

# The Clinical Impact of Humoral Immunity in Pediatric Renal Transplantation

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## ABSTRACT

The development of anti-donor humoral responses after transplantation associates with higher risks for acute rejection and 1-year graft survival in adults, but the influence of humoral immunity on transplant outcomes in children is not well understood. Here, we studied the evolution of humoral immunity in low-risk pediatric patients during the first 2 years after renal transplantation. Using data from 130 pediatric renal transplant patients randomized to steroid-free (SF) or steroid-based (SB) immunosuppression in the NIH-SNSO1 trial, we correlated the presence of serum anti-HLA antibodies to donor HLA antigens (donor-specific antibodies) and serum MHC class 1-related chain A (MICA) antibody with both clinical outcomes and histology identified on protocol biopsies at 0, 6, 12, and 24 months. We detected *de novo* antibodies after transplant in 24% (23% of SF group and 25% of SB group), most often after the first year. Overall, 22% developed anti-HLA antibodies, of which 6% were donor-specific antibodies, and 6% developed anti-MICA antibody. Presence of these antibodies *de novo* associated with significantly higher risks for acute rejection ( $P=0.02$ ), chronic graft injury ( $P=0.02$ ), and decline in graft function ( $P=0.02$ ). In summary, antibodies to HLA and MICA antigens appear in approximately 25% of unsensitized pediatric patients, placing them at greater risk for acute and chronic rejection with accelerated loss of graft function. Avoiding steroids does not seem to modify this incidence. Whether serial assessments of these antibodies after transplant could guide individual tailoring of immunosuppression requires additional study.

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Kidney transplantation is the modality of choice for children with ESRD. Despite an improvement in early outcomes, long-term allograft survival remains restricted<sup>1,2</sup>; chronic graft injury is the prime limiting survival factor and largely driven by the development of antidonor humoral responses.<sup>3,4</sup> Anti-human leukocyte antigen (anti-HLA) antibodies (Abs) are a major cause of acute antibody-mediated rejection and also may damage the kidney in a more indolent process, leading to chronic antibody-mediated rejection and eventual allograft loss.<sup>5</sup> Nevertheless, ongoing allograft rejection in HLA identical transplant recipients and patients without detectable anti-HLA Abs to donor HLA antigens (DSA) supports an additional pathogenic role for graft injury by non-HLA antigens, such as protein kinase- $\zeta$ , and MHC class 1-related chain A (MICA).<sup>6-9</sup>

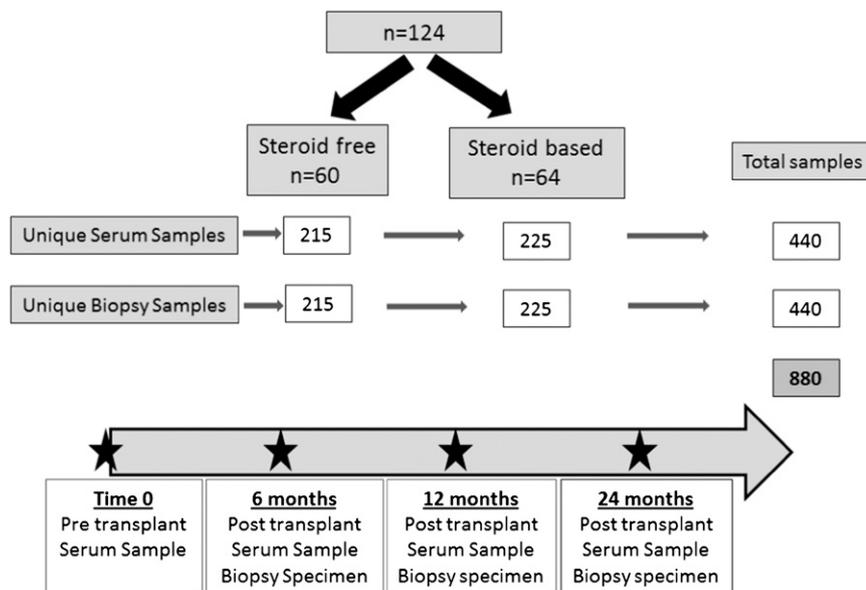
In adult renal transplantation, Terasaki<sup>10</sup> and Terasaki and Cai<sup>11</sup> have shown that preformed and *de novo* post-transplant production of DSAs correlates with a higher risk of acute rejection (AR) and reduced 1-year graft survival. The impact of the humoral response has not been well studied in the pediatric transplantation. We performed quantitative analysis of post-transplant *de novo* Abs to HLA and MICA in children undergoing kidney transplants and questioned differences in Ab profiles with steroid avoidance.<sup>12-14</sup> To this end, we compared the measured humoral immune responses of pediatric kidney transplant patients in a randomized, multicenter, open-labeled study for a steroid-based (SB) or steroid-free

(SF) immunosuppression protocol (SNSO1).<sup>15,16</sup> We conducted serial monitoring of quantitative titers of circulating for MHC classes I and II and Abs to MICA in patients with stable graft function, acute graft rejection, and chronic graft injury as evaluated by matched protocol or indicated renal allograft biopsies (Figure 1). We intended to find if there were differences in the detection of these Abs with steroid avoidance, the average time for *de novo* Ab detection post-transplantation, and the correlation of Ab levels with graft injury and function. Correlation of the negative impact of the peripheral and intragraft humoral responses and their specificities with adverse graft outcomes in children could develop a novel means of monitoring and titrating immunosuppression in pediatric renal transplantation.

