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## Inflammatory and perfusion markers as risk factors and predictors of critically ill patient readmission

*Marcadores inflamatórios e perfusionais como preditores e fatores de risco para readmissão de pacientes gravemente enfermos*

### ABSTRACT

**Objective:** To assess the performance of central venous oxygen saturation, lactate, base deficit, and C-reactive protein levels and SOFA and SWIFT scores on the day of discharge from the intensive care unit as predictors of patient readmission to the intensive care unit.

**Methods:** This prospective and observational study collected data from 1,360 patients who were admitted consecutively to a clinical-surgical intensive care unit from August 2011 to August 2012. The clinical characteristics and laboratory data of readmitted and non-readmitted patients after discharge from the intensive care unit were compared. Using a multivariate analysis, the risk factors independently associated with readmission were identified.

**Results:** The C-reactive protein, central venous oxygen saturation, base deficit, and lactate levels and the SWIFT and SOFA scores did not correlate with the readmission of critically ill patients. Increased age and contact isolation because of multidrug-resistant organisms were identified as risk factors that were independently associated with readmission in this study group.

**Conclusion:** Inflammatory and perfusion parameters were not associated with patient readmission. Increased age and contact isolation because of multidrug-resistant organisms were identified as predictors of readmission to the intensive care unit.

**Keywords:** Patient readmission; Patient readmission/economics; Risk factors; C-reactive protein; Lactate; Patient safety

### INTRODUCTION

Mortality rates in intensive care units (ICU) have decreased significantly in recent years. However, the rates of readmission to the ICU have remained relatively constant.<sup>(1,2)</sup> Readmission rates may vary from 0.89 to 19%, depending on the inclusion criteria of the study, study design, and the profile of the ICU studied.<sup>(3-9)</sup> Invariably, all previous studies have indicated a correlation between readmission rates, increased mortality rates, and/or hospital stay.<sup>(3-12)</sup> A recent American retrospective cohort study reported that readmitted patients had a 2.5-fold greater hospitalization time and a 4-fold higher probability of death compared to non-readmitted patients.<sup>(3)</sup>

Other studies comparing readmitted and non-readmitted patients have indicated that the former are more critically ill, are older, experience a longer stay in the ICU, and have more chronic comorbidities.<sup>(3-12)</sup> Several studies have identified risk factors associated with readmission, whereas other studies

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have developed scores to identify patients at risk for readmission.<sup>(13-16)</sup> The first score developed was the Stability and Workload Index for Transfer (SWIFT), which was designed in an American ICU and validated in a population of critically ill patients in Europe. A more recently adopted score is the Minimizing ICU Readmission (MIR).<sup>(13-16)</sup> Unfortunately, these scores indicate, at best, a moderate ability to discriminate which patient will be readmitted.

Some retrospective studies have identified inflammatory markers, such as C-reactive protein (CRP), as readmission predictors of critically ill patients to the ICU.<sup>(17,18)</sup> However, the data on this issue are conflicting. A prospective study reported the absence of a significant relationship between readmission and CRP levels.<sup>(19)</sup> The reasons for these results are unknown. CRP is an acute phase reagent, and its levels are associated with multiple organ dysfunctions in critically ill patients. Possibly, CRP is a marker of an unknown or incipient organ dysfunction in this patient group.

Recently, several studies have reported that elevated central venous oxygen saturation (ScvO<sub>2</sub>) is an indicator of inadequate oxygen utilization and is associated with increased mortality in critically ill patients.<sup>(20-22)</sup> Currently, we are unaware of studies on the use of perfusion markers as risk factors or predictors of patient readmission to the ICU.

The aim of this study was to identify whether some perfusion markers, including ScvO<sub>2</sub>, base deficit (BD), lactate levels, and inflammatory markers, such as CRP, could be useful to identify unknown organ dysfunctions associated with the readmission of critically ill patients.

