

1 **Research Article**

2 ***A simple method to handle non-response bias in school surveys on drug***  
3 ***use***

4  
5 Stéphane Legleye<sup>a</sup>, François Beck<sup>a,b</sup>, Stanislas Spilka<sup>a,c</sup>

6  
7 <sup>a</sup> CESP, INSERM U1018, Université Paris-Saclay, Villejuif, France

8 <sup>b</sup> Direction of prevention and health promotion in Public Health France

9 <sup>c</sup> Observatoire français des drogues et toxicomanies

10  
11 Short Title: to be used as running head

12 Non-response in school surveys

13 Corresponding Author: Stéphane Legleye, CESP, INSERM U1018, Université Paris-Saclay; Tel:  
14 (33 6) 71735829; E-mail: stephane.legleye@inserm.fr

15  
16 Number of Tables: 6.

17 Number of Figures: 0.

18 Word count: 4905.

19 **Keywords: school survey ; non-response bias ; drug use ; methods**

22 ***A simple method to handle non-response bias in school surveys on drug***  
23 ***use***

24 **Abstract**

25 **Background:** Studies have proved the positive link between truancy and substances use in school  
26 surveys. In spite of this, no adapted weighting treatment is generally provided; even when the share of  
27 missing and truant pupils is high, and all drug use estimates are biased downward. The necessary data  
28 can be collected: on one side, individual current drug use and past episodes of absence and truancy of  
29 the respondents; on the other, the count of the presents and absents the day of the survey, including  
30 truants, in each class. However, the nature of these data prevents any classical modelling of the survey  
31 response without additional assumptions. **Methods:** We review one method proposed in 2002 by  
32 Guttmacher and al. that uses only the individual data and propose two methods that combine both kind  
33 of data and in which we can distinguish or not between truancy and legitimate absence. We apply them  
34 to the French release of the 2015 Espad survey (European survey project on alcohol and other drugs).  
35 The theoretical number of pupils was  $n=7166$ ; 981 were absent (including 359 truants), while 178 were  
36 discarded because of the poor quality of their questionnaires and 6007 were considered final  
37 respondents. Assumptions, point estimates and variances are compared. **Results:** Guttmacher' method  
38 is not conceptually valid and can lead to irrelevant corrections with high variances. Our estimate of  
39 cannabis regular use is 8.6% (std=0.75) instead of 7.7% (std=0.67), that is a non-response bias of circa  
40 14%. **Conclusion:** The proposed approach relies on simple and plausible assumptions; it is preferable  
41 to any speculative consideration about the magnitude of the underestimation yielded by the classical  
42 weighting procedures. Survey designers should evaluate and discuss the potential bias of their surveys  
43 and eventually correct it.

44 **Keywords:** school survey ; non-response bias ; drug use ; methods

45

## 46 Introduction

47 Non-response bias is a major concern for survey designers. It depends directly on the difference in  
48 outcome between respondents  $\bar{Y}_r$  and non-respondents  $\bar{Y}_{nr}$ , and on the response rate  $\bar{R}$  as shown in  
49 equation (1):

50 (Eq. 1):  $B = (\bar{Y}_r - \bar{Y}_{nr}) \times (1 - \bar{R})$ , where the subscript (r, nr) identifies the respondents and non-  
51 respondents, respectively. As  $\bar{Y}_{nr}$  is unknown by definition, this bias cannot be estimated without  
52 exogenous data or strong hypotheses. A stochastic approach of non-response yields the following  
53 equation (1):

54 (Eq. 2):  $B \cong std(R) \times std(Y) \times \sigma(Y, R) / \bar{R}$ , where R is the true response propensity *std* is the standard  
55 deviation and  $\sigma(Y, R)$  the linear correlation coefficient between the two. The key parameters are  $\sigma(Y, R)$   
56 and  $\bar{R}$ . As R is unknown (and has to be estimated with assumptions), the overwhelmingly observed  
57 indicator is thus the response rate  $\bar{R}$ , as in (Eq. 1): the higher  $\bar{R}$ , the lower the bias.

58 In general population survey, response rate is considered poorly correlated to the non-response bias (2,  
59 3). But non-response bias can arise when the topic of the survey by itself relates to the response to the  
60 survey, when the missing units contribute to a disproportionately high share of the global estimate; this  
61 is what reflects  $\sigma(Y, R)$  in formula (2). Classical examples include surveys on drug use (in which drug  
62 users may be hard to reach). In such situations, classical weighting procedures like post-stratifications  
63 and calibrations are unable to correct for non-response bias, that is called non-ignorable (4).

