A class loading sensitive approach to detection of runtime type errors in component-based Java programs

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Abstract
Context: The employment of class loaders in component-based Java programs may introduce runtime type errors, which may happen at any statement related to class loading, and may be wrapped into various types of exceptions raised by JVM. Traditional static analysis approaches are inefficient to detect them.

Objective: Our previous work proposed a semi-static detection work based on points-to analysis to detect such runtime type errors. In this paper, we extend previous work by referencing the information obtained from class loading to detect runtime type errors in component-based Java programs, without the need to running them.

Method: Our approach extends the typical points-to analysis by gathering the behavior information of Java class loaders and figuring out the defining class loader of the allocation sites. By doing that, we obtain the runtime types of objects a reference variable may point to, and make use of such information to facilitate runtime type error detecting.

Results: Results on four case studies show that our approach is feasible, can effectively detect runtime errors missed by traditional static checking methods, and performs acceptably in both false negative test and scalability test.

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1. Introduction

With the increasing adoption of component-based software development (CBSD) as a mainstream approach of software engineering [23], Java programs have been the most prevalent software in Web world, such as Servlet/JSP, EJBs, OSGi-based programs, and so on. Error detections of Java programs are invaluable for their comprehensive application, while type checking is one of such detection mechanisms. Type checking for Java can be done statically or dynamically. Type-related defects missed by Java compilers and captured by JVM’s runtime type checking are conventionally named runtime type errors [12]. Such errors are very common in Java program, such those caused by unsafe casts [18].

Runtime type errors are usually apt to occur in component-based Java programs for the following reasons. First, in component-based Java programs, component containers, like web application servers and OSGi frameworks, different custom class loaders are often allowed, and classes are defined at runtime [14]. For example, in OSGi-based programs, classes in each bundle (OSGi-compliant component) are defined by the bundle’s class loader [21]. Nevertheless, in Java web systems, classes of the web application and those of its hosting application server are also defined by different class loaders. The inconsistent of class loaders in classes loading contributes in the majority of runtime errors in Java. In this paper, we will focus on these scenarios and give our solution to detect this kind of runtime errors.

Second, it is very common that in a component-based Java program, components may contain same-named classes.① For example, JOnAS 5.2.0, an OSGi-based Java EE application server, has 77 bundles, which are active in execution, and there are 105 distinct class names② of which each is owned by more than one class. Same-named classes usually result from the extensive use of application frameworks and third-party libraries. The instance of a class or its subclass created in one component may propagate to other

① Also known as duplicated classes.
② When we mention class name, we mean its fully qualified name, which includes the name of the Java package containing the class.
components that contain a class of the same name. Since same-
named classes in different components are defined by different class
loaders and thus represent different runtime types [12], the propa-
gation may cause some reference variables to point to objects with
wrong runtime types. Compilers, which focus more on static types,
cannot detect this kind of. In realities, such errors are mostly found
by JVM as runtime errors and handled as exceptions, such as Class-
CastException and ArrayStoreException.

Eliminating same-named classes will prevent this kind of
errors. A brute force solution is to delete those classes until there
is only one class left for each class name. However, this approach
may have undesired results. Same-named classes may have sepa-
rate implementations, because they may come from different
third-party libraries, and each of them may have a set of static
fields, which take effect when loaded. If only one such class is per-
mitted to be loaded, the semantics of the program may be dam-
aged. Avoiding such errors by coding standards or best practices,
for example, prohibiting the instances of same-named classes (or
their subclasses) to be propagated beyond their own components,
is also impractical. First, which classes will become the same-
named classes are usually not known until those components are
integrated together, especially when many third-party libraries
are integrated at the same time. Second, components may come
from various vendors and constraint these vendors comply with
the same coding standard is also ineffective. Third, some third-
party components are casually migrated from legacy code, such as
the official OSGi-compliant log4j [1,2,16], which imports and
exports many Java packages and the clarity of component
interface is sacrificed. Exceptions caused by runtime type errors
may occur at almost every possible position of the program, ren-
dering writing exception handling code to recover from these
errors can be very hard [18].

Statically detecting runtime type errors will help programmers
find out faults at early stage and enables corresponding remedies.
There have been some works using static analysis to detect run-
time type errors caused by unsafe casts [16,17,24,30]. However,
these works do not consider runtime type discrepancies caused
by class loaders and thus cannot detect runtime type errors
effectively.

