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Nitrosamine formation from the oxidation of secondary amines

Tom Bond and Michael R. Templeton*

Department of Civil and Environmental Engineering, Imperial College London
London, United Kingdom SW7 2AZ

*Corresponding author. Email: m.templeton@imperial.ac.uk, Tel: +44(0)207 594 6099.

16 **Abstract**

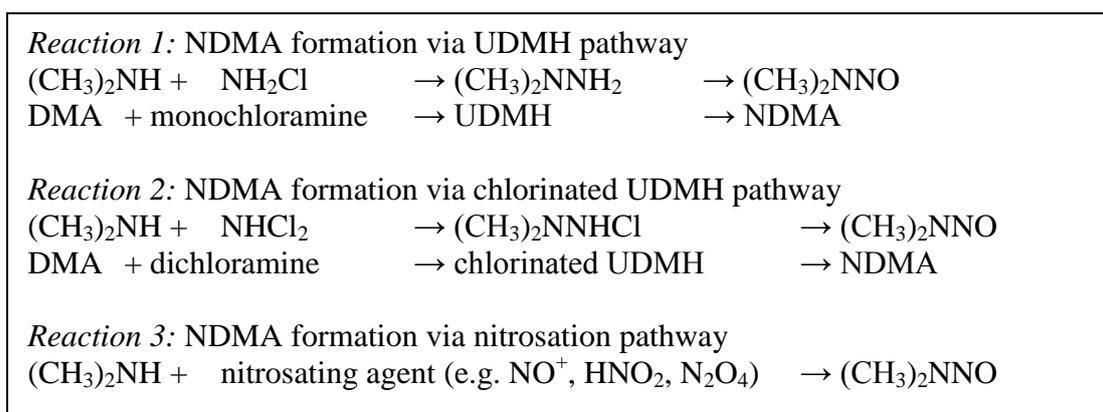
17 The nitrosamines are potent carcinogens which can be formed as by-products during water
18 treatment. Much recent research activity has been focussed upon the formation, occurrence
19 and control of N-nitrosodimethylamine (NDMA) in particular. In this study, seven secondary
20 amines were oxidised by chlorine, ozone, and UV-irradiation, with and without post-
21 chloramination, to quantify the effect on the formation of seven nitrosamines, including
22 NDMA. While the yields of nitrosamines ranged from 0.01% for N-nitroso-di-n-butylamine
23 (NDBA) to 2.01% for N-nitrosopyrrolidine (NPYR) under conditions of excess
24 monochloramine at pH 7, yields from other oxidants were zero. Pre-oxidation with chlorine
25 reduced nitrosamine formation by up to 83% compared with chloramination alone. This
26 illustrates that in situations where secondary amines are key precursors, chlorine addition
27 before ammonia during chloramination can be expected to limit nitrosamine formation. UV
28 irradiation at 40 mJ cm⁻² had little observed impact. Ozonation enhanced NDMA and N-
29 nitrosomethylethylamine (NMEA) formation by subsequent chloramination to 7.48% and
30 10.15%, respectively.

31 **Keywords:** nitrosamine; NDMA; chlorination; chloramination; ozonation

32

33 Introduction

34 The nitrosamines are a group of potent carcinogens (Loeppky and Michejda, 1994), and the
35 discovery of N-nitrosodimethylamine (NDMA) in drinking water (Jobb et al., 1992) has
36 stimulated much research interest and activity. Occurrence of NDMA in drinking water is
37 mainly associated with wastewater ingress into source waters and/or the use of
38 chloramination for secondary (i.e. residual) disinfection. The former is related to heightened
39 levels of DMA and perhaps other precursors found in wastewater; the latter is related to
40 reactions between monochloramine and DMA leading to unsymmetrical 1,1-
41 dimethylhydrazine (UDMH) and subsequently NDMA (Reaction 1 in Figure 1 below, Choi
42 and Valentine, 2002). Other formation pathways involving chlorinated UDMH (Reaction 2,
43 Schreiber and Mitch, 2006) and nitrosation (Reaction 3, Keefer and Roller, 1973) may also
44 play a role.



