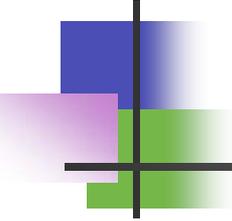


# Genetic Network Programming with Reinforcement Learning Using Sarsa Algorithm

---

Shingo Mabu, Hiroyuki Hatakeyama,  
Kotaro Hirasawa and Jinglu Hu  
Waseda University, Japan



# Contents

---

I. Research Background

II. Genetic Network Programming (GNP)  
with Reinforcement Learning

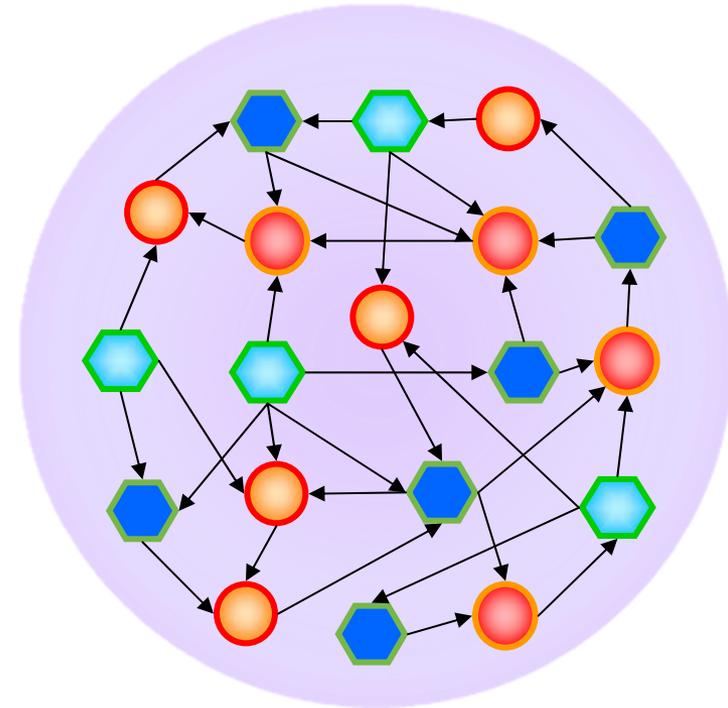
III. Simulation

IV. Conclusions

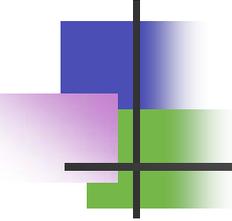
# I. Research Background

## ■ Genetic Network Programming (GNP)

- GNP consists of **Judgment nodes** and **Processing nodes**
- Characteristics
  - directed graph structure
  - compact structure
  - implicit memory function



Basic structure of GNP



# Objective

---

- Making graph structures by combining **evolution** and **reinforcement learning (RL)**
  - Evolution: Many individuals work and better ones are selected after task execution.



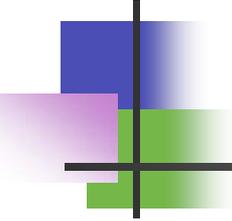
Diversified search

- RL: One individual works and learn action rules during task execution.



Intensified search

search for better **solutions**  
and improve **the search speed**



# Objective

---

- Dealing with **numerical** inputs and outputs

- Conventional GNP

- Ex) robot behavior:

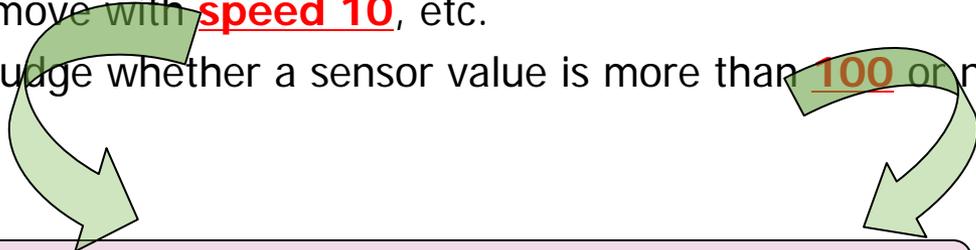
Processing: move forward, turn right, etc.

Judgment : judge whether an obstacle **exists or not** in front of the robot

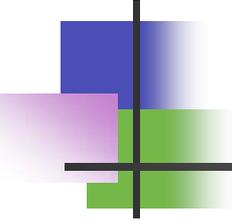
- Proposed method

Processing: move with **speed 10**, etc.

Judgment : judge whether a sensor value is more than **100** or not



These parameters are determined by **RL**



# Details

---

- The role of evolution and RL
  - Evolution
    - **Determine GNP structures** (connections between nodes) and **randomly change node parameters** after task execution (offline)
  - RL (Sarsa)
    - **Select appropriate parameters** based on the information obtained during task execution (online)

## □ Why Sarsa ?

Sarsa can learn action rules considering the action policy (e.g.  $\epsilon$ -greedy) used by an agent

# II. Genetic Network Programming with RL

## ■ Components

### ■ Processing node

- Each processing node has the unique action function.

