

1 **Increased Risk of Refractory *Mycoplasma Pneumoniae* Pneumonia in Children with**  
2 **Atopic Sensitization and Asthma**

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7  
8 Running head title: Atopic sensitization and asthma in refractory MP

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## 20 Abstract

21 Purpose: A nationwide outbreak of *Mycoplasma pneumoniae* pneumonia (MP) refractory to  
22 macrolide antibiotics occurred in Korea during 2011. Steroid therapy has been reported to be  
23 both efficacious and well tolerated in children with refractory MP. We compared clinical  
24 features and laboratory characteristics between children with refractory MP requiring steroid  
25 treatment and those with macrolide-responsive MP, and evaluated the risk factors associated  
26 with refractory MP.

27 Methods: We investigated 203 children who were admitted to our institution with MP from  
28 June to November 2011. Refractory MP was defined as a case with persistent fever over  
29 38.3°C and a progressive pulmonary consolidation or pleural effusion despite appropriate  
30 macrolide antibiotics for 5 days or longer after admission. For the refractory cases, steroid  
31 therapy was initiated on the fifth day after admission.

32 Results: There were 26 children with refractory MP requiring steroid therapy. The mean  
33 duration of steroid therapy was 5.4 days and most of the children were afebrile within 24  
34 hours after initiation of steroid therapy. The prevalence of refractory MP was higher in  
35 children with pleural effusion, lobar pneumonia affecting more than 2 lobes, higher serum  
36 levels of lactate dehydrogenase, increased oxygen requirements, and a longer duration of  
37 hospitalization. Atopic sensitization and history of asthma were also associated with  
38 refractory MP after adjusting for age and sex.

39 Conclusions: Children with refractory MP represented more severe pneumonia and atopic  
40 sensitization and history of asthma may be risk factors for refractory MP requiring steroid  
41 therapy in Korean children.

42 Keywords: Asthma; Atopic sensitization; Children; Refractory *Mycoplasma pneumoniae*  
43 pneumonia;

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## 60 INTRODUCTION

61 *Mycoplasma pneumoniae* (*M. pneumoniae*) is a common etiology of pediatric community-  
62 acquired pneumonia (CAP), causing 10-40% of cases and representing an even higher  
63 incidence during epidemics.<sup>1)</sup> Epidemics of *M. pneumoniae* infection tend to cycle every 3–4  
64 years. Earlier epidemics until 1996 peaked during summer, while since 2000 epidemics  
65 peaked in the fall or early winter in Korea.<sup>2)</sup> Korea Centers for Disease control and Prevention  
66 identified the annual incidence of *M. pneumoniae* infection in respiratory disease was less  
67 than 10% of cases of CAP in 2009, 20.5% in September 2010, however, from July in 2011,  
68 the number of cases of *M. pneumoniae*-associated CAP had steeply increased and reached a  
69 record high of 62.5% in September 2011.<sup>3)</sup>

70 Although MP is usually a benign, self-limited disease and usually treated effectively with a  
71 macrolide, it can develop into a severe, life-threatening pneumonia in pediatric cases.  
72 Refractory MP is defined as a case with prolonged fever accompanied by the deterioration of  
73 radiological findings despite appropriate treatment with macrolide.<sup>4,5)</sup> In cases of refractory  
74 MP in children, a steroid therapy has been reported to be efficacious and well tolerated.<sup>1,4)</sup>

75 Several studies have suggested that atopic sensitization or asthma is a risk factor for severe  
76 lower respiratory infections.<sup>6-9)</sup> However, to our knowledge, there has been no data showing  
77 relationship between refractory MP and atopic sensitization or asthma. In this study, we  
78 compared clinical features and laboratory characteristics between children with MP  
79 responding to macrolide and those with refractory MP, and evaluated the risk factors for  
80 refractory MP.

