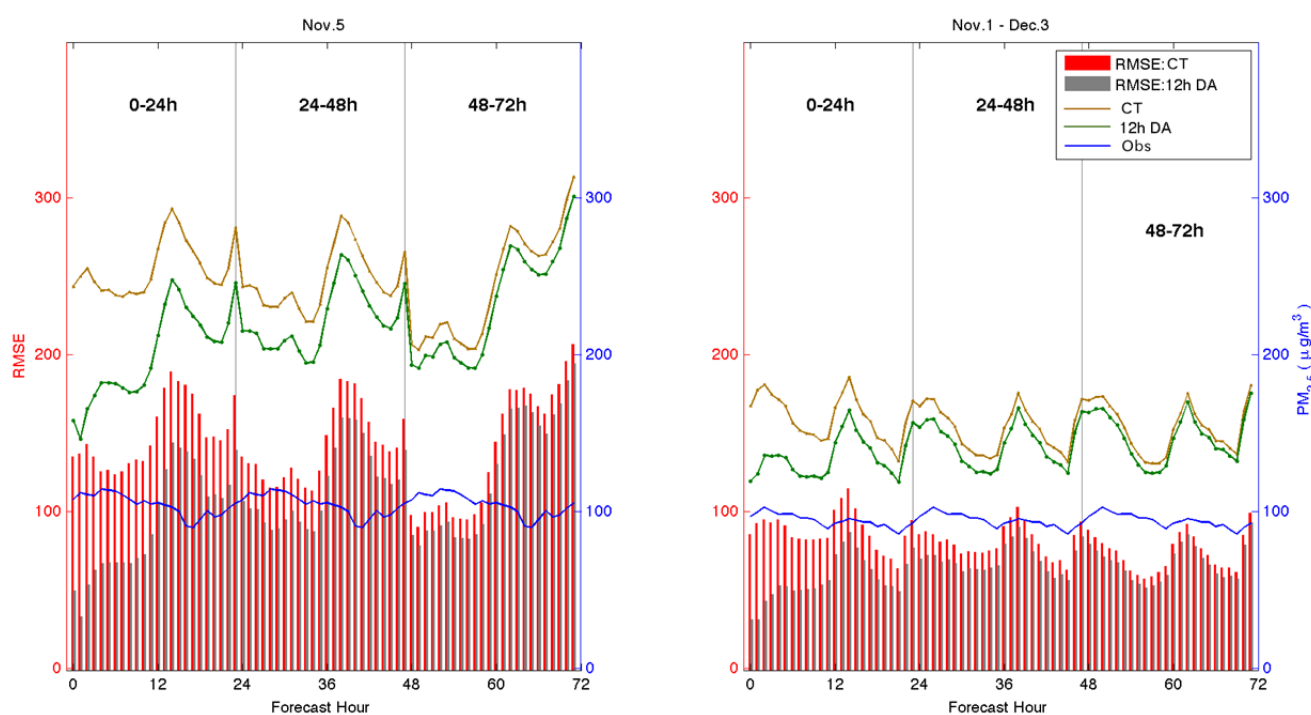


**Fig. 6.** Distribution of  $PM_{2.5}$  monthly mean concentrations of observations and three experiments in D3 during November 2015.



**Table 2.** The Statistic of 24-h PM<sub>2.5</sub> real-time forecast results of three experiments in BTH from November 1 to December 3.

	Experiment	MO ( $\mu\text{g m}^{-3}$ )	MM ( $\mu\text{g m}^{-3}$ )	MB ( $\mu\text{g m}^{-3}$ )	RMSE	MFB	MFE
BTH	Control	95.0	156.7	61.7	101.4	50.90%	70.67%
	24h-DA	95.0	142.0	47.0	85.0	44.90%	65.39%
	12h-DA	95.0	132.2	37.1	77.5	40.00%	62.30%
Beijing	Control	122.2	187.5	65.3	101.1	51.30%	68.36%
	24h-DA	122.2	170.1	47.9	82.7	44.50%	62.01%
	12h-DA	122.2	160.9	38.7	76.3	40.00%	59.37%
Tianjing	Control	90.3	148.9	58.6	81.2	50.70%	64.22%
	24h-DA	90.3	137.1	46.9	69.4	44.80%	58.94%
	12h-DA	90.3	128.6	38.3	62.9	40.00%	55.97%
Shijiazhuang	Control	111.2	214.2	102.9	123.8	72.10%	80.19%
	24h-DA	111.2	185.7	74.4	97.8	62.90%	72.05%
	12h-DA	111.2	170.0	58.8	86.3	57.00%	67.98%

**Fig. 7.** The 0–72 forecast hour PM<sub>2.5</sub> concentrations of observations, CT and 12-h-DA experiment and the RMSE of CT and 12-h-DA experiment in a single real-time forecast (on November 5) and the whole experiment period (average according to the same forecast hour) in BTH. Line is for the PM<sub>2.5</sub> concentrations and bar for the RMSE.