**RESULTS**

**Detection of Preformed Anti-HLA and Anti-MICA Antibodies before Transplantation**

Eleven percent of the patients had preformed anti-HLA Abs. Of these patients, 7% had non-DSA or nondonor-specific antibody (NDSA), and 4% had DSA (3% was to class I and 1% was to class II). Additionally, 6% of patients had preformed anti-MICA Abs (Table 1); 1.6% (2/124) of patients had both anti-HLA and anti-MICA Abs in the pretransplant sera. Both these patients had been on chronic hemodialysis. There was no difference in the incidence or titer of preformed HLA Abs between the SF and SB groups.



**Figure 1.** Study outline. This study used 440 serum samples and 440 matched blinded biopsy scores for CADI, CNIT, Banff rejection grading, and C4d stains on 440 matched protocol biopsies from the SNSO1 multicenter randomized trial of SF and SB immunosuppression in pediatric renal transplantation.<sup>15,16</sup> Samples and biopsies were assayed at 0 (pretransplant), 6, 12, and 24 months post-transplantation. Of 130 patients in the trial, 124 patients had at least three of four sera samples collected and were included in the analysis.

**Detection of Newly Formed or De Novo Antibodies to HLA and MICA after Transplantation**

Twenty-two percent of overall patients developed newly formed anti-HLA Abs; 6% of all patients developed anti-MICA Abs (Table 2), and 3% of patients developed *de novo* Abs to both HLA and MICA. Among the *de novo* anti-HLA Abs, 6% were to DSA, and 7% were to NDSA. Because the donors were not typed for Cw, DP, and DQ, 13% of the anti-HLA Abs noted to the Cw, DP, and DQ antigens could not be typed for their donor specificity. Between the SF and SB groups, there was no difference in the number of patients developing *de novo* anti-HLA DSA (5% for SF and 6% for SB,  $P=1.00$ ), anti-HLA NDSA (7% for SF and 8% for SB,  $P=1.00$ ), or anti-MICA Abs (7% for SF and 5% for SB,  $P=0.70$ ), and the median time to first detection of *de novo* anti-HLA Abs (12 months for SF and 14 months for SB,  $P=0.10$ ) and anti-MICA Abs (12 months for SF and 12 months for

SB,  $P=1.00$ ) was not statistically different. The highest mean signal intensity of *de novo* Abs as measured by the mean fluorescence intensity (MFI) was higher in the SB group, but because of small sample numbers in the Ab-positive groups, the numbers were only significant for overall HLA Abs and anti-MICA Ab titers. The values for anti-HLA Abs were  $9322 \pm 5781$  MFI in the SB group compared with  $4526 \pm 3014$  MFI in the SF group ( $P=0.01$ ) (Figure 2). The difference in titer was statistically significant only in the case of *de novo* MICA Abs, but it did not reach statistical significance in the case of HLA Abs, likely because of the smaller number of Ab-positive patients (DSA  $P=0.08$ , MICA  $P=0.04$ ). One patient who developed MICA and DSA as well as NDSA Abs developed AR at 14 months and had marked decline in creatinine clearance by 20 ml/min per  $1.73 \text{ m}^2$  body surface area at 12 months post-transplant.

## AR

The prevalence of AR was 31% (38/124) in patients, with three patients having three AR episodes within the follow-up period; 66% (25/38) of AR episodes were early within the first 6 months of the post-transplant period. There was no difference in the prevalence of AR between the SF and SB groups.<sup>15,16</sup> Based on biopsy, 95% of patients had T cell-mediated acute cellular rejection (IA and IB Banff criteria). However, 34% (13/38) of patients had positive *de novo* HLA Abs associated with an AR episode. Of these patients, 38% (5/13) had *de novo* DSA, 23% (3/13) had *de novo* NDSA, and 62% (8/13) had Cw, DP, and DQ Abs.

There was no association between the presence or titer of preformed Abs (DSA, NDSA, and MICA) and development of AR in the post-transplant period ( $P=0.40$ ,  $P=0.08$ , and  $P=0.20$ , respectively). However, development of *de novo* DSA Abs was significantly associated with the development of AR in the

post-transplant period ( $P=0.03$ ), regardless of the immunosuppression used. *De novo* NDSA showed no association with AR ( $P=0.30$ ). Particularly, development of DQ Abs was significantly associated with AR ( $P=0.04$ ). It is unknown if these DQ Abs were donor-specific. Moreover, studying the development of Abs longitudinally over time, the *de novo* HLA Abs appeared before the AR episode in 85% (11/13) of patients. DSA development at 6 months was significantly associated with AR at 6 months ( $P=0.003$ ); because the Abs were first checked at 6 months, DSA development may have preceded the development of AR. Development of *de novo* MICA Abs also showed significant association with AR ( $P=0.03$ ), regardless of the immunosuppression used. Remarkably, the titer of MICA Abs in patients who had AR was significantly higher ( $12,858.8 \pm 7915.5$ ) compared with the titer of MICA Abs in patients who did not have AR ( $6049 \pm 4796.5$ ,  $P=0.04$ ) (Figure 3); 29% (11/38) of the patients had subclinical rejection (rejection diagnosed at protocol biopsy with normal creatinine clearance at the time of biopsy), and 45% (5/11) of the patients with subclinical rejection developed *de novo* HLA Abs, with 60% (3/5) of them developing them after the rejection episode.