### 64 *School surveys on drug use: the importance of school skipping*

65 School surveys are often considered as immunised to non-response bias because their response rates  $\bar{R}$   
66 are usually very high. It is true that almost all present pupils respond to the survey questionnaire, but it  
67 is nevertheless not uncommon that roughly 10%-15% of the pupils are missing (5, 6) (page 18). Do the  
68 drug use levels of these missing pupils differ so much from those of the respondents that specific  
69 statistical treatments are needed to provide unbiased drug use estimates? The response is probably yes  
70 for two reasons. First, among respondents, there are strong positive correlations between the number of  
71 truancy days (absence without justification) during the past 30 days and the number of times pupils have  
72 used alcohol, tobacco and cannabis. This is acknowledged in each of the 35 participating countries (7)  
73 (page 198) in the 2003 report of the ESPAD survey (European survey project on alcohol and other  
74 drugs). Similarly, positive correlations between the legitimate (with a parental justification) and  
75 illegitimate absence (without parental justification) and drug use have also been documented in US  
76 school surveys for the period 2000-2008 (8). In fact, the link between school skipping, truancy or  
77 disengagement from school and drug use has been documented in western countries (9-13), as well as  
78 in other cultural contexts (14).

79 Second and most important reason, specific interview protocols have led to the conclusion that truants  
80 really use more drugs than the others: this was observed in the USA as early as 1975 (15-17) and also  
81 in Switzerland in the late 90's (18).

82 The classical estimates of drug use prevalence in school surveys may thus be underestimated without  
83 any appropriate weighting. The problem is old (19) but rarely treated: to our knowledge, none of the  
84 classical school surveys on drug addresses this topic directly: Monitoring the future (20), ESPAD (6),  
85 HSBC –Health behaviour in school aged-children- (21). To our knowledge, only the study by  
86 Guttmacher et al. (16) addresses this issue directly.

87 Of course, there are other forms of non-response than the absence of a pupil the day of a survey. Non-  
88 response can arise at the school level or at the class level; parents can refuse the participation of their  
89 children and some questionnaires are also discarded because of their poor quality or incompleteness.  
90 These cases represented less than 1% of the pupils in the 2015 ESPAD survey (Guttormsson, Leifman  
91 et al. 2016) and cannot bias the estimates because they do not relate to drug use.

#### 92 *One or two-step weighting procedure*

93 Classical weighting techniques comprise one-step and two-steps procedures. The most classical is the  
94 former for which post-stratifications or calibrations (22) are used. The principle is to reweigh the  
95 responding units to obtain the true totals of some variables of the target population, hopefully linked to  
96 the variables of interest of the survey. These true totals for these variables are to known by other means:  
97 administrative data, census, large and reliable survey samples etc. The second method has two steps:  
98 first correcting the probability of being respondent (Total non-response correction: TNRC) then using  
99 post-stratification or calibration. It is often more efficient because the first step can use variables, linked  
100 to response as well as to the survey outcomes (23), that cannot be considered for post-stratification (24).  
101 Reports of absences and truancy by the respondents are good candidates for this purpose.

#### 102 *The impossibility to model non-response in school surveys*

103 TNRC is classically achieved through a modelling of the probability of being respondent in order to  
104 obtain the total of the target population (by using variables relating to the outcomes and the probability  
105 of response). This implies a clear definition of the response to the survey and having the same variables  
106 for the respondents and for the non-respondents (23). Generally, the only available data for the absents  
107 (non-respondents) in school surveys is defined at the class level: numbers of missing pupils by motive  
108 (truancy or not) collected by the survey supervisors, whereas no record of absences and truancy of the  
109 missing pupils is available. The available data for the respondents is their reports of missed school days  
110 (usually in the last 30 days) by motive (truancy or not). The incommensurability of the two kinds of data  
111 prevent their use in any classical modelling. Unfortunately, this prevents also the use of the Heckman  
112 method that is specifically designed for the none-ignorable non-response bias (25, 26).

113 This situation is thus paradoxical, considering that all the relevant variables seem available to reweigh  
114 the respondents. The number of absences of the missing pupils is the only information that lacks.

115 *Attempts to correct for the non-response bias*

116 At first view, we do not need the class-level information: the probability of a unit to be absent depends  
117 only on its characteristics. The reported history of absences of the respondents can be used to  
118 approximate their probability to respond to the survey. If a respondent pupil reports 5 days of absence  
119 within the last 30 days, one can estimate that he/she was present only 15 days in the average 20 school  
120 days during this period. As a consequence, its weight has to be multiplied by a factor  $20/15=1.33$ . This  
121 is the procedure followed by (27) and by Guttmacher et al. (2002). This approach relies on a reasonable  
122 implicit assumption: the day of the data collection can be considered at random and independent of the  
123 pattern of absences of the pupils (see *Limitations*). The idea dates back to 1949 (28) where it was  
124 proposed as a mean to avoid multiple visits in face-to-face surveys.