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## 83 MATERIALS AND METHODS

### 84 *Study subjects and design*

85 We enrolled 203 children who were hospitalized with MP at the Department of Pediatrics,  
86 Kangbuk Samsung Hospital from June to November 2011. In all cases, MP was diagnosed on  
87 the basis of radiologic findings and confirmed by serologic testing. Pneumonia was diagnosed  
88 as the presence of consolidation on a chest X-ray determined by two pediatric radiologists at  
89 the time of admission. We classified pneumonia as lobar or bronchopneumonia, with or  
90 without pleural effusion. Infection with *M. pneumoniae* was confirmed by a 4-fold increase in  
91 the serologic titer of antimycoplasma antibody (AMA) during the convalescent phase  
92 compared to AMA titer drawn during the acute phase, or by an initial AMA titer greater than  
93 1:1280. The AMA titer was measured using an indirect micro-particle agglutination method  
94 (Serodia-Myco II, Fujirebio, Tokyo, Japan). Other viral studies were not performed during  
95 this period.

96 We analyzed the medical records for patient characteristics including age, sex, duration of  
97 hospitalization and fever, family history of allergic diseases, and history of doctor's diagnosis  
98 of atopic dermatitis, allergic rhinitis, or asthma in his/her lifetime. The severity of respiratory  
99 illness was evaluated based on the extent of pulmonary consolidation, pleural effusion, and  
100 supplemental oxygen requirements as defined by oxygen saturation below 90% in room air,  
101 which was measured by pulse oxymetry.

102 All children who were diagnosed with MP were treated with macrolide antibiotics as well as  
103 broad spectrum antibiotics, because co-infection of other bacteria could not be ruled out.  
104 Refractory MP was defined as a case with persistent fever over 38.3°C and a progressive  
105 pulmonary consolidation or pleural effusion despite appropriate macrolide antibiotics for 5

106 days or longer after admission. For the refractory cases, oral prednisolone (1 mg/kg/day) or  
107 intravenous methylprednisolone (1 mg/kg/day) was administered in addition to a macrolide  
108 antibiotic on the fifth day after admission.

109 We compared clinical features, laboratory tests, history of allergic diseases, and atopic  
110 sensitization rate between children with MP responding to macrolide and children with  
111 refractory MP.

112 This study was approved by the institutional review board of the Kangbuk Samsung Hospital.

### 113 ***Laboratory tests***

114 Blood samples were analyzed for white blood cell count, lymphocyte, hemoglobin, platelet,  
115 lactate dehydrogenase (LDH), C-reactive protein, erythrocyte sedimentation rate, total  
116 eosinophil counts, titer of AMA and cold agglutinin, total IgE and allergen-specific IgE  
117 antibody. Serum total IgE and specific IgE levels to eight common aeroallergens  
118 (*Dermatophagoides farinae*, *Dermatophagoides pteronyssinus*, cat, dog, *Alternaria*,  
119 cockroach, tree pollen mixture, and weed pollen mixture) were assayed using ImmunoCAP  
120 (Pharmacia Diagnostics, Uppsala, Sweden). Atopic sensitization was defined as  $\geq 0.35$  IU/mL  
121 of at least one specific IgE level.<sup>10,11)</sup>

### 122 ***Statistical analysis***

123 Statistical analysis was performed using STATA version 11.1 (Stata Corp LP, College Station,  
124 Texas, USA). Differences between two groups were analyzed using Student t-test or  $\chi^2$  test  
125 according to the characteristics of variables. Quantitative variables are expressed as means  $\pm$   
126 SD or median values with interquartile range (IQR). The analysis was conducted by  
127 transforming the data to a logarithmic scale when data had skewed distributions. Logistic  
128 regression was used to determine risk factors associated with refractory MP. Adjusted odds

129 ratios (aORs) and 95% confidence intervals (CIs) were derived after adjusting for age and sex.  
130 A value of  $P < 0.05$  was considered statistically significant.