By logistic regression model, related clinical parameters, such as immunosuppression, donor source, *de novo* NDSA, *de novo* DSA, and *de novo* MICA, were used to predict potential risk factor for AR. *De novo* DSA ( $P=0.08$ , odds ratio=4.90, 95% confidence interval=0.80–29.50) and *de novo* MICA ( $P=0.07$ , odds ratio=5.20; 95% confidence interval=0.90–30.80) proved to be a potential risk factor with a trend to statistical significance.

## Graft Function/Creatinine Clearance

Decline in graft function over time was assessed by the difference between creatinine clearances (estimated by the Schwartz

**Table 1.** The incidence of preformed Abs in the SF and SB groups of patients in the SNSO1 study

Preformed Abs	SF (n=60)		SB (n=64)		P Value		Total (n=124)
	Number (%)	Average Titer	Number (%)	Average Titer	Number	Titer	
NDSA	4 (7%)	3688	5 (10%)	3976	1.00	0.83	9 (7%)
DSA	2 (3%)	10,154	3 (5%)	1857	0.81	0.12	5 (4%)
Class 1	1 (2%)	3751	3 (5%)	1857	0.62	NA	4 (3%)
Class 2	1 (2%)	16,558	0 (0%)	NA	0.49	NA	1 (1%)
MICA	2 (3%)	4810	6 (10%)	5377	0.27	0.79	8 (6%)

**Table 2.** The incidence of different groups of *de novo* antibodies in patients in SF and SB immunosuppression

De novo Abs	SF (n=60)		SB (n=64)		P Value (Titer)	Total (n=124)
	Number (%)	Average Titer	Number (%)	Average Titer		
Class 1	2 (3%)	6130	3 (5%)	12,970	0.44	5 (4%)
Class 2	1 (2%)	6383	1 (2%)	13,835		2 (2%)
Cw, DQ, and DP	6 (10%)	5894	10 (16%)	7348	0.51	16 (13%)
NDSA	4 (7%)	3823	5 (8%)	8705	0.15	9 (7%)
DSA	3 (5%)	6214	4 (6%)	13,829	0.08	7 (6%)
MICA	4 (7%)	9512	3 (5%)	21,762	0.05	7 (6%)

There are some trend differences in DSA and MICA in the SF and SB groups, but the number of events is too small in each arm to reach significance.

equation) at 6 and 12/24 months. There was no difference in decline in graft function at 12 or 24 months between SF and SB groups ( $P=0.44$  and  $P=0.76$ , respectively). Patients with *de novo* DSA development had greater decline in creatinine clearance at 24 months ( $P=0.02$ ) compared with patients who did not. Preexisting HLA Abs were also associated with greater decline in creatinine clearance at 24 months ( $P=0.005$ ) (Figure 4).

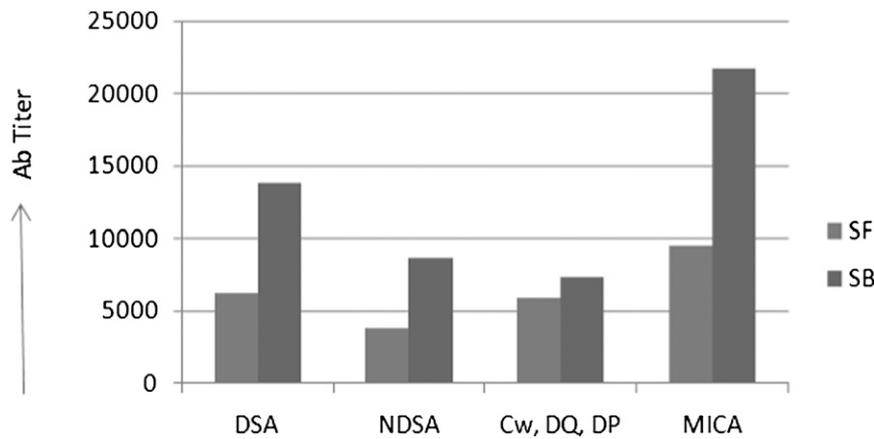
**Chronic Graft Injury**

A substantial 48% (60/124) of patients had some element of chronic allograft nephropathy, and 30% (37/124) of patients had some evidence of calcineurin inhibitor toxicity (CNIT) on biopsy. Chronic allograft damage estimated by the chronic allograft damage index (CADI) score progressed similarly over time in the entire cohort of patients (both SF and SB groups) as evidenced in the biopsies obtained at 0, 6, 12, and 24 months.<sup>15</sup> There was also progression of CNIT score over time irrespective of immunosuppression used in biopsies obtained at 0,