45
46 **Figure 1: NDMA formation mechanisms.**

47 Occurrence of NDMA in chlorinated or chloraminated wastewater at above 1000 ng L⁻¹
48 has been reported, while concentrations above 10 ng L⁻¹ in drinking water can be regarded as
49 high (Krasner et al., 2009b; Sacher et al., 2008). The United States Office of Environmental
50 Health Hazard Assessment (OEHHA) has issued a public health limit of 3 ng L⁻¹ for NDMA,
51 for example (OEHHA, 2006).

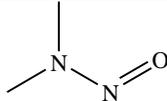
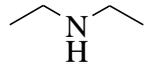
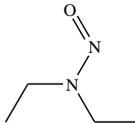
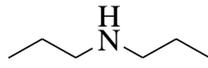
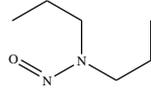
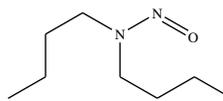
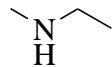
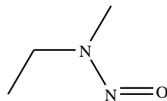
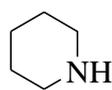
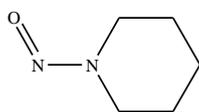
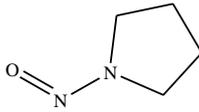
52 Though drinking water research has mainly concentrated on NDMA, there is potential for
53 the formation of other nitrosamines, especially given the variety of NDMA precursors that
54 have been identified. DMA has been comprehensively examined as a precursor, although its
55 conversion to NDMA is inefficient, with yields of only around 0.5% typical (Mitch et al.,
56 2003). Various synthetic chemicals have been reported as far more reactive precursors. For
57 example, the pharmaceutical ranitidine has a conversion yield of 63% (Sacher et al., 2008),
58 while *N,N*-dimethylsulfamide, a degradation product of the fungicide tolylfluanide, has been
59 responsible for the formation of NDMA after ozonation of German groundwaters (Schmidt
60 and Brauch, 2008). Furthermore, NDMA can result from the use of certain polymers or ion
61 exchange resins during water treatment, either from manufacturing impurities or the release
62 of precursors (Kemper et al., 2009; Park et al., 2009).

63 Various oxidation techniques, including chlorination and ozonation, before chloramination
64 has been reported to effectively reduce NDMA formation, presumably by destroying
65 precursor sites (Chen and Valentine, 2008). However, while insight into the occurrence and
66 control of NDMA has grown in recent years, there remains a need for analogous information
67 about other nitrosamine species. Hence the aim of this study was to investigate the effect of
68 oxidation processes on the formation of seven nitrosamines (including NDMA) from

69 secondary amines and the implications for drinking water treatment. The secondary amines
 70 included in the study (Table 1) were anticipated to form the corresponding nitrosamine upon
 71 chloramination by the equivalent pathway that DMA produces NDMA (Reaction 1). The
 72 oxidation processes included in the study were chlorination, ozonation, and UV irradiation,
 73 each with or without post-chloramination. The following resulting nitrosamines were
 74 quantified: N-nitrosomethylethylamine (NMEA), N-nitrosodiethylamine (NDEA), N-nitroso-
 75 di-n-propylamine (NDPA), N-nitroso-di-n-butylamine (NDBA), N-nitrosopyrrolidine
 76 (NPYR), and N-nitrosopiperidine (NPIP) (Table 1).

77

78 **Table 1: Structures of secondary amines and corresponding nitrosamines in this study.**

Amine	Structure	Nitrosamine	Structure
Dimethylamine (DMA)		NDMA	
Diethylamine (DEA)		NDEA	
Dipropylamine (DPA)		NDPA	
Dibutylamine (DBA)		NDBA	
N- methylethylamine (MEA)		NMEA	
Piperidine (PIP)		NPIP	
Pyrrolidine (PYR)		NPYR	

79

80 **Methods**

81 All chemicals were purchased at analytical purity or above from Fisher Scientific or Sigma
 82 Aldrich and all solutions were prepared in reverse osmosis treated high-purity water (RO
 83 water).