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## 152 **RESULTS**

### 153 *Clinical and laboratory characteristics of MP*

154 The clinical and laboratory characteristics of children with MP are shown in Table 1. A total  
155 of 203 children were diagnosed with MP. The median age of patients was 5 years (IQR,  
156 3.0/7.0). Twenty-six children (12.8%) were treated with steroids. Mean duration of steroid  
157 therapy was 5.4 days and all the patients with refractory MP became afebrile within 24 hours  
158 after steroid therapy was started except for 1 case. The female patient with severe refractory  
159 MP showed massive left lower lobar consolidation with pleural effusion. (Fig. 1A) On the 2<sup>nd</sup>  
160 admission day, she became dyspneic with oxygen saturation of 88%, so we started oxygen  
161 supplement and inserted chest tube. Fever and productive cough continued for the 5 days after  
162 admission. On the fifth day, we initiated methylprednisolone therapy (1mg/kg/day). After two  
163 days after the initiation of steroid therapy, body temperature started to be reduced below 38°C  
164 and dyspnea was resolved. Chest radiograph demonstrated decreased consolidation and  
165 pleural effusion on the left lower lobe (Fig. 1B). She was discharged on the 26<sup>th</sup> day of  
166 admission without sequelae (Fig. 1C). There were no adverse events observed with steroid  
167 therapy. Fourteen children were administered oral prednisolone and intravenous  
168 methylprednisolone was given to twelve of them. Seven children (3.4%) were hypoxic at  
169 initial presentation and required supplemental oxygen. However, none of the patients were  
170 required transfer to an intensive care unit or needed mechanical ventilation. Sixty-eight  
171 children (33.5%) had lobar pneumonia, and 135 children (66.5%) showed bronchopneumonia.  
172 Pleural effusion was present in 21 children (10.3%) and five of them required chest tube  
173 insertion and drainage. Patients demonstrated mild elevated C-reactive protein level and  
174 erythrocyte sedimentation rate. The prevalence of previous history of asthma or allergic  
175 rhinitis was 7.8% and 36.4%, respectively. The overall atopic sensitization rate was 21.6%.

176 ***Comparison of clinical and laboratory characteristics between children with and without***  
177 ***refractory MP***

178 We compared the clinical and laboratory findings between children with refractory MP and  
179 those with macrolide-responsive MP (Table 2). Children with refractory MP showed higher  
180 serum LDH levels and longer duration of hospitalization compared to children who with  
181 macrolide-responsive infections. The 26 children who received steroid therapy were  
182 hospitalized for a mean duration of 8.5 days, with the longest being 26 days, which would  
183 represent more severe pneumonia in children with refractory MP. Blood platelet count was  
184 lower in children with refractory MP than in children without. However, the mean age, sex,  
185 white blood cell count, lymphocyte count, hemoglobin level, C-reactive protein, erythrocyte  
186 sedimentation rate, total IgE concentration, and blood total eosinophil count did not differ  
187 significantly between the two groups.

188 ***Comparison of respiratory severity, allergic diseases, and atopic sensitization between***  
189 ***children with and without refractory MP***

190 We compared the respiratory severity, type of pneumonia, atopic sensitization, and previous  
191 history of allergic diseases between children with refractory MP and those with macrolide-  
192 responsive MP. The respiratory severity was investigated on the basis of oxygen requirement,  
193 pleural effusion, the number of involved lobes on chest X-ray, and chest tube insertion (Table  
194 3). Children with refractory MP had a higher prevalence of supplemental oxygen therapy and  
195 pleural effusion (11.5% vs. 2.3%,  $P=0.015$ ; 34.6% vs. 6.8%,  $P<0.001$ , respectively). There  
196 were no significant differences in type of pneumonia between children with and without  
197 refractory MP. However, in children with lobar pneumonia, those with more than 2 lobes  
198 involved were more likely to require steroid therapy compared to children with 1 lobe  
199 involved ( $P=0.002$ ). The prevalence of atopic sensitization and history of asthma was higher

200 in children with refractory MP than in children without (42.3% vs. 18.6%,  $p=0.006$ ; 23.1% vs.  
201 5.7%,  $p=0.002$ , respectively). After controlling for age and sex, children with atopic  
202 sensitization and history of asthma also showed a higher association with refractory MP  
203 requiring steroid therapy (aOR=3.83; 95% CI, 1.55-9.47, and aOR=5.40; 95% CI, 1.67-17.45,  
204 respectively). However, there were no associations discovered between refractory MP and  
205 allergic rhinitis or atopic dermatitis.