## METHODS

This prospective study collected data from patients admitted to the ICU at the *Hospital Nossa Senhora da Conceição* between August 2011 and August 2012. The study was approved by the Research Ethics Committee of this hospital under Protocol N<sup>o</sup> 11-125, and the requirement for informed consent was waived because of the observational nature of the study.

All clinical and demographic data were collected on the initial day of ICU admission. Both SWIFT and SOFA scores were calculated when the patients were discharged from the ICU. CRP and perfusion markers were collected according to patient availability at ICU discharge. Some variables were scored to define more precise criteria to identify patients that were at risk for readmission. CRP was scored based on previous studies that used a CRP level

>100mg/L as the cutoff value.<sup>(17,18)</sup> A ScvO<sub>2</sub> level >80% was defined as the cutoff value because of increasing evidence that central venous hyperoxia is associated with worse ICU outcomes.<sup>(20-22)</sup> A lactate level >2mmol/L was defined as the cutoff to discriminate patients at risk of readmission because this level has been classically used to define hyperlactatemia and/or hypoperfusion states.<sup>(23-26)</sup>

Patients who died in the ICU, those who were discharged from the ICU to receive palliative care, those with non-resuscitation orders, and those with planned readmissions were excluded from the present study.

The outcome was defined as readmission when the patients were hospitalized up to 96 hours after discharge from the ICU. The readmission time was restricted because of the kinetics of the inflammatory and perfusion markers and also because readmissions after a long period of discharge cannot be attributed to patient care or events associated with prior patient admittance to the ICU.<sup>(13)</sup>

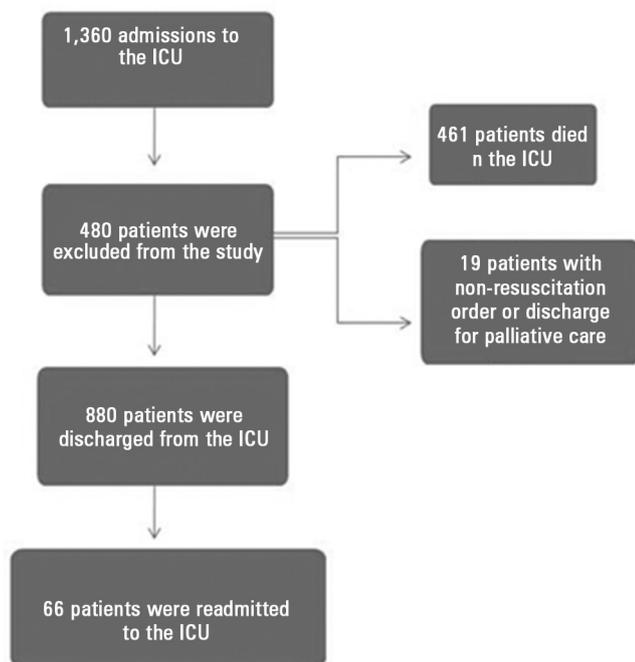
## Risk factor analysis for readmission

Continuous variables are expressed as the means  $\pm$  standard deviations or medians (interquartile range) according to the distribution assessed by normality tests. Data normality was confirmed using the Shapiro-Wilk test. The qualitative variables are expressed as percentages and were compared using Fisher's exact test. A multivariate analysis was performed considering readmission as the dependent variable. Variables with a significant p value in the univariate analysis were included in the multivariate analysis. A value of  $p < 0.05$  was considered significant in the final models. Statistical tests were performed using the Statistical Package for Social Sciences (SPSS) version 18.0.

## RESULTS

Between August 2011 and August 2012, 1,360 admissions were computed. Among these admissions, 461 patients (33.9%) who died in the ICU and 19 (2.1%) who did not fit the criteria for readmission (including those with non-resuscitation orders and those discharged from the ICU to receive palliative care) were excluded. Therefore, 880 patients were evaluated (Figure 1). Among these patients, 66 (7.5%) were readmitted. Table 1 shows the demographic and clinical profile of the patients at risk of readmission.

