

## RESEARCH ARTICLE

# Efficacy of First-line Chemotherapy Affects the Second-Line Setting Response in Patients with Advanced Non-Small Cell Lung Cancer

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### Abstract

**Background:** Chemotherapy is the mainstay of treatment for the majority of patients with advanced non-small cell lung cancer (NSCLC) without driver mutations and many receive therapies beyond first-line. Second-line chemotherapy has been disappointing both in terms of response rate and survival and we know relatively little about the prognostic factors. **Materials and Methods:** One thousand and eight patients with advanced NSCLC who received second-line chemotherapy after progression were reviewed in Shanghai Pulmonary Hospital, China, from September 2005 to July 2010. We analyzed the effects of potential prognostic factors on the outcomes of second-line chemotherapy (overall response rate, ORR; progression free survival, PFS; overall survival, OS). **Results:** The response and progression free survival of first-line chemotherapy affects the ORR, PFS and OS of second-line chemotherapy (ORR: CR/PR 15.4%, SD 10.1%, PD 2.3%,  $p < 0.001$ ; PFS: CR/PR 3.80 months, SD 2.77 months, PD 2.03 months,  $p < 0.001$ ; OS: CR/PR 11.60 months, SD 10.33 months, PD 6.57 months,  $p = 0.578$ ,  $p < 0.001$ ,  $p < 0.001$ , respectively). On multivariate analysis, better response to first-line therapy (CR/PR: HR=0.751,  $p = 0.002$ ; SD: HR=0.781,  $p = 0.021$ ) and progression within 3-6 months (HR=0.626,  $p < 0.001$ ), together with adenocarcinoma (HR=0.815,  $p = 0.017$ ), without liver metastasis (HR=0.541,  $p = 0.001$ ), never-smoker (HR=0.772,  $p = 0.001$ ), and ECOG PS 0-1 (HR=0.745,  $p = 0.021$ ) were predictors for good OS following second-line chemotherapy. **Conclusions:** Patients who responded to first-line chemotherapy had a better outcome after second-line therapy for advanced NSCLC, and the efficacy of first-line chemotherapy, period of progression, histology, liver metastasis, smoking status and ECOG PS were independent prognostic factors for OS.

**Keywords:** NSCLC - second-line chemotherapy - first-line chemotherapy - prognostic factor

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### Introduction

Lung cancer remains the leading cause of cancer-related deaths worldwide (Ramalingam et al., 2011). Non-small cell lung cancer (NSCLC) accounts for about 80% of lung cancer cases and most are diagnosed at advanced stages (Lal et al., 2011; Kirmani et al., 2013). Tailored therapy based on biomarker analysis has entered the reality of lung cancer treatment (Qi et al., 2012; Gridelli et al., 2014). Patients with EGFR activated mutation or ALK/ROS1 fusion benefit from targeted therapy with EGFR TKIs or ALK inhibitors (Gao et al., 2012). However, only a minority of patients express these markers, with EGFR mutations detected in about 30-40% and EML4-ALK fusions in about 4% in an East Asian population (Zhou et al., 2011; Ren et al., 2012). So for the majority of patients without driver mutations, platinum-based chemotherapy remains the standard first-line treatment (Natukula et al.,

2013).

In this subpopulation, patients are recommended to receive second-line chemotherapy such as docetaxel or pemetrexed when first-line treatment fails (Hanna et al., 2004; Caponi et al., 2010; Ardizzoni et al., 2012). However, the efficacy of second-line chemotherapy is still dismal, with a response rate of about 10%, PFS of 2-3 months and OS of 6-9 months (Hanna et al., 2004; de Marinis et al., 2011; Ardizzoni et al., 2012). More importantly, currently, there are also still no identified predictive factors to predict the efficacy of second-line chemotherapy (Favaretto et al., 2009; de Marinis et al., 2011).