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## 224 DISCUSSION

225 In this study, the prevalence of refractory MP requiring steroid therapy was 12.8%. MP is the  
226 most common community-acquired pneumonia in school children and is usually responsive to  
227 treatment with macrolide antibiotics. However, recently, macrolide-resistant *M. pneumoniae*  
228 (MRMP) strains have been identified in epidemics in Far East Asian countries, including  
229 Japan, China, Korea and other countries.<sup>12-15)</sup> The strains have mutations in the 23S  
230 rRNA.<sup>12,13,16,17)</sup> As empirical use of macrolides for lower respiratory infections has become  
231 more widespread, MRMP has increased rapidly in recent years.<sup>18)</sup> In Korea, a recent study  
232 found that the prevalence of MRMP increased significantly over the 4 consecutive epidemics  
233 during 2000–2011. Although Macrolide resistance remained low until the 2003 epidemic,  
234 MRMP then increased to 14.7% during the epidemic of 2006 and to 56.1% during the  
235 epidemic of 2010–2011.<sup>19)</sup> Pneumonia caused by MRMP shows an increased duration of  
236 symptoms and requires a longer antibiotic treatment course compared to pneumonia caused  
237 by macrolide-responsive MP in pediatric patients.<sup>17)</sup> Refractory MP may be related to the  
238 emergence of MRMP.<sup>12)</sup> We cannot speculate on the prevalence of MRMP in this study,  
239 because we did not isolate MP strains.

240 In this study, all patients with refractory MP responded well to steroid therapy, each  
241 experiencing an improved clinical course within 24 hours except for 1 case. An exuberant  
242 host immune response, which promotes the release of cytokines and a Th1-mediated immune  
243 response, may contribute to severe pulmonary injury in some cases of MP.<sup>4)</sup> It is suggested  
244 that MP is related to mononuclear cell infiltration into the airway, mainly composed of CD4+  
245 T cells<sup>20)</sup>, which contributes to substantial amplification of the immune response and  
246 subsequent injury to lung parenchyma.<sup>21)</sup> Corticosteroids, a potent anti-inflammatory agent,  
247 have a substantial capacity to mitigate cell-mediated host immune response. Several studies

248 have reported on the efficacy of steroid therapy in children who were nonresponsive to  
249 macrolide antibiotics and showed progressive disease.<sup>1,4,12,15,22)</sup> Consistent with previous  
250 reports, most of patients treated with steroids in our study became afebrile within 24 hours,  
251 and showed clinical and radiological improvement without complications. In an animal study  
252 using a murine model, the use of systemic steroids combined with antimicrobial therapy is  
253 more efficacious in reducing levels of cytokines and chemokines as well as lung inflammation  
254 compared to antibiotic or steroid treatment alone.<sup>23)</sup> Vascular endothelial growth factor  
255 (VEGF) has been proposed as a mediator that may be associated with more severe pneumonia,  
256 such as lobar pneumonia with pleural effusion.<sup>24)</sup> Dexamethasone has been shown to suppress  
257 VEGF release significantly.<sup>25)</sup> In this study, children with refractory MP had a higher risk of  
258 pleural effusion and lobar pneumonia affecting more than two lobes, which suggests that  
259 refractory MP may be related to elevated VEGF levels. Although the mechanisms underlying  
260 the effects of steroids remain to be elucidated, the use of steroids is presumed to diminish the  
261 host immune response, including mediators like VEGF, in severe MP.

262 We demonstrated that the prevalence of refractory MP was higher in the patients with asthma  
263 or atopic sensitization. Several studies have shown that viral respiratory infections induce  
264 more severe symptoms in allergic patients than in normal subjects.<sup>6-9)</sup> In an experimental  
265 rhinovirus challenge study, exposure to rhinovirus resulted in persistent upper and lower  
266 respiratory symptoms in young asthmatic adults with atopic sensitization in contrast to non-  
267 atopic ones.<sup>7)</sup> We also demonstrated that the prevalence of refractory MP was higher in  
268 children with a history of asthma or atopic sensitization, which suggests that a history of  
269 asthma or atopic sensitization can be a risk factor for developing refractory MP requiring  
270 steroid therapy. In a recent study, the serum levels of VEGF and IL-5 were higher in atopic  
271 children with MP compared to non-atopic children.<sup>8)</sup> In one in vitro study, IL-4, a Th2

272 cytokine, was shown to promote VEGF release from airway.<sup>26)</sup> Therefore, it is hypothesized  
273 that atopic children may be more prone to develop severe pneumonia secondary to IL-4-  
274 induced VEGF release.