84 *Nitrosamine Formation Potential (FP) Tests*

85 Excess monochloramine (1 mM) was added to individual or mixed secondary amines (200
 86 mL of 5 μM solution), with a seven day contact time at pH 7 (phosphate buffer) and 20±2 °C.
 87 This procedure is based upon the NDMA FP test detailed previously which uses a chloramine
 88 dose much higher than is employed during drinking water treatment (Mitch et al., 2003).
 89 After this period the reactions were quenched with ascorbic acid (1.1 mM) and nitrosamines
 90 quantified using the method described below. In order to limit the formation of chlorinated
 91 species other than monochloramine, chloramine stock was prepared daily when required at

92 pH 8 (phosphate buffer), with chlorine added drop-wise to ammonia (0.1 M) at a N/Cl molar
93 ratio of 1.3:1. The solution thus obtained was then left for 1 h in the dark before
94 concentrations of chlorine, monochloramine and dichloramine were measured by the
95 DPD/FAS titration method (APHA et al., 2005).

96 *Other Oxidation Experiments*

97 Mixed secondary amines (each at 5 μM , pH 7) were oxidised by chlorine, ozone and UV
98 irradiation at doses representative of full-scale water treatment with and without post-
99 chloramination under the same conditions as the nitrosamine FP tests. For samples with post-
100 chloramination, the contact times for pre-oxidation were as stated below; otherwise a seven
101 day contact time was used.

102 During the pre-oxidation experiments, chlorine was dosed at 71 μM (5 mg L^{-1} as Cl_2).
103 After a 30-minute contact time ammonia solution was dosed at a 1:1 N:Cl molar ratio in order
104 to quench free chlorine before excess monochloramine addition (1 mM) as described above.
105 Chlorine concentration was measured by DPD/FAS titration (APHA et al., 2005).

106 Ozone was dosed at an initial concentration 5 mg L^{-1} with a 30 minute contact time before
107 chloramine addition for the pre-oxidation tests. A stock ozone solution of $\sim 9 \text{ mg L}^{-1}$ was
108 prepared by bubbling ozone-enriched air produced with a Trailigaz ozone generator
109 (Ozotech, Burgess Hill, Sussex, UK) through RO water in iced water, with the initial dose
110 achieved by dilution. Ozone concentrations were measured using the Indigo titration method
111 (APHA et al., 2005).

112 Samples were exposed to UV light using a low pressure collimated beam device, which
113 emits at 254 nm. A UV fluence of 40 mJ cm^{-2} was applied. The intensity of the UV light
114 generated by the collimated beam was calculated using an International Light Technologies
115 1700 radiometer with an SED240 sensor (Reading, UK). The UV fluence calculation
116 followed standard methods described in detail elsewhere (Bolton and Linden, 2003).

117

118 *Nitrosamine Analysis*

119 Nitrosamines were quantified using solid phase extraction, isotope dilution gas
120 chromatography mass spectrometry, based on the method of Taguchi et al., (1994). In brief,
121 200 mL of water sample was extracted with 50 mg of carbonaceous polymeric beads
122 (Ambersorb 483, Aldrich) by shaking for one hour at 250 rpm before being filtered onto a
123 glass fibre filter. After air drying for 2 hours, the beads were transferred to a 2-mL amber vial
124 with 400 μL insert. Dichloromethane (methylene chloride) (300 μL) was added to extract the
125 adsorbate. A 5 μL aliquot of methylene chloride extract was injected into a Perkin-Elmer
126 Clarus-500 GC/MS with a programmable large-volume injector and a DB1701 capillary
127 column. *d6*-NDMA was used as the internal standard. Concentrations were calculated using
128 selective ion monitoring at the mass peaks of the analysed nitrosamines. Method and
129 analytical blanks were run for quality assurance. Each sample was analysed in duplicate. The
130 mean average deviation of nitrosamines formed from duplicate samples of the corresponding
131 secondary amine after individual nitrosamine FP tests was 0.11% (Table 2).