125 In the case of a school survey, this approach has three problems, however. First, it uses the records of  
126 past absences of the respondents without considering the numbers of respondent pupils the day of the  
127 data collection, that is the amount of lost information due to missing pupils: without any link between  
128 the two, this is not a genuine modelling. Second, because of this, it can lead to irrelevant increases of  
129 the weighted number of respondents. Imagine for example a class of 30 pupils where none is absent and  
130 all respond to the survey but where 10 pupils report each 10 days of past absence: the weighted number  
131 of pupils in the class will be  $T=20 + 10 \times 20/10=40$ . The only option (that is not used by Guttmacher et  
132 al.) is to reweigh the pupils, that will lead the 20 presents without any past absence to get a weigh below  
133 1 without any justification.

134  
135

136 *Objectives*

137 We propose two simple weightings used as a total non-response correction. Our approach accounts for  
138 the probability of being present in class a given day, and for the numbers of present and absent pupils  
139 the day of the survey data collection: it assumes a direct link between the two kinds of information. The  
140 first version does not distinguish between truancy and legitimate absences while the second one does,  
141 in accordance to the literature that emphasizes the prominent role of truancy on drug use. Both methods  
142 are compared to Guttmacher's technique and applied to real survey data. Underlying assumptions are  
143 discussed.

144

145 **Materials and Methods**

146 *The 2015 French ESPAD survey*

147 ESPAD is coordinated by the Swedish Council for Information on Alcohol and Other Drugs (CAN). It  
148 is a 4-years school survey targeting the 15-16 years old of European countries since 1995  
149 ([www.espad.org](http://www.espad.org)). The main goal of this project is to monitor drug use and to allow comparisons between  
150 countries, using a standardised questionnaire and methodology. The French ESPAD survey is conducted  
151 by the French monitoring centre for drugs and drug addiction since 1999. The data collection took place  
152 in March 2015.

153 *Sampling*

154 The sampling frame is the national list of secondary schools, classes and pupils (including their gender  
155 and age) from the Ministry of Education drawn in January. The sampling design consists on a stratified  
156 sampling of classes; strata are combinations of academic field (8 categories), educational sector (private  
157 or public) and city size. In each selected school of each stratum, two classes were selected, with unequal  
158 probabilities.

159 *Survey protocol*

160 An advance letter informs the parents that a survey on health and lifestyle will take place within a few  
161 weeks: the precise topic and the day of the data collection are not given. The teachers have to keep the  
162 secret and not to communicate the topic and the day to the pupils. The data collection consists in a pen  
163 and paper survey during one teaching class (50 mn). All questionnaires, filled in or not, are placed in an  
164 envelope at the end of the school class that is sealed and directly sent to the data capture centre. The  
165 data collection is supervised by a professional supervisor whose role was to introducing the survey,  
166 ensuring anonymity and confidentiality as well as showing some example of the future use of the data  
167 by the researchers. He/she also fills in a classroom report. Questionnaires with high item non-response  
168 rate (>50%) or of poor quality are discarded.

169 *Absence and truancy*

170 On the first page of the ESPAD questionnaire, respondents are asked to report the number of days they  
171 missed school in the last 30 days according to three motives: illness, truancy (no motive) and other  
172 reasons. The response scale is 0 day, 1, 2, 3-4, 5-6, 7+ days from which we derived the total number of  
173 missed days by motive: 0, 1, 2, 3.5, 5.5 and 7.5. The three motives were aggregated in two categories:  
174 illegitimate absences (i.e. truancy) and legitimate absences (illness and other reasons).

175 The classroom report contains the number of present and absent pupils by gender. Three categories of  
176 absences are distinguished: legitimate (there is a parental proof), uncertain (there is a claim by the  
177 classmates that the absence is legitimate), illegitimate/truancy (no parental proof and no claim). We  
178 distinguished simply the illegitimate absences (truancy) from the others.

179 *Definition of respondents and non-respondents*

180 We consider as respondents the present pupils whose questionnaire was retained as valid: missing pupils  
181 and respondents with discarded questionnaires were considered as non-respondents, the latter as missing  
182 with a legitimate motive.

183 *Outcomes*

184 The variables of interest are dichotomous indicators: alcohol and cannabis regular use (at least 10 uses  
185 in the last 30 days) as well as tobacco daily smoking (at least one cigarette a day in the last 30 days).  
186 These binary indicators are key variables in the French monitoring of drug use in youth.

