

Article

## Response of Spectral Reflectances and Vegetation Indices on Varying Juniper Cone Densities

Dailiang Peng <sup>1,\*</sup>, Zhangyan Jiang <sup>2</sup>, Alfredo R. Huete <sup>3</sup>, Guillermo E. Ponce-Campos <sup>4,5</sup>, Uyen Nguyen <sup>2</sup> and Jeffrey C. Luvall <sup>6</sup>

<sup>1</sup> Key Laboratory of Digital Earth Science, Institute of Remote Sensing and Digital Earth, Chinese Academy of Sciences, Beijing 100094, China

<sup>2</sup> Department of Soil, Water, and Environmental Science, University of Arizona, Tucson, AZ 85721, USA; E-Mails: zyjiang2007@hotmail.com (Z.J.); nguyenthingocuyen@gmail.com (U.N.)

<sup>3</sup> Plant Functional Biology and Climate Change Cluster (C3), University of Technology, Sydney, NSW 2007, Australia; E-Mail: alfredo.huete@uts.edu.au

<sup>4</sup> USDA ARS Southwest Watershed Research, Tucson, AZ 85719, USA; E-Mail: geponce@gmail.com

<sup>5</sup> Soil, Water and Environmental Sciences, University of Arizona, Tucson, AZ 85721, USA

<sup>6</sup> Marshall Space Flight Center, NASA, Huntsville, AL 35805, USA; E-Mail: jluvall@nasa.gov

\* Author to whom correspondence should be addressed; E-Mail: dlpeng@ceode.ac.cn; Tel.: +86-10-8217-8181; Fax: +86-10-8217-8177.

Received: 24 August 2013; in revised form: 29 September 2013 / Accepted: 8 October 2013 /

Published: 22 October 2013

---

**Abstract:** Juniper trees are widely distributed throughout the world and are common sources of allergies when microscopic pollen grains are transported by wind and inhaled. In this study, we investigated the spectral influences of pollen-discharging male juniper cones within a juniper canopy. This was done through a controlled outdoor experiment involving ASD FieldSpec Pro Spectroradiometer measurements over juniper canopies of varying cone densities. Broadband and narrowband spectral reflectance and vegetation index (VI) patterns were evaluated as to their sensitivity and their ability to discriminate the presence of cones. The overall aim of this research was to assess remotely sensed phenological capabilities to detect pollen-bearing juniper trees for public health applications. A general decrease in reflectance values with increasing juniper cone density was found, particularly in the Green (545–565 nm) and NIR (750–1,350 nm) regions. In contrast, reflectances in the shortwave-infrared (SWIR, 2,000 nm to 2,350 nm) region decreased from no cone presence to intermediate amounts (90 g/m<sup>2</sup>) and then increased from intermediate levels to

the highest cone densities (200 g/m<sup>2</sup>). Reflectance patterns in the Red (620–700 nm) were more complex due to shifting contrast patterns in absorptance between cones and juniper foliage, where juniper foliage is more absorbing than cones only within the intense narrowband region of maximum chlorophyll absorption near 680 nm. Overall, narrowband reflectances were more sensitive to cone density changes than the equivalent MODIS broadbands. In all VIs analyzed, there were significant relationships with cone density levels, particularly with the narrowband versions and the two-band vegetation index (TBVI) based on Green and Red bands, a promising outcome for the use of phenocams in juniper phenology trait studies. These results indicate that spectral indices are sensitive to certain juniper phenologic traits that can potentially be used for juniper cone detection in support of public health applications.

**Keywords:** juniper; hyperspectral; vegetation index; cones; ASD; phenology

---

## 1. Introduction

Junipers are woody coniferous plants belonging to the genus *Juniperus* of the cypress family Cupressaceae [1]. Since the late 1800s, juniper populations have been expanding rapidly, for example, in eastern Oregon, juniper canopy cover has increased by 80% from 1939 to 1988 [2–4]. Now, juniper tree species are widely distributed throughout the northern hemisphere, from the Arctic to tropical Africa and the mountains of Central America. In North America, juniper is found in western Alaska, throughout Canada, and northern parts of the USA, extending from the northern latitudinal limit of trees south to the mountains of California, Arizona and New Mexico [5,6].

Juniper woodlands are managed for a variety of purposes, including watershed health, fuelwood, pine nuts, Christmas trees, and wildlife habitat [6]. However, juniper trees are common sources of allergies when microscopic pollen grains are transported by the wind and inhaled, and pollen can travel long distances under certain weather conditions. These pollen allergens have strong cross-reactivity among allergic individuals and are major public health concerns in many parts of North America [7]. Thus, there is great interest in linking juniper canopy traits with pollen discharge occurrence for public health studies.

Some studies have observed the presence of flower petals to directly alter canopy-scale reflectances in certain wavelengths, resulting in lower values of the Normalized Difference Vegetation Index (NDVI), due to increases in reflected Red band reflectance from the flower petals and the masking of green foliage by the flower petals [8–11]. Using the AVHRR imagery from the NOAA-10 satellite, Peters *et al.* noted that the dense yellow flower mass from a short grass prairie reduced NDVI values by decreasing absorption at the Red wavelengths [10]. Shen *et al.* observed clear decreases in NDVI and Enhanced Vegetation Index (EVI) values from the Moderate Resolution Imaging Spectroradiometer (MODIS) due to the presence of yellow flowers in alpine meadows, and suggested that these effects be further studied through satellite-based phenology monitoring of vegetation that exhibit profuse seasonal blooms [12]. However, few studies have focused on the detection of pollen and pollen-discharging cones using remote sensing.

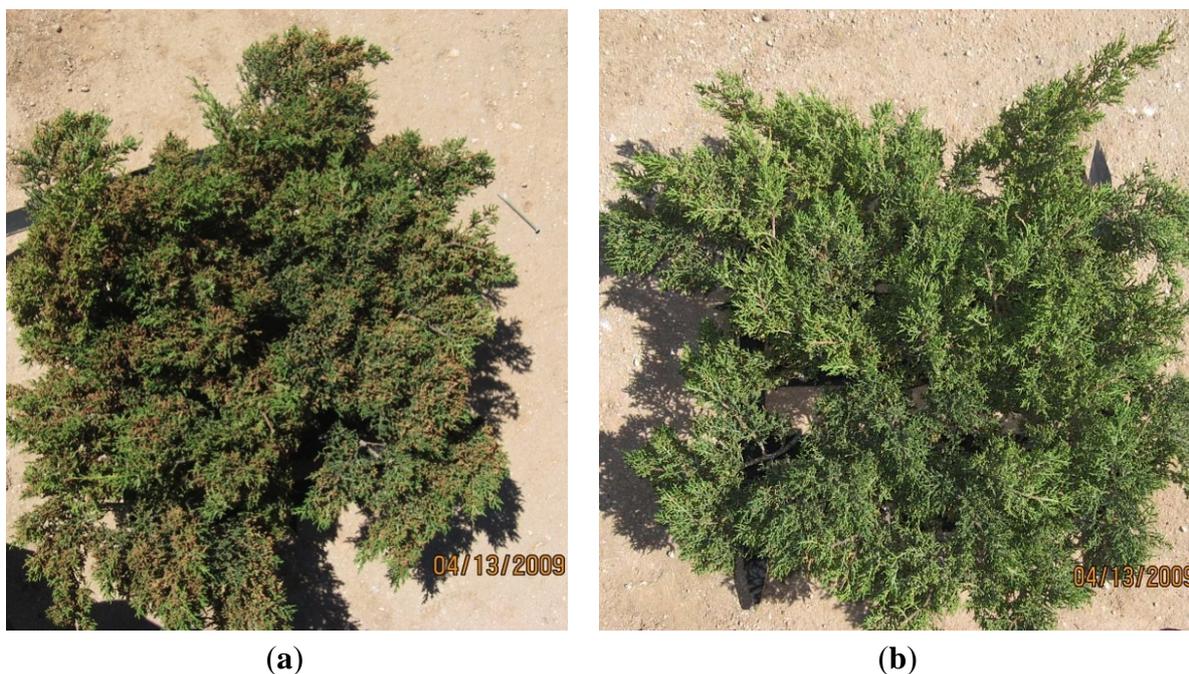
In this study, the presence of male juniper cones (the carrier or source of pollen) in juniper canopies was investigated with field-based spectroradiometers to explore the relationship between juniper cone density and remotely-sensed, narrowband and broadband spectral reflectances and vegetation indices (VIs).