In our previous work [33], we propose a class loading sensitive
approach based on points-to-analysis [9] to detect runtime type
errors in component-based Java programs. We invoke class loaders
provided by component containers to get their behavior and figure
out the defining class loader of the allocation sites [15]. Then the
runtime types of objects a reference variable may point to during execution. In points-to analysis, object is usu-
ally mapped to a superset of the objects that it
may point to and the classes referred to in the code of its methods and so on.

2.1. Class loading in Java

In Java, all the classes are loaded into JVM by class loaders [14]
at runtime. Class loaders are also Java objects (except for the boot-
strap class loader provided by JVM which is used to load some core
classes of Java Runtime Environment). A class loader may delegate
to another class loader to look for a class: after several (may be
zero) delegations, one class loader will finally load the class by
itself. The class loader, which is requested for loading a class (by
passing the class name as parameter), is called the initiating class
loader of the class, and the one, which loads the class by itself after
delegations, is called the defining class loader of this class; the two
class loaders may be same. A runtime class is identified both by
its class name and its defining class loader, therefore two runtime
classes must not be the same if they have different defining class
loaders, even if they had the same name or were created from the
same class file.

Java programmers may create their own custom class loaders,
and component containers usually also create several class loaders
for themselves and for components hosted in them. As a result, in a
Java runtime environment, there may exist several class loaders
besides those provided by JVM.

A class usually has a lot of symbolic references to other
classes, such as its super class, classes included in its field types and
the classes referred to in the code of its methods and so on.
The defining class loader of one class will initiate the loading of these
referred to classes when needed.

2.2. Type error detection

Static detection of type errors in a program can be conducted by
checking whether reference variables in the program may point to
objects, which do not have correct types, using points-to analysis.
Some work uses points-to analysis to check the safety of casts [16].
Points-to analysis for Java computes a points-to relation that
maps each reference variable to a superset of the objects that it
may point to during execution. In points-to analysis, object is usu-
ally abstracted to allocation site (the location of “new” statement
for creating this object). When a program is running, an allocation site
may be passed several times along the execution trace and many
objects may be created but of the same type. Thus, the
abstraction of objects to allocation sites will satisfy the need of
checking for type errors.

2.3. The OSGi framework

We take OSGi as our case of Java component model and
framework.

An OSGi-based program consists of several bundles interacting
with each other. Fig. 1 shows the architecture of an OSGi-based

4 These references only provide names of the classes they refer to, so they are
symbolic.
The class loading scheme of Java, especially that of OSGi, is not easy for junior programmers to master and use. For example, we realize the exception handling and multi-threading cases. When writing component-based programs, some Java programmers may casually believe that same-named classes represent the same type, causing runtime type errors more often to happen.

### 3.3. Our basic idea

Static types do not distinguish between same-named classes, i.e., considering them to be identical, and it is also the case when figuring out subclass relationships. Java compilers only check static types, so the code in Section 3.1 compiles successfully. However, since the defining class loader is introduced, same-named classes in different components will not be always identical and some static subclass relationships will not be valid at runtime. This kind of runtime type errors is caused by the "dynamic part" (defining class loaders) of runtime types.

Runtime type errors partially depend on the behavior of the class loaders. For example, which runtime class will be the super-class of a runtime class is decided by the latter's defining class loader, and whether two runtime classes have subclass relationship may determine whether there will be runtime type errors. For example, by referencing the class loader information in Fig. 2, a programmer can easily distinguish the same-named classes. However, Java compiler or static analysis cannot detect runtime type errors caused by defining class loaders generally.

### 3.4. Challenges

In this motivation example, we have shown that the behavior of class loaders provided by component containers is useful in detecting runtime type error. However, we also foresee some challenges. For example, how to integrate the use of class loader information with points-to analysis mechanism in detecting runtime type errors is not trivial. Also, the OSGi specification specifies a class loading mechanism, and servlet container Tomcat also has a...
description of its class loaders. A well-designed detecting process will be elaborated on in the next section.

4. Our detection process

Previous points-to analysis approaches solely consider static types and cannot effectively detect runtime type errors caused by the “dynamic part” of runtime types. To detect this kind of errors, we also need to consider defining class loaders in points-to analysis.