187 *Missing values*

188 Missing values in the report of the past absences/truancy of the respondents were imputed with a random  
189 hotdeck procedure considering class, gender, being discarded or not.

190 *Total non-response corrections*

191 We implement three TNRC methods that aim to correct the sampling weight in order that the weighted  
192 respondent sample have the same size than the theoretical number of pupils.

193 1/ the Guttmacher method (see above). The formula is:

194 
$$p1_i = W_i \times \frac{20}{20 - N(\text{missed school days})_i}$$

195  $N(\text{missed school days})_i$  is the number of missed school days (for any reason) in the last 30 days reported  
196 by the respondent  $i$  (see *Methods*) and  $W_i$  is the sampling weight. We truncated  $N(\text{missed school days})_i$   
197 to 19 (it could go up to 22.5) as in Guttmacher (2002) because the respondents were by definition present  
198 the day of the survey. Because the weights were sometimes very high, we truncated them at the 99<sup>th</sup>  
199 percentile.

200 2/ In method 2, we consider the number of past absences reported by the respondents as well as the  
 201 number of non-respondents. The respondents with reported absences will represent themselves plus a  
 202 proportion of the missing pupils, defined by the ratio of their number of past absences and the total  
 203 number of days of absences among the respondents. The formula is:

$$204 \quad p2L_i = W_i + W_i \times C_0(\text{any absence})_i$$

$$205 \quad p2L_i = W_i +$$

$$206 \quad W_i \times \text{boy} \times T(\text{absent boys})_L \times \frac{N(\text{missed school days})_i}{T(\text{missed school days reported by boys})_L} +$$

$$207 \quad W_i \times \text{girl} \times T(\text{absent girls})_L \times \frac{N(\text{missed school days})_i}{T(\text{missed school days reported by girls})_L}$$

208 where  $T(\text{absent boys/girls})_L$  is the number of boys/girls that are non-respondents the day of the data  
 209 collection,  $N(\text{missed school days})_i$  has been defined above and  $T(\text{past missed school days})_L$  is the total  
 210 number of reported missed school days among respondents in the stratum L. If there is no absent the  
 211 day of the data collection, then  $C_0=0$  and  $p2L_i=W_i$ . If no respondent reports a past absence, then  $p2L_i=1$   
 212 (this did not happen in our case).

213 3/ In method 3, we extend method 2 by distinguishing the type of absences reported by the respondents  
 214 and recorded by the supervisors during the survey:

$$215 \quad p3L_i = W_i + W_i \times C_1(\text{legitimate absence})_i + W_i \times C_2(\text{truancy})_i, \text{ with:}$$

$$216 \quad C_1(\text{legitimate absence})_i =$$

$$217 \quad \text{boy} \times T(\text{absent but not truant boys})_L \times \frac{N(\text{missed but not skipped days})_i}{T(\text{missed but not skipped days of boys})_L}$$

$$218 \quad + \text{girl} \times T(\text{absent but not truant girls})_L \times \frac{N(\text{missed but not skipped days})_i}{T(\text{missed but not skipped days of girls})_L}$$

$$219 \quad C_2(\text{truancy})_i =$$

$$220 \quad \text{boy} \times T(\text{truant boys})_L \times \frac{N(\text{skipped days})_i}{T(\text{skipped days of boys})_L}$$

$$221 \quad + \text{girl} \times T(\text{truant girls})_L \times \frac{N(\text{skipped days})_i}{T(\text{skipped days of girls})_L}$$

222  $N(\text{skipped days})_i$  and  $N(\text{missed but not skipped days})_i$  are the numbers of skipped days (truancy)  
 223 and the number of past missed school days for a legitimate motive reported by pupil  $i$ , respectively,  
 224 while  $T(\text{skipped days of boys})_L$  and  $T(\text{missed but not skipped days of boys})_L$  are the  
 225 corresponding totals in stratum L. If there is no truant the day of the data collection within the considered  
 226 stratum L, then  $C_2=0$ ; similarly, if there is no absent (legitimate), then  $C_1=0$ .



227 Methods 2 and 3 consider the observed correlation between the reported levels of drug use and the  
228 probability of response (estimated by the number of missed school days as in Guttmacher' method) in a  
229 manner that is analogous to equation (Eq. 2). The truncation of the reported number of missed school  
230 days is unnecessary (whereas it is needed in the Guttmacher' method) because the weights are  
231 normalised by construction; weights were not truncated for the same reason. Methods 2 and 3 allow  
232 considering gender as a determinant of truancy and drug use.

