

# Comparing Deadlock-Free Session Typed Processes

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A **formal comparison** between two behavioural type systems that enforce deadlock freedom for  $\pi$ -calculus processes

We consider two fundamentally different systems:

- Session types based on linear logic [Caires, Pfenning et al; Wadler]
- Usage types [Kobayashi et al]

We study  $\mathcal{L}$  and  $\mathcal{K}$ , the deadlock-free languages they induce

- 1 Motivation and Contributions
- 2 Technical Ingredients
- 3 Main Results
- 4 Concluding Remarks

Intuition: Processes do not “get stuck”

Examples (in CCS)

- A deadlocked process:

$$(\nu a)(\nu b)(\bar{a}.b.\mathbf{0} \mid \bar{b}.a.\mathbf{0})$$

- Two deadlock-free processes:

$$(\nu a)(\nu b)(\mathbf{b}.\bar{a}.\mathbf{0} \mid \bar{b}.a.\mathbf{0}) \quad \text{and} \quad (\nu a)(\nu b)(\bar{a}.b.\mathbf{0} \mid \mathbf{a}.\bar{b}.\mathbf{0})$$

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- A liveness property: “A process is deadlock-free if it can always reduce until it eventually terminates, unless it diverges”
- Relevant in practice. Many type systems proposed [cf. Kobayashi et al; Dezani, de’ Liguoro & Yoshida; Carbone & Debois]
- Our motivation: clarifying how these different systems are related

# The landscape of (un)typed processes

Untyped  $\pi$ -calculus processes

Session-typed processes [HVK98, Vas12]

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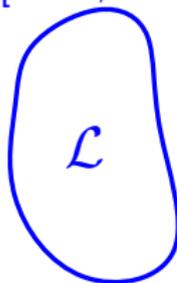
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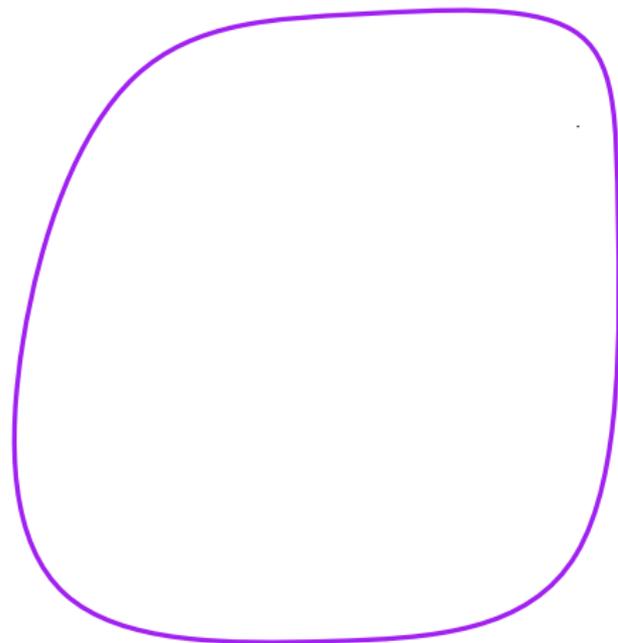
[CP10,Wad12]



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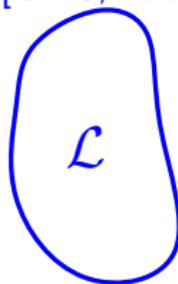
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Usage-typed processes [Kob02]

Deadlock-free session processes

[CP10,Wad12]

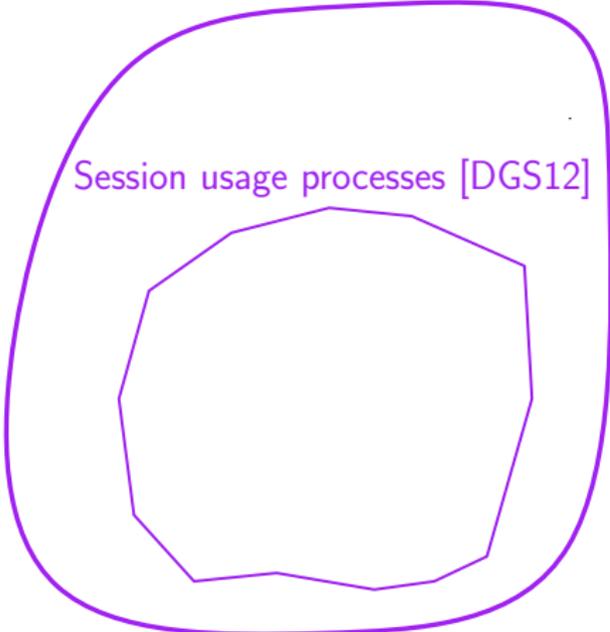


Session-typed processes [HVK98,Vas12]