4.1. Problem settings and typical points-to analysis

We first follow Andersen’s [1] points-to analysis for Java [19] to give the basic problem settings, and then present our detection process. Here, $R$ contains all the reference variables in the program under analysis, $O$ contains all the allocation sites, and $F$ contains all the fields of the program’s classes. A typical detection process gradually constructs a points-to graph. For example, a points-to graph [19] example is shown in Fig. 4. Points-to graph contains two kinds of edges: edge $(r, o_i) \in R \times O$ denotes variable $r$ may point to allocation site $o_i$; edge $((o_j, f), o_q) \in (O \times F) \times O$ denotes the field $f$ of allocation site $o_j$ may point to allocation site $o_q$. The goal is to find sites having suspicious type error in the graph.

Statements in the program under analysis may cause the propagation of object references, which is depicted by the transition function $f$. Table 1 shows the transition functions for five common statements, in which $G$ is the points-to graph (its edge set); $P_t$ is the function which retrieves the set of pointed to allocation sites (points-to set) for reference variable or field of allocation site; and the function $\text{dispatch}$ determines which method will be actually called, given an allocation site pointed to by the target variable of a virtual call statement and a method signature. Most of these transition functions have intuitive names. For example, for the direct assignment case, the transition function creates points-to edges from $l$ to all the allocation sites pointed to by $r$. For the virtual call case, the transition function depicts the propagation of allocation sites via parameters and return values. Other statements, like static method calls, can be treated analogously. Furthermore, some complex statement may be equivalently transformed into several simpler statements to fit transition functions. For example, $l \cdot f_1 = r \cdot f_2$ can be transformed to $v = r \cdot f_2$ followed by $l \cdot f_1 = v$, in which $v$ is a temporary variable.

The process of points-to analysis begins with an empty $G$, and iterates over program statements, replacing $G$ with the result of the transition function, i.e. $G \rightarrow f(G, \text{stmt})$, until $G$ stops becoming larger.

4.2. Referencing class loader information

We invoke class loaders provided by component containers to get the runtime types of allocation sites.

4.2.1. Assumptions

We make two assumptions for the class loaders we pay attention to. First, the loading process initiated by these class loaders must be terminable. Second, the behavior of these class loaders is deterministic, i.e. different invocations of “loadClass” at different time with the same parameter will return the same result (return the same runtime class or raise the same exception).

In Section 5.5, we cite OSGi and JVM documents to show that the two assumptions almost hold.

4.2.2. Loading function

Under the above assumptions, we invoke the class loaders to get their behavior for static analysis by requesting them to load classes and using AOP techniques to get the resulting defining class loader without actually loading the class into JVM. Since the program is not deployed and run, our method is deemed static analysis.

We model the behavior of loading function as follows:

\[
\text{load}: \text{String} \times \text{ClassLoader} \rightarrow \text{ClassLoader}
\]

This function gets a class name and a class loader, and returns the defining class loader of the loaded class when the argument class loader initiates the loading process for the class name. If the loading process fails, null will be returned. Bootstrap class loader is not an ordinary Java object, and will be represented by null in JVM.

Note that in the loading function, we use an object different from any other class loaders to represent bootstrap class loader, to differentiate from the case of loading failure.

4.2.3. Extending typical points-to analysis

We notice that same-named classes defined by different class loaders are different. Further, the reference variables declared in different runtime classes (fields and local variables) are also different. Therefore, we attach a runtime class $C$ to every reference variable to differentiate between variables in same-named classes defined by different class loaders. We thus attach a defining class loader to every allocation site; with which we are able to obtain its runtime type, since the static type of an allocation site can be easily retrieved. After that, the edge $(r, o_i)$ in points-to graph will be extended to $(r, C_i, (o_j, C_l))$, which denotes the reference that variable $r$ in runtime class $C_i$ may point to allocation site $o_j$ with defining class loader $C_l$. Here, edge $((o_j, f), o_q)$ will be extended to $(o_j, C_l, f_j, (o_q, C_{l2}))$, which denotes the field $f$ of allocation site $o_j$ with defining class loader $C_l$ may point to allocation site $o_q$ with
defining class loader $CL_2$. An example of extended points-to graph using the same notations is shown in Fig. 5.