### 233 *Underlying assumptions*

234 In all methods, we assume that there is no self-selection relating to the survey: the day of the survey is  
235 at random and no pupil chose to be absent because of the survey (H1). Second, like in every weighting  
236 technique, we assume that the unobserved drug uses of the absents or truants can be estimated by the  
237 observed drug uses of the respondents (H2), conditionally to specific reports of past absences or truancy  
238 episodes (hypothesis of conditional exchangeability). In the Guttmacher' original technique,  
239 exchangeability is assumed to hold without condition, whatever the specificities of the pupils: sex, type  
240 of school, educational sector etc. On the opposite, using the number of missing pupils at the sampling  
241 stratum is more interesting because strata encompass the educational specificities shared by a lot of  
242 classes, that relate to the patterns of absence from school and of drug use (see Table 3). We also assume  
243 that a missing school day reported by a respondent would be have been (counterfactually) recorded as  
244 an absence the by the survey supervisor (H3). H3 makes the modelling of the total non-response  
245 possible.

246 In method 3, we add two additional assumptions. H4: the illegitimate (respectively legitimate) absences,  
247 either reported by the respondents or recorded by the survey supervisor belong to the same category.  
248 That is, we assume that any reported truancy episode has been recorded as such by a professor during a  
249 regular class and would counterfactually be recorded as such by the survey supervisor. H4 is a natural  
250 extension of H3. H5: we precise the conditional exchangeability assumption (H2). We assume that the  
251 respondents who report truancy episodes can represent the missing pupils without parental justification  
252 the day of data collection (i.e. the current truants) regarding drug use in a better way than those who  
253 report legitimate absences only.

### 254 *Statistics*

255 All statistics (weighted or not) were computed using the sampling design (strata and class as cluster) to  
256 get unbiased estimates of the standard deviation using the PROC SURVEYFREQ in SAS V9.4.

257

258 **Results**

259 *Description of the sample*

260 The selected sample of the 2015 French ESPAD survey comprised 284 classes and 7,166 pupils among  
261 which only 6,185 (86.3%) were present and 981 (13.7%) were absent during data collection (including  
262 16 parental refusals). Among the 981 absent pupils, 359 (36.6%) were truant and 116 (11.7%) had an  
263 uncertain status, the other having a parental justification. Only 6,185 questionnaires were filled-in  
264 (85.9%), while 6,007 were retained in the final respondent sample (83.8%) because 178 questionnaires  
265 had to be discarded due to major incompleteness or poor data quality. As a consequence, 1159 pupils  
266 (almost 16% of the initial sample) were considered missing (among which 31% were current truants).  
267 We considered the 116 absent pupils with an uncertain status as absent with a legitimate motive.

268 The partial non-response rates in the report of past absences were low in the retained questionnaires  
269 (5.4% for illness, 8.4% for truancy and 7.1% for other reasons) and higher in the discarded  
270 questionnaires (16%, 17% and 18%, respectively). The mean number of reported missed school days  
271 was also higher in the discarded questionnaires than in the retained questionnaires (6.2 vs 2.6 days), as  
272 well as the proportion of missed days because of truancy (37% vs 25%). Among the retained  
273 questionnaires, boys reported less past missed school days without truancy than girls (average 2.5 vs  
274 2.8,  $p < 0.001$ ) but the same average of truancy days: 0.7 vs 0.6,  $p = 0.5$ .

275 *Reported past absences and drug use*

276 The Pearson correlation coefficients between the outcomes and the reported absences and truancy of the  
277 respondents are shown in Table 1. The coefficients with legitimate absences were lower than with those  
278 with truancy. Correlations were weak for regular alcohol use ( $\rho$  circa 0.03) but stronger for tobacco  
279 daily smoking and regular cannabis use ( $\rho$  close to 0.2). The coefficients for smoking and cannabis  
280 with the truancy were somewhat higher among girls than boys. As a consequence, drug use levels were  
281 higher in respondents who reported a past absence in the last 30 days than among the others and  
282 especially high among those who reported episodes of truancy (Table 2). For example, in girls, tobacco  
283 daily smoking prevalence was 15.7% among respondents with no reported absence but 26.9% among  
284 the others (19.1% among those with legitimate absences only and 41.0% among those with reported  
285 episodes of truancy). Respondents with only legitimate absences and those with no absence at all had  
286 very similar drug use levels (Table 2).

287 Truancy is thus clearly the key parameter for any TNRC procedure. However, the numbers of days of  
288 absences (legitimate or not) and of truancy episodes were strongly correlated ( $r = 0.68$  in boys and  $r = 0.65$   
289 in girls), justifying trying a TNRC procedure that does not distinguish them.

