Limited Feedback-Based Interference Alignment for Interfering Multi-Access Channels

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Abstract—A limited feedback-based interference alignment (IA) scheme is proposed for the interfering multi-access channel (IMAC). By employing a novel performance-oriented quantization strategy, the proposed scheme is able to achieve the minimum overall residual inter-cell interference (ICI) with the optimized transceivers under limited feedback. Consequently, the scheme outperforms the existing counterparts in terms of system throughput. In addition, the proposed scheme can be implemented with flexible antenna configurations.

Index Terms—Interference alignment, interfering multi-access channel, limited feedback.

I. INTRODUCTION

Interference alignment (IA) [1], [2] has been considered as a new paradigm of intelligent interference management in wireless networks. The principle of IA is to align the interference signals into a subspace with minimum dimensions at the receiver, and consequently the degrees-of-freedoms (DoFs) of the desired signals can be maximized. Recently, various IA schemes have been developed for the interfering broadcast channel (IBC) and interfering multi-access channel (IMAC) [3]–[6], which are typical models of the cellular networks. It is shown that these IA schemes are capable of improving system throughput in the presence of interference. However, the promise of IA is primarily based on the assumption of global channel state information (CSI) at all transceivers, which requires significant system overhead for CSI.

Aiming at more practical implementations, many IA schemes with limited feedback have been proposed [7]–[11]. Of particular interests are the IA schemes for the IMAC with limited feedback [7], [8], where the base station (BS) generates the IA-inspired transmit beamforming (TB) vectors with perfect CSI and then feeds back their quantizations to users for uplink transmission. Although [7], [8] assume ideal IA with perfect CSI, the actual inter-cell interference (ICI) cannot be fully canceled at the BS because of the quantization errors.

Therefore, the residual ICI is inevitable with limited feedback, which dramatically reduces the achievable system throughput. Moreover, the quantization strategies in [7], [8] are not able to fully exploit the potential of limited feedback. Although the selected codewords are the best approximations of the IA-inspired TB vectors within the given codebooks in terms of chordal distance, such codewords are not necessarily the ones that minimize the residual ICI, which directly influences the system performance. Finally, the transceiver designs in [7], [8] are constrained by the feasibility of IA and are only applicable to some specific antenna configurations.

In this paper, we propose a limited feedback-based IA scheme for the two-cell IMAC. In contrast to [7], [8], the proposed scheme is capable of minimizing the overall residual ICI of each cell with the given codebooks, and it can be implemented with flexible antenna configuration. To be specific, in each cell the optimal receive filter of BS is first derived with an arbitrary set of TB vectors of the users in the neighbor cell, and then the overall residual ICI of each cell is transformed into a single-variable function of the set of TB vectors. Next, each BS jointly quantizes the TB vectors with a compound codebook and a new criterion aiming to directly minimize the overall residual ICI. In this way, the optimized transceivers are obtained under the framework of limited feedback, which effectively approach perfect IA in terms of the minimum overall residual ICI with the given codebooks. Benefiting from the new quantization strategy, the achievable system throughput of our scheme is significantly improved as compared with [7], [8]. Finally, it is worth pointing out that the opportunistic IA in [10], [11] are practical IA schemes with limited feedback, which exploit the multi-user diversity inherited in the cellular networks for throughput gains; the joint design of the proposed scheme with opportunistic user scheduling may be an interesting future direction.

II. SYSTEM MODEL

As illustrated in Fig. 1, a two-cell IMAC is considered, where BS$_i$, $i \in \{1,2\}$, serves $K$ users $\{\text{UE}_{i,k}\}_{k=1}^{K}$ in the $i$-th cell. It is assumed that the BS and the user are equipped with $N_r$ ($K < N_r < 2K$) and $N_t$ antennas, and each user transmits a single data-stream. The direct and interference channels from UE$_{i,k}$ to its home BS$_i$ and its neighbor BS$_{i'}$ are denoted as $H_{i,k}$.

1Notation: Bold upper case and lower case letters represent to matrices and vectors, respectively. $(\cdot)^H$ denotes the Hermitian transpose and $(\cdot)^{-1}$ represents the inverse of a matrix. $\|\cdot\|$ represent the Frobenius norm of a matrix. $\mathbb{E}[\cdot]$ stands for the expectation. $\rho_K(A)$ and $\text{Col}(A)$ denote the sum of the minimum $K$ eigenvalues of $A$ and the column space of $A$. 

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This work is partially supported by the National Natural Science Foundation of China (NSFC) (Grant No. 61271188) and Singapore University of Technology and Design.