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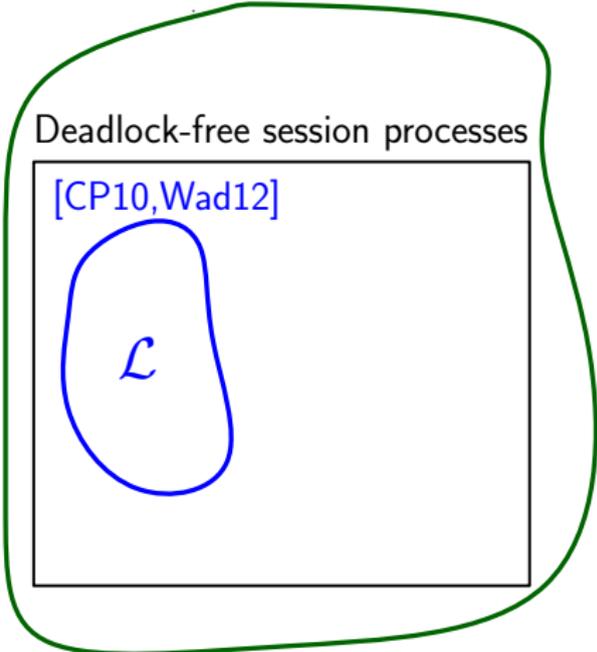
Session usage processes [DGS12]



Usage-typed processes [Kob02]

Deadlock-free session processes

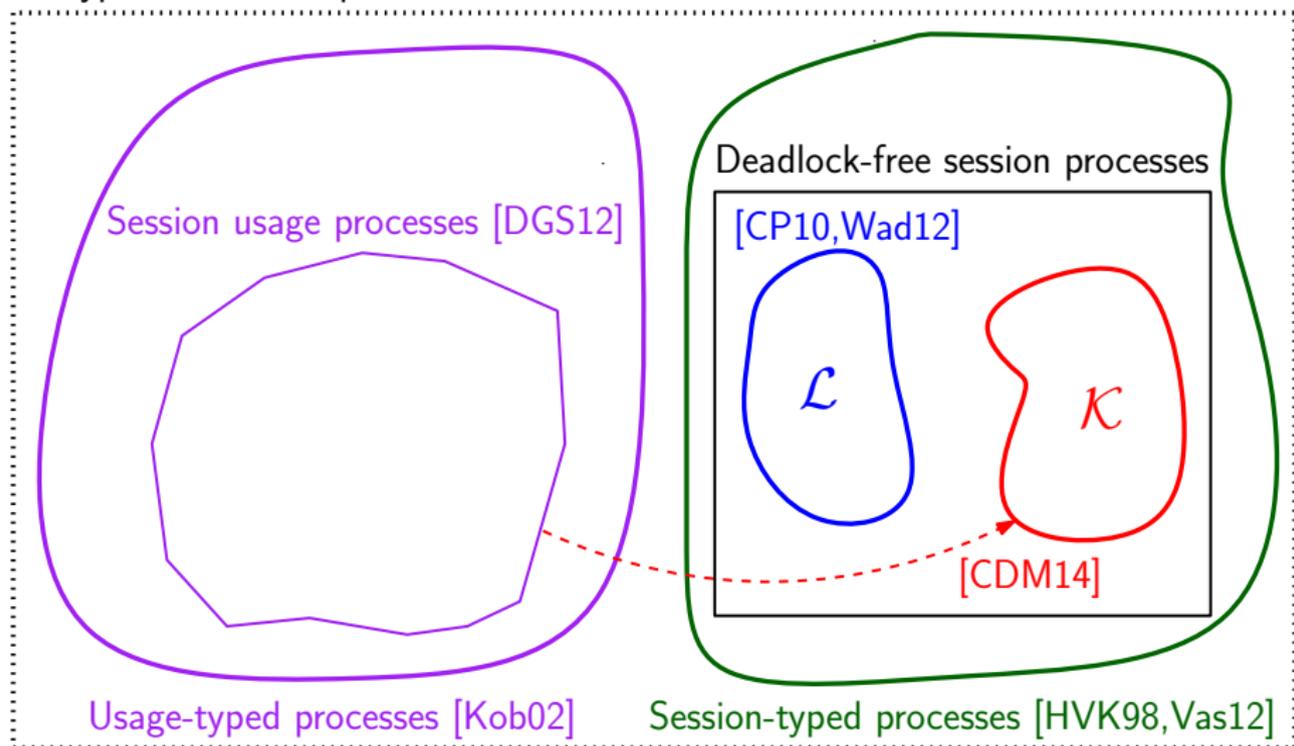
[CP10, Wad12]



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# The landscape of (un)typed processes

Untyped  $\pi$ -calculus processes



# The typed languages $\mathcal{L}$ and $\mathcal{K}$

$\mathcal{L}$ : session processes obtained from the correspondence of linear logic propositions as session types [CP10, Wad12, Caires14].