290

291 *How much bias can there be?*

292 According to equation (Eq. 1), the maximum (but unrealistic) bias would be observed if all non-  
293 respondents were drug users. With 16.2% of non-respondents and a (unweighted) prevalence of regular  
294 cannabis use among respondents of 7.6%, the true value would be 22.5% (Table 2). Using individual  
295 reports of absence allows computing a more plausible estimate. If the unobserved proportion of regular  
296 cannabis users among missing pupils was equal to the proportion observed among respondents who  
297 reported a past episode of truancy (15.6%), the true proportion of regular users would be 8.9%, 1.3 point  
298 (or 17%) above the unweighted value, which may be considered worth correcting the data. However,  
299 the true bias remains unknown.

300 *Strata and drug use*

301 The correlations between the reported number of past missing school days (any absence) and past  
302 skipped days (truancy) and the outcomes varies greatly by stratum (Table 3), as well as the levels of  
303 drug use: this is an evidence of the relevance of computing the TNRC of methods 2 and 3 at this level  
304 instead as at the global level as in method 1.

305 *Effects of the TNRC weighting procedures*

306 The three TNRC methods perform differently in reconstituting the theoretical number of pupils: the  
307 Guttmacher' method led to a large overestimation ( $n=7998.3$  vs 7166), even with the truncation of the  
308 weights ( $n=7482.3$ ) whereas methods 2 and 3 yielded the exact total (Table 4: Sum without sampling  
309 weight). Results were similar when the sampling weight was taken into account (Table 4; Sum with  
310 sampling weight).

311 The TNRC increased the variance of the weights (measured by the coefficient of variation CV). For  
312 method 1 (Guttmacher), the truncation ( $CV=82.4$ ) yields a much lower variance than the original method  
313 ( $CV=118.9$ ). For methods 2 and 3, the variance was lower because each individual correction contributes  
314 only to a share of the missing pupils in the stratum. As expected also, the differences between method 2  
315 and 3 were very small because of the high correlation between the numbers of past absences and of past  
316 truancy episodes ( $r=0.68$  in boys and  $r=0.65$  in girls). The final calibration reduced the differences  
317 between the methods: the CV varies between 81 (for methods 2 and 3) and 89.7 or 118.9 (for the method  
318 1 with or without truncation). Note that the sampling design contributes a lot to the variance of the  
319 weights as the CV for the sampling weight is already 68.7 before calibration and 76.1 after.

320 Table 5 shows the estimates of outcomes, with the different weighting schemes before calibration. As  
321 expected, given the correlations observed in Table 1, levels of tobacco and cannabis uses were more  
322 corrected upward than the level of alcohol regular use. As expected again, method 3 yielded only slightly  
323 greater estimates than method 2. Method 1 (Guttmacher) yielded the most important corrections,  
324 especially when the weights were not truncated (i.e. in the original method): the corrected prevalence of

325 cannabis regular use was 9.5% (std=0.86), that is an increase of 25% compared to the unweighted  
326 prevalence (7.6%). According to Table 2 and formula (Eq. 1), it would mean that the proportion of  
327 cannabis regular use is 19.5% among the missing pupils: a higher value than the prevalence observed  
328 among the respondents who reported episodes of truancy (15.6%). The results obtained with the  
329 truncation were more realistic. And so were the results obtained with methods 2 and 3: the corrected  
330 prevalence of regular cannabis use is 8.7%, that is a relative increase of 14% compared to the raw  
331 estimate.

332 The results obtained after the final calibration are very similar (Table 6). Before and after calibration,  
333 the standard deviations obtained through the Guttmacher's method were higher than those obtained with  
334 methods 2 and 3, as suggested by the higher CV of the weights (Table 4). None of the corrected estimates  
335 fell outside the confidence interval of the classical estimates.

## 336 **Discussion**

### 337 *Summary of the findings*

338 To our knowledge, this is the first study comparing different methods aiming to correct the potential  
339 non-response bias relating to missing pupils in a school survey. Our approach relies on few simple  
340 assumptions and provides estimates of the true values based on all the available information, that is  
341 preferable to any speculative consideration about the magnitude of the underestimation yielded by the  
342 classical weighting procedures. In addition, the increase of variance is small. Ignoring the amount of  
343 lost information due to non-response (described by the number of missing pupils the day of the data  
344 collection), the Guttmacher' method (2002) is not a sound modelling and leads to irrelevant corrections  
345 with higher variances.

### 346 *Limitations*

347 Our results are based on some strong assumptions that may be challenged.

348 H1 is common to the three methods. It is reasonable because the topic of the survey and the precise day  
349 of the survey are not known in advance by the pupils and their parents: it is difficult to imagine that the  
350 absence the day of the survey is caused by the survey itself.