IEEE COMMUNICATIONS LETTERS, ACCEPTED FOR PUBLICATION
\(H_{i,k} \in \mathbb{C}^{N_t \times N_r}\) and \(G_{i,k} \in \mathbb{C}^{N_r \times N_r}\), respectively, \(i, i' \in \{1, 2\}, i' \neq i\). The entries of \(H_{i,k}\) and \(G_{i,k}\) are assumed to be independently and identically distributed (i.i.d.) complex Gaussian random variables with zero mean and unit variance, i.e., \(\mathcal{CN}(0, 1)\). Frequency-division duplexing mode is assumed in this system, and each user sends pilot symbols to both BSs for CSI acquisition. Then BS selects the TB vectors from the known codebooks for the users in the neighbor cell by using the estimated CSI of interference channels. The corresponding indices of codewords are exchanged between the two BSs via a backhaul link and then fed back to users to instruct the selection of TB vectors.

During the uplink transmission, all users send information and the received signal at BS \(i\) is given by

\[
y_i = \sum_{k=1}^{K} H_{i,k} v_{i,k} s_{i,k} + \sum_{k=1}^{K} G_{i,k} v_{i,k} n_{i,k} \tag{1}
\]

where \(v_{i,k} \in \mathbb{C}^{N_r \times 1}\) and \(s_{i,k} \in \mathbb{C}\) respectively denote the normalized TB vector chosen from UE\(_{i,k}\)’s codebook and the transmitted symbol of UE\(_{i,k}\) with an average power of \(P\); \(n_{i,k} \in \mathbb{C}^{N_r \times 1}\) is the noise vector with i.i.d. elements following \(\mathcal{CN}(0, N_0)\). The receiver at BS \(i\) is a cascaded filter \(r_{i,k}^H U_{i,k}^H\), where \(U_{i,k} \in \mathbb{C}^{N_t \times K}\) and \(r_{i,k} \in \mathbb{C}^{K \times 1}\) are designed to cancel the ICI and the intra-cell interference for UE\(_{i,k}\). The column vectors of \(U_{i,k}\) are normalized and orthogonal to each other. Let us define the effective channel matrix from all the users \(\{\text{UE}_{i,k}\}_{k=1}^{K}\) to BS \(i\) as \(H_{i,c} = U_i^H H_{i,c} U_i \in \mathbb{C}^{K \times K}\). The receiver at BS \(i\) is given by the \(k\)-th normalized row vector of \(H_{i,c}^{-1}\). Based on (1) and the cascaded filter \(r_{i,k}^H U_{i,k}^H\), the throughput of UE\(_{i,k}\) is given by

\[
R_{i,k} = \log \left( 1 + \frac{\text{SNR} \left| r_{i,k}^H U_{i,k}^H H_{i,k} v_{i,k} \right|^2}{1 + \text{SNR} \sum_{n=1}^{K} \left| r_{i,k}^H U_{i,k}^H G_{i,n} v_{i,n} \right|^2} \right), \tag{2}
\]

where \(\text{SNR} = \frac{P}{N_0}\) denotes the transmit signal-to-noise ratio (SNR) and \(\sum_{n=1}^{K} \left| r_{i,k}^H U_{i,k}^H G_{i,n} v_{i,n} \right|^2\) is considered as the final residual ICI. It is observed from (2) that the residual ICI reduces the system throughput.

It is noted that the actual throughput of IA with limited feedback can almost always be formulated as (2), regardless of the specific IA-inspired TB vectors \([7, 8]\) before quantization. In addition, we also keep the notation of residual ICI to highlight the transmission with limited feedback, even though we bypass the IA-inspired TB vectors employed in \([7, 8]\).

### III. Limited Feedback-Based IA Scheme

#### A. Transceiver Design with Limited Feedback

It is noted that \(\text{Col}(U_i)\) is a \(K\)-dimensional signal subspace where the desired signals of the \(K\) users \(\{\text{UE}_{i,k}\}_{k=1}^{K}\) are further differentiated by \(\{r_{i,k}\}_{k=1}^{K}\). Because of the imperfect IA with limited feedback, \(\text{Col}(U_i)\) is inevitably contaminated by the residual ICI. For analytical tractability, we introduce the overall residual ICI within \(\text{Col}(U_i)\) at BS \(i\) as

\[
I_i = \sum_{n=1}^{K} \left\| U_i^H G_{i,n} v_{i,n} \right\|^2 = \sum_{n=1}^{K} \left| r_{i,k}^H U_i^H G_{i,n} v_{i,n} \right|^2, \tag{3}
\]

which is shown to be an upper bound of the final residual ICI power after applying \(r_{i,k}^H\). It is observed that \(I_i\) contains the receive filter \(U_i\) and the set of the \(K\) TB vectors, denoted as \(V_i := \{v_{i,n}\}_{n=1}^{K}\). Therefore, both \(U_i\) and \(V_i\) can be optimized to minimize \(I_i\) for an improved system performance under limited feedback.