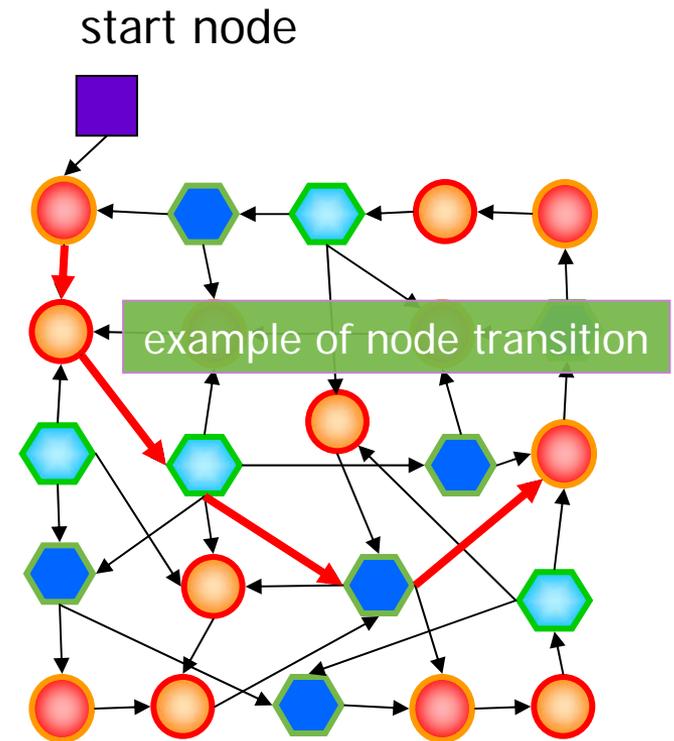
Ex.)  → Move with speed 10

### ■ Judgment node

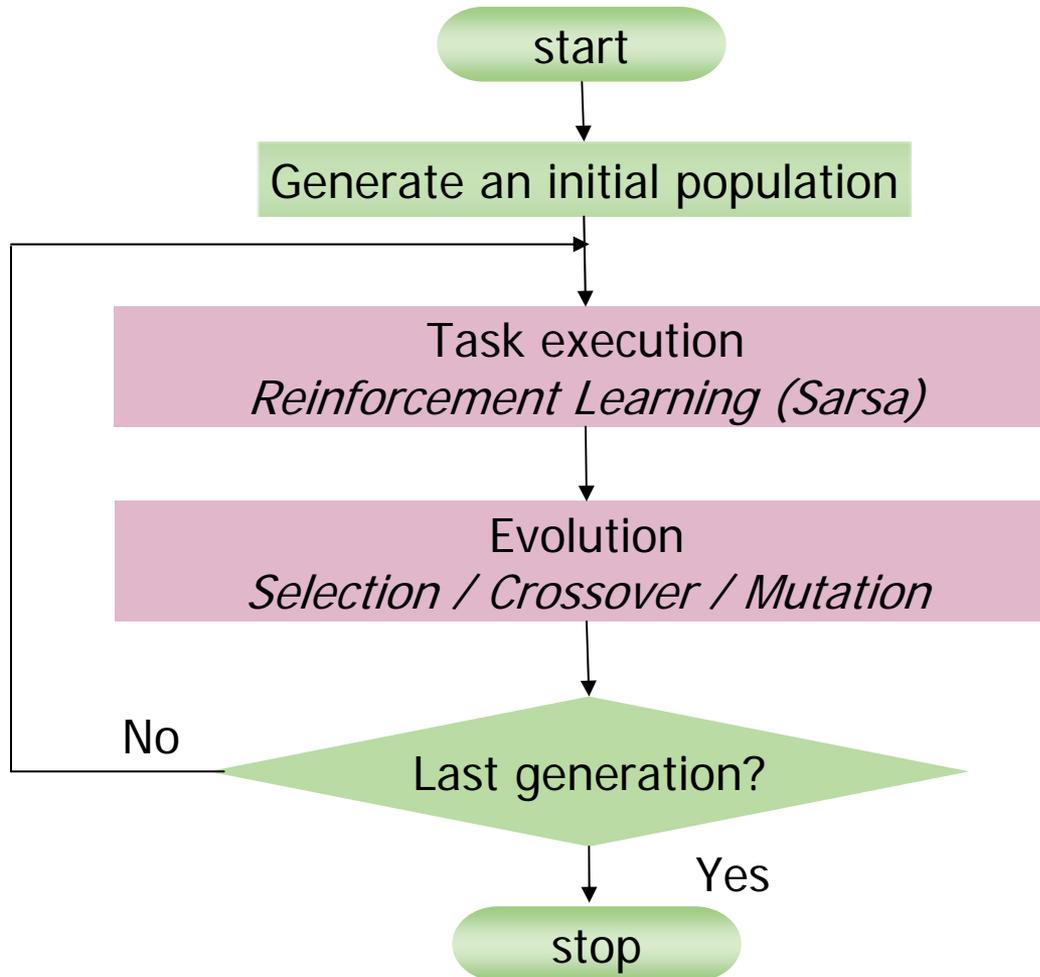
- Each judgment node has the unique judgment function