## 2. Methods

### 2.1. Acquisition of Juniper Canopy Spectra

A set of fresh juniper (*Juniperus monosperma*) branch samples containing dense juniper cones were harvested from the Santa Catalina Mountains, near Tucson, Arizona (32.542°N, 110.710°W), and positioned over a black painted supporting frame with dimensions of 0.5 × 0.55 m (Figure 1a). In addition, we collected three soil backgrounds representing a bright (sandy) to dark (loam) brightness range. Juniper canopy spectral reflectance signatures were measured with an ASD FieldSpec Pro spectroradiometer that sampled every 1 nm, at 10 nm nominal spectral resolution, over a wavelength range from 350 to 2,500 nm, and a field of view (FOV) 18 degrees. In a controlled experimental setup, the ASD probe was mounted on a black bracket and fixed at a height of 1.5 m above the juniper canopy full of cones.

**Figure 1.** Juniper canopy (a) full of cones and (b) with no cones.



Juniper spectral reflectance measurements for variable cone densities were made under clear and sunny sky conditions between 13:00 and 15:30 local time. Each set of measurements consisted of one juniper cone density level with 10 replicate readings, and reference panel reading. The interval time for each measurement sequence was approximately 3–5 min. The 10 juniper canopies with three soil background replicates were averaged and followed by measurements over a white reference Spectralon panel for computation of reflectances.

After the complete set of measurements, we removed a portion of the cones from the juniper branches and repeated the juniper canopy spectra measurements with the new cone density level.

The juniper branches were gradually thinned of juniper cones until there were no visible cones remaining (see Figure 1b). For each sequence, the fresh weight of the removed juniper cones was determined. In this manner, measurements over 10 cone density levels were obtained. We weighed the cones with an analytical balance, and divided by the area of the bracket, resulting in the following measured cone density levels (rounded to nearest 10 g/m<sup>2</sup>), 200, 190, 180, 170, 150, 140, 120, 90, 50, and 0, respectively.

## 2.2. Analysis of Reflectances and Vegetation Indices

In this study, we analyzed the entire spectral reflectance signature for all juniper cone densities. To assess the capability of broadband sensors for juniper cone detection, we convolved the spectral reflectance data into the MODIS wavebands using the spectral response functions of the individual bands. A common multispectral analysis tool used for vegetation canopy studies is VIs, due to their relative simplicity and robustness [13]. Several VIs were then generated from the MODIS convolved broadband reflectances as well as narrowband equivalents to assess their sensitivity for juniper cone detection. The MODIS sensors on board the Terra and Aqua platforms, provide continuous, global, near-daily, coarse resolution measurements (250 m to 1 km resolution) of reflectances and VIs, optimized for vegetation monitoring [14]. MODIS data and products are publicly available at no cost and provide the capability to detect and monitor the distribution of juniper at global and regional scales [13,14]. These indices included the simple narrowband Two-Band Vegetation Index (TBVI) [15–17], NDVI [18] and EVI [19]. Previous studies indicated that the Green Normalized Difference Vegetation Index (GNDVI) [20] and Green to Red reflectance ratio (G/R) [21] are more sensitive to plant chlorophyll than NDVI; therefore, we also tested their sensitivity to juniper cone density.

$$TBVI_{ij} = \frac{\rho_j - \rho_i}{\rho_j + \rho_i} \quad (1)$$

where  $i, j = 1, \dots, N$ , where  $N$  is the number of narrow bands, and  $\rho$  is the reflectance of the narrow bands.

$$NDVI_{ij} = \frac{\rho_{NIRj} - \rho_{REDi}}{\rho_{NIRj} + \rho_{REDi}} \quad (2)$$

$$EVI_{ijk} = 2.5 \times \frac{\rho_{NIRj} - \rho_{REDi}}{\rho_{NIRj} + 6.0 \times \rho_{REDi} - 7.5 \times \rho_{BLUEk} + 1} \quad (3)$$

$$GNDVI_{jl} = \frac{\rho_{NIRj} - \rho_{GREENl}}{\rho_{NIRj} + \rho_{GREENl}} \quad (4)$$

$$G/R_{il} = \frac{\rho_{GREENl}}{\rho_{REDi}} \quad (5)$$

where  $i = 610$  nm to  $670$  nm for Red band,  $j = 760$  nm to  $898$  nm and  $1,215$  nm to  $1,278$  nm for NIR bands,  $k = 430$  nm to  $480$  nm for Blue band, and  $l = 500$  nm to  $570$  nm for the Green band.  $\rho_{RED}$ ,  $\rho_{NIR}$ ,  $\rho_{BLUE}$ , and  $\rho_{GREEN}$  are the narrowband and MODIS broadband reflectances of the Red, NIR, Blue, and Green, respectively. All possible indices were evaluated using Matlab software.

### 2.3. Variation Analysis of Juniper Canopy Spectra and Vegetation Indices

To depict spectral signature and VI differences across the juniper cone densities of this study, we used the percent relative change, as:

$$\text{Relative change(\%)} = \frac{X_{\text{cones}} - X_{\text{no cones}}}{X_{\text{no cones}}} \times 100\% \quad (6)$$

where  $X_{\text{cones}}$ ,  $X_{\text{no cones}}$ , are the reflectances (or VIs) of the juniper canopy with and without the cones, respectively.