275 In the present study, asthma was associated with refractory MP requiring steroids, while  
276 neither allergic rhinitis nor atopic dermatitis showed any such association. In contrast to our  
277 data, another study showed that atopic conditions other than asthma were associated with an  
278 increased risk of severe pneumococcal disease. However, that study did not investigate the  
279 relationship between atopic sensitization and severe pneumococcal disease.<sup>9)</sup>

280 In this study, serum LDH levels were higher in children with refractory MP requiring steroid  
281 therapy than in children with macrolide-responsive MP, while other inflammatory markers  
282 queried, such as leukocyte counts, C-reactive protein, erythrocyte sedimentation rate did not  
283 demonstrate any difference between the two groups. Previous studies similarly showed that  
284 serum levels of LDH increased in patients with refractory MP.<sup>12,22)</sup> LDH is a cytoplasmic  
285 enzyme present in all major organ systems including the brain, kidney, liver, myocardium, and  
286 lung. When cell lysis occurs, or cell membranes are damaged, LDH are released into the  
287 extracellular space. Therefore, LDH can be measured as a surrogate marker for tissue  
288 breakdown.<sup>27)</sup> Other studies have shown that a high serum level of LDH is a prognostic factor  
289 for patients admitted to intensive care units with severe pneumonia secondary to the pandemic  
290 2009 influenza A (H1N1), as well as an indicator for the diagnosis of *Pneumocystis jiroveci*  
291 pneumonia.<sup>28-30)</sup> We propose that LDH may be used as an indicator of refractory MP,  
292 although further studies are needed to confirm the usefulness of serum LDH levels as an  
293 indicator for severity of MP and the need for steroid therapy.

294 Limitations of our study include the small single-institution sample size, lack of biologic  
295 markers, and no isolation of *M. pneumoniae* strains to confirm resistant strains. We

296 administered steroid therapy based on the lack of clinical response to macrolide antibiotics. In  
297 addition, we could not rule out co-infection with other bacteria or viruses, because we did not  
298 perform extensive microbiological tests. And the potential mechanisms underlying the  
299 increased risk of refractory MP among patients with asthma or atopic sensitization remain to  
300 be determined. However, to our knowledge, this is the first study investigating risk factors for  
301 refractory MP related to asthma and atopic sensitization.

302 In conclusion, asthma and atopic sensitization can be risk factors for refractory MP, and, early  
303 steroid therapy should be considered in children who have MP with poor response to  
304 macrolide treatment and a history of asthma or atopic sensitization, especially during  
305 epidemics of *M. pneumoniae* infection.

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414 **Table 1.** Clinical and laboratory characteristics of hospitalized patients with *Mycoplasma*  
 415 *pneumoniae* pneumonia

Characteristic	No of patients(N=203)
Age (yrs), (median (interquartile range))	5.0(3.0/7.0)
Male, n (%)	101(49.7)
Steroid treatment, n (%)	26(12.8)
Duration of steroid therapy (day) (mean $\pm$ SD)	5.4 $\pm$ 3.8
Oxygen treatment, n (%)	7(3.5)
Type of pneumonia, n (%)	
Bronchopneumonia	135(66.5)
Lobar pneumonia	68(33.5)
Pleural Effusion, n (%)	21(10.3)
Atopic sensitization, n (%)	44(21.6)
Previous history, n (%)	
Asthma	16(7.8)
Allergic rhinitis	74(36.4)
Atopic dermatitis	41(20.2)
Family history of allergic diseases, n (%)	86(42.4)
White blood cell count (/mm <sup>3</sup> ) (mean $\pm$ SD)	8,934 $\pm$ 4,620
Hemoglobin (g/dL) (mean $\pm$ SD)	12.4 $\pm$ 0.9
Platelet count ( x 10 <sup>3</sup> /mm <sup>3</sup> ) (mean $\pm$ SD)	323 $\pm$ 121
Lactate dehydrogenase (IU/L) (mean $\pm$ SD)	594 $\pm$ 198
C-reactive protein (mg/mL) (mean $\pm$ SD)	2.7 $\pm$ 0.2