Ex.)  → yes  
no

A sensor value is more than 100 or not



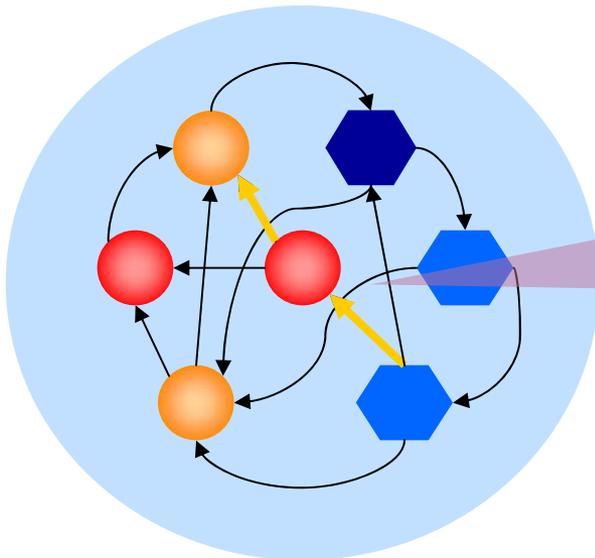
# Flowchart



# The role of evolution and learning

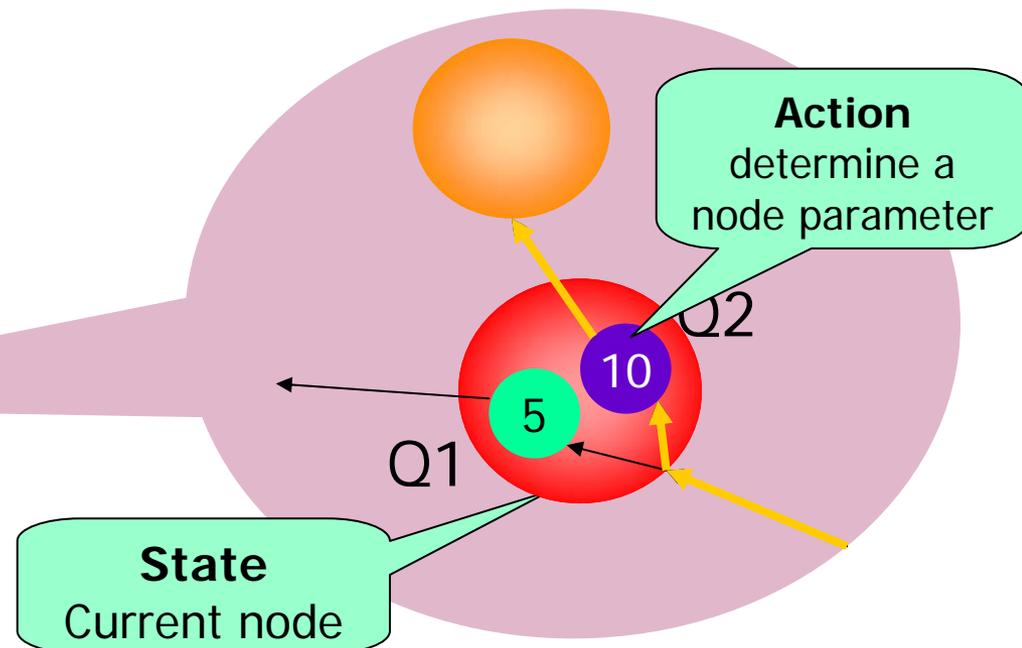
## ■ Evolution

- determine a structure
  - Node connections
- change node parameters



## ■ RL (Sarsa)

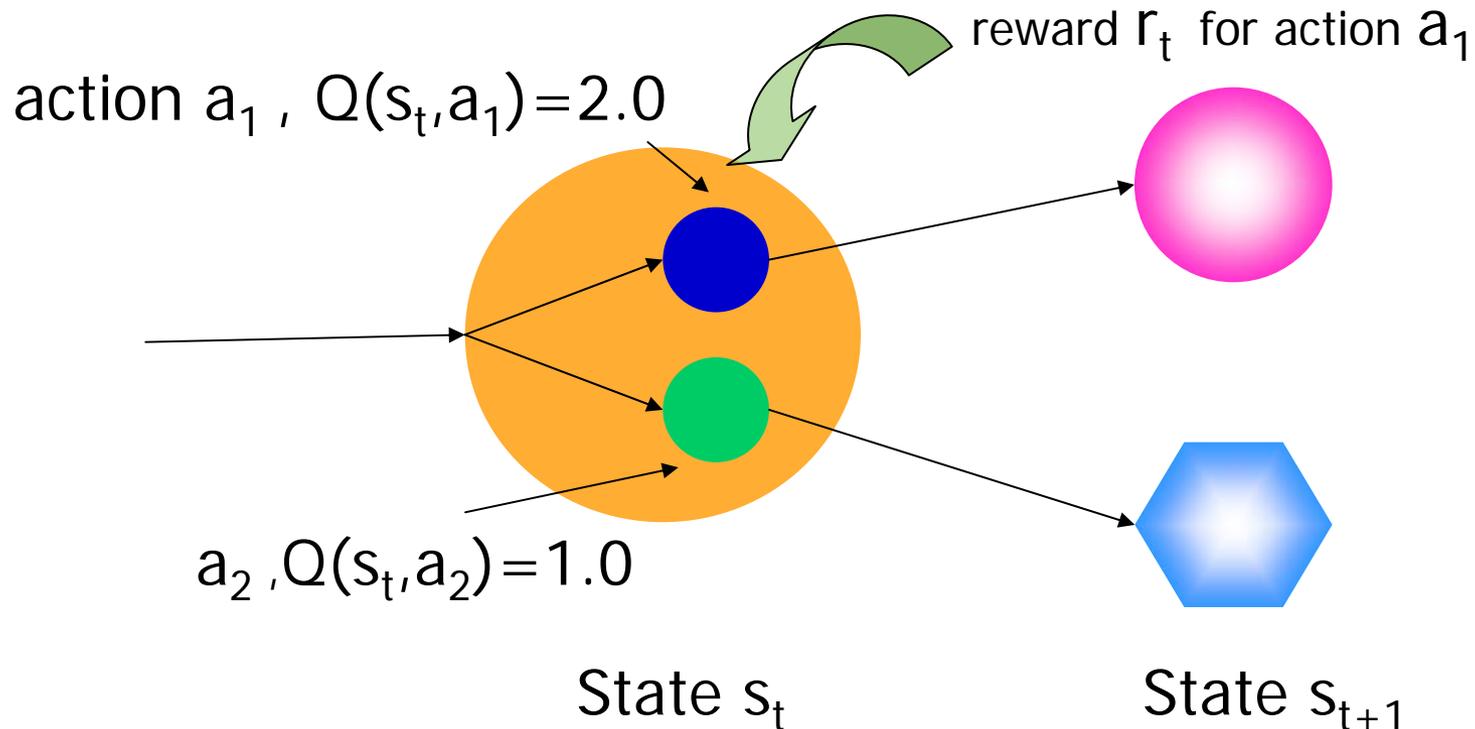
- determine node parameters