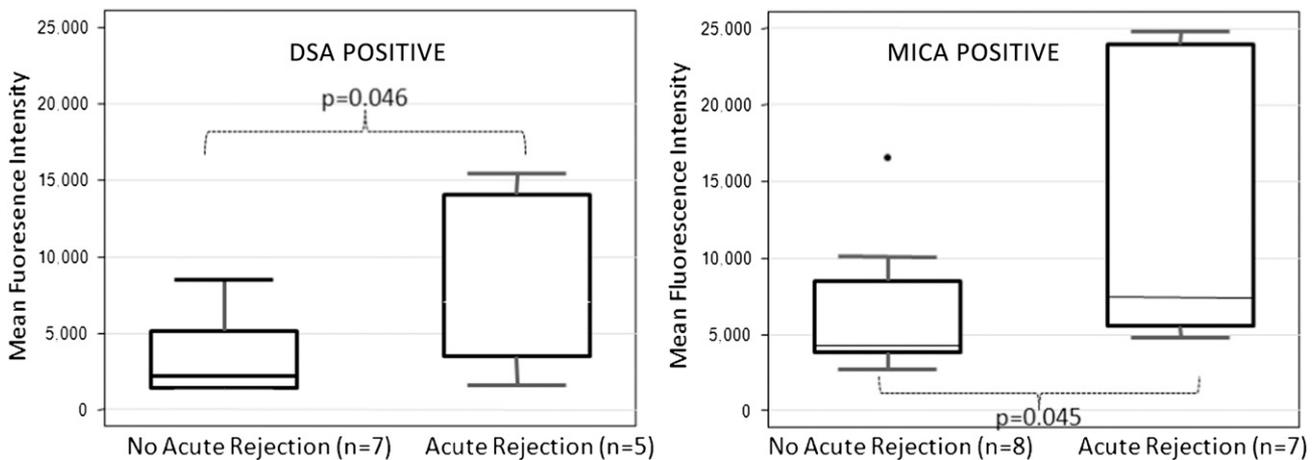
6, 12, and 24 months related to length of calcineurin inhibitor exposure (Figure 5). *De novo* HLA antibody development (DSA, Cw, DP, DQ, and NDSA) was associated with peak CADI ( $P=0.02$ ), and CNIT scores ( $P=0.02$ ), suggesting a role of humoral responses in chronic graft injury. Also, patients developing *de novo* DSA Abs had more severe interstitial inflammation than patients with negative DSA Abs (57% versus 10%,  $P=0.005$ ). This significant difference existed in cases of positive preformed DSA compared with patients with no preformed DSA (33% versus 10%,  $P=0.05$ ).

**Graft Loss**

Patient survival was 100% at 3 years in both the SF and SB cohorts<sup>15,16</sup>; 5 of 124 (4%) patients lost their graft by 2 years after transplantation. Patients contributed samples up to and beyond graft failure. One of five patients had SF immunosuppression, and four of five patients had SB immunosuppression, which is not a significant difference ( $P=0.30$ ). However, all graft losses were secondary to noncompliance of immunosuppressive agents. These patients also had a higher degree of donor–recipient HLA antigen mismatch (6, 6, 5, 5, and 3) at the time of transplant. Presence of preformed MICA or DSA Abs was not associated with graft loss. There was a strong association with development of *de novo* DSA before graft loss ( $P=0.02$ ) (Figure 6). No such association was seen with NDSA Abs. Development of *de novo* MICA Abs also had strong association with graft loss ( $P<0.001$ ) (Figure 6). Moreover, titers of DSA (mean=13,258 versus 7001) as well as MICA (mean=243,815 versus 7419) Abs were much higher in patients who had graft loss ( $P=0.06$  and  $P<0.001$ , respectively).



**Figure 2.** *De novo* antibody titers in SF versus SB immunosuppression. Antibody titers. The highest MFIs are shown for DSA, NDSA, Cw, DQ, and DP (where donor typing for these antigens were not available), and MICA antigens.



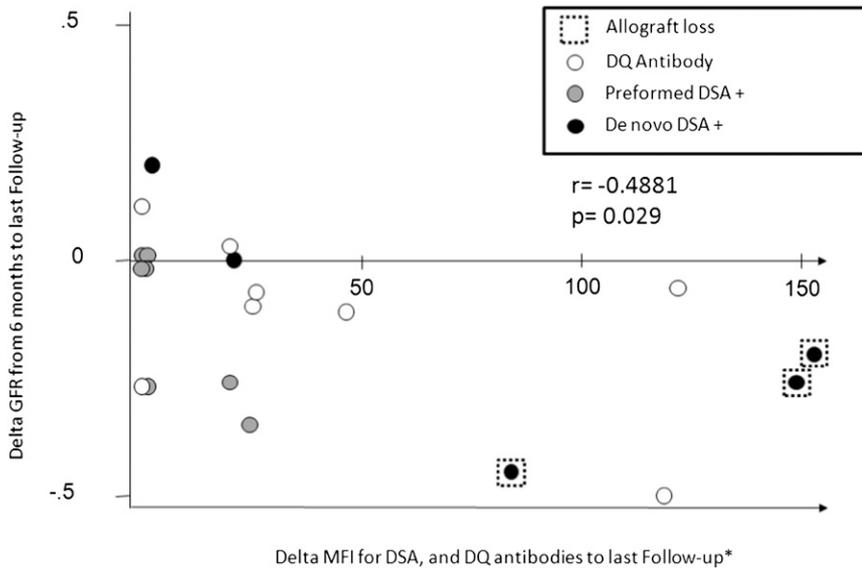
**Figure 3.** Significant association of Ab positivity and biopsy-confirmed AR. Anti-HLA DSA and MICA Ab titers in patients in the entire study with and without AR.