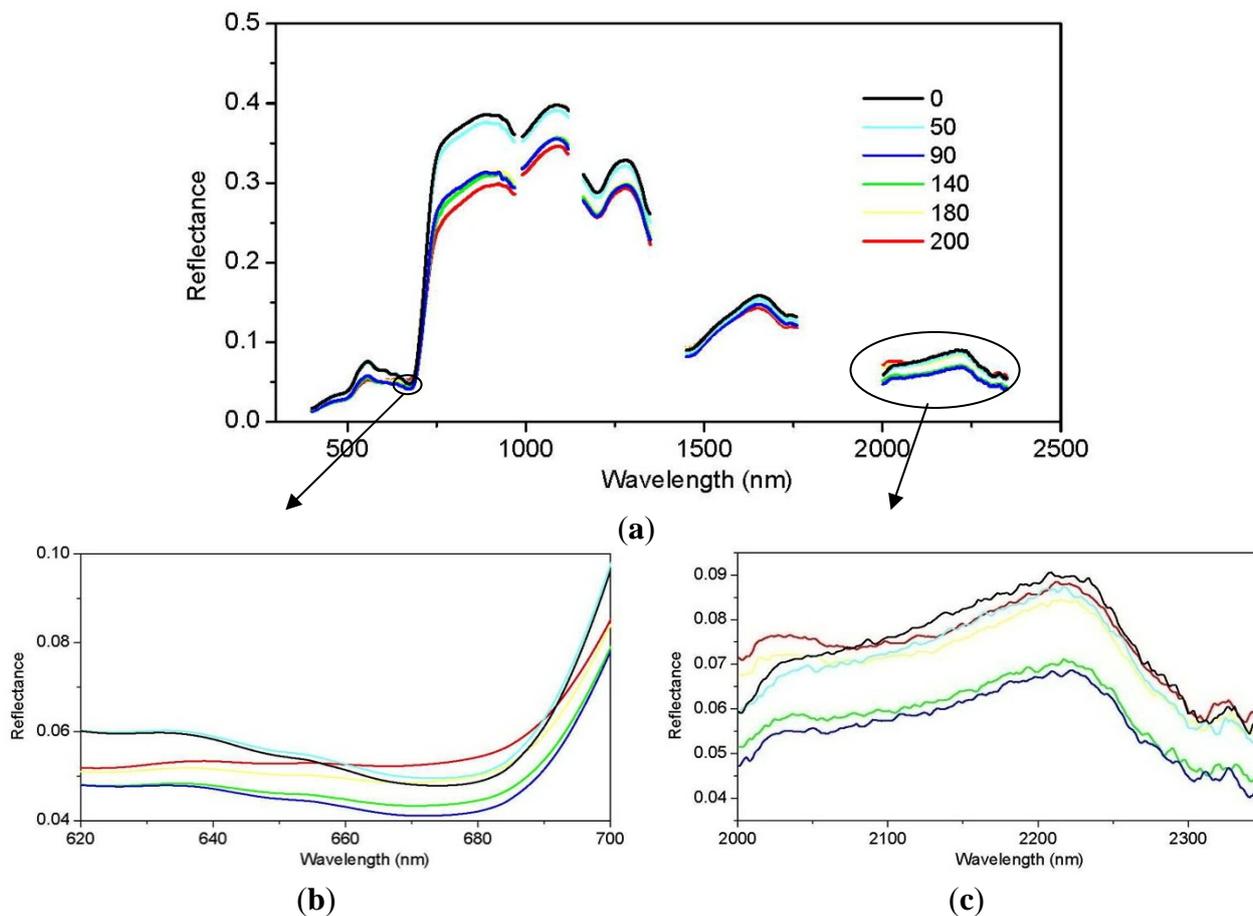
The coefficient of determination ( $R^2$ ), slope (S), and coefficient of variation (CV) were used to select the most sensitive bands (or VIs) to changes in juniper cone density.  $R^2$ , S, and CV are defined as follows:  $R^2$  is the square of the correlation coefficient between reflectance (or VIs) and the cone densities. S, or named gradient, was calculated by the change in the reflectance (or VIs) divided by the corresponding change in the cone densities, where the relationship between measured reflectances (or VIs) and the cone densities was linear, and no transformation for S calculation was required. Higher values of  $R^2$  and S indicate a more significant change of reflectance (or VIs) with cone densities. CV was defined as the ratio of the standard deviation of reflectance (or VIs) to their mean value, which showed the extent of variability in relation to mean of the reflectance (or VIs) caused by juniper cone changes. We calculated  $R^2$ , S and CV using the MATLAB software.

## 3. Results and Discussion

### 3.1. Juniper Canopy Spectral Signatures with Varying Cone Densities

In general, the juniper canopy reflectance signatures appear representative of typical green vegetation spectra, with high reflectances in the NIR region due to leaf-level mesophyll scattering, low reflectance in the Red region (around 670 nm) attributed to chlorophyll absorption, and a weaker “Green spectra” reflectance peak at around 550 nm (Figure 2) [12]. A general overall decrease in reflectance values with increasing juniper cone density was found, particularly in the Green (545–565 nm) and NIR (750–1,350 nm) regions. In contrast, reflectances in the shortwave-infrared (SWIR, 2,000 nm to 2,350 nm) region decreased from no cone presence to intermediate amounts (90 g/m<sup>2</sup>) and then increased from intermediate levels to the highest cone densities (200 g/m<sup>2</sup>) (Figure 2). SWIR reflectances in the 1,450–1,750 nm spectral region changed the least but generally decreased slightly with cone presence. Reflectance patterns in the Red (620–700 nm) were more complex and exhibited a critical value at approximately 655 nm in which the relatively flat reflectance profile from the highest cone density intersected the Red reflectance profile from the juniper canopy with no cones (Figure 2). This highlights the delicate contrast patterns in absorptance between cones and juniper foliage, where juniper foliage is more absorbing than cones only within the intense narrowband region of maximum chlorophyll absorption near 680 nm.

**Figure 2.** Juniper canopy spectral reflectance curves for variable cone densities (0, 50, 90, 140, 180, 200 g/m<sup>2</sup>). Only six of the cone density levels are shown in order to more clearly depict the reflectance signature shifts.

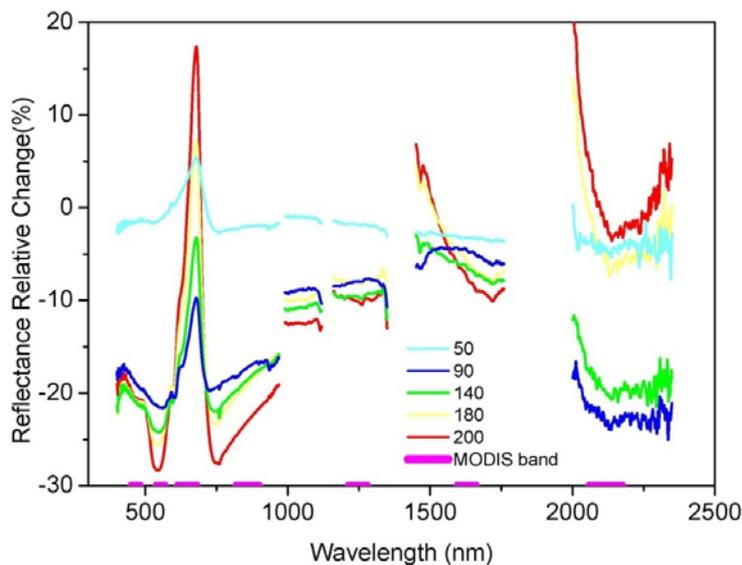


The percentage relative spectral reflectance changes, from the zero cone density case to the various cone density levels (Equation (6)), are shown in Figure 3. The most pronounced relative reflectance changes occurred in the visible spectral region and SWIR region beyond 2,000 nm (Figure 3). Visible reflectance changes were highly spectrally-dependent and most intense with the highest level of cones and varied from nearly  $-30\%$ , in the Blue, Green, and NIR, to  $+17\%$  in the Red region, relative to the juniper canopy without cones. The SWIR region beyond 2,000 nm resulted in nearly equivalent differences, ranging from  $-25\%$  to  $+15\%$ . The remaining spectral region, from  $\sim 1,000$  nm to 2,000 nm, varied the least with relative changes between  $-10\%$  and  $+5\%$ . Only small portions of the SWIR region, at 1,450–1,540 nm, 2,000–2,100 nm, and 2,270–2,350 nm, showed positive reflectance changes, which occurred only for the higher juniper cone densities (Figure 3).