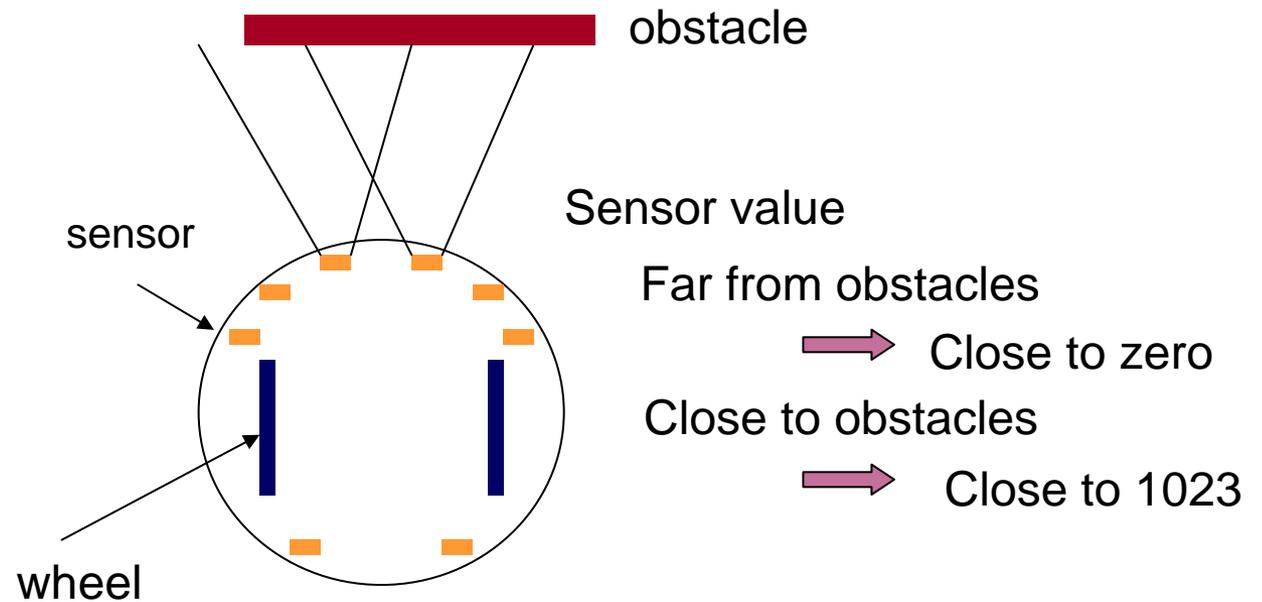
Q1, Q2: action value (Q value)

# node transition rules

- Action policy ( $\epsilon$ -greedy)
  - Select an action (node parameter) having the max Q value with the probability of  $1 - \epsilon$ , or select one of them randomly.



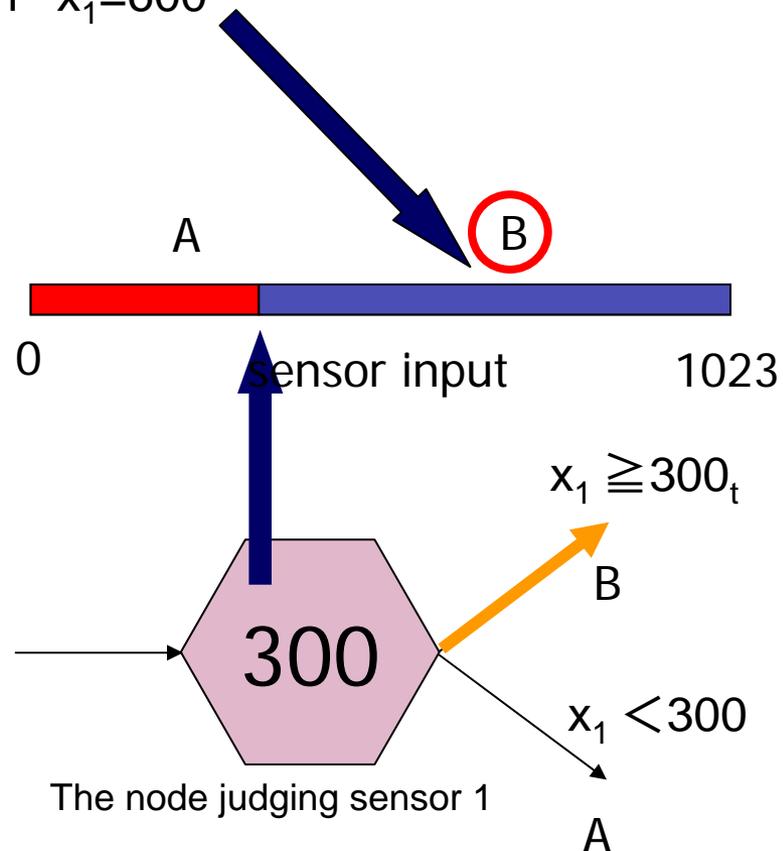
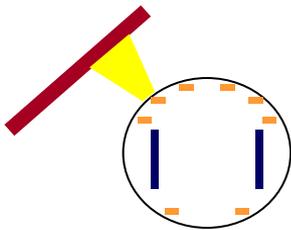
# Khepera robot