Unlike the quantization strategies in \([7, 8]\), which independently choose \(v_{i,n}\) from UE\(_{i,k}\)’s individual codebook to approximate its IA-inspired TB vector designed with perfect CSI, we aim to jointly choose \(V_i\) from a new compound codebook to directly minimize the overall residual ICI \(I_i\). It is noted that although \([7, 8]\) may find the best quantization for each IA-inspired TB vector, the selected codewords are not able to directly minimize the residual ICI by jointly optimizing \(U_i\) and \(V_i\). Noting the potential to further improve system performance with optimized \(U_i\) and \(V_i\), we base our IA transceiver design directly on the overall residual ICI without the reference or constraint of the IA-inspired TB as \([7, 8]\), and our limited feedback-based IA can fully utilize the given codebooks to achieve the minimal overall residual ICI \(I_i\).

To start with, we aim to transfer the objective \(I_i\) into a single variable function only regarding \(V_i\). This is achieved by first deriving the structure of the optimal \(U_i\) with arbitrary \(V_i\). Let \(U_i = [u_{i,1}, ..., u_{i,K}]\) according to the rotation invariance property of Frobenius norm \([12]\), we have

\[
I_i (U_i, V_i) = \sum_{n=1}^{K} |u_{i,n}| A_{i,n} (V_i) u_{i,n}, \tag{4}
\]

where \(A_{i,n} (V_i) := \bar{G}_{i,n} (V_i) \bar{G}_{i,n}^H (V_i)\) is introduced for simplicity and \(\bar{G}_{i,n} (V_i) = [G_{i,1,n} V_i, ..., G_{i,K,n} V_i, K] \in \mathbb{C}^{N_r \times K}\) is the compound interfering channel at BS \(i\) before further processing. Let us define the ascendingly ordered eigenvalues of \(A_{i,n} (V_i)\) as \(\lambda_1 (V_i), ..., \lambda_{N_r} (V_i)\) and the corresponding normalized eigenvectors as \(w_1 (V_i), ..., w_{N_r} (V_i)\). For any given \(V_i\), \(I_i (U_i, V_i)\) is minimized when \(u_{i,n} (V_i) = w_n (V_i), n = 1, ..., K\). Then, the optimal \(U_i\) can be defined as a function of \(V_i\) as

\[
U_i^* (V_i) = [w_1 (V_i), ..., w_K (V_i)]. \tag{5}
\]

Applying \(U_i^* (V_i)\), \(I_i\) can be reformulated as

\[
I_i (U_i^* (V_i), V_i) = I_i (V_i) = \rho_K (A_{i,n} (V_i)), \tag{6}
\]

The inequality in (3) can be easily proved by using the property \(|a| ||b|| \geq ||a^H b|| \geq |a^H b||a|| = 1\).
Comparing (4) and (6), it is observed that the original objective function \( I_i(U_i, V_{i'}) \) has been transferred to \( I_i(V_{i'}) \) with \( U_i^*(V_{i'}) \), which is a single-variable function regarding \( V_{i'} \) or the quantized TB vectors \( \{V_{i',n}\}_{n=1}^K \).

Focusing directly on the objective function \( I_i(V_{i'}) \), we propose a joint quantization strategy to choose the optimal \( V_{i'} \) from a compound codebook. As a very brief review and preliminary, it is noted that in [7], [8] \( V_{i',n} \) is individually selected (but not optimized) by BS, from the randomly generated codebook \( C_{i',n} = \{c_{i',n,1}, c_{i',n,2}, \ldots, c_{i',n,2B}\} \) of UE\(_i,k\) with the minimum chordal distance criterion, where \( c_{i',n,m} \in \mathbb{C}^{N_t \times 1} \) is the normalized codeword with the index \( m \in \{1, 2, \ldots, 2B\} \) and \( B \) denotes the number of feedback bits per user. Unlike [7], [8], we enlarge the size of the individual codebook of each user from \( 2B \) to \( 2KB \), and jointly select the TB vectors \( \{V_{i',n}\}_{n=1}^K \) to directly minimize \( I_i \) with a new strategy. Specifically, we group every \( m \)-th codeword from each \( C_{i',n,m} \), \( n = 1, 2, \ldots, K \), into a compound codeword as \( C_{i',(m)} = \{c_{i',1,m}, \ldots, c_{i',K,m}\} \) [13], then we collect the \( 2KB \) compound codewords into a new codebook \( \tilde{C}_i = \{C_{i',(1)}, C_{i',(2)}, \ldots, C_{i',(2KB)}\} \) for the joint quantization

\[
V_{i'} = \arg \min_{\tilde{v}_{i',n} \in \tilde{C}_i} \rho_K(A_{i'}(\tilde{v}_{i'})). \tag{7}
\]