To better clarify the potential predictive factors for the outcome of second-line chemotherapy, we performed a retrospective study to investigate the association of clinical pathological features such as the efficacy of first-line chemotherapy, histology, gender and ECOG PS in 1008 Chinese patients with advanced NSCLC.

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## Materials and Methods

A total of 3520 patients with histologically confirmed primary stage IIIB or IV NSCLC received platinum-based doublets for first-line therapy in Shanghai Pulmonary Hospital, China from September 2005 to July 2010. Of these, 1008 patients (28.6%) with evidence of progressive disease within 6 months after the end of first-line treatment who received second-line chemotherapy were included in our study. These 1008 patients were classified according to response to first-line chemotherapy and period of progression (Figure 1). These patients were followed up until January 1, 2013, and 784 deaths were recorded. Parameters such as efficacy of first-line chemotherapy, period of progression, gender, age, smoking history, ECOG PS, histological type, and metastasis location at diagnosis (liver, bone and brain) were chosen as potential prognostic factors for analysis in our study.

### Tumor evaluation and response

Tumor response was evaluated every 6-8 weeks according to the Response Evaluation Criteria in Solid Tumors (RECIST1.1) (Nishino et al., 2010). PFS was calculated as the time between the first day of treatment and the time to documented disease progression, the last

follow-up data or death from any cause. OS was calculated as the time from the start of second-line therapy to death or the last follow-up data (Zietemann et al., 2011; Di Maio et al., 2012).

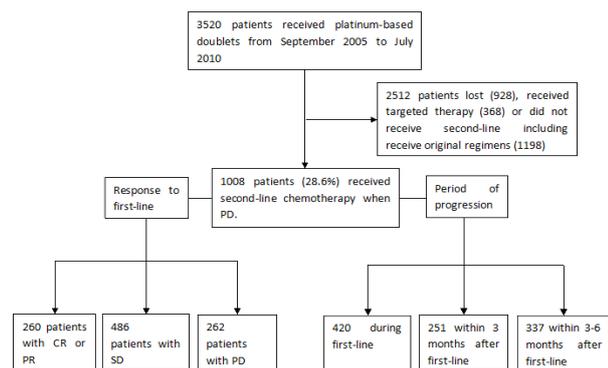
### Statistical analysis

The association between the efficacy of first-line treatment or related baseline factors and ORR for second-line treatment was assessed using the Chi-square test (Ardizzoni et al., 2012). The Kaplan-Meier method was used to estimate PFS and OS and the log-rank test was used to compare survival curves (Di Maio et al., 2012). A multivariate Cox-regression model was used to analyze prognostic factors for PFS and OS, presenting a hazard ratio (HR) and confidence interval (CI) for the independent variables. Statistical analyses were performed using SPSS 17.0, and a *p*-value less than 0.05 was considered statistically significant (Vergnenegre et al., 2011).

## Results

### Characteristics of patients

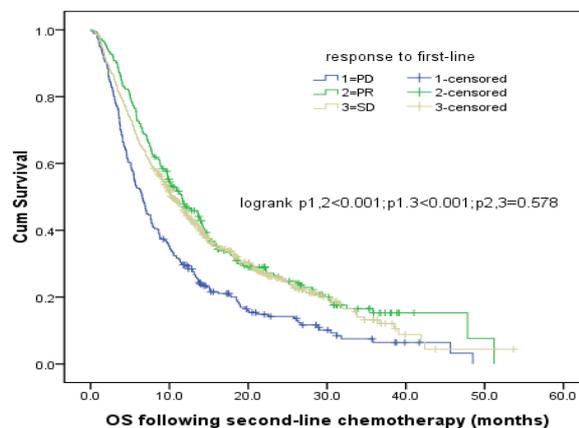
The median age of the 1008 suitable patients was 57 (range 25 to 81) years, with 786 patients (80.0%) older than 65 (elderly patients). The majority of patients were male (71.8%), had adenocarcinoma (63.0%) and were classed as ECOG PS 0-1 (91.5%), and about half were never smokers (50.2%). With regard to disease