The extension of transition functions is shown in Table 2. We add an argument $C$ to transition functions to denote the runtime class in which the argument statement resides. For the object creation case, the defining class loader of $C$ will be used to find referred classes, according to JVM’s resolution mechanism [3]. So we use loading function to determine the defining class loader of allocation site $o_i$ ($C_{def/CL}$ is the defining class loader of $C$). The virtual call case involves parameter passing between classes, and we make a special transition function $f$ to deal with it. Here, function dispatch uses runtime subclass relationship to find the actually invoked method and gets the runtime class in which the method is declared, which can be easily achieved since loading function can be used to determine the runtime super class of a class. We have modified the representation of points-to graph and transition functions. Note that the process of analysis is not affected.

With such extended points-to analysis, the runtime type of allocation sites pointed to by a reference variable can be retrieved.

4.3. Detecting runtime type errors

With the extended points-to analysis, we can check whether a variable may point to wrong-typed allocation sites. However, in the actual execution of Java programs, JVM will not raise exception even if some variables points to objects with wrong runtime types. For example, in the code shown in Section 3.1, if we delete line 17 in Fig. 3, the program will not raise exception. Actually, the previous line of code will cause the baseVar variable to point to a wrong-typed object. This is the consequence of JVM’s bytecode verification mechanism. JVM pays much attention to whether a local variable points to a wrong-typed object only at some points [2] (for example, when the variable is to be assigned to a field or returned by a method).

To solve the above problem, we statically simulate the bytecode verification mechanism of JVM by choosing some statements or some fragment of statements that may cause JVM to raise exceptions to check. This enables us to know which kinds of exception may be raised from the detected runtime type errors and which statements may actually cause exceptions.

We first use Java static analysis framework Soot [27] to convert the program under analysis to jimple intermediate code [28]. Jimple has only 15 kinds of statements, which makes the analyzer program simpler to implement. With such jimple code representation, there are only six cases to check for runtime type errors.

4.3.1. Instance field referring $(v.field)$

Here we check if local variable $v$ may point to wrong-typed allocation sites; we describe the criteria as a logical formula:

$$\exists (o_i, CL_1) \in pto((v, C)) \land \neg((o_i, CL_1) : (v, C).type)$$

In which $(x, C).type$ is the runtime type of local variable $v$ in runtime class $C$ ($v$ is declared in $C$’s method); the defining class loader part in the type can be obtained by loading function, i.e. $load\langle xclasstypename, C_{def/CL}\rangle$, where $xclasstypename$ is the class name included in $v$’s static type. $(o_i, CL_1) : (x, C).type$ denotes $(o_i, CL_1)$ is an instance of $(x, C).type$, resembling the instanceof operator in Java. $pto((v, C))$ is the points-to set of $(v, C)$ obtained by the points-to analysis. If the formula evaluates to true, we get a runtime type error and give an warning.

4.3.2. Field writing $(v_i.field = v_j)$

This case includes writing to instance field and static field, we only take the former as an example here and the latter is alike. Here we check if the well-typedness of field may be spoiled by the assignment. The formula is:

$$\exists (o_i, CL_1) \in pto((v_i, C)) \land \neg((o_i, CL_1) : (v_i.field, C).type)$$

In which $(v_i.field, C).type$ is the runtime type of field which is declared in the class included in $(v_i, C).type$.

4.3.3. Array storing $(a[ind] = v)$

Here we check if the well-typedness of array elements may be spoiled by assignment. The formula is:

$$\exists (o_i, CL_1) \in pto((v, C)) \land \neg((o_i, CL_1) : (a[ind], C).type)$$

In which $(a[ind], C).type$ is the element type of array $a$.

4.3.4. Type casting $(\langle T \rangle v)$

Here we check if the cast may be illegal. The formula is:

$$\exists (o_i, CL_1) \in pto((v, C)) \land \neg((o_i, CL_1) : \langle T, CL_2 \rangle)$$

In which $(T, CL_2)$ is the runtime type of $T$, and $CL_2 = load\langle T, C_{def/CL}\rangle$.