351 H2 is common to every weighting technique: the respondents can represent the non-respondents given  
352 some characteristics relating to their probability of response and the level of the outcomes. In our case,  
353 the literature emphasises the role of truancy as a key parameter of drug use. As the truants report higher  
354 levels of drug use than the others (15-18), and as the number of truancy episodes were correlated to  
355 higher levels of drug use among the respondents of our survey, the assumption seems reasonable. The  
356 validity of H2 also requires that the dropouts either present the same drug use level than the other truants  
357 or represent only a negligible share of them, which is granted because the share of dropout is very low

358 at 15-16 years old in France where school is still mandatory (less than 1%). That the respondents with  
359 report of past truancy episodes are more similar to truants the day of the data collection (H5) than those  
360 without such reports relies on the same basis.

361 On the opposite, we hypothesise that the pupils with no reported absence or truancy cannot represent  
362 effective absent pupils, although they show very similar drug use levels. This increases the weights by  
363 construction but should not add bias.

364 The accuracy of the TNRC procedures relies on the accuracy of the data; this is a prerequisite for our  
365 five assumptions but it is especially the case for H3 that is at the core of our approach: its validity relies  
366 on the honesty of the respondents. H4 and H5 have the same strength and weakness. If the pupils make  
367 up truancy episodes as legitimate absences, the total number of missed school days will be more reliable  
368 than the distinct counts of legitimate and illegitimate absences, which is an argument in favour of method  
369 2. Such a trick would be in accordance with a social desirability bias (29). At the class level, it is likely  
370 that a proportion of the apparent legitimate absences are in fact illegitimate and reciprocally but this  
371 proportion should be low.

372 The discarded questionnaires have been considered as questionnaires of absent pupils (and not truant  
373 pupils), despite showing much higher rates of absence and truancy than the retained questionnaires. This  
374 is arbitrary, as they present higher shares of reported truancy; but one reason for this choice is that they  
375 were less trustworthy since they showed higher rates of missing values for past absences.

376 In our case, the correlations between truancy and drug use do not differ much by gender and ignoring  
377 gender in methods 2 and 3 would provide very similar results. However, it is important to show that this  
378 important determinant of drug use and school attendance can be considered easily.

## 379 **Conclusion**

380 Combining the number of missing pupils in class and the individual reports of past missed school days  
381 by the respondents allows estimating and correcting the non-response bias in a simple that should be  
382 applied in every school survey.

383

384 **Declarations**

385 **Ethics approval and consent to participate**

386 Ethics approval was not required for this school survey. The data are anonymous and confidential  
387 and protected by the National Committee on Informatics and liberty. The survey was not mandatory;  
388 parents could refuse the participation of their children and the pupils could refuse to participate.

389 **Consent for publication**

390 This manuscript has been seen and approved by all authors, which have been personally and actively  
391 involved in substantive work leading to this article, and will hold themselves jointly and individually  
392 responsible for its content.

393 **Competing interests**

394 The authors have no conflicts of interest to declare.

395 **Funding**

396 The ESPAD survey is funded by the French monitoring centre for drug and drug addiction.

397 **Authors' contributions**

398 SS designed the survey and was responsible of it. SL designed the study, wrote the first version of the  
399 manuscript and computed the statistics. FB revised the manuscript.