**DISCUSSION**

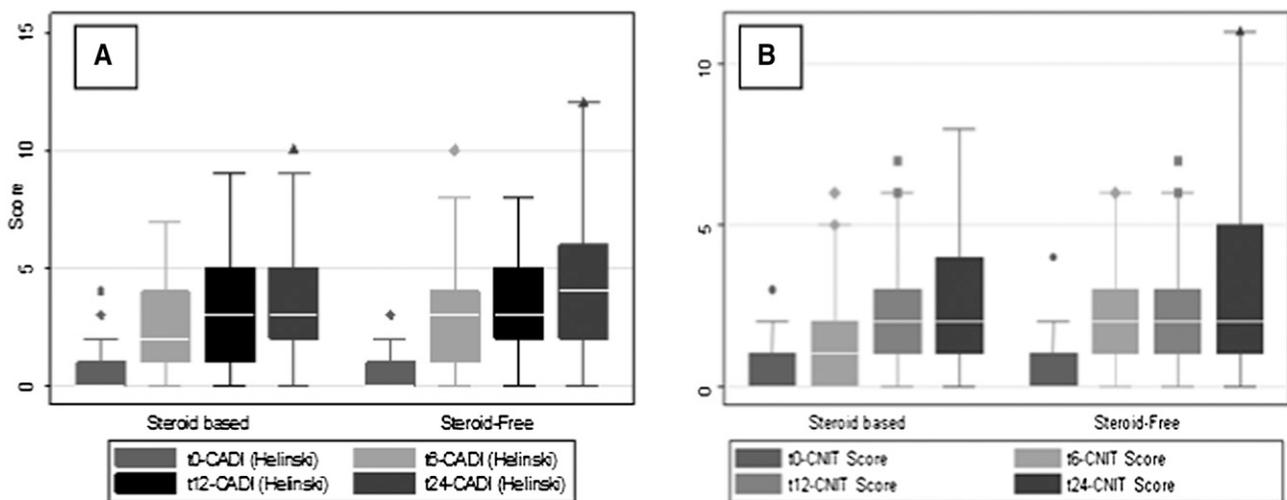
DSA produced *de novo* after transplantation can initiate a process of damage and repair in the endothelium, resulting ultimately in the characteristic constriction of the blood vessels commonly found in chronic rejection. Our study applied the single-antigen microsphere technology to the retrospective analysis of sequential post-transplant serum samples to study the development of anti-HLA and non-HLA MICA Abs

longitudinally in a large group of low-risk pediatric renal transplant recipients in the context of the patients' clinical and histologic course and immunosuppression usage (SF versus SB). We studied the correlation of the negative impact of the peripheral and intra-graft humoral responses with adverse graft outcomes in children. The main objective of this study is to understand the evolution of humoral immunity against HLA specifically in the pediatric population so that this knowledge can be applied for clinical monitoring and risk prediction.

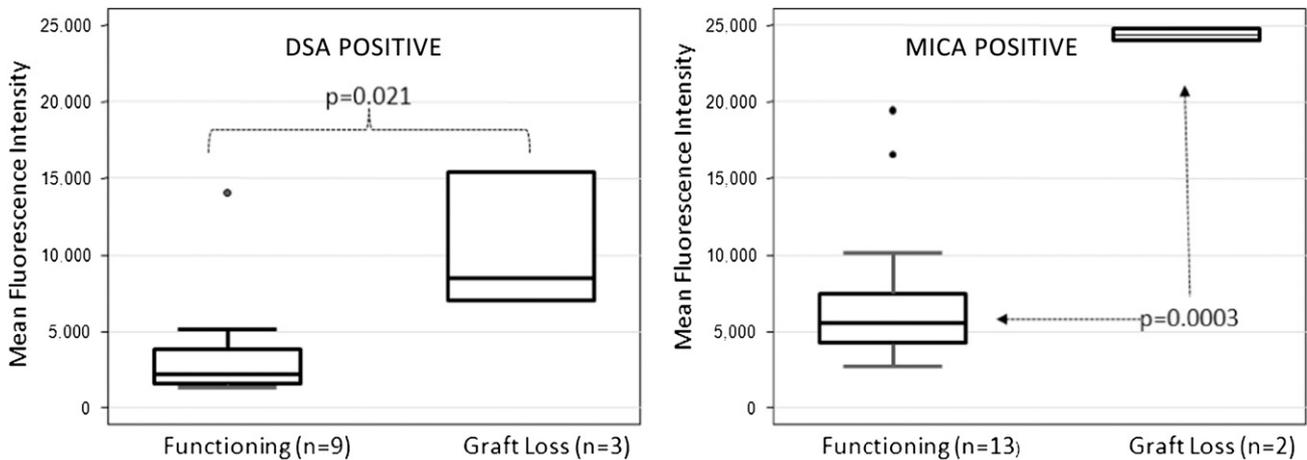
Twenty-two percent and six percent of recipients developed *de novo* post-transplant HLA Abs and MICA Abs in this study. Among the treatment groups of SF and SB, there was no difference in the incidence or time to development of *de novo* HLA Abs and MICA Abs between the SF and SB groups, but the intensity of the HLA Abs response was higher in the SB group. Clinical outcomes from this randomized study has shown benefits in reduced morbidity and fewer drug-related side effects and no adverse impact on long-term outcome with SF immunosuppression.<sup>14,15</sup> Our study suggests the possibility of an added benefit of steroid avoidance in reducing the intensity of the humoral response, although this finding can only be confirmed in a larger-scale trial, because the number of patients with positive HLA Abs in our study is small. Interestingly, one patient developed MICA, DSA, and NDSA Abs; this patient