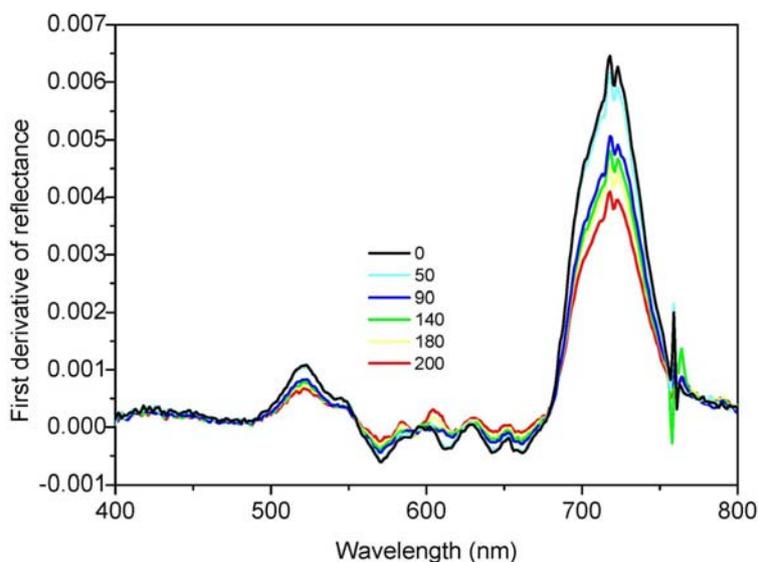
The first derivative of the juniper spectral signatures was derived to assess the contrast between the visible and NIR regions to evaluate changes to the “Red edge”, or Red to NIR slopes, for the various cone densities (Figure 4). This showed quite clearly the strong reduction in the strength and amplitude of the Red edge with increasing cone presence and density. Furthermore, there were no spectral wavelength shifts of the Red edge peak, indicating that variations in cone density had no effect on the health or the stress condition of the green canopy foliage [22,23]. This was an expected and useful outcome since the juniper canopy itself was constant and not subjected to different stress treatments.

There was also a smaller reduction in the Green edge peak, at 520 nm, indicating reductions in Green in contrast with the presence of cones (Figure 4).

**Figure 3.** Juniper canopy reflectance changes for various juniper cone densities (50, 90, 140, 180, 200 g/m<sup>2</sup>) relative to juniper with no cones. MODIS bandwidths and location are shown along the “x” axis.



**Figure 4.** First derivative reflectance spectra across the visible and Near Infrared (NIR) region for the juniper canopy with varying cone density levels.

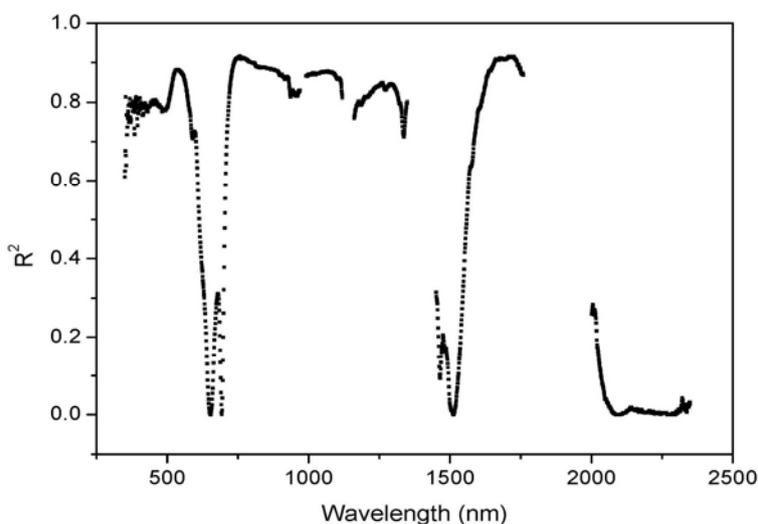


### 3.2. Comparisons of Narrow- and Broadband Sensitivity to Cone Density Variations

We compared narrow- and broadband reflectances to determine if fine-temporal resolution, but broadband sensor platforms (e.g., MODIS) is able to spectrally detect the reflectance patterns observed in our dataset. The R<sup>2</sup> of linear regression between spectral reflectances for all wavelengths and cone densities were shown in Figure 5. The Visible spectral region showed significant correlation ( $P > 0.001$ ) with cone density variations, as well as the NIR (about 700 nm–1,350 nm), and SWIR

(about 1,550 nm–1,770 nm) spectral regions. Broadband results were compared with narrowband equivalents through selection of the most sensitive narrowband based on maximum values of  $R^2$ ,  $S$ , and  $CV$  (Table 1). The most sensitive narrowband in the Red spectral range was 610 nm for all three measures of sensitivity; both 480 nm and 458 nm were the most sensitive in the Blue; 538 nm, 555 nm, and 546 nm were the most sensitive bands in Green; 760 nm and 768 nm was the most sensitive bands in NIR range; and 1,662 and 2,001 nm were the most sensitive bands in SWIR range.

**Figure 5.** The coefficient of determination ( $R^2$ ) of linear regression between spectral reflectances and cone densities.



**Table 1.** Narrowband positions resulting in the best measures of cone density, based on maximum values of  $R^2$ , slope ( $S$ ), and coefficient of variation ( $CV$ ), along with corresponding MODIS broadband for different spectral ranges.