4.3.5. Method calling $(v_0.m(v_1, v_2, \ldots \ldots))$

Here we check if the calling target (static method call does not have a target) and parameters may point to wrong-typed allocation sites. The formula is:

$$\exists v \in \{v_0, v_1, v_2, \ldots \ldots\} \land (o_i, CL_1) \in pto((v, C)) \land \neg((o_i, CL_1) : (v, C).type)$$

### Table 2

<table>
<thead>
<tr>
<th>Statement</th>
<th>Transition function</th>
</tr>
</thead>
<tbody>
<tr>
<td>Object creation: $l = \text{newCN}$</td>
<td>$f(G, l, s; l = \text{newCN}, C) = G \cup {((l, C), (o_i, load\langle CN, C\rangle))}$</td>
</tr>
<tr>
<td>Direct assignment: $l = r$</td>
<td>$f(G, l, r; C) = G \cup {((l, C), (o_i, CL_1) : (0, CL_1) \in P(G, (r, C)))}$</td>
</tr>
<tr>
<td>Field writing: $l = f = r$</td>
<td>$f(G, l, r; C) = G \cup {((l, C), (f, (0, CL_1), (o_i, CL_1)) : (0, CL_1) \in P(G, (r, C)))}$</td>
</tr>
<tr>
<td>Virtual call: $l = r_0, m(r_1, \ldots, r_n)$</td>
<td>$f(G, l, t, m(r_1, \ldots, r_n), C) = G \cup {\text{resolve}(G, m, (o_i, CL_1), r_1, \ldots, r_n, l, C, (o_i, CL_1) \in P(G, (r_0, C)))}$</td>
</tr>
<tr>
<td>Field writing: $l = r_0, m(r_1, \ldots, r_n)$</td>
<td>$f(G, l, t, m(r_1, \ldots, r_n), C) = G \cup {\text{resolve}(G, m, (o_i, CL_1), r_1, \ldots, r_n, l, C, (o_i, CL_1) \in P(G, (r_0, C)))}$</td>
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</tr>
</tbody>
</table>
4.3.6. Method returning (return v)
Here we check if local variable v may point to wrong-typed allocation sites. The formula is:
\[ \exists (o, CL) (o, CL) \in pto((v, C)) \land \neg ((o, CL) : (v, C).type) \]

4.4. Complexity and other issues
For component-based Java programs, CdefCL in the transition function for the object creation cases is usually decided by the location of the class file. At the same time, the classes in a bundle are defined by its class loader, according to the specification of OSGi.

Our extension to points-to analysis increases the time and space complexity of the analysis. Since there are a lot of points-to analysis implementations and each implementation has its own complexity, we may not give a precise complexity formula. For an extended points-to-graph, the reference variables in same-named classes may be distinguished by the defining class loaders of their belonging containing classes, and the number will not exceed the number of reference variables contained in these same-named classes. On the other hand, the number of allocation sites and fields is similar to each other. Further, suppose we change the names of all the same-named classes to make every class name different from each other. Our extended points-to analysis of original classes may not have a higher complexity than ordinary points-to analysis of these changed name classes.

For example, suppose there are 1200 classes with 1000 different names, ordinary points-to analysis may analyze 1000 classes; extended points-to analysis may not have higher complexity than analyzing 1200 classes using ordinary points-to analysis. So we think that the complexity of our method is generally acceptable.

5. Evaluation
To validate the feasibility of our method, we implement a prototype tool called Clap, which is specific to OSGi-based programs now. This tool is based on Java static analysis frameworks Soot and OSGi framework Felix. We add a defining class loader field to Java class's representation class "soot.SootClass" and Java reference type's representation class "soot.RefType", and change Soot's code of resolving symbolic references to using loading function to determine the defining class loaders of the referred classes. Loading function is simulated by invoking the code of a slightly modified version of bundle class loader provided by Felix. We put the bundles under analysis into Felix's deployment folder, adjust Felix's configuration file (set boot delegations and so on), and start the analysis by just starting Clap. Clap starts the analysis after Felix installs and resolves every bundle, and none of the bundles starts and runs during the process. The system composed of Clap and the program under analysis is shown in Fig. 6.

5.1. Implementation details
5.1.1. Entry points
In Soot, points-to analysis should have entry points. For ordinary Java programs, the entry points contain the 'main' method. For OSGi-based programs, we choose the methods for activating and deactivating bundles as entry points.