Critically ill patients, those with increased age, organ dysfunction, higher SWIFT scores, cirrhosis, history of tracheostomy, those in contact isolation because



**Figure 1** - Flowchart of the study group.

of multidrug-resistant bacteria, and those discharged in the evening and on weekends were more likely to be readmitted. Patients in the postoperative period of elective surgery were less likely to be readmitted. CRP levels, lactate levels,  $ScvO_2$ , and BD were not associated with readmission. These data are shown in table 1S of the electronic supplementary material.

Table 2 shows the multivariate analysis of factors associated with readmission. The only variables associated with an increased probability of readmission to the ICU were an age of  $>70$  (odds ratio (OR)=2.48; confidence interval at 95% (95%CI)=1.10-5.60,  $p=0.02$ ) and contact isolation because of multidrug-resistant bacteria (OR=1.95, 95%CI=1.09-3.49,  $p=0.02$ ). In this model, elective surgery, as the primary reason for ICU admission, did not lead to a decreased probability of readmission (OR=0.93, 95%CI=0.51-1.71,  $p=0.81$ ). Considering the possible collinearity between statistically significant variables in the univariate analysis, another multivariate analysis model was prepared, excluding the SOFA score, patients with cirrhosis, and those who underwent tracheostomy (Table 3). In this second model, patients who were  $>70$  years old or required contact isolation because of multidrug-resistant bacteria remained statistically significant as risk factors associated with readmission (OR=2.49, 95%CI=1.11-5.58,  $p=0.02$  and OR=2.02, 95%CI=1.14-3.57,  $p=0.01$ , respectively).

**Table 1** - Patient profile

Variable	Results (N=880)
Gender	
Male	411 (46.7)
Female	469 (53.3)
Origin of patient	
Ward	261 (29.7)
Surgical ward	380 (43.2)
Emergency ward	227 (25.8)
Another hospital	12 (1.4)
Diagnosis on admission	
Sepsis	187 (21.3)
Cardiovascular	81 (9.2)
Respiratory	139 (15.8)
Neurological	61 (6.9)
Gastrointestinal	22 (2.5)
Elective surgery	313 (35.6)
Emergency surgery	68 (7.7)
Other	9 (1.0)
Heart failure	55 (6.2)
Cirrhosis	26 (3.0)
Chronic obstructive pulmonary disease	61 (6.9)
Chronic renal failure	20 (2.3)
Immunosuppression	85 (9.7)
Mechanical ventilation	483 (54.9)
Discharge over the weekend	160 (18.2)
Discharge at night	231 (26.2)
Tracheostomy (at discharge)	72 (8.2)
Contact isolation (at discharge)	200 (22.7)
Respiratory isolation (at discharge)	12 (1.4)
Age	59 (47-69) 14-91
Period of hospitalization prior to ICU admission	6 (1-17) 0-96
SAPS 3	53 (39-66) 1-115
Period of hospitalization in the ICU	5 (3-12) 1-121
Mechanical ventilation (days)	6 (2-11) 1-80
SWIFT	9 (1-19) 0-51
SOFA (at discharge)	1 (0-2) 0-9
Glasgow score (at discharge)	15 (15-15) 6-15
Period of hospitalization	31 (19-52) 2-300
CRP at discharge (n=812)	79.5 (28-146) 3-420
BD at discharge (n=469)	1.6 (-1 to 4.6) -17 to 21
Lactate levels at discharge (n=470)	1.2 (0.9-1.5) 0.1-4.4
$ScvO_2$ at discharge (n=418)	68 (62.2-74.9) 40-91.5

ICU - intensive care unit; SWIFT - Stability and Workload Index for Transfer; SOFA - Sequential Organ Failure Assessment; CRP - C-reactive protein; BD - base deficit;  $ScvO_2$  - central venous oxygen saturation. The results are expressed as numbers (percentages) or medians (interval 25 to 75%) min-max. SAPS 3, Simplified Acute Physiology Score 3. Differences in the number of laboratory data available on CRP,  $ScvO_2$ , lactate, and BD levels are a result of the observational nature of the study, considering that data collection was dependent on patient availability.