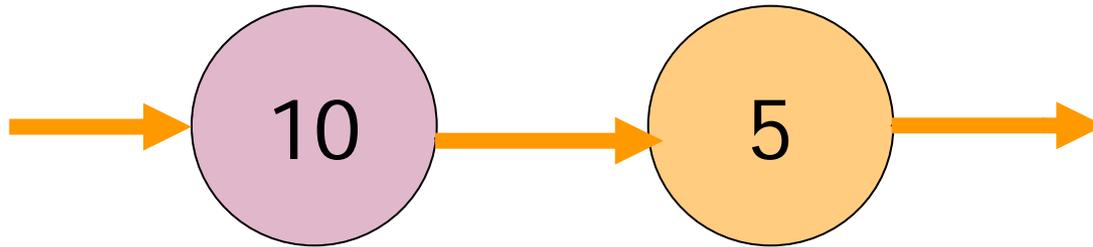
Speed of the right wheel  $V_R$      $\rightarrow$  -10 (back)  $\sim$  10 (forward)  
Speed of the left wheel  $V_L$      $\rightarrow$  -10 (back)  $\sim$  10 (forward)

# Function of Judgment node

Input from sensor 1  $x_1=600$

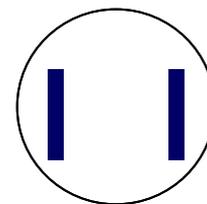
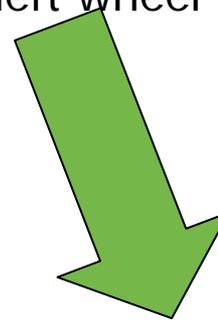


# Function of Processing node



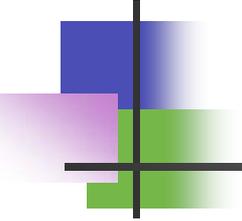
Determine the speed of the right wheel

left wheel



$$V_R = 10$$

$$V_L = 5$$



# Learning node parameters

---

- ▶ Q-values are updated using Sarsa algorithm

After judgment or processing, execute the following

$$Q(s_t, a_t) \leftarrow Q(s_t, a_t) + \alpha [r + \gamma Q(s_{t+1}, a_{t+1}) - Q(s_t, a_t)]$$

$r$ : reward

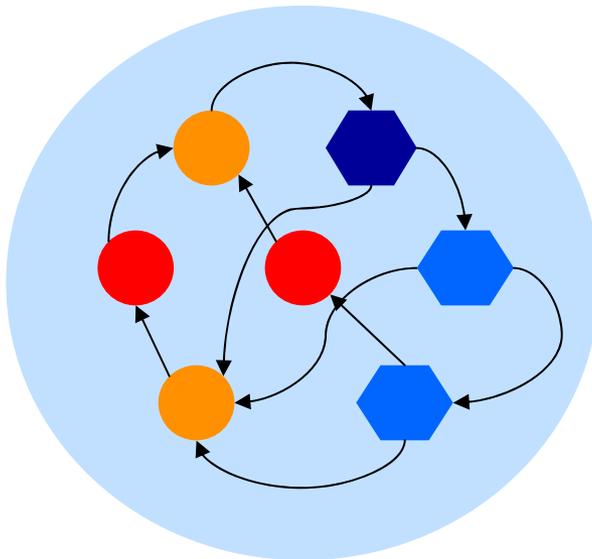
$\alpha$ : learning rate

$\gamma$ : discount rate

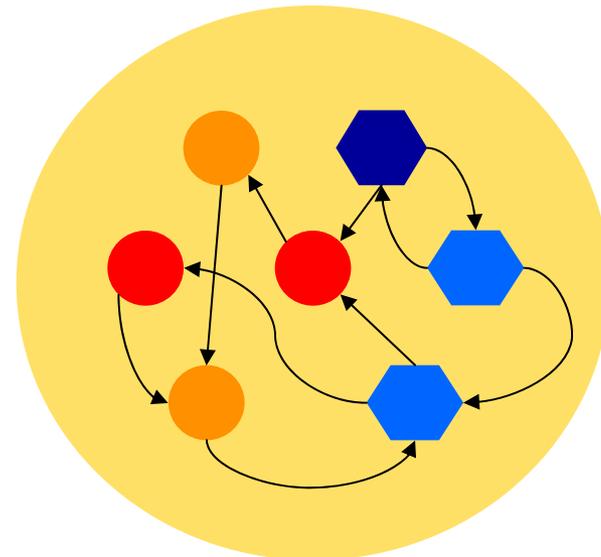
$Q(s_t, a_t)$ : value of action  $a_t$  at state  $s_t$

# Genetic operator (Crossover)

1. Two individuals are selected as parents.
2. Each node is selected with the probability of  $P_c$  and its genes (content and connections) are exchanged.