132

133 **Results and Discussion**

134 *Formation of nitrosamines from chloramination of individual secondary amines*

135 Yields of nitrosamines resulting from chloramination of the corresponding individual
 136 secondary amine ranged from 0.01% for NDBA to 2.01% for NPYR (Table 2). Thus it can be
 137 seen how nitrosamine formation via this mechanism is rather inefficient. Formation yields of
 138 1.27% for NDMA from DMA compare with value of 0.49-2.74% (Sacher et al., 2008) and
 139 0.5% (Mitch et al., 2003) reported by other researchers. Values of 2.01% for conversion of
 140 PYR to NPYR compares well with equivalent value of 1.84% quantified by Sacher et al.
 141 (2008). The formation of 0.13% NPYR and 0.18 % NPIP from chloramination of PIP and
 142 DPA respectively was thought to result from the presence of other components of the reaction
 143 mixture co-eluting with the nitrosamine peaks.

144 Based on the magnitude of the conversion yields, NPYR and NDMA are the measured
 145 nitrosamines most likely to occur in drinking water, whereas NDBA can be regarded as least
 146 likely. This correlates with data from German raw waters, where chloramination of 100 river,
 147 groundwater and lake samples under a laboratory nitrosamine FP protocol found NDMA
 148 followed by NPYR were the major nitrosamine species, though levels of NDMA were always
 149 at least an order of magnitude of higher than other nitrosamines (Sacher et al., 2008). In the
 150 same study the authors considered that measured incidence of DEA, which reached 290 ng L⁻¹,
 151 combined with conversion yields to NDEA could account for formation of these
 152 nitrosamines (Sacher et al., 2008). Conversely, the same did not apply for NDMA and
 153 NPYR, suggesting that other precursors are significant.

154 **Table 2: Nitrosamine yields from the chloramination (1 mM, 7 days, pH 7) of individual**
 155 **secondary amines.**

Precursor	Nitrosamine Molar % Formation Yield						
	NDMA	NMEA	NDEA	NDPA	NDBA	NPYR	NPIP
Dimethylamine (DMA)	1.27±	0.00±	0.00±	0.00±	0.00±	0.00±	0.00±
Methylethylamine (MEA)	0.00±	0.70±	0.00±	0.00±	0.00±	0.00±	0.00±
Diethylamine (DEA)	0.00±	0.00±	0.46±	0.00±	0.00±	0.00±	0.00±
Dipropylamine (DPA)	0.00±	0.00±	0.00±	0.86±	0.00±	0.00±	0.18±
Dibutylamine (DBA)	0.00±	0.00±	0.00±	0.00±	0.01±	0.00±	0.00±
Pyrrolidine (PYR)	0.00±	0.00±	0.01±	0.00±	0.00±	2.01±	0.00±
Piperidine (PIP)	0.00±	0.00±	0.00±	0.00±	0.00±	0.13±	0.42±
Sum (excluding direct precursor)	0.00	0.00	0.02	0.00	0.00	0.13	0.20
Sum (including direct precursor)	1.27	0.71	0.48	0.86	0.01	2.42	0.62

156

157 *Kinetics of initial nitrosamine formation*

158 The relatively slow rate of nitrosamine formation was shown by 24 hour kinetics experiments
 159 (Table 3). Nitrosamine yields after 24 h represented some 18-58% (median = 30%) of 7 day
 160 yields, with NDBA and NDPA the two species at the lowest end of this range and NPIP the
 161 highest. A similar pattern emerges from analysis of zero-order rate constants used to quantify

162 initial nitrosamine formation over 24 h. NDMA showed the slowest formation, at a rate of
 163 $1.95 \times 10^{-4} \mu\text{M h}^{-1}$ and NDMA exhibited the highest rate of the aliphatic nitrosamines, at 8.25
 164 $\times 10^{-4} \mu\text{M h}^{-1}$. Meanwhile, NPIP had the highest rate of all species at $15.28 \times 10^{-4} \mu\text{M h}^{-1}$.
 165 Overall, excluding NMOR, the aliphatic nitrosamines were slower to form than the cyclic. It
 166 should be noted that while initial formation data over 24 h followed zero-order behaviour,
 167 this may not apply over longer reaction periods or different reactant concentrations. A kinetic
 168 model for NDMA formation by the UDMH pathway has been developed previously (Choi
 169 and Valentine 2002). It is based upon the premise that all reactions, except oxidation of
 170 UDMH to NDMA, can be described by second order kinetics. This model suggests that in the
 171 presence of excess monochloramine NDMA formation should be proportional to precursor
 172 concentration. Conversely, other authors have found NDMA formation does not vary
 173 significantly with nitrogen content of the analysed water (Najm and Trussell, 2001).