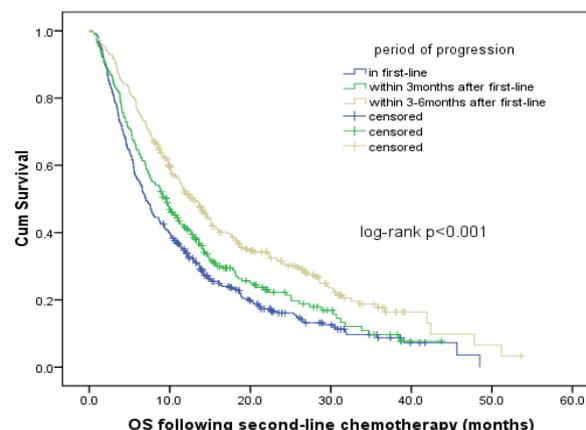
**Figure 1. 3520 Patients with NSCLC;** CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease

**Table 1. Characteristics of 1008 Patients with Advanced NSCLC**

Variables	Total n=1008 (%)
Gender	
Male	724 (71.8%)
Female	284 (28.2%)
Age	
<65 years	222 (20.0%)
≥65 years	786 (80.0%)
ECOG PS	
PS=0	173 (17.2%)
PS=1	749 (74.3%)
PS=2	86 (8.5%)
Smoking status	
Never	506 (50.2%)
Ever	502 (49.8%)
Stage	
IIIB	282 (28.0%)
IV	726 (72.0%)
Histology	
Squamous cell carcinoma	251 (24.9%)
Adenocarcinoma	635 (63.0%)
Adenosquamous cell carcinoma	48 (4.8%)
Other	74 (7.3%)
Metastasis location	
Liver	37 (3.7%)
Brain	212 (21.0%)
Bone	283 (28.1%)
Other locations	194 (19.2%)
Non-metastasis	282 (28.0%)



**Figure 2. OS Curves for Second-Line Therapy According to the Response to First-Line Therapy**



**Figure 3. OS Curves for Second-Line Therapy According to Period of Progression**

**Table 2. The Association of Baseline Characteristics with the Survival of the 1008 Patients with Advanced NSCLC**

N=1008		Median PFS (95%CI)	p value	Median OS (95%CI)	p value
Smoking status	Never	2.63 (2.16-3.10)	0.001	11.00 (9.61-12.39)	<0.001
	Ever	2.27 (2.13-2.40)		7.77 (6.50-9.03)	
Age	<65	2.50 (2.27-2.73)	0.729	9.77 (8.65-10.89)	0.544
	≥65	2.37 (2.21-2.53)		9.07 (7.51-10.63)	
Gender	Male	2.33 (2.21-2.46)	<0.001	8.77 (7.84-9.69)	<0.001
	Female	3.17 (2.41-3.92)		12.93 (10.84-15.03)	
Histology	Squamous cell carcinoma	2.23 (2.08-2.39)	0.006	6.97 (5.80-8.14)	0.001
	Non-squamous cell carcinoma	2.57 (2.27-2.86)		10.33 (9.29-11.38)	
ECOG PS	PS 0-1	2.47 (2.28-2.65)	0.007	9.80 (8.81-10.79)	0.006
	PS2	2.13 (1.86-2.41)		6.43 (3.33-9.54)	
Liver metastasis	Without	2.47 (2.28-2.65)	<0.001	9.80 (8.90-10.70)	<0.001
	With	1.93 (1.83-2.03)		6.13 (2.52-9.75)	
Brain metastasis	Without	2.43 (2.26-2.60)	0.688	9.83 (8.84-10.83)	0.334
	With	2.40 (2.10-2.70)		8.63 (7.09-10.17)	
Bone metastasis	Without	2.50 (2.24-2.76)	0.073	9.83 (8.75-10.92)	0.126
	With	2.37 (2.22-2.51)		9.03 (7.66-10.40)	