It is worth pointing out that after the joint quantization, each BS only needs to exchange and feed back one common index \( m_{i'}^{*} \) corresponding with \( V_{i'} \) to all the served \( K \) users at the cost of \( KB \) bits, which means the total feedback per cell is still the same as [7], [8]. Upon receiving \( m_{i'}^{*} \), UE\(_{i,k}\) uses \( \tilde{v}_{i',n} = c_{i',t,m_{i'}^{*}} \) as the TB vector and BS\(_i\) uses \( U_i^*(V_{i'}) \) to establish its cascaded receive filter.

Finally, the complexity of the proposed scheme is briefly discussed. It is observed (7) mainly includes the construction of \( A_{i'}(V_{i'}) \) and its singular value decomposition, which cost \( 2KN_t(3N_t - 1) \) floating point operations (flips) and \( O(N_tK^2) \) flops, respectively. Since the searching space has a size of \( 2KB \), it is easy to estimate the overall complexity of the proposed scheme as \( O(2KB^2KN_t(3N_t + K - 1)) \). Although our scheme is more complicated than [7], [8], such computation overhead is still affordable at the BS. Moreover, our scheme shows significant throughput gain as compared to [7], [8], which will be validated in the following sections.

Remark 1: Intuitively, [7], [8] can be considered as the traditional IA schemes with limited feedback. More specifically, the IA-inspired TB vectors are designed before quantization and the achievability of IA mainly relies on the qualities of quantization. However, such quantization aims to approach IA-inspired transceivers not necessarily to the best achievable throughput performance with the given codebooks. In contrast to [7], [8], we bypass the IA-inspired transceivers before quantization, and we straightforwardly approach IA in terms of the minimal residual ICI by finding the most appropriate codewords within the given codebook. In this sense, our scheme is specifically designed for limited feedback, and it is therefore a limited feedback-based IA scheme. In addition, our scheme is not constrained by the feasibility condition for the IA-inspired transceivers, and can be implemented with flexible antenna configurations.

B. Performance Analysis

In this section, we aims to initially analyze the performance of the proposed scheme. For the comparison purpose, we use [7] as a reference and set \( N_t = N_r \) accordingly. Then the throughput of UE\(_{i,k}\) with perfect feedback is given as

\[
R_{i,k}^{PFB} = \log_2 \left( 1 + \text{SNR} \left| \bar{r}_{i,k}^H \bar{U}_{i',k} \bar{H}_{i,k} \bar{v}_{i,k} \right|^2 \right), \tag{8}
\]

where \( \bar{r}_{i,k} \), \( \bar{U}_{i,k} \), \( \bar{v}_{i,k} \) are obtained by using the IA-inspired transceiver design of [7] without quantization. Since \( R_{i,k}^{PFB} \) assumes the ICI-free scenario, it can be equivalently considered as the ideal throughput of the proposed scheme for this comparison. Based on \( R_{i,k}^{PFB} \) and \( R_{i,k} \) in (6), the throughput loss of UE\(_{i,k}\) with our scheme is defined as

\[
\Delta R_{i,k} = R_{i,k}^{PFB} - R_{i,k}. \tag{9}
\]

The following theorem gives a upper bound of \( \mathbb{E}[\Delta R_{i,k}] \) to better understand the gains of the proposed scheme.

**Theorem 1:** When \( N_t = N_r \), the upper bound of the average throughput loss of UE\(_{i,k}\) with the proposed scheme is

\[
\mathbb{E}[\Delta R_{i,k}] \leq \log_2 \left( 1 + \text{SNR} \left[ \min_{m \in \{1, \ldots, 2KB\}} \rho_K(A_{i}(m)) \right] \right), \tag{10}
\]

where \( \{A_{i}(m), m \in \{1, \ldots, 2KB\}\} \) are some i.i.d. complex central Wishart matrices which follow \( \mathcal{CW}_{N_t}(K, I_{N_r}) \).