$\mathcal{K}$ : session processes are deadlock-free by combining a usage-based type system [Kob02] with the encodability result in [DGS12]

## Notice

- $\mathcal{L}$  is **canonic**: due to its deep logical foundations, this is the most principled yardstick for comparisons
- $\mathcal{K}$  is **general**: it strictly includes classes of processes induced by previous type systems for deadlock-free sessions

# The degree of sharing

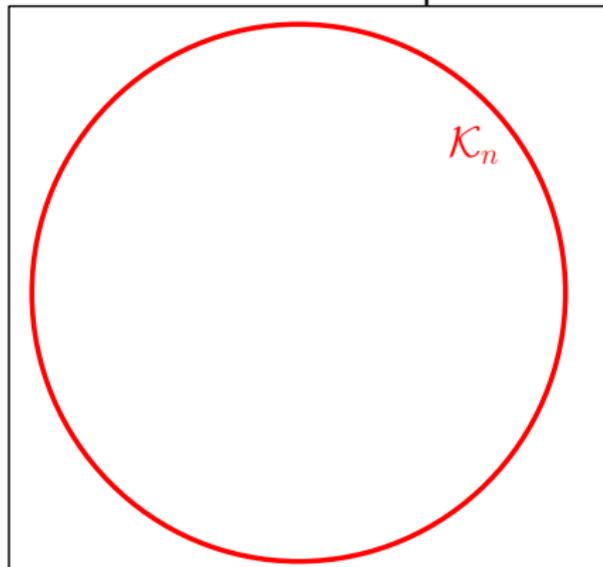
$\mathcal{K}$  is a **family** of classes of deadlock-free processes:  $\mathcal{K}_0, \mathcal{K}_1, \dots, \mathcal{K}_n$

The family is defined by the **degree of sharing** between parallel components:

- $\mathcal{K}_0$  is the subclass with independent parallel composition:  
for all  $P \mid Q \in \mathcal{K}_0$ ,  $P$  and  $Q$  **do not share** sessions
- $\mathcal{K}_1$  is the subclass which contains  $\mathcal{K}_0$  but also processes with parallel components that share **at most** one session

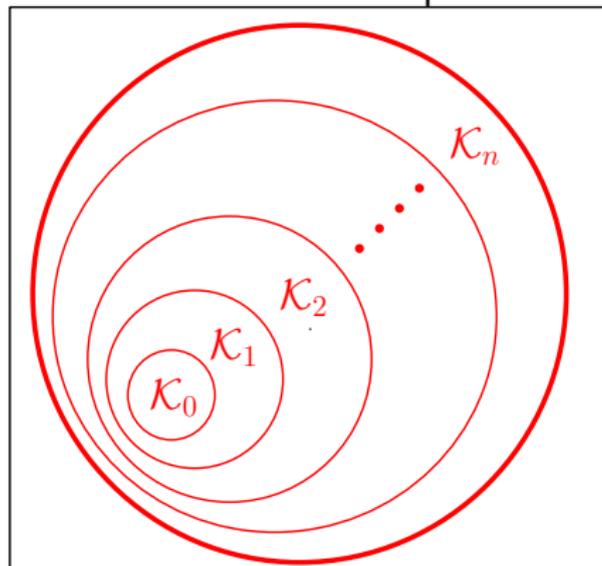
In general,  $\mathcal{K}_n$  ( $n \geq 0$ ) contains deadlock-free session processes whose parallel components share at most  $n$  sessions