5.1.2. Loading constraint violations
In OSGi-based programs, same-named classes may also cause JVM to raise LinkageError due to loading constraint violations. In Clap, we implement this checking which also uses defining class loaders and loading function. The principle of this checking is a static simulation of JVM's process of enforcing loading constraints [14].

5.2. Pseudo code of checking algorithm
The pseudo-code description of our algorithm to check runtime type error is shown in Fig. 7.

From the pseudo-code, we have the following observations. For one line of Jimple statement stmt, if the statement is an InvokeStmt or contains (at most one) InvokeExpr, the number of calls of check-type function depends on the number of parameters of the method to invoke. Usually, a Java method does not have arbitrarily large number of parameters. We assume that the largest number of parameters of every method is \( k_1 \). For other kinds of statements, the algorithm code will call checktype function at most a certain number of times, say \( k_2 \). Here, we let \( k = k_1 + k_2 \), and the number of jimple lines of the program under analysis is \( L \), and then the number of calls of checktype function is not more than \( kL \).

The running time of reachingObjects method depends on the implementation details of the points-to analysis. In some points-to analysis implementations, points-to set are computed when reachingObjects is called, whereas some others just return the pre-calculated points-to set. As a result, we attribute the total time of calling reachingObjects method to the time of performing points-to analysis. The execution time of the other code of checktype functions proportional to the size of the points-to set, if we do not count the time of handling detected runtime type errors.

5.3. Experiment design and settings
We use four programs to conduct case studies to understand the feasibility, effectiveness, false negative issue, and scalability of our method, respectively.

In the case study, we configure demand-driven context-sensitive points-to analysis as traversing at most 75,000 nodes per query, and set maximum refinement passes to 10 [24]. We set "ignore-types" to false and change code to let the points-to analysis consider static types only. It improves the performance by filtering out some obviously impossible propagation, but preserves statically well-typed ones to enable our analysis.

5.4. Case studies and results
5.4.1. Case of a toy program: feasibility test
We used Clap to analyze the example program in Section 3.1; the analysis finished after a couple of seconds on an ordinary PC (Core i7 3.4 GHz, 4 GB Mem, Windows 7). Clap gave out a runtime type error warning of case "instance field referring", saying the variable baseVarat line 17 in Fig. 3 may point to wrong-typed allocation site in the previous line. The errors confirmed.

The propagation trace contains 4 edges. The first two edges are allocation edge and assign edge both derived from line 16. These two edges will sufficiently make baseVar variable to have
malformed value. The last two edges do not have a corresponding source code line number. Let us look at the Jimple code of "testFieldRef" method to give a more clear view (Fig. 9, generated by our modified Soot). Our modified Soot considers defining class loader in inferring types of local variables [2]. Being aware that "test2.Derived" is not a subclass of "base.Base", it inserts a cast statement "r6 = (base.Base) r2;", where "r6" corresponds to variable baseVar at line 17 and "r2" to variable baseVar at line 16 in Fig. 3, and the last two edges are derived by this cast statement.

5.4.2. Case with more propagation: effectiveness test

We made an example about wrong-typed objects propagating via object fields. It is shown in Fig. 8.

Like Fig. 3, "FieldTest1" and "FieldTest2" are bundles. In "FieldTest2", there is a "BaseContainer" class, which is a singleton, holding a "Derived" instance in its Object-typed field "base". In "FieldTest1", some code retrieves the "BaseContainer" instance, gets the content of its "base" field and casts it to type "Base". This program can pass compilation but results in a ClassCastException at runtime.

function checktype(localvar, intendedsupertype)
    ptoSet = pointsto.reachingObjects(localvar)
    for alloc_site in ptoSet
        ptoType = alloc_site.getType()
        if ptoType is not a subtype of intendedsupertype then
            got runtime type error
        endif
    endfor
end function

Fig. 7. Checking algorithm.
and thus the whole program consists of 4 bundles. In addition, we add another two bundles ''javax.mail'' and ''javax.activation'' to make the whole program able to run.

We used Clap to analyze JOnAS 5.2.0's 77 active bundles on the same PC server as previous case studies. The analysis finished after about 19 minutes, and no runtime type error nor loading constraint violation was detected.

5.4.4. Case of a large program: scalability test
We used Clap to analyze JOnAS 5.2.0's 77 active bundles on the same PC server as previous case studies. The analysis finished after about 19 minutes, and no runtime type error nor loading constraint violation was detected.