**Proof:** We first establish the following inequalities, where the first inequality follows (6) of [7], the second inequality follows the inequality in (3), and last equation follows (6) and (7) by treating \( A_{i'}(C_{i',(m)}) \) as \( A_{i}(m) \). Next, we continue to show the distribution of \( A_{i}(m) \). Since \( c_{i',n,m} \) is a normalized vector and the entries of \( G_{i',n} \) are i.i.d. \( \mathcal{CN}(0,1) \), the entries of \( G_{i',n}c_{i',n,m} \) are i.i.d. \( \mathcal{CN}(0,1) \). Then it is easy to show that \( A_{i}(m) \) is a complex central Wishart matrix which follows \( \mathcal{CW}_{N_t}(K, I_{N_r}) \) [15]. Since the codewords \( C_{i'} \) are i.i.d., it is easy to show that \( \{G_{i'}(C_{i',(m)}), C_{i',(m)} \in C_{i'}\} \) are also i.i.d., and then the proof is finished.

Based on Theorem 1 and (9), a lower bound of the average throughput with the proposed scheme can be obtained as

\[
\mathbb{E}[R_{i,k}] \geq \mathbb{E}[R_{i,k}^{PFB}] - \log_2 \left( 1 + \text{SNR} \cdot \mathbb{E} \left[ \min_{m \in \{1, \ldots, 2KB\}} \rho_K(A_{i}(m)) \right] \right). \tag{12}
\]

It is noted that the joint density of the eigenvalues of complex central Wishart matrix is given by (19) of [15]. However, the distribution of \( \min_{m \in \{1, \ldots, 2KB\}} \rho_K(A_{i}(m)) \) is still very complicated. Therefore, we resort to the numerical method to evaluate the lower bound in (12) for the comparison with [7], which can be simulated faster than \( \mathbb{E}[R_{i,k}] \).
achieves significant gain over \cite{8} with the system configuration $N_A$-feasibility constraint, \cite{8} is not applicable with the system same number of feedback bits variety of system configurations. As shown in Fig. 3, given the system throughput of \cite{7}. Therefore, the throughput gains of the proposed scheme has already exceeded the achievable lower bound in (12). It is shown that the lower bound in (12). It is shown that the lower bound for the comparison purpose with \cite{7}, the above analysis only focus on the configuration $N_t = N_r$. Although our scheme is applicable with arbitrary $N_t$, it is often assumed that the users have less antennas than the BS. When $N_t < N_r$, it is noted the elements in $\{A(m), m \in \{1, \ldots, 2KB\}\}$ are not independent, and the statistical analysis is more involved and is deferred as future work.

IV. SIMULATION RESULTS

We first validate the theoretical results related to Theorem 1 and compare our scheme with \cite{7}. The system configuration are set as $N_t = N_r = 3$, $K = 2$, and the number of feedback bits or $B$ is specified with the simulation curves. In Fig. 2, the inequality (12) are demonstrated, where the simulation results are obtained by performing the proposed scheme, and the analytical results are calculated according to the lower bound in (12). It is shown that the lower bound of the proposed scheme has already exceeded the achievable system throughput of \cite{7}. Therefore, the throughput gains of the proposed scheme are proved.

Then we compare the proposed scheme with \cite{8} under a variety of system configurations. As shown in Fig. 3, given the same number of feedback bits $B = 4$, 6, the proposed scheme achieves significant gain over \cite{8} with the system configuration $N_t = 2$, $N_r = 3$, $K = 2$. Moreover, it is noted that due to the IA-feasibility constraint, \cite{8} is not applicable with the system configuration $N_r = 4$, $K = 3$ and $N_t \leq \frac{3}{2}K$, while our scheme is still applicable with arbitrary $N_t$, as shown in Fig. 4. It is also observed that the throughput performance of our scheme increase with $N_t$ when $N_r$, $K$ and $B$ are fixed, which shows the benefit of extra transmit antennas at user.

Remark 2: For the comparison purpose with \cite{7}, the above analysis only focus on the configuration $N_t = N_r$. Although our scheme is applicable with arbitrary $N_t$, it is often assumed that the users have less antennas than the BS. When $N_t < N_r$, it is noted the elements in $\{A(m), m \in \{1, \ldots, 2KB\}\}$ are not independent, and the statistical analysis is more involved and is deferred as future work.

V. CONCLUSION

In this paper, a limited feedback-based IA scheme has been proposed for the IMAC. The optimized transceivers have been designed with the performance-oriented quantization strategy to minimize the residual ICI. As a beneficial result, the proposed scheme achieves a significant gain of system throughput and can be implemented with flexible antenna configurations.

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