**Table 2** - Multivariate analysis of the risk factors associated with patient readmission - model 1

Variable	OR (95% CI)	p value
Age		
24-47	Reference	
70-82	2.48 (1.10-5.60)	0.02
SAPS 3		
25-39	Reference	
40-53	1.50 (0.56-4.01)	0.41
54-66	1.33 (0.45-3.94)	0.59
67-83	1.38 (0.44-4.32)	0.57
SWIFT $\geq 15$	1.61 (0.85-3.05)	0.14
SOFA (at discharge) $\geq 3$	0.97 (0.91-2.91)	0.09
Diagnosis on admission		
Grouped*	Reference	
Elective surgery	1.02 (0.42-2.38)	0.96
Cirrhosis	2.09 (0.62-6.98)	0.23
Discharge over the weekend	1.55 (0.83-2.91)	0.16
Discharge at night	1.12 (0.62-2.03)	0.69
Tracheostomy (at discharge)	1.61 (0.75-3.45)	0.21
Contact isolation (at discharge)	1.95 (1.09-3.49)	0.02

\* All diagnoses at admission (sepsis, cardiovascular, respiratory, neurological, gastrointestinal, and emergency surgery) were grouped except for elective surgery, SWIFT - Stability and Workload Index for Transfer; SOFA - Sequential Organ Failure Assessment; SAPS 3 - Simplified Acute Physiology Score 3.

**Table 3** - A multivariate analysis of the risk factors associated with patient readmission to the ICU - Model 2

Variable	OR (95% CI)	p value
Age		
24-47	Reference	
69-82	2.49 (1.11-5.58)	0.02
SAPS 3		
25-39	Reference	
40-53	1.50 (0.56-4.00)	0.40
54-66	1.42 (0.48-4.17)	0.52
67-83	1.72 (0.56-5.24)	0.33
SWIFT $\geq 15$	1.75 (0.94-3.26)	0.07
Diagnosis on admission		
Grouped*	Reference	
Elective surgery	0.99 (0.42-2.31)	0.99
Discharge over the weekend	1.61 (0.87-2.99)	0.12
Discharge at night	1.13 (0.63-2.01)	0.68
Contact isolation (at discharge)	2.02 (1.14-3.57)	0.01

\* All diagnoses at admission (sepsis, cardiovascular, respiratory, neurological, gastrointestinal, and emergency surgery) were grouped except elective surgery, SWIFT - Stability and Workload Index for Transfer; SOFA - Sequential Organ Failure Assessment; SAPS 3 - Simplified Acute Physiology Score 3.

The areas under the ROC curve for the CRP, lactate, ScvO<sub>2</sub>, and BD levels corresponded to 0.48 (95%CI=0.38-0.59), 0.57 (95%CI=0.48-0.66), 0.45 (95%CI=0.35-0.56), and 0.55 (95%CI=0.44 to -0.66), respectively. The areas under the ROC curve for the SOFA and SWIFT scores were 0.61 (95% CI=0.52--0.71) and 0.69 (95% CI=0.61--0.79), respectively.

The analysis of the patient subgroup in the postoperative period of elective surgeries (313 patients) indicated a readmission rate of 4.8% (15 patients). Similarly, in this subgroup, the CRP (OR=1.42, 95% CI=0.44-4.59, p=0.55), lactate (OR=1.07, 95%CI=0.12-8.96, p=0.94), ScvO<sub>2</sub> (OR=0.77, 95%CI=0.09-6.54, p=0.81), and BD levels (OR=0.76, 95%CI=0.07-7.74, p=0.82) were not correlated with readmission in the univariate analysis.

Hospital mortality rates were 53.0 and 11.1% among the readmitted and non-readmitted patients, respectively. The relative risk of death for patients readmitted to the ICU was 7 (p<0.01).