Deadlock-free session processes



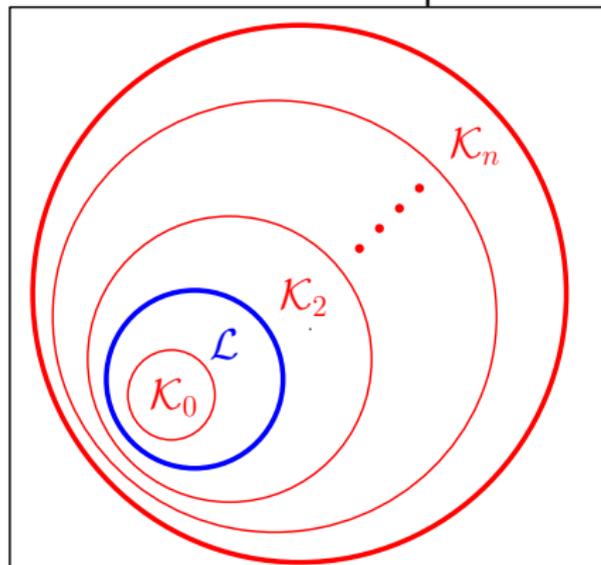
$\mathcal{K}_n$  deadlock-free processes by encodability [DGS12]

## Deadlock-free session processes



$\mathcal{K}_n$  deadlock-free processes by encodability [DGS12]

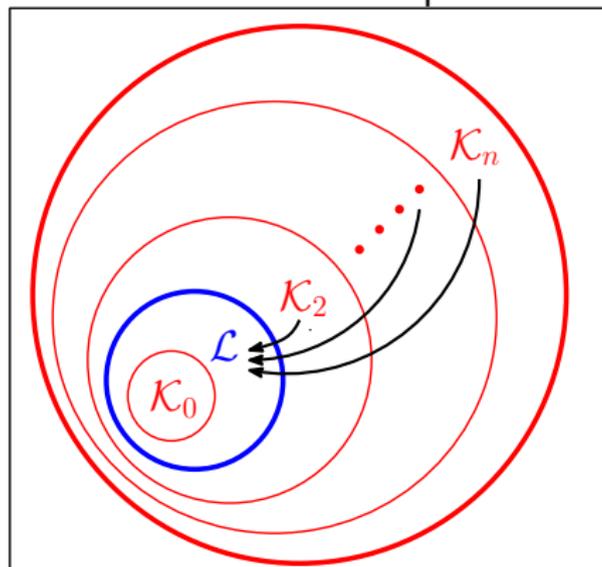
## Deadlock-free session processes



$\mathcal{K}_n$  deadlock-free processes by encodability [DGS12]

$\mathcal{L}$  deadlock-free processes based on linear logic [CP10, Caires14]

## Deadlock-free session processes



$\mathcal{K}_n$  deadlock-free processes by encodability [DGS12]

$\mathcal{L}$  deadlock-free processes based on linear logic [CP10, Caires14]

→ type-preserving rewriting procedure

# Outline

- 1 Motivation and Contributions
- 2 Technical Ingredients**
- 3 Main Results
- 4 Concluding Remarks

# Technical Ingredients

## Type systems:

- $\Gamma \vdash_{\text{ST}} P$  Simple session types
- $P \vdash_{\text{CH}} \Delta$  Linear logic propositions as session types [CP10]
- $\Gamma \vdash_{\text{KB}}^n P$  Usage type system [Kob02] w/ degree of sharing  $n \geq 0$

## Encodings/transformations:

- $[\![\cdot]\!]_f$  Session  $\pi$ -processes into standard  $\pi$ -processes
- $[\![\cdot]\!]$  Session types into usage types (defined in [DGS12])
- $[\![\cdot]\!]_c$  Session types into linear logic propositions
- $\{\{\cdot\}\}$  Handling syntactic differences in processes (e.g. restriction)

## The two deadlock-free session languages, formally

$$\begin{aligned}\mathcal{L} &= \{P \mid \exists \Gamma. (\Gamma \vdash_{\text{ST}} P \wedge \{\{P\}\} \vdash_{\text{CH}} [\![\Gamma]\!]_c)\} \\ \mathcal{K}_n &= \{P \mid \exists \Gamma, f. (\Gamma \vdash_{\text{ST}} P \wedge [\![\Gamma]\!]_f \vdash_{\text{KB}}^n [\![P]\!]_f)\} \quad (n \geq 0)\end{aligned}$$

## Syntax

$P, Q ::= \bar{x}\langle v \rangle.P$	(output)
$x(y).P$	(input)
$x \triangleleft l_j.P$	(selection)
$x \triangleright \{l_i : P_i\}_{i \in I}$	(branching)
$(\nu xy)P$	(session restriction)
$P \mid Q$	(composition)
$\mathbf{0}$	(inaction)

Write  $\mathbf{n}$  to denote a channel that cannot be used.