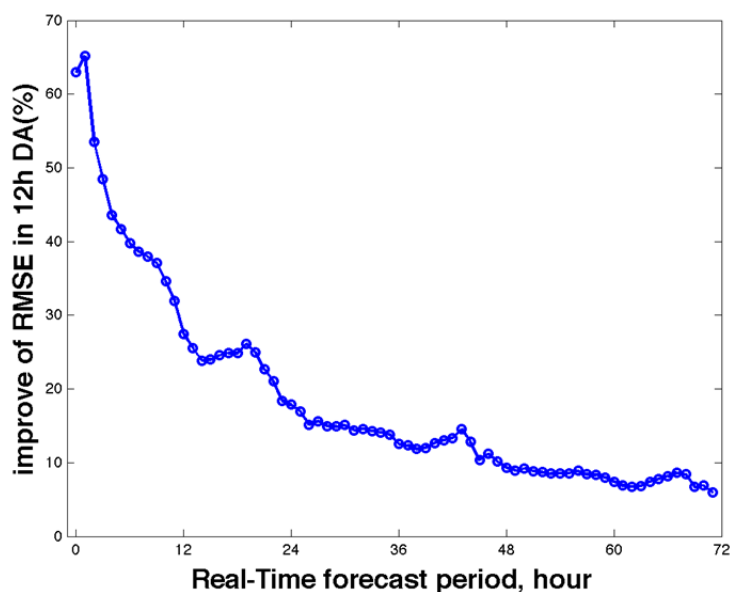
effect on the 48–72-h forecast was even weaker. The assimilation had an impact on all of the forecasted hours, but the improvement effect was diminished as time increased. To further study the assimilation improvement effect of every forecast hour in a 72-h real-time forecast, we compared the attenuation curve of the RMSE improvement effect of 12-h DA experiment with CT in BTH (as shown in Fig. 8). The RMSE improvement is best (20–65%) in the initial stage of forecast (< 12 h), but the improvement of RMSE rapidly fell to about 15% in the 24th forecast hour, then further dropped to about 10% during the 24–48-h period, and finally became weak (< 10%) during the 48–72-h period.

Table 3 shows the statistics of the RMSE improvement of the 12-h DA experiment compared with the CT for 72-h real-time forecast in the BTH region. For the 0–24-h real-

time forecast, the improvement effect of RMSE was 23.6% in the whole of the BTH region, and higher than 20% in most cities. Handan had the highest rate (33.7%), and Zhangjiakou the lowest (16.8%) because of the lowest background concentration of PM<sub>2.5</sub>. For the 24–48-h and 48–72-h real-time forecasts, the RMSE improvement was 8.2% and 4.8% in BTH respectively, with about 10% and 5% in cities. For the whole 72-h real-time forecast, the RMSE improvement was 12.8% in the BTH region and about 10% in cities.

## CONCLUSIONS

In this paper, we built a data assimilation business system for 72-h real-time forecasts with data from CNEMC's



**Fig. 8.** The attenuation curve of the RMSE improvement effect of 12-h-DA experiment compared with CT in BTH.

**Table 3.** The statistics of the RMSE improvement of 12-DA experiment compared with CT for 72-h real-time forecast in BTH.

	0–24 h (%)	24–48 h (%)	48–72 h (%)	0–72 h (%)
JJJ	23.6	8.2	4.8	12.3
Beijing	24.5	6.2	5.3	12.1
Tianjing	22.5	8.6	7.1	12.8
Shijiazhuang	30.3	10.3	7.0	16.2
Tangshan	16.2	4.8	5.7	9.2
Qinhuangdao	17.2	8.4	6.6	10.9
Handan	33.7	12.4	5.2	17.8
Xingtai	32.6	10.2	5.9	16.8
Baoding	22.9	7.8	0.7	10.6
Zhangjiakou	16.8	9.1	6.8	10.9
Chengde	18.4	9.2	5.6	11.0
Cangzhou	21.0	11.4	9.0	13.8
Langfang	17.1	5.8	2.5	8.7
Hengshui	22.3	10.9	3.7	12.3

1,436 national control observation sites and an OI method. This system is the first of its kind in China and assimilates the initial conditions of PM<sub>2.5</sub>, SO<sub>2</sub> and NO<sub>2</sub> concentrations. To evaluate the improvement of the DA system for PM<sub>2.5</sub> simulation, three experiments during heavy PM<sub>2.5</sub> pollution in the BTH region were conducted. We found that the model bias of the 24-h real-time forecast in the BTH region was higher (61.7  $\mu\text{g m}^{-3}$ ) without DA and lower (37.1  $\mu\text{g m}^{-3}$ ) with DA, whereas the RMSE was reduced from 101.4 before assimilation to 77.5 afterwards. The model performance approached “goal” from “criteria,” with reductions in the MFB and MFE from 50.9% and 70.67% to 40% and 62.3%, respectively. Compared to a 24-h DA experiment (with one assimilation daily), the 12-h DA (with two assimilations daily) showed a greater improvement (about 10% for the RMSE), meaning that increasing the assimilation frequency can improve the DA system performance for real-time forecasts. The RMSE improvement was 24%, 8.2%, 4.8%

and 12.3% for 0–24 h, 24–48 h, 48–72 h and 0–72 h in BTH, respectively. The improvement effect was diminished as the forecast hour increased, especially in the beginning (0–12 h). The RMSE improvement in the first 24 hours of the forecast remained above 15%, then dropped to about 10% during the 24–48-h forecast, and finally weakened (< 10%) during the 48–72-h period.

With DA, the RMSE was over 100 during Case 2. Even this RMSE improvement is significant, possibly because of the uncertainty of the emission sources and the physical and chemical mechanisms in the model. However, the RMSE of the initial conditions remaining high after assimilation is likely one of the reasons for this result. Hence, even if the assimilation improvement effect is acceptable as a whole, the system has a larger optimized space. It is necessary to improve the DA system in terms of the parameters of the OI algorithm, assimilation frequency, observation data quality control and so forth, in the future.

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