174 **Table 3: Nitrosamine yields and rate constants from the oxidation of mixed secondary**
 175 **amines.**

	Nitrosamine molar % formation yield or formation rate constant						
	NDMA	NMEA	NDEA	NDPA	NDBA	NPYR	NPIP
Chloramination							
7 day formation yield	1.32± 0.08	0.70± 0.26	0.36± 0.09	1.49± 0.34	0.05± 0.05	2.29± 0.69	1.22± 0.43
24 h zero-order rate constant ($\mu\text{M h}^{-1} \times 10^{-4}$)	8.25 ($R^2 =$ 1.00)	5.15 ($R^2 =$ 0.99)	3.79 ($R^2 =$ 0.98)	5.13 ($R^2 =$ 0.97)	1.95 ($R^2 =$ 0.73)	9.86 ($R^2 =$ 0.71)	15.28 ($R^2 =$ 0.99)
24 h yield as % of 7 day yield	30	35	49	18	22	29	58
Other oxidation methods (7 day formation yields)							
Chlorination	0.00± 0.01	0.00± 0.00	0.00± 0.00	0.00± 0.01	0.00± 0.01	0.00± 0.00	0.00± 0.01
Ozonation	0.00± 0.01	0.00± 0.00	0.00± 0.00	0.00± 0.00	0.00± 0.01	0.00± 0.00	0.00± 0.00
UV irradiation	0.00± 0.01	0.00± 0.00	0.00± 0.00	0.00± 0.00	0.00± 0.05	0.00± 0.00	0.00± 0.00

176 NR = not reported

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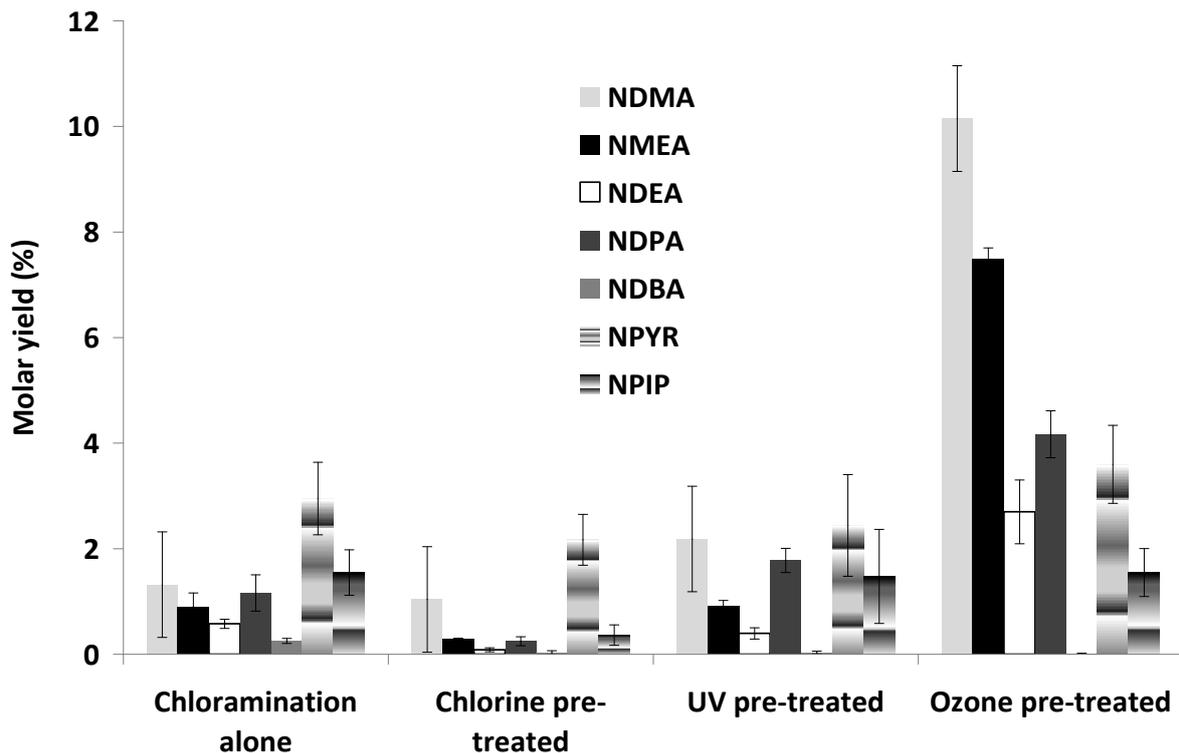
178 *Formation of nitrosamines from chloramination, chlorination, ozonation and UV irradiation*
 179 *of mixed secondary amines*

