



ALMA - A Layered Model of Affect

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Outline

- Classification of Affect
- Exploitation for Virtual Characters
- Computational Model of Affect
- Representation of Mood
- Mood Changes





Affect

- *General term for feelings, emotions, or moods – the conscious subjective aspect of feeling*
- Can be distinguished by*
 - **time** (short-term vs. long-term)
 - **influence** (unnoticed vs. dominant)
 - **cause** (specific vs. diffuse)
- Affect types classified by time
 - short-term: **emotions** (dominant, specific)
 - medium-term: **moods** (unnoticed, diffuse)
 - **and** long-term: **personality** (dominant)

* Krause , Affekt, Emotion, Gefühl, In: Merten W., Wandvogel B. Handbuch psychoanalytischer Grundbegriffe, Kohlhammer, 2000, 73-80





Exploitation for Virtual Characters

- Enhance non-verbal behavior
 - speech parameters
 - wording in utterances
 - facial expressions
 - conversational gestures
- Tailoring dialog and interaction strategies in script and plan based systems
- **What for?**
 - enhance believability
 - create the illusion of “human-like” abilities





VirtualHuman

- 3D edutainment environment for real students*
- Autonomous life-size virtual teacher and student
- Lesson's topic: Birth and life of stars
- Personality traits influence dialog contributions
- Multimodal interaction
 - speech
 - gestures
 - multiple-choice menus



* <http://www.virtual-human.org>





Affect in VirtualHuman

- **Aim:** Behavior aspects should be controlled by different affect types
 - selection of special topics (student motivation/uncooperative behavior)
 - selection of lesson's topic details
 - how emotions impact (re-)actions
- Extended simulation of nonverbal-behavior
 - natural affective complexions





Motivation

- Cognitive processes regulated by mood*
 - making decisions
 - dealing with risks
 - appraising situations
- Follows Davidson's thesis:
emotion bias action, whereas mood bias cognition
- **But:** How to integrate mood, emotion and personality in one operational model?

* Davidson, R.J. On emotion, mood and related affective constructs, In Ekman P. Davidson R.J. The nature of emotions, 1994, 51-55
Morris, W.N. The frame of mind, New York, Springer, 1889





Approach

- What we have
 - operational model of appraisal for dialog based environments
 - operational model of emotions
- What is needed
 - reliable model of mood
 - characters default mood
 - method for changing mood





Appraisal

- Act based appraisal according to OCC-Model*
- Acts reflect intention
 - DialogActs for utterance (e.g. Insult, Encourage, ...)
 - AffectActs for affect signals (e.g. Blush, Smile, ...)
- Mapping on internal OCC-Variables according to
 - role (speaker, addressee, hearer)
 - context
- Simplifies affect generation in script- and plan based application

* Gebhard et al. Adding the Emotional Dimension to Scripting Character Dialogues, Proc. of IVA03, 2003, 48-56

Gebhard et al. Coloring Multi-Character Conversations through the expression of emotion, Proc. of ADS04, 2004, 128-141





Emotions

- Appraisal based emotion generation by EmotionEngine in real-time¹
- OCC-Model of Emotions²
- 24 types of emotion
- Complex emotions (i.e. gratification)
- BigFive personality traits for regulating intensity and decay³

1 Gebhard et al. Adding the Emotional Dimension to Scripting Character Dialogues, Proc. of IVA03 , 2003, 48-56

Gebhard et al. Coloring Multi-Character Conversations through the expression of emotion, Proc. of ADS04, 2004, 128-141

2 Orthony A., Clore G.L., and Collins A. The Cognitive Structure of Emotions. Cambridge University Press, Cambridge, MA, 1988

3 Becker P. Structural and Relational Analyses of Emotion and Personality Traits.

In: Zeitschrift für Differentielle und Diagnostische Psychologie, 22,3, 2001, 155-172





Mood

- **PAD** space for describing mood¹
 - mood is described by dimensions **pleasure, arousal, and dominance**
 - 8 mood types (bored, relaxed, anxious, docile, ...)
 - allows representation of emotions²
 - allows representation of BigFive personality traits³
- Why not a good/bad mood model?
 - only one aspect of mood
 - many “human” mood based phenomena not covered

1 Mehrabian A. Pleasure-arousal-dominance: A general framework for describing and measuring individual differences in temperament
Current Psychology, 14 1996, 261-292