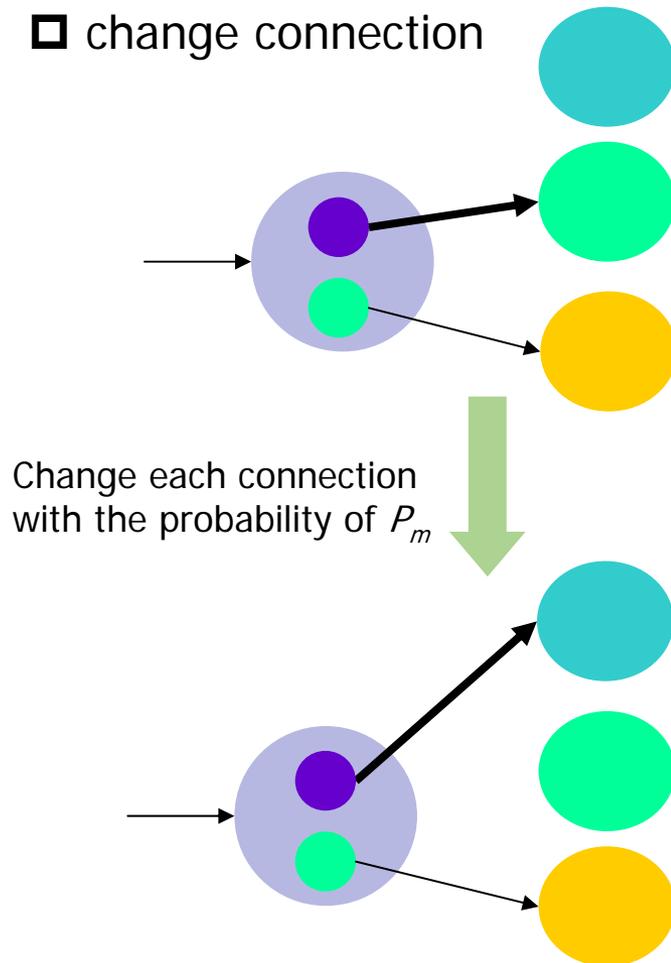
Individual 1



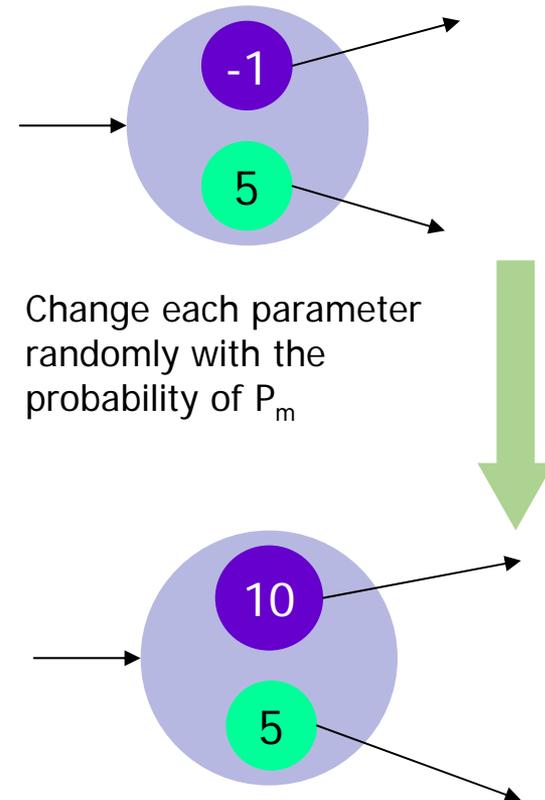
Individual 2

# Genetic operator (Mutation)

□ change connection



□ change node parameter



# III. Simulation

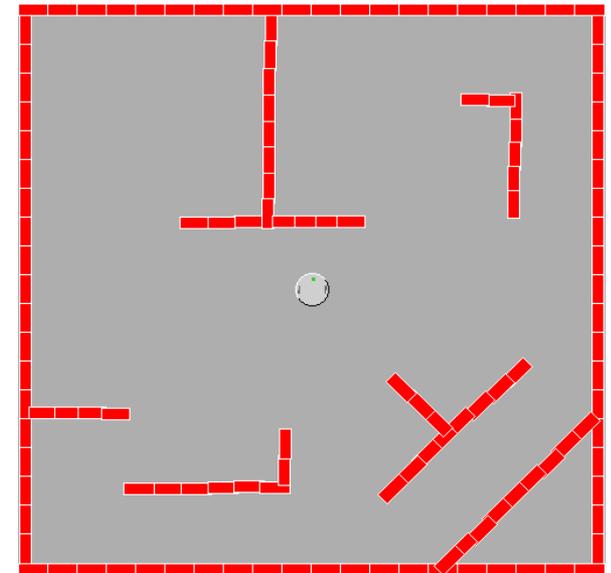
- Wall-following behavior

1. All the sensor values are less than 1000
2. At least one sensor value is more than 100
3. Move straight
4. Move fast

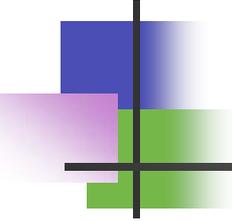
$$\text{Reward}(t) = \frac{v_R(t) + v_L(t)}{20} \times \left( 1 - \sqrt{\frac{v_R(t) - v_L(t)}{20}} \right) \times C$$

$$\text{fitness} = \left( \sum_{t=1}^{1000} \text{Reward}(t) \right) / 1000$$

$$C = \begin{cases} 1 & \text{: If the condition 1 and 2 is satisfied} \\ 0 & \text{: otherwise} \end{cases}$$