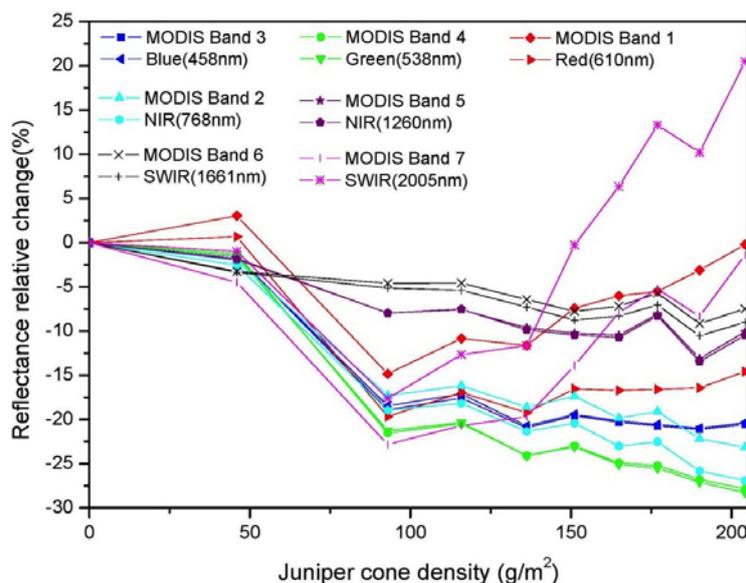
Band Ranges	$R^2$	$S$	$CV$
Blue (430–480 nm)	0.81 <sup>***</sup> (458 nm)	$7.1 \times 10^{-4}$ (480 nm)	0.10 (480 nm)
MODIS Band 3	0.80 <sup>***</sup>	$6.6 \times 10^{-4}$	0.09
Green (500–570 nm)	0.88 <sup>***</sup> (538 nm)	$2.1 \times 10^{-3}$ (555 nm)	0.13 (546 nm)
MODIS Band 4	0.87 <sup>***</sup>	$2.0 \times 10^{-3}$	0.12
Red (610–670 nm)	0.55 <sup>**</sup> (610 nm)	$8.7 \times 10^{-4}$ (610 nm)	0.09 (610 nm)
MODIS Band 1	0.03	$0.7 \times 10^{-4}$	0.06
NIR (760–898 nm)	0.91 <sup>***</sup> (768 nm)	$9.4 \times 10^{-3}$ (760 nm)	0.12 (760 nm)
MODIS Band 2	0.88 <sup>***</sup>	$8.5 \times 10^{-3}$	0.09
NIR (1215–1278 nm)	0.85 <sup>***</sup> (1,260 nm)	$3.6 \times 10^{-3}$ (1,262 nm)	0.05 (1,262 nm)
MODIS Band 5	0.84	$3.4 \times 10^{-3}$	0.04
SWIR (1597–1661 nm)	0.91 <sup>***</sup> (1,661 nm)	$1.8 \times 10^{-3}$ (2,001 nm)	0.13 (2,001 nm)
MODIS Band 6	0.86 <sup>***</sup>	$1.1 \times 10^{-3}$	0.03
SWIR (2000–2174 nm)	0.28(2,005 nm)	$1.7 \times 10^{-3}$ (2,000 nm)	0.13 (2,001 nm)
MODIS Band 7	0.01	$0.4 \times 10^{-3}$	0.09

Note: <sup>\*\*</sup> Significant at the  $p < 0.01$  level, <sup>\*\*\*</sup> Significant at the  $p < 0.001$  level.

The percent relative changes of MODIS-convolved broadband and corresponding narrowband (based on the best-fit  $R^2$  values) reflectance data are shown in Figure 6 for all juniper cone densities.

The reflectances in MODIS Bands 3–6 and corresponding narrowbands (458 nm, 538 nm, 1,260 nm, and 1,661 nm) both decreased with cone density with nearly indistinguishable patterns, although the 1,661 nm narrowband was slightly more sensitive than MODIS band 6 (Figure 6). The narrowband reflectances, 610 nm, 768 nm, and 2005 nm, showed more sensitivity to cone density variations than the equivalent MODIS Bands 1, 2, and 7, respectively. The greatest decrease in reflectances was observed from low to intermediate cone density levels (from 0 to ~100 g/m<sup>2</sup>, in all bands except for MODIS Band 5 and Band 6, which showed only slight decreases. At juniper cone densities higher than 100 g/m<sup>2</sup>, the decreasing trend in reflectances reversed to positive trends in MODIS Band 1 (Red) and Band 7 (SWIR2), and at the highest cone density level (200 g/m<sup>2</sup>), these two bands showed no relative change from the 0 g/m<sup>2</sup> cone juniper measurement. Reflectances also decreased (~15%) and then increased (~20%) for the corresponding narrow bands of 610 nm and 2,005 nm. On the other hand, the remaining MODIS bands continued their reflectance declines with increasing juniper cone densities for all levels analyzed in this study, while MODIS Band 3 became stable beyond 90 g/m<sup>2</sup> (Figure 6).

**Figure 6.** Relative changes in juniper canopy reflectance for different levels of juniper cone densities in MODIS Bands 1–7 and corresponding narrowband with the best-fit R<sup>2</sup> values.



Sensitivity to cone density variations between the narrowband and MODIS broadband reflectance as well as for narrow- and broadband VIs, was assessed through statistical measures, R<sup>2</sup>, S, CV (Tables 1 and 2). Small difference between narrow- and broadbands were observed for the Blue and Green bands, while for the Red band, the values of R<sup>2</sup>, S, and CVs at 610 nm were significantly higher than the equivalent MODIS broadband, which showed no significant correlation with juniper cone density changes despite a significant correlation ( $p < 0.01$ ) shown for the 610 nm narrowband. The overall comparisons showed that spectral sensitivity was greater in the narrowbands relative to the MODIS broadbands.

It was found that the narrowband versions of NDVI (670 nm, 760 nm), GNDVI (538 nm, 1,215 nm), and G/R (570 nm, 670 nm) were most sensitive to cone density variations among all VIs

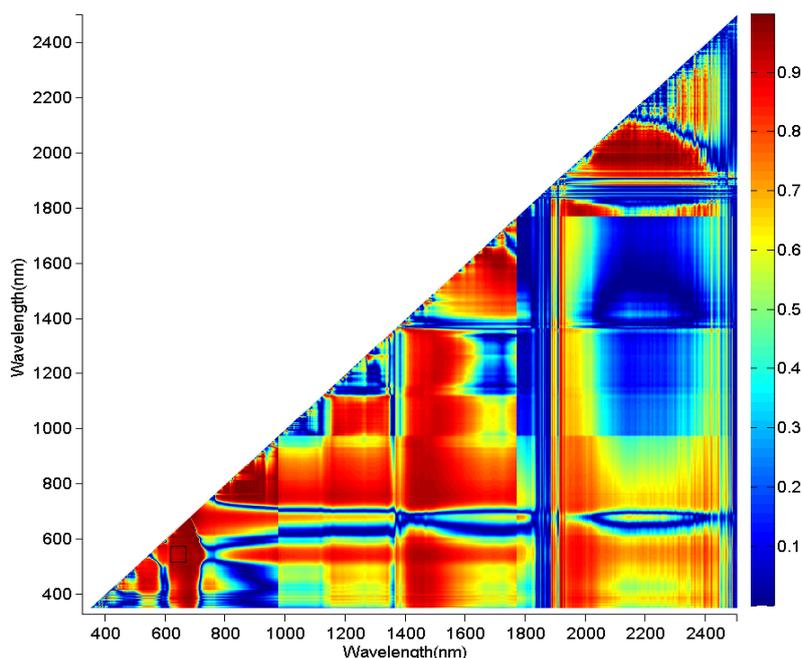
derived from different band combinations, although the narrowband EVI (432 nm, 670 nm, and 768 nm) differed little from the broadband EVI, with  $R^2$  of 0.98 and 0.97, respectively (see Table 2).

**Table 2.** Narrowband positions resulting in the maximum values of  $R^2$ , S, and CV, along with corresponding MODIS broadband for different vegetation indices. Narrowband TBVI was made with the region of MODIS Bands 4 and 1.