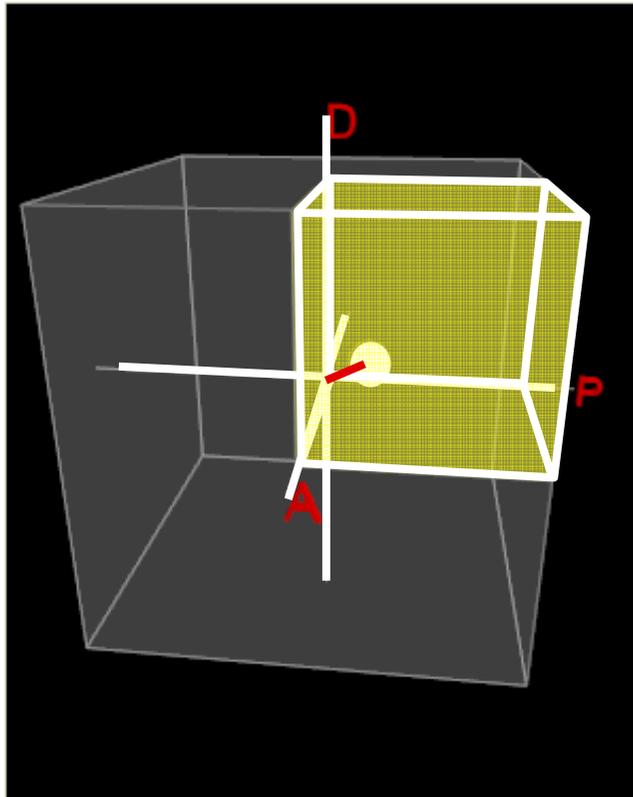
2 Analysis of the Big-Five Personality Factors in Terms of the PAD Temperament Model. Australian Journal of Psychology, 48-2, 1996, 86-92

3 Framework for a Comprehensive Description and Measurement of Emotional states. Genetic, Social, and General Psychology, 22, 1995, 334-361





PAD mood space



- Each dimension uses values -1.0 to 1.0
- Mood is a point in PAD space
- Octants define discrete mood

+P+A+D	Exuberant	-P-A-D	Bored
+P+A-D	Dependent	-P-A+D	Disdainful
+P-A+D	Relaxed	-P+A-D	Anxious
+P-A-D	Docile	-P+A+D	Hostile
- Mood strength derived by distance to origin
- Default mood derived through correlation of personality traits to PAD values





BigFive defines default mood

- Openness²
 - related positively to arousal, pleasure, and dominance
- Conscientiousness³ and Extraversion¹
 - related positively to pleasure and dominance
- Agreeableness¹
 - related positively to pleasure and arousal
 - related negatively to dominance
- Emotional Stability¹
 - related positively to pleasure
 - related negatively to arousal

1: high correlation (~70%), 2: medium correlation (~40%), 3: low correlation (~25%)





Simulation of mood changes

- **Approach**
 - mood change due to emotional experiences*
 - emotions can change or intensify mood
- **Mood change function**
 - rely on representation of emotions in PAD space
 - *pull phase*:
emotions change current mood
 - *push phase*:
emotions intensify current mood

* Morris, W.N. The frame of mind, New York, Springer, 1889





PAD space and emotions

- Studies* confirm that the PAD space is well suited to represent emotional states

Emotion	P	A	D	Mood Octant
Admiration	0.5	0.3	-0.2	+P+A-D Dependent
Anger	-0.51	0.59	0.25	-P+A+D Hostile
Disliking	-0.4	0.2	0.1	-P+A+D Hostile
Disappointment	-0.3	0.1	-0.4	-P+A-D Anxious
Distress	-0.4	-0.2	-0.5	-P-A-D Bored
Fear	-0.64	0.60	-0.43	-P+A-D Anxious
FearsConfirmed	-0.5	-0.3	-0.7	-P-A-D Bored
Gloating	0.3	-0.3	-0.1	+P-A-D Docile
Gratification	0.6	0.5	0.4	+P+A+D Exuberant