**Figure 4.** Correlation between the intensity of DSA titers and decline in graft function. The x axis represents  $\Delta$ MFI; the y axis represents  $\Delta$ GFR between 6 months post-transplantation (taken as the baseline serum creatinine in milligrams per deciliter) and the last patient follow-up at 24 months post-transplantation.



**Figure 5.** There was an increase of CADI/CNIT scores due to time post-transplantation. Progression of the (A) CADI and (B) CNIT scores in the protocol biopsies over time after transplantation. Both CADI and CNIT scores increased significantly with time post-transplantation (significance shown by highlights for  $P < 0.001$ ; protocol biopsies at times 0, 6, 12, and 24 months post-transplantation marked as t0, t6, t12, and t24, respectively).



**Figure 6.** Significant association of Ab positivity and graft loss. Anti-HLA DSA and anti-MICA Ab positivity in patients associates with greater risk of graft loss over the course of the SNSO1 study, even in low-risk pediatric renal transplant recipients.

developed an aggressive AR at 14 months with loss of graft function 1 year later. Although our data suggest that patients who develop both HLA and non-HLA Abs in the post-transplant period may have poorer outcome, we do not have a sufficient number of these dual antibody developers in our study to confirm this assumption. Significant anti-HLA DSA is most likely to develop in noncompliant patients; however, with consistent measure of noncompliance not available in this study group, we were unable to quantify how many of the *de novo* Abs developers were actually noncompliant.

The *de novo* occurrence of Abs against HLA is known to play an important role in solid organ AR.<sup>3,10</sup> Consistent with other studies, we found a clear association between anti-HLA Abs and AR. DSA anti-HLA Abs were often detected before AR; however, sometimes, they peaked at or even after clinical detection of AR. In particular, the development of DQ Abs was the most significantly associated with subsequent AR, suggesting that these patients may benefit from serial analysis of Ab titers and a lower threshold to biopsy the graft for earlier AR diagnosis and treatment. However, more studies are required on HLA specificities, because not all patients who developed *de novo* anti-HLA Abs in our study experienced AR. Development of NDSA Abs post-transplant has been associated with adverse outcomes in previous studies, which is in contrast to patients who do not produce Abs<sup>17</sup>; however, we did not find significant association of NDSA Abs with AR in our group of patients, but this result may be because of the small number of patients with positive NDSA Abs.

Clinical heterogeneity of rejection outcomes may be driven by the quantity and composition of different infiltrating cells: T cells, B cells, macrophages, natural killer (NK) cells, and plasma cells; additionally, rejection episodes may vary from being cellular, humoral (antibody-mediated), or mixed with varying prognoses.<sup>18,19</sup> Ab-mediated rejection is partly caused by circulating DSA, which is produced by recipient plasmablasts and plasma cells, primed against donor antigen.<sup>20</sup>

Humoral rejection is refractory to conventional T cell-based immunosuppressive therapy, and it is a strong harbinger of progressive chronic graft injury. Peritubular C4d deposition is a recognized marker for humoral injury,<sup>21</sup> but many humoral rejections are C4d-negative because of the short half-life of C4d.<sup>5</sup> This finding results in an incomplete diagnosis of humoral rejection. Recent data now suggest that Ab-mediated rejection can occur in the absence of C4d deposition.<sup>22</sup> Given these logistical issues of diagnosing humoral rejection, in our study group, 95% of patients were diagnosed by central histology reads to have T cell-mediated acute cellular rejection (IA and IB Banff criteria) with negative C4d; however, 34% (13/38) of these patients are now found to have positive *de novo* HLA Abs, suggesting a diagnosis of mixed rejection. Thus, detection of DSA associated with a histologic diagnosis of AR could prompt a diagnosis of Ab-mediated humoral rejection, even in the absence of C4d positivity. This finding is especially important given that the most common agents used to treat rejection routinely lack effect on Abs production. After treatment ensues, evidence that the acute process has subsided is shown in histologic resolution. However, in many cases, despite histologic reversal, Ab production is unaffected by treatment, and Ab levels may persist. With highly sensitive testing for HLA Ab production now available, it is possible to clearly define the long-term risk of persisting Ab production after AR resolution. Early evidence suggests that those patients who do persist have poorer outcomes compared with those patients who have Ab reduction/removal after AR treatment.<sup>18,23–25</sup> Our data support additional studies to evaluate the clinical impact of prospective monitoring of anti-HLA Abs and the role of strategies to modify the Ab response as a critical factor to extend the longevity of transplanted organs. The recent finding of a blood-based gene panel for diagnosis of both T cell- and antibody-mediated AR in the same cohort group from the SNSO1 trial<sup>19</sup> supports that a combination of transcriptional and antibody monitoring techniques for noninvasive

diagnosis and prediction of biopsy-confirmed acute renal transplant rejection will be a significant advance in the clinical care of transplant patients, because early detection and prompt treatment of AR can limit chronic injury and extend graft survival.