5.5. Threats to validity and related discussions
Generally speaking, there is no guarantee of a bug-free program even if no warning is reported. Many Java dynamic features such as calling method by reflection and the use of custom class loaders (not those provided by component containers) inside components render our approach neither sound nor complete.

In some of our case studies, some propagation traces given by Clap are fairly long, e.g., hundreds of edges. When the trace is long, the manual reviewing of this warning is more difficult and the chance that this warning is a false positive is high. Some works [6,7] combine static analysis and testing, and can be used to some extent to check for false positives; these approaches complement the scope of this work.

A variable with possibly wrong-typed pointed-to allocation may point to objects created in “Log4jBundle” bundle.

5.4.3. Case in a bug-fix scenario: false negative test
We analyzed an example of log4j's classes existing in two bundles. We used log4j 1.2.16, whose jar file is already a bundle, which we call “Log4jBundle”. We made another bundle called “Log4jTest1” and embedded log4j's classes in it. Then log4j's classes exist in both bundles and become same-named classes. We let “Log4jTest1” bundle import the “org.apache.log4j” package but no other log4j packages like “org.apache.log4j.net” and so on. Thus, “Log4jTest1” bundle will use log4j's classes in “org.apache.log4j” package from bundle “Log4jBundle” and those in other packages from itself. “Log4jTest1” bundle has some code shown in Fig. 10, in which class “Logger” and “SMTPAppender” come from different bundles. In addition, we add another two bundles “javax.mail” and “javax.activation” to make the whole program able to run, and thus the whole program consists of 4 bundles.

Clap ran for about 7 minutes and detected 150 runtime type errors and 35 loading constraint violations. In fact, this program will end in a LinkageError due to loading constraint violation, which muffle other errors; this violation has been detected by Clap. From the analysis result, we found that some reference variables in “Log4jTest1” bundle may point to objects created in “Log4jBundle” bundle.

We used a simple approach to correct the problem by removing the importing of package “org.apache.log4j” in the bundle “Log4jTest1”. After that, the program run correctly, and Clap reports no runtime type error or loading constraint violation any longer.

5.4.5. Summary
With the four designed case studies, we have the following observations.

- Our method is feasible.
- Our method works well in normal cases.
- Our method has the capability of realizing the fix of the bug and avoiding false negative.
- Our method can handle programs of medium scale.

5.5. Threats to validity and related discussions
Generally speaking, there is no guarantee of a bug-free program even if no warning is reported. Many Java dynamic features such as calling method by reflection and the use of custom class loaders (not those provided by component containers) inside components render our approach neither sound nor complete.

In some of our case studies, some propagation traces given by Clap are fairly long, e.g., hundreds of edges. When the trace is long, the manual reviewing of this warning is more difficult and the chance that this warning is a false positive is high. Some works [6,7] combine static analysis and testing, and can be used to some extent to check for false positives; these approaches complement the scope of this work.

A variable with possibly wrong-typed pointed-to allocation may cause several warnings of runtime type errors. For example, if we copy line 17 in Fig. 3 several times, then all these lines will be detected, although all the warnings are about the same variable baseVar. This also makes manual reviewing more laborious. Further, in Section 4.2, we base our method on two assumptions. If a bundle's class loader initiates the loading process of a class, the overall process to search for the class is as follows.

1. If the class is in the “java.” package, the loading request is delegated to parent/system class loader. If parent/system class loader cannot find the class, then the process fails (without continuing the following steps).
2. If the class's package name is directly or indirectly included in the boot delegation list (, which can be set by modifying the configuration of OSGi framework), the parent/system class loader is used to try to find the class. If the class cannot be found, the search continues.
5. The bundle’s own internal bundle class path and the class path of the bundle’s fragment bundles are searched for the class. If it is not found, the search continues.

6. If the package name is directly or indirectly included using the DynamicImportPackage header, the dynamic importing of the package is tried. If the package is successfully imported, the request is delegated to the exporting bundle’s class loader. If it is not found, the process fails.