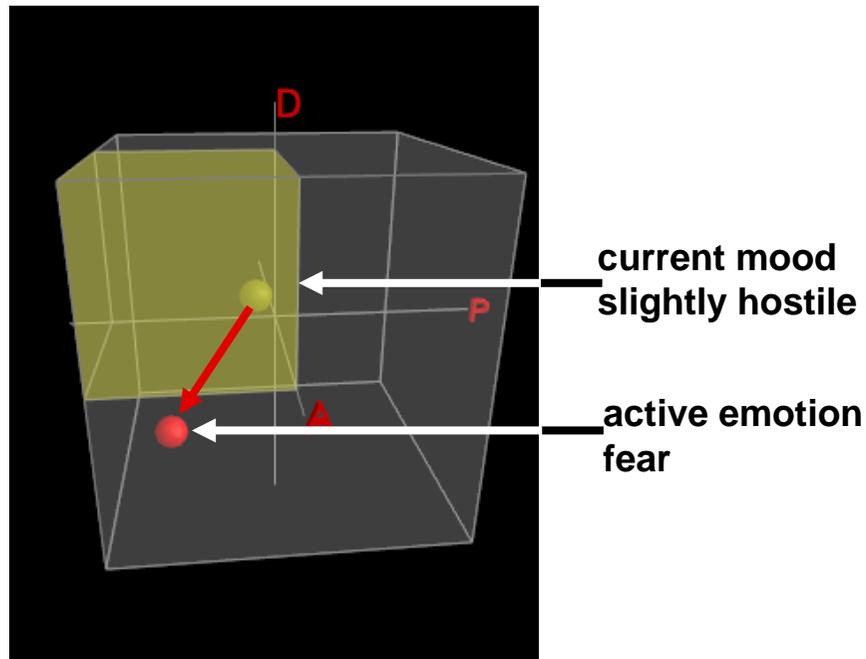
* Mehrabian 95, Shaver, Schwartz, Kirson, O'Conner 87, Russell 80, Mehrabian, Russell 77





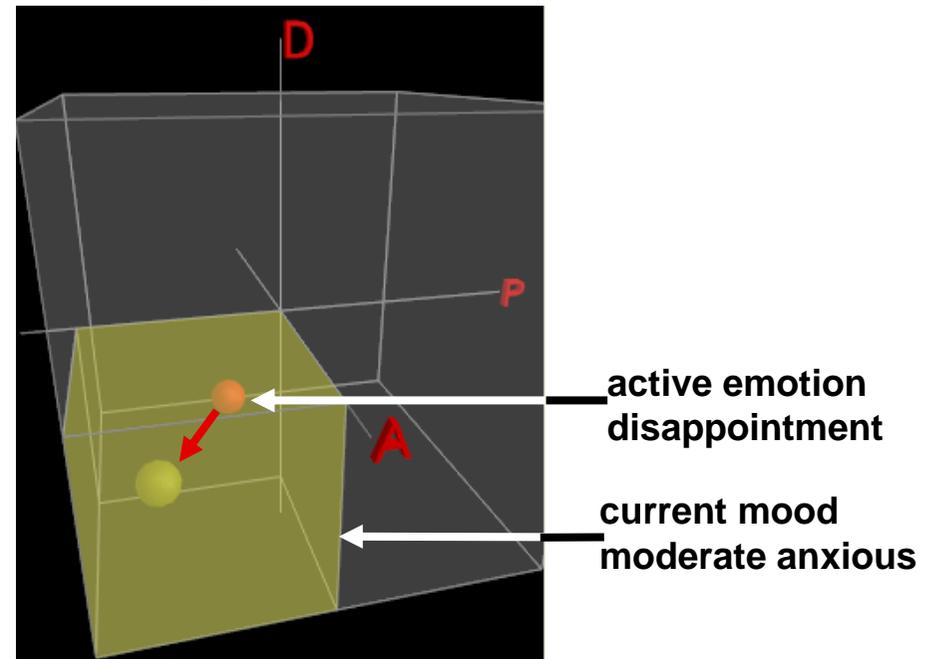
Mood changes

Pull phase



→ mood is pulled toward anxious mood

Push phase



→ anxious mood is intensified by pushing it away from the origin





Conclusion

- Fully operational model of appraisal and affect based on psychological theories
 - easy appliance in dialog scenarios through act-based appraisal
 - simulation of three affect types for virtual characters
 - mental model of affect for other characters
- Simulation of different affect types enable
 - behavior control at different levels
body-layer, cognitive-layer
 - transfer of psychological research results on human behavior for controlling virtual character behavior