Erythrocyte sedimentation rate (mm/hr) (mean $\pm$ SD)	36.5 $\pm$ 16.4
logIgE (IU/mL) (mean $\pm$ SD)	4.64 $\pm$ 1.48
logTEC (/mm <sup>3</sup> ) (mean $\pm$ SD)	4.75 $\pm$ 1.19

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417 logIgE, logarithmic transformation of immunoglobulin E

418 logTEC, logarithmic transformation of total eosinophil count

419 SD, standard deviation

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**Table 2.** Comparison of clinical and laboratory characteristics between children with and without refractory *Mycoplasma pneumoniae* pneumonia

	<b>Refractory MP (n=26)</b>	<b>Macrolide responsive MP (n=177)</b>	<b>P value</b>
Age (yrs)	4.7±2.3	5.6±3.2	0.163
Male, n (%)	9(34.6)	92(52.0)	0.098
White blood cell count (/mm <sup>3</sup> )	8703±3421	8966±4773	0.997
Lymphocyte(/mm <sup>3</sup> )	2426±1329	2660±1476	0.402
Hemoglobin (g/dL)	12.3±0.8	12.4±0.9	0.690
Platelet ( x 10 <sup>3</sup> /mm <sup>3</sup> )	280±81	331±125	0.046
Lactate dehydrogenase(IU/L)	725±370	576±154	<0.001
C-reactive protein (mg/ml)	3.4±0.7	2.6±0.2	0.164
Erythrocyte sedimentation rate (mm/hr)	40.6±14.9	34.1±15.5	0.109
logIgE (IU/mL)	4.89±0.26	4.58±0.22	0.423
logTEC (/mm <sup>3</sup> )	4.65±0.21	4.79±0.09	0.590
Duration of hospital day (day)	8.5±4.1	5.5±2.1	<0.001

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432 logIgE, logarithmic transformation of immunoglobulin E

433 logTEC, logarithmic transformation of total eosinophil count

434 Data expressed as number (percent), mean ± SD

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437 **Table 3.** Respiratory severity, atopic sensitization, and history of allergic disease of children  
 438 with refractory MP and those with macrolide-responsive *Mycoplasma pneumoniae*  
 439 pneumonia

	<b>Refractory MP (n=26)</b>	<b>Macrolide responsive MP (n=177)</b>	<b>P value</b>	<b>aOR*</b>	<b>95% CI</b>	
Oxygen treatment, n (%)	3(11.5)	4(2.3)	0.015	5.99	1.18	30.41
Type of pneumonia, n (%)						
Bronchopneumonia	15(57.7)	120(67.8)				
Lobar pneumonia	11(42.3)	57(32.2)	0.308	2.20	0.90	5.37
Number of lobes in lobar pneumonia						
1 lobe	6(54.5)	52(91.2)				
≥ 2 lobes	5(45.4)	5(8.8)	0.002	16.57	3.14	87.34
Pleural effusion, n(%)	9(34.6)	12(6.8)	<0.001	13.67	4.22	44.20
Atopic sensitization, n(%)	11(42.3)	33(18.6)	0.006	3.83	1.55	9.47
Previous history, n(%)						
Asthma	6(23.1)	10(5.7)	0.002	5.40	1.67	17.45
Allergic rhinitis	10(38.5)	46(26.0)	0.184	2.13	0.88	5.19
Atopic dermatitis	5(19.2)	36(20.3)	0.895	0.93	0.32	2.68
Family history of allergic diseases, n(%)	13(50.0)	73(41.2)	0.320	1.45	0.68	3.12

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441 aOR, adjusted odds ratio

442 CI, confidence interval

443 \* adjusted for age and sex

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463 Figure legends

464 **Fig.1.** Radiologic findings of child with severe refractory *Mycoplasma pneumoniae*  
465 pneumonia. Chest X-ray showed massive left lower lobar consolidation with pleural effusion  
466 before steroid therapy (A), decreased consolidation with scanty pleural effusion at 1 week  
467 after steroid therapy (B), and cleared consolidation at the discharge (C).

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**Fig.1.** Radiologic findings of child with severe refractory *Mycoplasma pneumoniae* pneumonia. Chest X-ray showed massive left lower lobar consolidation with pleural effusion before steroid therapy (A), decreased consolidation with scanty pleural effusion at 1 week after steroid therapy (B), and cleared consolidation at the discharge (C).