## DISCUSSION

Altogether, 7.5% of patients discharged from the clinical-surgical ICU were readmitted. The CRP, lactate, ScvO<sub>2</sub>, and BD levels of patients at discharge from the ICU were not associated with an increased risk of readmission in the univariate analysis, and therefore, these variables were not included in the multivariate analysis. The SOFA and SWIFT scores were not associated with readmission in either of the multivariate analysis models.

Our results corroborate the results of a prospective study by Al-Subaie et al.,<sup>(19)</sup> wherein the authors observed that CRP levels on the day of ICU discharge were not associated with readmission or unexpected death. By contrast, other retrospective studies have observed that CRP is an independent risk factor associated with readmission. In this respect, Ho et al. conducted a control-case study that included 12 patients readmitted to the ICU and observed a significant association between CRP levels and readmission. However, the small sample size might have favored false-positive results.<sup>(17)</sup> An important limitation of using CRP as a biomarker is its half-life of 19 hours,<sup>(27)</sup> which may limit its usefulness as a predictor of a worse prognosis after ICU discharge.<sup>(19)</sup>

Other parameters, including hypoperfusion or the inadequate consumption of oxygen, are clearly associated with worse outcomes in the ICU.<sup>(23-26)</sup> High levels of lactate and BD are known markers for inadequate oxygen

consumption and are associated with increased patient mortality in the ICU.<sup>(25,26,28-31)</sup> However, in the present study, these parameters were not good indicators of potentially unidentified organ dysfunctions associated with the readmission of critically ill patients. In addition, low levels of ScvO<sub>2</sub> may reflect inadequate cardiac output, which is characterized by excessive oxygen consumption, low hemoglobin concentration, and/or low blood oxygen saturation. Moreover, high levels of ScvO<sub>2</sub> indicate increased oxygen delivery - exceeding tissue requirements - a decrease in cellular oxygen consumption (mitochondrial dysfunction), and/or a large arteriovenous shunt.<sup>(20)</sup> A possible explanation for the negative correlation between perfusion markers and patient readmission is the distinctive characteristics of this marker as an indicator of serious outcomes, in which the supply and/or consumption of oxygen is impaired. Considering that patients who are discharged from the ICU do not typically present with hypoperfusion, ScvO<sub>2</sub>, lactate, and BD levels may not be adequately adopted as biomarkers of organ dysfunction associated with readmission.

The SOFA score analyzes the degree of organ dysfunction in critically ill patients and can indicate varying degrees of pulmonary, hematologic, hepatic, cardiovascular, neurological, and kidney dysfunctions. Previous studies have indicated that patients with critical health conditions and cumulative organ dysfunctions exhibit an increased risk of readmission.<sup>(1,3,4,8-10,32,33)</sup> Nonetheless, in the present study, this increased risk was not confirmed by a high SOFA score at ICU discharge.

The SWIFT score is a tool specifically designed to predict ICU readmission. SWIFT scores the origin of patients before ICU admission, length of stay in the ICU, correlation between O<sub>2</sub> partial pressure and fraction of inhaled O<sub>2</sub> (PaO<sub>2</sub>/FiO<sub>2</sub>) - both determined in the ICU - Glasgow score at ICU discharge, and the last evaluation of the partial pressure of carbon dioxide (PCO<sub>2</sub>). In the original tool developed in an American ICU, the SWIFT's ability to identify patients at risk for readmission was moderate (AUC: 0.75) with a specificity of 87% using a cutoff value of 15.<sup>(13)</sup> However, in the present study, SWIFT's accuracy was lower (AUC: 0.69) but similar to that observed in a study conducted in another hospital by our group (AUC: 0.67).<sup>(34)</sup> In a study conducted in France, SWIFT's performance was even worse (AUC: 0.61).<sup>(15)</sup> Similarly, in a recent retrospective study involving 7,175 patients, SWIFT's accuracy to discriminate patients at risk for readmission was also poor (AUC: 0.58).<sup>(35)</sup> These results

indicate the inability of SWIFT to identify at-risk patients in the population group studied.