**Table 3. Univariate and Multivariate Analysis of Prognostic Factors Regarding PFS and OS of Patients with Advanced NSCLC who Received Second-Line Chemotherapy**

Variables	PFS				OS			
	Univariate analysis		Multivariate analysis		Univariate analysis		Multivariate analysis	
	HR (95%CI)	P	HR (95%CI)	P	HR (95%CI)	P	HR (95%CI)	P
Histologic type								
Squamous vs. non-squamous	1.220 (1.057-1.408)	0.006	1.165 (1.005-1.349)	0.042	1.308 (1.113-1.538)	0.001	1.227 (1.037-1.452)	0.017
Period of progression								
In first-line	1				1			
Within 3 months	0.687 (0.586-0.804)	<0.001	0.687 (0.586-0.804)	<0.001	0.836 (0.702-0.997)	0.046	0.853 (0.715-1.018)	0.078
Within 3-6 months	0.545 (0.471-0.631)	<0.001	0.553 (0.478-0.641)	<0.001	0.612 (0.519-0.722)	<0.001	0.626 (0.530-0.739)	<0.001
Liver metastasis								
With vs. without	2.253 (1.618-3.135)	<0.001	2.178 (1.563-3.034)	<0.001	1.913 (1.348-2.715)	<0.001	1.849 (1.301-2.626)	0.001
Smoking status								
Ever vs. never smoker	1.221 (1.078-1.382)	0.002	-	-	1.324 (1.150-1.524)	<0.001	1.295 (1.118-1.499)	0.001
ECOG PS								
PS2 vs. PS0 or PS1	1.356 (1.086-1.693)	0.007	-	-	1.405 (1.098-1.797)	0.007	1.342 (1.046-1.723)	0.021
Efficacy of first-line therapy								
PD	1				1			
CR or PR	0.498 (0.418-0.592)	<0.001	0.634 (0.514-0.782)	<0.001	0.619 (0.511-0.749)	<0.001	0.751 (0.625-0.903)	0.002
SD	0.579 (0.497-0.674)	<0.001	0.663 (0.558-0.788)	<0.001	0.670 (0.567-0.791)	<0.001	0.781 (0.620-0.983)	0.021
Gender								
Female vs. male	0.761 (0.663-0.874)	<0.001	0.790 (0.686-0.910)	0.001	0.730 (0.622-0.855)	<0.001	-	-
Brain metastasis								
With vs. without	1.016 (0.941-1.096)	0.69	-	-	1.043 (0.958-1.136)	0.335	-	-
Bone metastasis								
With vs. without	1.134 (0.987-1.301)	0.075	-	-	1.127 (0.967-1.315)	0.127	-	-
Age								
>65 years vs. <65 years old	1.022 (0.902-1.159)	0.731	-	-	1.045 (0.906-1.205)	0.544	-	-

characteristics, 726 (72.0%) patients were stage IV, and the proportion with liver, brain or bone metastasis at baseline was 3.7%, 21.0% and 28.1%, respectively (Table 1).

#### The efficacy of first-line chemotherapy and its effect on second-line chemotherapy

The ORR and disease control rate were 25.8% and 71.4% for the first line setting, while they were 9.4% and 41.4% for the second-line chemotherapy in the 1008 patients, respectively.

The ORR for second-line therapy was 15.4% in patients who responded for first-line therapy, which was significantly higher than 10.1% in these with SD and 2.3% in these with PD ( $p<0.001$ ). The ORR were also significantly different according to the period of progression, which were 2.4%, 8.8% and 18.7% in patients who progressed on first-line therapy, within 3 months and between 3 to 6 months after the last dose of first line chemotherapy respectively ( $p<0.001$ ).