These steps are connected in a workflow shown in Fig. 11 (This figure is a slightly simplified version of that in [21]). The procedure implements lots of favorable features of OSGi. For example, the codes in one bundle cannot use all the classes in other bundles, because the class paths of the other bundles are not searched unless they are imported. This feature shows information hiding and explicit interface characterization of CBSD. Every OSGi framework implementations, like as Apache Felix, implement such a workflow. And this workflow is proved to be terminable; moreover, after all the bundles are resolved (every mandatory import is not found, the search continues.

6. Related work

Static analysis is used for detecting unsafe casts in Java programs [16,17,24,30], and unsafe casts are a source of runtime type errors. The proposed approaches use either points-to analysis or constraint-based analysis. They only consider static types and cannot detect runtime type errors caused by class loaders.

Points-to analysis is used to statically estimate which objects a reference variable may point to during execution of the program under analysis. Spark [15] is an early but still popular context-insensitive points-to analysis framework for Java.

There is work showing that context-sensitive points-to analysis is more precise than context-insensitive one [16]. However, the former is more costly. In our analysis, we only consider the situation when the class name included in the right part of ‘::’ operator in Section 2 belongs to same-named classes. Since the number of same-named classes are relatively small compared to the total number of classes in a program, we use demand-driven context-sensitive points-to analysis framework [24], which is efficient when we only need to get points-to sets for a small number of variables.

Context-sensitive analysis is far more time and space consuming and there are a lot of works on trying to reduce its complexity. Whaley and Lam [29] and Lhoták [17] use binary decision diagram (BDD) to make context-sensitive points-to analysis more scalable. Xu and Rountev [31] employ equivalent context merging to reduce time and space consumption. Xiao and Zhang [32] incorporate geometric encoding in context-sensitive analysis; this approach substantially reduces encoding redundancy and achieves great performance improvement. Demand-driven points-to analysis for Java are mainly proposed in [25] and [24] which are context-insensitive and context-sensitive respectively.

Sawin and Rountev [22] propose a semi-static approach to improve the static resolution of dynamic class loading, using dynamically retrieved values of environment variables. This work tries to statically determine the value of parameter (class name) to “loadClass” method, but does not consider the behavior of class loaders so the runtime types of loaded classes cannot be obtained. Bodden, et al. make TamiFlex [4] to gain a better resolution of reflection calls and dynamic class loadings, using information gathered from recorded program runs. Our work have not considered explicitly invoking class loaders to load classes in program code yet, and their work may complement ours.

Some researchers formalize Java class loading [20,26,34], mainly proposing formal specifications of type-safety criteria for JVM. Our work is also related to type-safety. In fact, some of these thoughts are incorporated into modern JVM as part of class loading and bytecode verification scheme, and thus has become an indirect cause of runtime type errors in component-based Java programs. However, these reasoning-based approaches are impractical for verifying type-safety of industry-scale Java programs.

Partial evaluation [13], which is a technique for program transformation and specialization, builds on the insight that some
dynamic program constructs have statically known behavior, which is somewhat similar to ours. Braux and Noyé use partial evaluation to tackle Java reflection [3]. But we have not seen work which partially evaluates Java class loaders so far.

Applications which use a lot of frameworks and third-party libraries are called framework-intensive applications [8], and this work describes author’s research plan to apply blended analysis technique to taint analysis. [9] and [10], which are also the author’s works, mainly use blended analysis to detect performance problems in framework-intensive applications. The programs we focus on are largely also framework-intensive applications, but these works do not make use of the behavior of class loaders and thus cannot detect many of the runtime type errors.

7. Conclusion and future work

In this paper we propose an approach using points-to analysis and dynamically gathered behavior information of Java class loaders to statically detect runtime type errors in component-based Java programs, and implement a prototype tool for OSGi. Case studies show our method feasible, effective, and scalable.

In the future, we will first use larger and more complicated experiment to evaluate our method. Further, we plan to make Clap couples loosely with component container such as Felix, using mainly AOP code to interact with system under analysis. Thus, we will be able to easily create new versions of Clap for various component containers like web application servers. We will try to integrate test-based approaches [6,7] to check if a warning is a false positive.

We are trying to conduct static analysis on component-based Java programs. Component containers often use reflection, dependency injection and other techniques, which pose difficulties for static analysis. Still, some behavior of component containers can be statically simulated, given the configuration files, in order to cope with some of these difficulties. In the future we will try to push this idea further.

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References