It is unclear whether patient readmission to the ICU is dependent on the decision to discharge, the level of care provided outside the ICU, or a combination of these 2 factors. In the present study, the contact isolation required because of the presence of multidrug-resistant bacteria has been identified as a risk factor for readmission. This result may indicate that the colonization and/or infection with multidrug-resistant organisms and contact isolation through restricted wards and/or separate rooms may emphasize the factors associated with readmission that should be considered in future studies. Recently, Ranzani et al. evaluated the effect of an intermediate care unit on the rate of readmission to the ICU and the mortality rate in a tertiary hospital in Brazil.<sup>(36)</sup> These authors observed no difference in the readmission and mortality rates among patients discharged from the ICU to an intermediate care unit (ratio of 11 patients per nurse) compared to patients discharged to a unit that provided less intensive care (regular ward with a ratio of 20-25 patients per nurse). These data reinforce the need to study the effect of patient care in hospital care units that offer different intensity levels of treatment on readmission rates.

The present study contained some limitations. First, 10 variables were included in the first multivariate analysis model and only 66 outcomes were computed. This approach may have led to a different classification of variables. However, 7 variables for 66 outcomes were included in the second model, and consequently, this model might have been less susceptible to differences in the classification system. Second, considering the observational nature of the study, the laboratory data were collected according to patient availability. Therefore, there was a large amount of missing data related to lactate, ScvO<sub>2</sub>, and BD levels. For this reason, the missing data were excluded from the analysis, which resulted in a loss of statistical power. However, because these variables were not included in the multivariate analysis, they possibly did not affect the results. Third, our results may not be widely applicable because of the profile of the hospital investigated (a tertiary care teaching hospital) and the ICU profile (predominantly clinical). Finally, the small sample size may have affected the statistical significance of those variables that indicated minimal differences between the readmitted and non-readmitted patients. Notwithstanding these limitations, our results helped elucidate important risk factors associated with ICU readmission.

## CONCLUSION

Readmitted critically ill patients exhibited worse outcomes during hospitalization. Parameters, including C-reactive protein, central venous oxygen saturation, lactate, and base deficit levels and SWIFT and SOFA

scores were not correlated with patient readmission. Increased age and contact isolation for infection from multidrug-resistant organisms were identified as risk factors that were independently associated with hospital readmission in this study group.

## RESUMO

**Objetivo:** Avaliar o desempenho da saturação venosa central, lactato, déficit de bases, níveis de proteína C-reativa, escore SOFA e SWIFT do dia da alta da unidade de terapia intensiva como preditores para readmissão de pacientes na unidade de terapia intensiva.

**Métodos:** Estudo prospectivo observacional com dados coletados de 1.360 pacientes internados consecutivamente no período de agosto de 2011 a agosto de 2012 em uma unidade de terapia intensiva clínico-cirúrgica. Foram comparadas as características clínicas e os dados laboratoriais dos pacientes readmitidos e dos pacientes não readmitidos após a alta da unidade de terapia intensiva. Por meio de análise multivariada, foram identificados os fatores de risco independentemente associados à readmissão.

**Resultados:** A proteína C-reativa, a saturação venosa central, o déficit de bases, o lactato, os escores SOFA e o SWIFT não foram associados à readmissão de pacientes graves. Pacientes mais idosos e a necessidade de isolamento de contato devido a germes multirresistentes foram identificados como fatores de risco independentemente associados à readmissão na população estudada.

**Conclusão:** Os parâmetros inflamatórios e perfusionais não foram associados à readmissão. Idade e necessidade de isolamento de contato devido a germes multirresistentes foram identificados como preditores para readmissão na unidade de terapia intensiva.

**Descritores:** Readmissão do paciente; Readmissão do paciente/economia; Fatores de risco; Proteína C-reativa; Lactato; Segurança do paciente

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