Anti-MICA Abs have been associated with renal allograft dysfunction, rejection, and graft failure in adults<sup>26–29</sup>; however, anti-MICA Abs are not routinely measured in clinical practice. We found similar association of *de novo* MICA Ab development with AR in our single-center cohort ( $P=0.03$ ), and patients who developed graft loss also had increased titers of MICA Abs detected before graft loss. Additional prospective trials are needed to determine the clinical relevance of the MICA antigen, routine MICA Ab screening, and serial quantification and monitoring of MICA antibody titers levels that could prevent allograft rejection, alter the clinical management of allograft rejection, and enhance graft survival.

Chronic allograft nephropathy and CNIT are major determinants for graft function and longevity. The incidence of chronic allograft injury is underestimated; we found that 48% of our patient group developed interstitial fibrosis and tubular atrophy within 2 years post-transplant and 30% developed lesions of CNIT based on biopsy findings. We found an association between the development of *de novo* HLA Ab and both CADI and CNIT scores in our patient population, suggesting the role of humoral response in progressive chronic histologic damage, a strong predictor of transplant outcome. Association between the presence of HLA-specific and non-HLA-specific allo-Abs and diminished renal graft function is supported by several studies.<sup>30</sup> Longer-time allograft survival in DSA-positive kidney transplants seems to be shorter than the time seen in DSA-negative transplants.<sup>31,32</sup> Our study confirmed that patients with *de novo* DSA Ab development have a greater decline in graft function over time compared with patients who do not. We found similar association with preexisting HLA Abs as well; 4% of patients developed graft failure within the follow-up period of 2 years, and not unexpectedly, all of them were secondary to noncompliance. Increasing titers of HLA and MICA Abs were noted in these patients before graft failure, indicating the prognostic importance of these Abs.

Our study group comprised of patients with low immunologic risk with a low rate of development of clinical events, but nevertheless, it is representative of the pediatric renal transplant recipient population. Donor specificities were not available for MICA as well as Cw, DP, and DQ Abs; however, irrespective of donor specificity, *de novo* development of these Abs was associated with poor graft outcomes.

In summary, the *de novo* occurrence of Abs to HLA plays an important role in all types of solid organ rejection, both acute and chronic. It may, therefore, be useful to distinguish patients with a high immunologic risk from those patients with a low immunologic risk. Immunization minimization protocols may incorporate Ab screening as a part of post-transplant monitoring, with a goal of identifying individuals in

whom additional immunosuppression reduction may not be feasible. Over the last decade, advances in Ab detection have revolutionized our approach to kidney transplantation. Balancing the need for immunosuppression to prevent allograft rejection while minimizing drug toxicity and the risk of infections and malignancy continues to be a challenging task. The target is for patients to display stable renal function with the lowest risk for infection, malignancy, or rejection. Early identification of transplant recipients with newly developed DSA may permit intervention before allograft injury. In this report, we recommend monitoring of the presence of DSA at post-transplantation stages to predict the unwanted immunologic events, such as rejection, and customize the immunosuppressive strategies for enhanced clinical outcome.

## CONCISE METHODS

### Patients and Samples

Pediatric subjects, ages 0–21 years, who received a primary kidney transplant from a deceased or living donor and were of low immunologic risk (peak panel reactive antibody titer <20% before transplantation) were enrolled in the SNSO1 (ClinicalTrials.gov Number NCT00141037) after institutional review board approval at each site, informed consent, and where appropriate, patient assent. This multicenter study used a randomized, open-label, parallel group design. Details of immunosuppression, inclusion and exclusion criteria, and study design have been published elsewhere<sup>16</sup>; 130 patients were enrolled in the trial. SF patients received a protocol of 6 months daclizumab induction, tacrolimus (maintenance trough target of 5–7 ng/ml), and mycophenolate mofetil (target maintenance dosing of 300 mg/m<sup>2</sup> per dose); SB patients received a protocol of 2 months daclizumab induction, similar levels of tacrolimus and mycophenolate mofetil, and maintenance prednisone at 0.1 mg/kg per day. Protocol surveillance biopsies were also done in this trial at 0, 6, 12, and 24 months. Blinded histology analysis of this large histology dataset has also been published elsewhere.<sup>15</sup> Sera samples were targeted for collection longitudinally at similar time points at 0, 6, 12, and 24 months.