Vegetation Index	$R^2$	S	CV
Narrowband TBVI	0.97 <sup>***</sup> (502 nm, 670 nm)	$2.5 \times 10^{-2}$ (538 nm, 670 nm)	0.99 (547 nm, 638 nm)
MODIS TBVI	0.94 <sup>***</sup> (MODIS Band 4 and 1)	$1.9 \times 10^{-2}$ (MODIS Band 7 and 4)	0.79 (MODIS Band 7 and 4)
Narrowband EVI	0.98 <sup>***</sup> (432 nm, 670 nm, 768 nm)	$1.8 \times 10^{-2}$ (480 nm, 670 nm, 760 nm)	0.14 (480 nm, 670 nm, 760 nm)
MODIS EVI	0.97 <sup>***</sup>	$1.2 \times 10^{-2}$	0.09
Narrowband NDVI	0.89 <sup>***</sup> (670 nm, 760 nm)	$1.2 \times 10^{-2}$ (670 nm, 760 nm)	0.05 (670 nm, 760 nm)
MODIS NDVI	0.76 <sup>***</sup>	$0.6 \times 10^{-2}$	0.03
Narrowband GNDVI	0.86 <sup>***</sup> (538 nm, 1,215 nm)	$6.7 \times 10^{-3}$ (552 nm, 1215 nm)	0.04 (554 nm, 1,215 nm)
MODIS GNDVI	0.76 <sup>***</sup>	$2.0 \times 10^{-3}$	0.01
Narrowband G/R	0.98 <sup>***</sup> (570 nm, 670 nm)	$6.3 \times 10^{-2}$ (555 nm, 670 nm)	0.15 (546 nm, 670 nm)
MODIS G/R	0.96 <sup>***</sup>	$4.0 \times 10^{-2}$	0.11

Note: \*\*\* Significant at the  $p < 0.001$  level.

**Figure 7.** 2D correlation plots illustrating the coefficient of determination ( $R^2$ ) of linear regression between all possible Two-Band Vegetation Index (TBVI) and cone densities. The black square frame is the region of Green and Red corresponding to MODIS Bands 4 and 1.



The coefficient of determination ( $R^2$ ) of linear regression between all possible TBVI and cone densities was depicted in Figure 7. The best narrowband TBVI (692 nm, 648 nm) yielded two Red bands, which would normally be considered redundant, however, from Figure 2 it was observed that there are two distinct Red spectral regions in which juniper foliage and cones reverse their contrasts. This narrowband TBVI (692 nm, 648 nm) was the most sensitive index to cone density variations than any other VI analyzed in this study, with an  $R^2$  of 0.99. When the same TBVI analyses was made with the MODIS bands, the best two broadband were Bands 4 and 1 (Green, Red), as there was only 1 Red band available (Table 2). If we use the S and CV statistical criteria of sensitivity, then MODIS broadband TBVI calculated by MODIS Bands 7 and 4 was more sensitive to cone density variations which exceeded the narrowband TBVI (692 nm, 648 nm).

### 3.3. Relationship between Vegetation Indices and Juniper Cone Densities

In Figure 8a, the relationship of narrowband and broadband VIs with juniper cone density is shown for TBVI, NDVI, EVI, GNDVI, and G/R (Equations (1–5)). All indices, except narrow- and broadband GNDVI, exhibited negative relationships with cone density, with the G/R and EVI showing the strongest negative relationship, with  $R^2$  values of  $\sim 0.97$  for the G/R and EVI from narrowband and broadband ( $P < 0.001$ ). NDVI showed a relative weaker relationship ( $R^2 = 0.89$  and  $0.76$  for narrowband and broadband NDVI,  $P < 0.001$ ) compared with other indices, partly due to saturation of the NDVI signal caused by the dense juniper canopy, as shown in Figure 1. The regression relationship between juniper cone density and GNDVI, on the other hand, was slightly positive with a stronger coefficient of determination for narrowband compared with broadband GNDVI ( $R^2 = 0.86$  and  $0.76$ , respectively,  $P < 0.001$ ) (Figure 8a).

**Figure 8.** (a) Vegetation index and (b) Vegetation Index relative changeover different juniper cone densities, including MODIS broadband and narrow bands with the best-fit  $R^2$  values in Table 2.

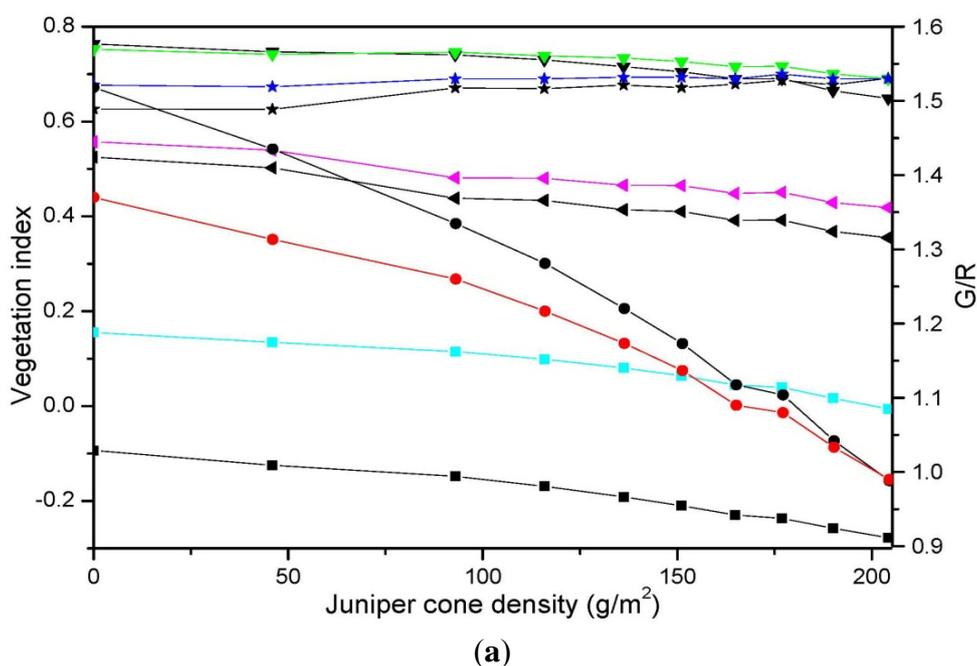
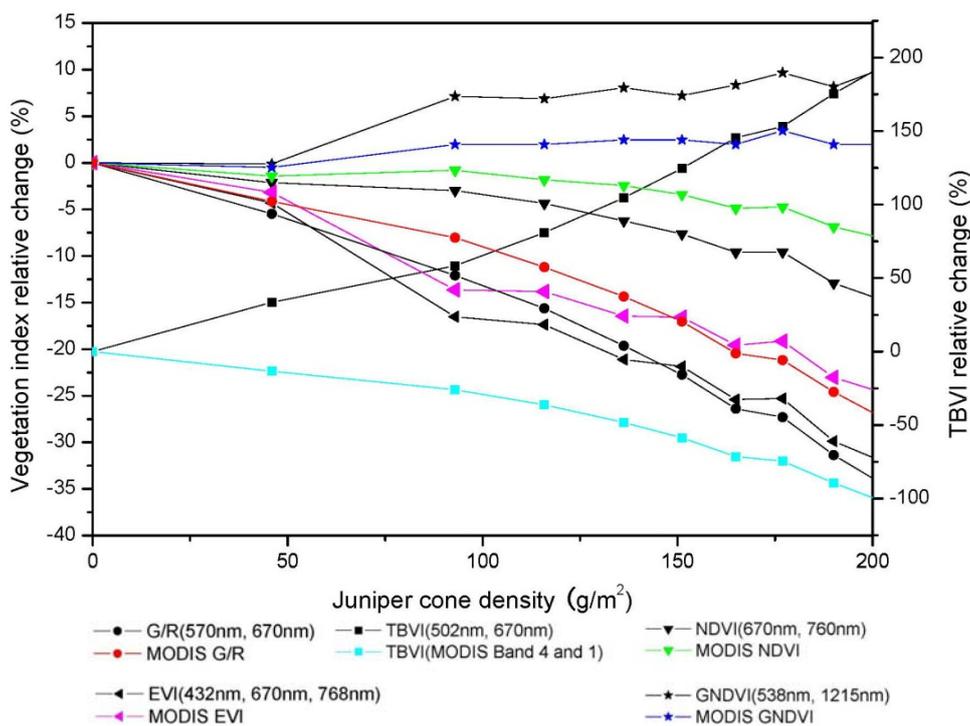


Figure 8. Cont.