180 While nitrosamine formation from chloramination of the mixed secondary amines was
 181 broadly similar to the cumulative total from individual precursors (Tables 2 and 3),
 182 chlorination, ozonation and UV irradiation produced no nitrosamines (Table 3). Given the
 183 association between monochloramination and nitrosamine formation this is perhaps expected,
 184 however, formation of NDMA from other disinfectants has been shown in previous work
 185 (Andrzejewski et al., 2008; Mitch and Sedlak, 2002). In particular, ozonation of DMA has
 186 been shown to result in a 0.33% conversion to NDMA, possibly from a nitrosation
 187 mechanism (Andrzejewski et al., 2008), with maximum NDMA yield at pH 10.5 with an
 188 initial DMA concentration of 36 mg L^{-1} . Since the current study was conducted at neutral pH
 189 and with an amine level of $5 \mu\text{M}$, negligible conversion to nitrosamines can be anticipated.
 190 Furthermore, reactions between DMA and nitrite resulting in NDMA can be enhanced by the

191 presence of free chlorine (Choi and Valentine, 2003). Again, given nitrosamine formation
192 tests were undertaken without nitrite addition in the present work, this pathway was not
193 expected to be relevant.

194 *Influence of pre-oxidation on nitrosamine formation after chloramination*

195 Relative to chloramination alone, levels of NMEA, NPIP, NDEA and NDPA were reduced
196 by 57%, 70%, 77% and 83% respectively by the chlorination-chloramination disinfection
197 scenario (Figure 2). Reductions were more modest for the other nitrosamines, with the
198 equivalent reductions in NDBA, NDMA, and NPYR formation being 32%, 21%, and 5%,
199 respectively. For the majority of nitrosamines, UV irradiation before chloramination resulted
200 in slight concentration changes relative to chloramination alone, though most of these
201 differences can be accounted for by experimental error. The exception to this trend was
202 NDMA, where the conversion yield increased from $1.32 \pm 0.08\%$ to $2.18 \pm 0.30\%$. For the
203 combination of ozonation followed by chloramination, production of NDBA was reduced by
204 75% relative to chloramination alone. Conversely, for the other nitrosamines increases were
205 observed, the most emphatic being for NMEA and NDMA, where yields were 7.48% and
206 10.15% respectively, compared with 0.70% and 1.32% for chloramination by itself. For the
207 remaining nitrosamines the pattern was intermediate between these extremes, with no change
208 in NPIP, while NDEA, NDPA, NPIP and NPYR production increased to yields of 2.70%,
209 4.17% and 3.60% with pre-ozonation.



210

211 **Figure 2: The effect of pre-oxidation on nitrosamine formation from post-**
212 **chloramination of secondary amines.**

213

214 The dramatically enhanced formation of NDMA and NMEA by ozonation followed by
215 chloramination of mixed secondary amines is notable, especially since previous work has
216 shown that pre-ozonation decreases NDMA FP (Chen and Valentine, 2008; Lee et al., 2007),