For inclusion in this study, patients were selected if at least three of four serial sera and biopsy samples had been collected and archived and if graft function data were available serially for the first 2 years. Thus, 440 unique sera were examined from 124 SNSO1 patients; 215 sera were from 60 SF patients, and 225 sera were from 64 SB patients. Four hundred forty matching biopsy histologies were examined for acute and chronic injury grades<sup>15</sup> and correlated with distinct serum antibody measurements (Figure 1). The two groups were demographically comparable (Table 3).<sup>33</sup> Clinical outcomes in prospectively transplanted SF patients were recently published from our single-center study with low rates of AR and excellent outcomes at 8 years of follow-up<sup>14</sup> and from the multicenter SNSO1 study with 3 years of follow-up.<sup>16</sup> Serial protocol biopsy analysis of the SF and SB patients has also recently been published from our single-center study<sup>34</sup> and the multicenter SNSO1 study,<sup>16</sup> and it showed no impact of steroid avoidance on the evolution

**Table 3.** Baseline patient characteristics of the 124 patients included in the analysis of the SNSO1 study patients<sup>15,16</sup>

	SF	SB	P
Total number	60	64	
Recipient			
Mean age (yr)	11.8±5.8	11.6±6.0	0.80
Infant≤5 yr (%)	20%	22%	0.73
Mean age (yr) male	11.8±6.0	11.7±6.6	0.91
Mean age (yr) female	11.8±6.0	11.4±5.3	0.79
Sex: female (%)	34	43	0.43
Race (1, 2, 3, 4) <sup>a</sup>	(62%, 13%, 8%, 16%)	(49%, 26%, 5%, 21%)	0.25
Cause of	(7%, 2%, 15%, 0%, 10%,	(11%, 25, 16%, 11%,	0.13
ESRD (1, 2, 3, 4, 5, 6, 7, 8) <sup>b</sup>	11%, 5%, 51%)	10%, 8%, 10%, 36%)	
Mean calculated creatinine clearance (ml/min per 1.73 m <sup>2</sup> )	13.7±10.6	12.1±8.4	0.65
Donor			
Mean age (yr)	30±10.1	27.3±9.7	0.13
Sex: female (%)	47	40	0.97
Donor type (LRD)	47%	38%	0.52
Mean HLA mismatch	3.85±1.6	4.16±1.5	0.21

LRD, Living Related Donor.

<sup>a</sup>1, white; 2, black/African American; 3, Asian; 4, other.

<sup>b</sup>1, GN; 2, polycystic kidney disease; 3, dysplasia; 4, reflux nephropathy; 5, obstructive uropathy; 6, FSGS; 7, congenital nephrotic syndrome; 8, others.

of chronic graft injury. They had been transplanted between 2000 and 2008 (for consistency of clinical and immunosuppression management). Exclusion criteria were sensitized patients, patients with delayed graft function or disease recurrence, or SF patients who transitioned in the first 2 years to SB immunosuppression.<sup>35</sup> Patients studied had a mean age of 11.7±5.9 years (range=5–20 years) at the time of transplant, and 39% were female. Patients receiving SF and SB immunosuppression were matched for all clinical criteria, including recipients' sex, age, race, cause of ESRD, donor source, donor sex, and donor age (Table 3). The study was approved by the Stanford Institutional Review Board.

### Detection of Anti-HLA-Specific IgG Abs from Serum Samples

All sera samples were blindly tested at the Terasaki Laboratory, Los Angeles, CA. Each serum sample was screened first with beads coated with pooled HLA class I, HLA class II, or MICA antigen beads (LABScreen Mixed; One Lambda, Inc., Canoga Park, CA). If at least one sample from the patient tested positive by the screening beads, then the entire serial sera set of the patient was next tested by beads individually coated with recombinant rHLA single antigens (LABScreen Single Antigen; One Lambda, Inc.). All LabScreen tests were performed according to the manufacturer's instructions. Briefly, 20 μL test serum were added to 5 μL beads, incubated in the dark for 30 minutes at room temperature, and washed with wash buffer; 100 μL goat anti-human IgG secondary antibody conjugated with R-Phycoerythrin were added to the beads, incubated for 30 minutes in the dark at room temperature, and then washed and read on the LABScan 100 flow cytometer (One Lambda, Inc.). Every assay included a negative control serum (LSNC; One Lambda, Inc.), which

was used to normalize the MFI of test samples. A normalized MFI value of 1000 or greater was considered to be positive. DSAs were positive if Abs were detected against donor HLA-A, -B, or -DR. NDSAs were HLA Abs not directed against the donor HLA type. Donor typing for Cw, DP, and DQ was not available, and hence, they were categorized separately and not as DSA or NDSA.

### Diagnosis of AR and Chronic Injury from Biopsy Specimens

Protocol biopsies were obtained in all patients at 0, 6, 12, and 24 months post-transplantation. All biopsies were blindly scored by the single centralized pathologist for the SNSO1 study.<sup>16</sup> Diagnosis of AR was made according to the Banff '97 criteria<sup>36</sup> and included grade 1A and above; borderline AR was also noted but not used for the correlation analysis. Evidence of chronic injury was diagnosed and scored semiquantitatively by CADI<sup>37</sup> and CNIT scores.<sup>38</sup>

### Serum Creatinine to Assess Graft Function

Graft function was assessed by the calculated creatinine clearance by the Schwartz equation<sup>39</sup> at time points 0, 6, 12, and 24 months post-transplant.

### Statistical Analyses

Descriptive statistics are presented as percentages, means, and SD; *t* or chi-squared test and Fisher exact test were used for analysis of continuous or categorical types of data. Multivariate analysis and Pearson/Spearman correlation were used for examining relationships for all parametric or nonparametric variables. *P*≤0.05 was considered statistically significant. All statistical analyses were performed using SAS 9.1.2 (SAS Institute Inc., Cary, NC).

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## DISCLOSURES

None.

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