Simulation environment



# Node functions

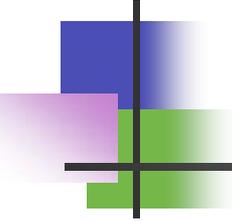
---

## Processing node (2 kinds)

- Determine the speed of right wheel
- Determine the speed of left wheel

## Judgment node (8 kinds)

- Judge the value of sensor 0
- ⋮
- Judge the value of sensor 7



# Simulation conditions

---

## ■ Evolution

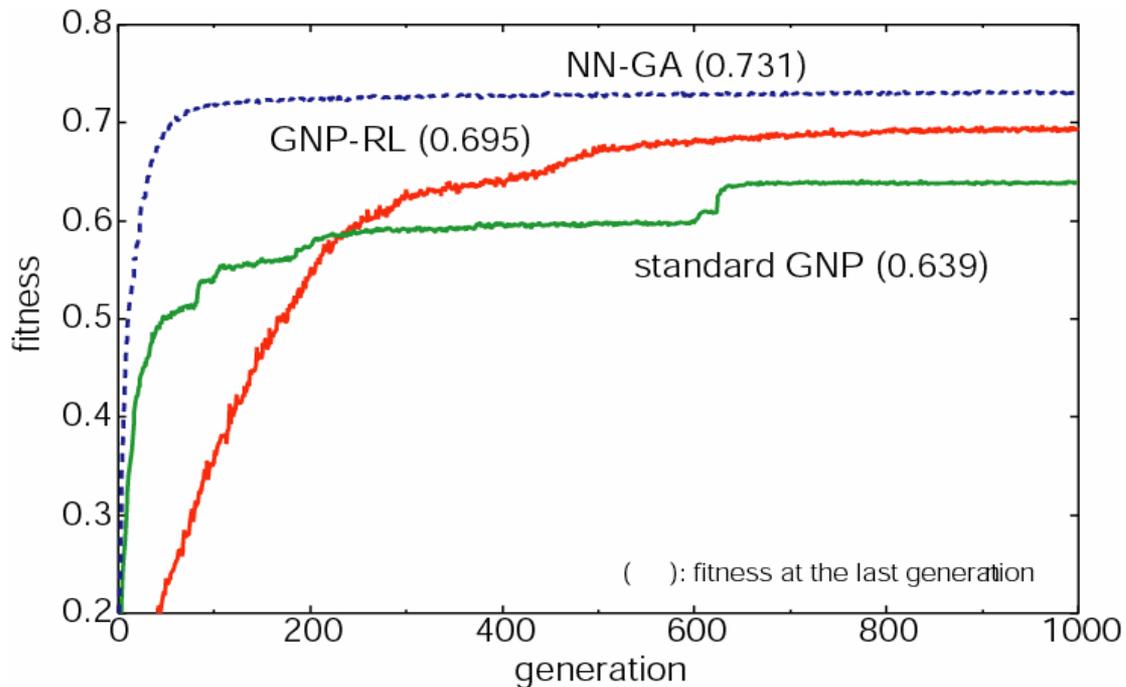
- The number of individuals: 600
- The number of nodes: 60
  - Judgement nodes: 40
  - Processing nodes: 20
- Crossover rate  $P_c$  : 0.1
- Mutation rate  $P_m$  : 0.01

## ■ Learning

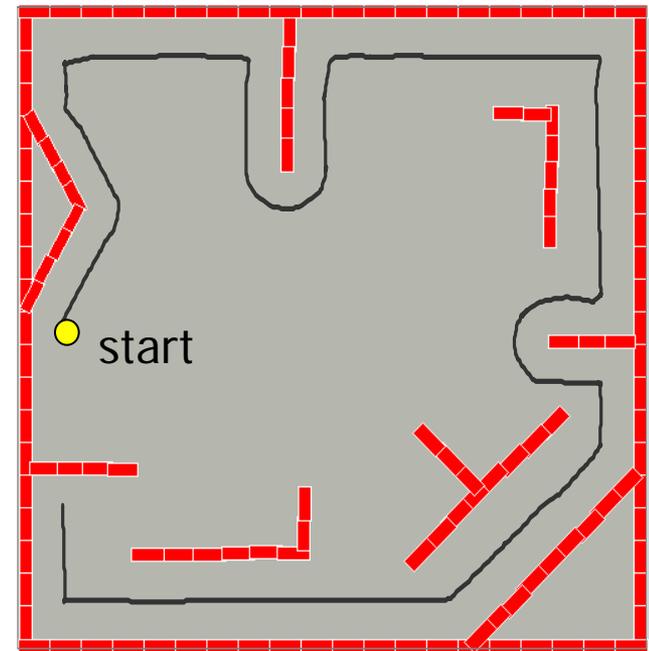
- Learning rate  $\alpha$  : 0.1
- discount rate  $\gamma$  : 0.4
- $\varepsilon$ :0.1

# Simulation 1

Start with fixed position



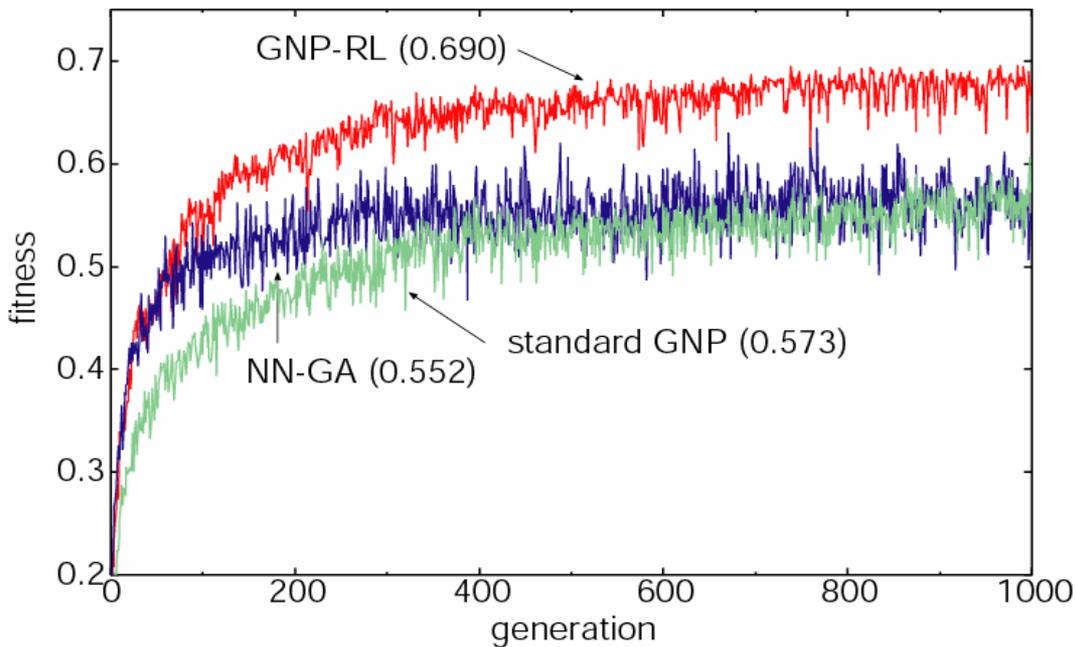
fitness curves of the best individuals averaged over 30 independent simulations