217 although ozonation of DMA has been found to result in yields of NDMA up to 0.33% in
218 another study (Andrzejewski et al., 2008). This is also interesting given that ozonation alone
219 produced negligible nitrosamines (Table 3). Although no mechanism was suggested during
220 the previous studies, formic acid, formaldehyde, nitrates and nitrites were identified though
221 not quantified in the post-reaction mixture. Previous to this study, the mechanisms of
222 reactions between amines and ozone were elucidated by Elmghari-Tabib and co-workers
223 (1982). Reactions between secondary amines and ozone produce (primary) hydroxylamines
224 and aldehydes, the latter are subsequently oxidised to the carboxylic acids (Elmghari-Tabib et
225 al., 1982). In fact, further reactions are possible with these products, since primary
226 hydroxylamines can themselves be oxidised to produce aldehydes and nitrate. As recently
227 suggested nitrite can also be liberated as an end product of this pathway (Yang et al., 2009),
228 something supported by the aforementioned post-reaction analysis of Andrzejewski et al.
229 (2008). This explains how reactions between DMA and ozone can lead to NDMA production
230 by a nitrosation mechanism, given nitrate does not directly form nitrosamines (Sacher et al.,
231 2008). Of the selected amines, the rate constant for reaction between DMA, DEA, PYR and
232 PIP and ozone were found to be 33.5, 9.6, 23.2 and 36.2 $M^{-1}s^{-1}$ respectively (Pietsch et al.,
233 2001). Thus it is probable the higher formation of NDMA and NMEA was controlled by the
234 higher reactivity of DMA and MEA relative to the other amines during nitrosation, rather
235 than their greater stability in the presence of ozone.

236 It has also been demonstrated through previous studies how the nitrosation of DMA is
237 enhanced by free chlorine, possibly due to the formation of a highly reactive intermediate
238 such as N_2O_4 produced during oxidation of nitrite to nitrate (Choi and Valentine, 2003). It is
239 possible that an equivalent process resulted in heightened NDMA and NMEA formation in
240 this study. In contrast to conventional nitrosation and the UDMH pathway, chlorine-enhanced
241 nitrosation occurs rapidly inside one hour (Choi and Valentine, 2003). These authors
242 observed that the combination of monochloramine and nitrite did not have the same impact
243 on NDMA formation as free-chlorine and nitrite. Furthermore, the chlorine-enhanced
244 reaction was inhibited with ammonia addition, presumably as this led to chloramine
245 formation. However, as previously described, monochloramine can also oxidise nitrite to
246 nitrate (Margerum et al., 1994), though since the first step is much slower than the reaction
247 between free-chlorine and nitrite this may consequently produce less of the reactive
248 intermediate (Choi and Valentine, 2003). It should be noted, however, that these reactions
249 were only followed over 24 hours (Choi and Valentine, 2003) rather than the 7 days
250 considered in this study. Overall, the presented data shows how exposure to free chlorine
251 before chloramination reduced concentrations of all nitrosamines, especially NDEA and
252 NDPA. This illustrates that in situations where secondary amines are key precursors, chlorine
253 addition before ammonia during chloramination can be expected to limit nitrosamine
254 formation.

255

256 **Conclusions**

- 257
- 258 • After exposure to excess monochloramine for 7 days, yields of nitrosamines from
259 selected secondary amines ranged from 0.01 – 2.01%. These values suggest that to
260 achieve a final nitrosamine concentration of 10 $ng L^{-1}$, for example, initial levels of
261 secondary amine in the range of 353 $ng L^{-1}$ for PYR to 82 $\mu g L^{-1}$ for DBA are
required.
 - 262 • The combination of ozonation followed by chloramination dramatically increased
263 formation of NDMA and NMEA from mixed secondary amines relative to
264 chloramination alone. This enhanced nitrosamine production can be rationalised by

265 ozonation of amines liberating nitrite, which is then oxidised by monochloramine
266 and/or free chlorine to a reactive intermediate which partakes in a nitrosation reaction
267 with secondary amines.

- 268 • Exposure to chlorine before chloramine addition was an effective way of limiting
269 nitrosamine formation for most of the nitrosamines, with conversion yields from
270 secondary amines being reduced by 5-83% relative to chloramination alone, with
271 NPYR and NDPA representing the respective extremes of this range.

272

273 **Acknowledgements**

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275 acknowledged for their funding of this research.

276

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