(b)

The percent VI relative changes induced by juniper cones are depicted in Figure 8b. TBVI (502 nm, 670 nm), narrowband GNDVI (538 nm, 1,215 nm) and broadband MODIS GNDVI increased strongly, with the narrowband TBVI showing the largest variation of ~200%. The TBVI calculated from MODIS Bands 4 and 1 decreased ~100% with cone density. The other indices decreased as a result of juniper cone presence. The NDVI and GNDVI derived from MODIS broadbands showed less sensitivity to juniper cones decreasing by 8% and increasing by 2%, respectively. Narrowband EVI and G/R decreased by ~30% as a result of cone presence on the juniper canopy. The reflectance in the Green band decreased with higher cone densities, resulting in increasing GNDVI values. Based on the CV in Table 2 and the relative change in Figure 8b, all VIs derived from narrowbands had larger variation than those derived from MODIS broadbands, which can also be found in Figure 8b.

### 3.4. Future Studies

Although the analysis conducted in this study was based on in-situ hyperspectral data, our results provided important insights into the challenges of monitoring juniper cone presence and phenology at larger scales from satellite and airborne derived data, where spatial, temporal and spectral resolutions must be optimized and atmosphere correction available. Prior studies have considered the effects of plant flowers on VIs (such as NDVI and EVI) for monitoring vegetation status during the flowering season [12]. However, there have been no previous studies on the influence of cone presence and density.

This study suggests that it is potentially promising to employ satellite/airborne remote sensing spectral-based techniques to monitor juniper phenology stages associated with pre-budding, budding stage, and cone development. In particular spectral indices involving the Green and Red bands, especially the G/R ratio and TBVI, provide high sensitivity to cone presence and density. The MODIS

broadband Green-Red spectral indices were less sensitive than the narrowband versions, but nevertheless remained highly sensitive and useful for juniper phenology studies. Furthermore, narrowband and broadband sensitivities of the very useful Green band were equivalent and strongly sensitive at low and intermediate levels of cone density, which is very promising for early detection of cone development.

Although Juniper is an evergreen [24] species, further studies are needed to ensure there are no significant leaf-level phenological spectral changes prior to, and post cone appearance. However, other environmental factors (e.g., drought) may influence canopy reflectances, but these can be analyzed through comparisons to the juniper plants without cones. A Red edge band and first derivative analyses of hyperspectral data would distinguish between canopy spectral changes due to leaf stress from those due to cone presence, however, current sensor systems used in phenology studies (e.g., Landsat and MODIS) do not have sufficient spectral fidelity to do this. EO-1 Hyperion and future hyperspectral missions would be highly useful in this regard.

At landscape scale, Juniper woodlands are largely open canopies with fractional covers that typically range from 25% to 60% [25–27]. The cone spectral signal will be correspondingly diluted in moderate to coarse resolution satellite imagery, and there will be soil background and vegetation heterogeneity considerations. When the juniper tree coverage is low, the juniper cone signal will become much weaker than that of green part of the vegetation canopy, and there will be large uncertainties in retrievals of cone density. However, an important public health application is the detection of juniper cone presence and development, rather than accurate cone density estimates. Demonstrating the feasibility of predicting the strength of the pollen discharge period by estimating Juniper cone density levels would be valuable to the public health user community.

Further studies are also needed to explore other juniper cone species, the influence of soil background over varying juniper tree covers, as well as the influence of leaf phenology and environmental stress. The results presented here demonstrate the utility of visible color bands for juniper cone detection and thus we anticipate that simple camera based systems, such as phenocams, that can monitor daily spectral changes in Red, Green, and Blue channels will be quite valuable in juniper cone detection for early warning public health applications. Integrating satellite/airborne remote sensing techniques, phenocams, and field spectroradiometric measures of canopy and leaf spectra would provide valuable insights to resolve these issues.

#### **4. Conclusions**

In this study, in-situ juniper canopy reflectance spectra with 10 cone density levels were measured with a field-based ASD spectroradiometer over black, loam, and sandy soils. The reflectance values of the juniper canopy generally decreased at spectrally variable extents with the presence of juniper cones, especially in the Blue, Green, and Near Infrared (NIR) bands, while the Red and portions of the Shortwave Infrared (SWIR) showed mixed responses. The decreases of the reflectances in MODIS Blue, Green, NIR, SWIR bands (Bands 3–6) and corresponding narrowbands (458 nm, 538 nm, 1,260 nm, and 1,661 nm) were similar, although the narrowband versions were slightly more sensitive. However, the Red, NIR, and SWIR narrowband reflectances of 610 nm, 768 nm, and 2,005 nm were more sensitive to cone density variations than equivalent MODIS Bands 1, 2, and 7, respectively. The

largest decrease in reflectances was observed over the lower cone density levels, between 50 and 90 g/m<sup>2</sup>, in all bands except for MODIS Band 5 (1,230–1,250 nm) and Band 6 (1,628–1,652 nm). The reflectances in MODIS Band 1 (620–670 nm) and Band 7 (2,105–2,155 nm) at the highest cone density level (200 g/m<sup>2</sup>) showed nearly identical reflectances with the 0 g/m<sup>2</sup> cone juniper measurement, While for their corresponding narrow bands of 610 nm and 2,005 nm, where reflectances decreased ~15% and increased ~20%, respectively.

The Normalized Difference Vegetation Index (NDVI) and Enhanced Vegetation Index (EVI) displayed decreasing values with increasing cone densities. The Green Normalized Difference Vegetation Index (GNDVI) showed slightly increasing values with cone density levels, indicating an increasing contrast between these two bands with increasing cone density. In all VIs tested in this study, we found significant relationships with cone density levels, however, there were large differences in VI sensitivity to cones, with TBVI (502 nm, 670 nm) showing the strongest sensitivity, followed by moderate sensitivity with G/R and EVI, and weak sensitivity with the NDVI and GNDVI. The narrowband versions of VIs were generally more sensitive to cone density variations than the MODIS broadbands. These results demonstrate that certain VIs are useful in juniper phenology studies can be potentially exploited for detection of Juniper cone development prior to pollen discharge period.