In terms of other clinical characteristics, the ORR of second-line chemotherapy significantly differed according to different gender (male 8.1% vs. female 12.7%,  $p=0.005$ ), liver metastasis (without 9.7% vs. with 1.0%,  $p=0.005$ ), histology type (non-squamous 9.9% vs. squamous 8.0%,  $p=0.003$ ) and smoking status (never 10.7% vs. ever smoker 8.2%,  $p=0.070$ ).

#### Survival analysis for second-line chemotherapy

The median PFS was 3.80 months and 2.44 months for first-line and second-line chemotherapy respectively. The median PFS and OS of second-line therapy differed significantly according to the different response status to first-line therapy. The median PFS were 3.80, 2.77 and 2.03 months in patients who response, got a stable disease and progressed from the first line chemotherapy ( $p<0.001$ ), while the median OS were 11.60 10.33 and 6.57 months respectively according to the different response status ( $p<0.001$ ) (Figure 2). In terms of period

of progression, significant differences were also found on PFS and OS. The median PFS were 2.13, 2.63 and 4.20 months in patients who progressed on first-line therapy, within 3 months and between 3 to 6 months after the last dose of first line chemotherapy respectively ( $p<0.001$ ), while the median OS were 6.97, 9.57 and 13.1 months respectively according to different period of progression ( $p<0.001$ ) (Figure 3).

Never smokers, patients with ECOG PS 0-1, female gender, non-squamous cell carcinoma and those without liver metastasis at diagnosis had longer PFS (2.47 vs. 2.13 months,  $p=0.007$ ; 3.17 vs. 2.33 months,  $p<0.001$ ; 2.57 vs. 2.23 months,  $p=0.006$ ; 2.47 vs. 1.93 months,  $p<0.001$ ). However, no differences were found between patients with and without brain or bone metastasis, or between elderly and young patients (Table 2). As for OS, patients of never smokers and female had a longer median OS after second-line therapy (11.00 vs. 7.77 months,  $p<0.001$ ; 12.93 vs. 8.77 months,  $p<0.001$ ). Also, patients with non-squamous cell carcinoma or ECOG PS 0-1 had a longer median OS than those with squamous cell carcinoma or PS 2 (10.33 vs. 6.97 months,  $p=0.001$ ; 9.80 vs. 6.43 months,  $p=0.006$ ). Patients with liver metastasis at baseline had a worse median OS after second-line therapy (6.13 vs. 9.80 months,  $p<0.001$ ). However, no differences were found between elderly and young patients, or patients with and without brain or bone metastasis (Table 2).

#### *Cox-regression model of the possible prognostic factors for PFS and OS after second-line chemotherapy*

The following characteristics significantly influenced PFS after second-line therapy both by univariate and multivariate analysis: histology, period of progression, liver metastasis, the efficacy of first-line chemotherapy and gender (Table 3). Smoking status and ECOG PS were significant only by univariate analysis.

The results revealed that squamous cell carcinoma (HR=1.227,  $p=0.017$ ), liver metastasis (HR=1.849,  $p=0.001$ ), ever smoking (HR=1.295,  $p=0.001$ ), and ECOG PS2 (HR=1.342,  $p=0.021$ ) acted as predictors of poor OS, but better efficacy of first-line therapy (CR/PR vs. PD: HR=0.751,  $p=0.002$ ; SD vs. PD: HR=0.781,  $p=0.021$ ) and progression within 3-6 months (HR=0.626,  $p<0.001$ ) were predictors of good OS following second-line chemotherapy. Gender was only found to have prognostic significance for OS after second-line chemotherapy in univariate analysis (female vs. male: HR=0.730,  $p<0.001$ ) (Table 3).

## **Discussion**

In this study, we retrospectively analyses the prognosis of second-line chemotherapy in a total of 1008 patients. We found that the variables such as gender, age, smoking status, ECOG PS, liver metastasis, best efficacy of first-line therapy, and time of progression were associated with survival outcome. Among them, without liver metastasis, never smoking status, ECOG PS 0-1, non-squamous cell carcinoma, response to first-line therapy, and progression within 3-6 months after first-line chemotherapy were statistically significant associated with longer OS in

multivariate analyses after second-line chemotherapy.