400 **Availability of data and materials**

401 Data and SAS programs are available upon request to the corresponding author.

402

## References

1. Bethlehem J. Working with Response Probabilities. *Journal of Official Statistics*. 2020;36(3):647-74.
2. Davern MD, McAlpine T, Beebe J, Ziegenfuss JY, Rockwood TH, Call KT. Are lower response rates hazardous to your health survey? An analysis of three state health surveys. *Health services research*. 2010;45(5):1324-44.
3. Groves RM. Nonresponse Rates And Nonresponse Bias In Household Surveys. *Public Opinion Quarterly*. 2006;70(5):646-75.
4. Rubin DB, Little RJA. *Statistical Analysis with Missing Data*: John Willey & Sons; 2002.
5. Hibell B, Guttormsson U, Ahlström S, Balakireva O, Bjarnason T, Kokkevi A, et al. The 2011 ESPAD Report: Substance Use Among Students in 36 European Countries. Stockholm: CAN; 2012.
6. Guttormsson U, Leifman H, Kraus L, Arpa S, Molinaro S, Monshouwer K, et al. *Espad 2015 methodology*. Lisbon: EMCDDA; 2016.
7. Hibell B, Andersson B, Bjarnason T, Ahlström S, Balakireva O, Kokkevi A, et al. The ESPAD Report 2003. Alcohol and other drug use among students in 35 European countries. Rapport. Stockholm: CAN (Council for Information on Alcohol and other Drugs); 2004.
8. Gfroerer J, Bose J, Kroutil L, Lopez M, Kan L. Methodological Considerations in Estimating Adolescent Substance Use. *Joint Statistical Meeting*; San Diego 2012.
9. Wichstrom L. Alcohol intoxication and school dropout. *Drug Alcohol Rev*. 1998;17(4):413-21.
10. Henry KH, Thornberry TP. Truancy and Escalation of Substance Use During Adolescence. *Journal of Studies on Alcohol and Drugs*. 2010;71:115-24.
11. Mounteney J, Skutle A. Truancy, alcohol use and alcohol-related problems in secondary school pupils in Norway. *Health Education Research*. 2010;25(6):945-54.
12. Holtes M, Bannink R, Joosten-van Zwanenburg E, Van As E, Raat H, Broeren S. Associations of truancy, perceived school performance, and mental health with alcohol consumption among adolescents. *Journal of School Health*. 2015;85(12).
13. Henry KL, Huizinga DH. Truancy's effect on the onset of drug use among urban adolescents placed at risk. *Journal of Adolescent Health*. 2007;40(4):e9–e17.
14. Yoep N, Kuang Kuay L, Safiza N. Prevalence of Truancy and Its Associated Factors among School-Going Malaysian Adolescents: Data from Global School-Based Health Survey 2012. *Psychology*. 2016;7:1053-60.
15. Kandel D. Reaching the hard-to-reach: illicit drug use among high school absentees. *Addictive diseases*. 1975;1(4):465-80.
16. Guttmacher S, Weitzman BC, Kapadia F, Weinberg SL. Classroom-Based Surveys of Adolescent Risk-Taking Behaviors: Reducing the Bias of Absenteeism. *American Journal of Public Health*. 2002;92:235-7.
17. Swaim RC, Beauvais FE, Chavez L, Oetting ER. The effect of school dropout rates on estimates of adolescents substance use among three racial/ethnic groups. *American Journal of Public Health*. 1997;87(1):51-5.
18. Michaud PA, Delbos-Piot I, Narring F. Silent dropouts in health surveys: are nonrespondent absent teenagers different from those who participate in school-based health surveys? *J Adolesc Health*. 1998;22(4):326-33.
19. Johnston L, O'Malley PM. Issues of validity and population coverage in student surveys of drug use. . In: Rouse B, Kozel N, Richards L, editors. *Self-Report Methods of Estimating Drug Use: Meeting Current Challenges to Validity* 57. Rockville, Md: NIDA Research Monograph 1985.
20. Miech RA, Johnston LD, O'Malley PM, Bachman JG, Schulenberg JE, Patrick M. *Monitoring the Future national survey results on drug use, 1975–2019*. Ann Arbor Michigan: Institute for Social Research The university of Michigan; 2020.

21. Moor I, Winter K, Bilz L, Bucksch J, Finne E, John N, et al. The 2017/18 Health Behaviour in School-aged Children (HBSC) study – Methodology of the World Health Organization’s child and adolescent health study. *Journal of Health Monitoring*. 2020;5(3).
22. Deville J, Sarndal C-E. Calibration estimators in survey sampling. *Journal of the American Statistical Association*. 1992;87(418):376-82.
23. Little RJA, Vartivarian S. Does weighting for nonresponse increase the variance of survey means? *Survey methodology*. 2005;31(2):161–68.
24. Haziza D, Lesage E. A discussion of weighting procedures for unit nonresponse. *Journal of Official Statistics*. 2016;32(1):129-45.
25. Heckman JJ. Sample selection bias as a specification error. *Econometrica: Journal of the econometric society*. 1979;47(1):153-61.
26. Gallimard J-E, Chevret S, Curis E, Resche-Rigon M. Heckman imputation models for binary or continuous MNAR outcomes and MAR predictors. *BMC medical research methodology*. 2018;18(90).
27. Bachman JG, O’Malley PM, Johnston LD, Schulenberg JE, Freedman-Doan P. THE MONITORING THE FUTURE EIGHTH GRADE PANEL SURVEY DATA: SAMPLE DESIGN, ADJUSTMENTS FOR PANEL ATTRITION BIASES, AND ASSESSMENT OF MEASUREMENT BIAS. *occasional papers*. 2001(55).
28. Politz A, Simmons W. An attempt to get the "not at homes" into the sample without callbacks. *Journal of the American Statistical Association*. 1949;44(245):9-16.
29. De Leeuw ED. Choosing the method of data collection. In: De Leeuw ED, Hox JJ, Dillman DA, editors. *International handbook of survey methodology*. New York: Lawrence Earlbaum Associates; 2008. p. 117-35.