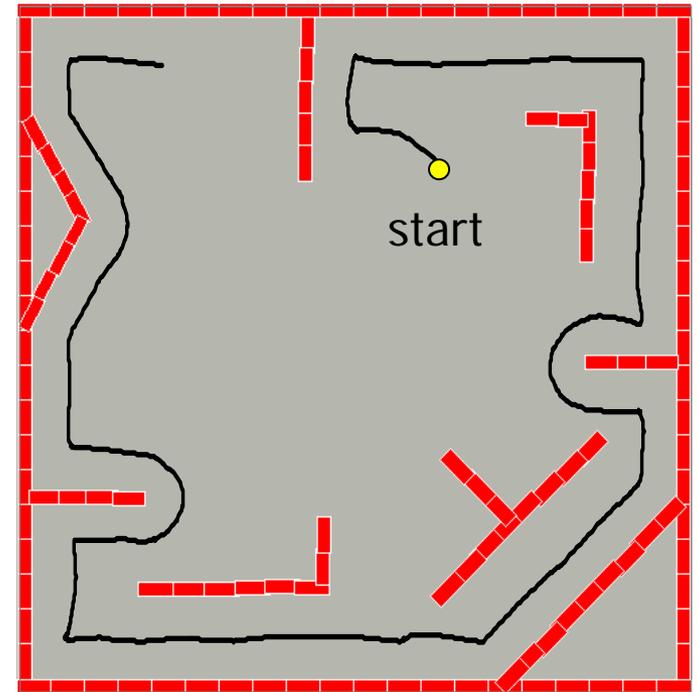
Track of the robot

# Simulation 2

- Start with random position



fitness curves of the best individuals averaged over 30 independent simulations



Track of the robot

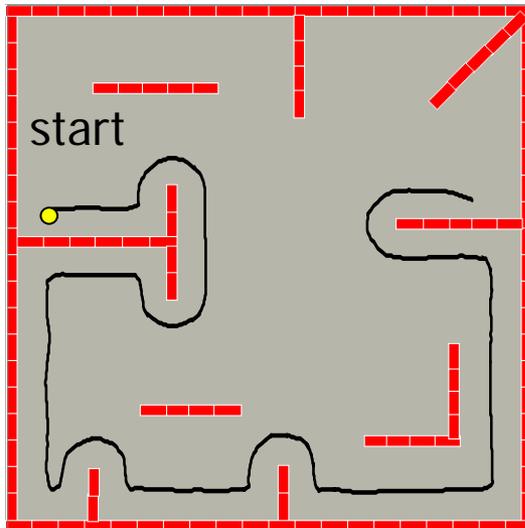
# Simulation 3

## generalization ability

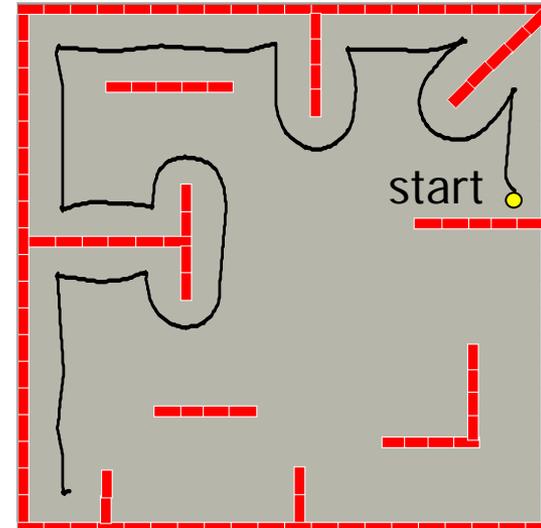
The best program obtained  
in Simulation2



Execute in the  
inexperienced environment



Example 1



Example 2

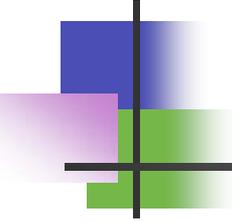
The robot shows appropriate wall-following tracks

# Simulation 3

## generalization ability

- Data on the fitness (30 simulations)

	GNP with RL	Standard GNP	NN with GA
Average	0.426	0.356	0.204
Standard deviation	0.082	0.146	0.046
t-test between GNP-RL and each method P-value[%]	—————	3.54	$5.75 \times 10^{-10}$



# IV. Conclusion

---

- GNP with RL is proposed to search for solutions efficiently.
  - Evolution determines structures and change parameters after task execution.
  - RL selects parameters based on rewards obtained during task execution.
  - The proposed method is applied to make khepera robot behavior
    - It can learn wall-following behavior well.
- Future work
  - Apply the proposed method to real world applications (stock prediction)
  - Compare with other evolutionary algorithms