In terms of clinical characteristics, several previous studies have shown that different histology, smoking status, and ECOG PS could have a significantly different overall survival (Tadashi et al., 2000; Sun et al., 2010; Di Maio et al., 2012; Ali et al., 2012). In 2010, Jong-Mu Sun et al. found that female gender, adenocarcinoma, never smoking status, and ECOG PS of 0-1 were good predictive factors for subsequent pemetrexed therapy after prior gemcitabine-based chemotherapy in advanced NSCLC (Sun et al., 2010). Consistent with these reports, our study found ECOG PS 0-1 significantly associated with longer PFS and OS and numerically higher ORR. Also, patients of non-squamous cell carcinoma, never smoking status and female showed a significantly longer PFS and OS after receiving second-line chemotherapy in the univariate analysis, while female patients did not remain a predictor for longer PFS and OS in the multi-variate analysis. The EGFR mutation distribution in different subgroup might attribute to this result (Wu et al., 2010). As we all knows, it were histological type and smoking status but not gender significantly affect the incidence of EGFR mutation. Furthermore, patients with EGFR mutation showed a superiority to the efficacy of chemotherapy (Pao et al., 2010; Ren et al., 2012; Gridelli et al., 2014).

As far as we know, this study is the first one to comprehensively analysis the efficacy of first-line chemotherapy affects the response of second-line setting in 1008 patients with advanced non-small cell lung cancer. We found that best response to first-line therapy a good predictor for not only OS but also ORR and PFS following second-line chemotherapy, and the trend also existed in multivariate analysis. Furthermore, our study is the first to identify that a longer progression period as an independent positive prognostic factor for OS after second-line treatment. In line with our study, the results from several studies with limited samples suggested that the efficacy of first-line chemotherapy could affect second-line outcomes (Weiss et al., 2007; Moro-Sibilot et al., 2010; Greillier et al., 2012; Ali et al., 2012). Also, in the JMEI trial which compared pemetrexed with docetaxel as second-line setting in patients advanced NSCLC, Hanna et al found a trend that patients who had a clinical benefit from first-line therapy were more likely to benefit from second-line therapy (Hanna et al., 2004). The findings from our study, together with these previous studies suggested that the efficacy of the first line chemotherapy could be helpful to choose suitable patients for second-line chemotherapy.

Besides that, liver metastasis was known as a poor prognosis for several kinds of cancers (Zabaleta et al., 2011; Gomez et al., 2012; Ishizuka et al., 2012). Tadashi Maeda et al. found that liver metastasis was an independent prognostic factor for first-line chemotherapy in advanced NSCLC (Tadashi et al., 2000). To our knowledge, this study is the first one to investigate the effect of liver metastasis on efficacy of second-line setting in patients with NSCLC. We found that liver metastasis was a strong poor predictor on PFS and OS after second-line chemotherapy. More work is needed to improve the prognosis in the NSCLC patients with liver metastasis.

There are several limitations in this study. Firstly, we did not further analyze which might be the most beneficial agents (pemetrexed, docetaxel, or others) for patients with disease progression. Secondly, the interaction between first- and second-line drugs should also be included. Besides, for analysis of prognostic factors on efficacy of second-line treatment, we did not consider molecular markers such as TS expression, BRCA1 expression, EGFR mutation or ALK fusion, which have established roles both as determinants of treatment choice and prognostic factors.

In conclusion, patients who responded to first-line treatment had a better outcome after second-line therapy for advanced NSCLC than those who did not respond. Furthermore, the period of progression, histology, liver metastasis, smoking status and ECOG PS were independent prognostic factors for PFS and OS following second-line chemotherapy. These findings could help better evaluate the risks and benefits associated with therapeutic decisions and be used to select patients optimally for the proper choice of treatment in physicians' daily clinical practice.

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