### Acknowledgments

This study was funded by the National Natural Science Foundation of China No. 41201354, NASA Applications in Public Health grant (J. Luvall, P.I.), National Basic Research Program of China No. 2009CB723902, National High-tech R&D Program of China No: 2012AA12A301, and Hundred Talent Program of the Chinese Academy of Sciences of Wenjiang Huang No: Y24001101A. We also thank Bing Zhang from Key Laboratory of Digital Earth Science, Institute of Remote Sensing and Digital Earth, Chinese Academy of Sciences, for his help with several constructive comments.

### Conflicts of Interest

The authors declare no conflict of interest.

### References

1. Brenzel, K.N. *Sunset Western Garden Book*; Oxmoor House: Birmingham, AL, USA, 1995; pp. 606–607.
2. Steven, L.P.; Tamzen, K.S. Development of GIS-based models to predict plant community structure in relation to western juniper establishment. *For. Ecol. Manage.* **2008**, *256*, 981–989.
3. Gedney, D.R.; Azuma, D.L.; Bolsinger, C.L.; McKay, N. *Western Juniper in Eastern Oregon*. General Technical Report PNW-GTR-464; United States Department of Agriculture: Twin Cities, MN, USA, 1999.
4. Miller, R.F.; Bates, J.D.; Svejcar, T.J.; Pierson, F.B.; Eddleman, L.E. *Biology, Ecology, And Management of Western Juniper*; Technical Bulletins 152; Agricultural Experiment Station, Oregon State University: Corvallis, OR, USA, 2005.

5. Jose' Carlos, M.R. Diversity of maritime juniper woodlands. *For. Ecol. Manage.* **2004**, *192*, 267–276.
6. Alice, C.M. Use of pinyon-juniper woodlands by bats in New Mexico. *For. Ecol. Manage.* **2005**, *204*, 209–220.
7. Johnsona, R.M.; Barnes, C.; Dhar, M.; Portnoy, J. Increase in midwestern airborne juniper pollen over 13 years. *J. Allergy Clin. Immun.* **2010**, *125*, 25.
8. Behrens, T.; Muller, J.; Diepenbrock, W. Utilization of canopy reflectance to predict properties of oilseed rape (*Brassica napus* L.) and barley (*Hordeum vulgare* L.) during ontogenesis. *Eur. J. Agron.* **2006**, *25*, 345–355.
9. Ge, S.; Everitt, J.; Carruthers, R.; Gong, P.; Anderson, G. Hyperspectral characteristics of canopy components and structure for phenological assessment of an invasive weed. *Environ. Monit. Assess.* **2006**, *120*, 109–126.
10. Peters, A.J.; Reed, B.C.; Eve, M.D.; McDaniel, K.C. Remote sensing of broom snakeweed (*Gutierrezia sarothrae*) with NOAA-10 spectral image processing. *Weed Technol.* **1992**, *6*, 1015–1020.
11. Verma, K.S.; Saxena, R.K.; Hajare, T.N.; Kharche, V.K.; Kumari, P.A. Spectral response of gram varieties under variable soil conditions. *Int. J. Remote Sens.* **2002**, *23*, 313–324.
12. Shen, M.; Chen, J.; Zhu, X.; Tang, Y. Yellow flowers can decrease NDVI and EVI values: Evidence from a field experiment in an alpine meadow. *Can. J. Remote Sens.* **2009**, *35*, 99–106.
13. Peng, D.; Huete, A.R.; Huang, J.; Wang, F.; Sun, H. Detection and estimation of mixed paddy rice cropping patterns with MODIS data. *Int. J. Appl. Earth Obs. Geoinf.* **2011**, *13*, 13–23.
14. Huete, A.R.; Didan, K.; Miura, T.; Rodriguez, E.P.; Gao, X.; Ferreira, L.G. Overview of the radiometric and biophysical performance of the MODIS vegetation indices. *Remote Sens. Environ.* **2002**, *83*, 195–213.
15. Thenkabail, P.S.; Smith, R.B.; De-Pauw, E. Evaluation of narrowband and broadband vegetation indices for determining optimal hyperspectral wavebands for agricultural crop characterization. *Photogramm. Eng. Rem. Sens.* **2002**, *68*, 607–621.
16. Thenkabail, P.S.; Smith, R.B.; De-Pauw, E. Hyperspectral vegetation indices for determining agricultural crop characteristics. *Remote Sens. Environ.* **2000**, *71*, 158–182.
17. Thenkabail, P.S.; Mariotto, I.; Gumma, M.K.; Middleton, E.M.; Landis, D.R.; Huemrich, K.F. Selection of hyperspectral narrowbands (HNBs) and composition of hyperspectral two-band vegetation indices (HVIs) for biophysical characterization and discrimination of crop types using field reflectance and Hyperion/EO-1 data. *IEEE J. Sel. Top. Appl. Earth Obs. Remote Sens.* **2013**, *6*, 427–439.
18. Rouse, W.; Haas, R.H.; Schell, J.A.; Deering, D.W. *Monitoring Vegetation Systems in the Great Plains with ERTS*; NASA SP-351; NASA: Washington, DC, USA, 1974.
19. Jiang, Z.Y.; Huete, A.R.; Didan, K.; Miura, T. Development of a two-band enhanced vegetation index without a blue band. *Remote Sens. Environ.* **2008**, *112*, 3833–3845.
20. Gitelson, A.A.; Kaufman, Y.J.; Merzlyak, M.N. Use of a green channel in remote sensing of global vegetation from EOS-MODIS. *Remote Sens. Environ.* **1996**, *58*, 289–298.
21. Hunt, E.R., Jr.; Williams, A.E.P. Detection of flowering leafy spurge with satellite multispectral imagery. *Rangel. Ecol. Manage.* **2006**, *59*, 494–499.

22. Stone, C.; Chisholm, L.; Coops, N. Spectral reflectance characteristics of eucalypt foliage damaged by insects. *Aust. J. Bot.* **2001**, *49*, 687–698
23. Carter, G.A.; Knapp, A.K. Leaf optical properties in higher plants: Linking spectral characteristics to stress and chlorophyll concentration. *Am. J. Bot.* **2001**, *88*, 677–684.
24. Skopec, M.M.; Dearing, M.D. Differential expression and activity of catechol-O-methyl transferase (COMT) in a generalist (*Neotoma albigula*) and juniper specialist (*Neotoma stephensi*) woodrat. *Comp. Biochem. Physiol. C* **2011**, *154*, 383–390.
25. Landon, D.B.; Peter, V.W.; Harrington, W.; Estelle, L. Predicting and quantifying pollen production in *Juniperus Ashei* forests. *Phytologia* **2012**, *94*, 417–438.
26. Huang, C.Y.; Asner, G.P.; Martin, R.E.; Barger, N.N.; Neff, J.C. Multiscale analysis of tree cover and aboveground carbon stocks in pinyon-juniper woodlands. *Ecol. Appl.* **2009**, *19*, 668–681.
27. William, H.R.; Craig, D.A.; John, D.B.; William, L.B.; Brandon, T.B.; Peter, M.B.; Karen, S.E.; Lisa, M.F.; David, W.H.; Brian, F.J.; *et al.* Historical and modern disturbance regimes, stand structures, and landscape dynamics in piñon-juniper vegetation of the Western United States. *Rangel. Ecol. Manage.* **2009**, *62*, 203–222.

© 2013 by the authors; licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution license (<http://creativecommons.org/licenses/by/3.0/>).