## Reduction semantics

$$\begin{aligned}(\nu xy)(\bar{x}\langle v \rangle.P \mid y(z).Q) &\rightarrow (\nu xy)(P \mid Q[v/z]) \\(\nu xy)(x \triangleleft l_j.P \mid y \triangleright \{l_i : P_i\}_{i \in I}) &\rightarrow (\nu xy)(P \mid P_j) \quad j \in I\end{aligned}$$

## Session Types

$T, S ::=$	<b>end</b>	(terminated protocol)
	$?T.S$	(input value of type $T$ , continue as $S$ )
	$!T.S$	(output value of type $T$ , continue as $S$ )
	$\&\{l_i : S_i\}_{i \in I}$	(external choice)
	$\oplus\{l_i : S_i\}_{i \in I}$	(internal choice)

## The typing system $\vdash_{\text{ST}}$

- Ensures communication safety and session fidelity, but it does not exclude deadlocked processes.
- Example: process  $(\nu x_1 x_2)(\nu y_1 y_2)(\bar{x}_1 \langle \mathbf{n} \rangle . \bar{y}_1 \langle \mathbf{n} \rangle \mid y_2(t) . x_2(s))$  is typable in  $\vdash_{\text{ST}}$  but deadlocked. In contrast, the typable process

$(\nu x_1 x_2)(\nu y_1 y_2)(\bar{x}_1 \langle \mathbf{n} \rangle . \bar{y}_1 \langle \mathbf{n} \rangle \mid x_2(s) . y_2(t))$  is deadlock-free

## Syntax

- As before, but with standard restriction operator  $(\nu x)P$  and the **forwarding process**  $[x \leftrightarrow y]$ , which “fuses” names  $x$  and  $y$
- We have the reduction rule:  $(\nu x)([x \leftrightarrow y] \mid P) \rightarrow P[y/x]$

## Types (= linear logic propositions)

$$A, B ::= \underbrace{\perp \mid \mathbf{1}}_{\text{Terminated}} \mid \underbrace{A \otimes B}_{\text{Output}} \mid \underbrace{A \wp B}_{\text{Input}} \mid \underbrace{\oplus \{l_i : A_i\}_{i \in I}}_{\text{Int. Choice}} \mid \underbrace{\& \{l_i : A_i\}_{i \in I}}_{\text{Ext. Choice}}$$

## Some Typing Rules for $\vdash_{\text{CH}}$

$$\frac{\text{(T-cut)} \quad P \vdash_{\text{CH}} \Delta, x:A \quad Q \vdash_{\text{CH}} \Delta', x:\bar{A}}{(\nu x)(P \mid Q) \vdash_{\text{CH}} \Delta, \Delta'}$$

$$\frac{\text{(T-mix)} \quad P \vdash_{\text{CH}} \Delta \quad Q \vdash_{\text{CH}} \Delta'}{P \mid Q \vdash_{\text{CH}} \Delta, \Delta'}$$

Notable points:

- **Composition plus hiding** thanks to rule (T-cut)
- Rule (T-mix) types independent parallel composition
- **Properties:** Type preservation (subject reduction) and progress

## Syntax

- **Polyadic communication** and standard restriction
- **Case construct**  $\text{case } v \text{ of } \{l_i \cdot x_i \triangleright P_i\}_{i \in I}$  with **variant value**  $l_j \cdot v$

## Usage Types

$$\begin{array}{llll} U ::= & ?_{\kappa}^o.U & (\text{used in input}) & \emptyset & (\text{not usable}) \\ & !_{\kappa}^o.U & (\text{used in output}) & (U_1 \mid U_2) & (\text{used in parallel}) \\ T ::= & U[\widetilde{T}] & (\text{channel types}) & \langle l_i : T_i \rangle_{i \in I} & (\text{variant type}) \end{array}$$

**Obligations**  $o$  and **capabilities**  $\kappa$  describe channel dependencies.

- An obligation of level  $n$  must be fulfilled by using only capabilities of level **less than**  $n$
- For an action with capability of level  $n$ , there must exist a co-action with obligation of level **less than or equal to**  $n$

A usage  $U$  that satisfies these conditions is **reliable**, noted  $\text{rel}(U)$

## Some Typing Rules for $\vdash_{\text{KB}}^n$

$$\frac{(\text{T}\pi\text{-PAR}_n) \quad \Gamma_1 \vdash_{\text{KB}}^n P \quad \Gamma_2 \vdash_{\text{KB}}^n Q \quad |\Gamma_1 \cap \Gamma_2| \leq n}{\Gamma_1 \mid \Gamma_2 \vdash_{\text{KB}}^n P \mid Q} \quad \frac{(\text{T}\pi\text{-RES}) \quad \Gamma, x : U[\tilde{T}] \vdash_{\text{KB}}^n P \quad \text{rel}(U)}{\Gamma \vdash_{\text{KB}}^n (\nu x)P}$$

Notable points:

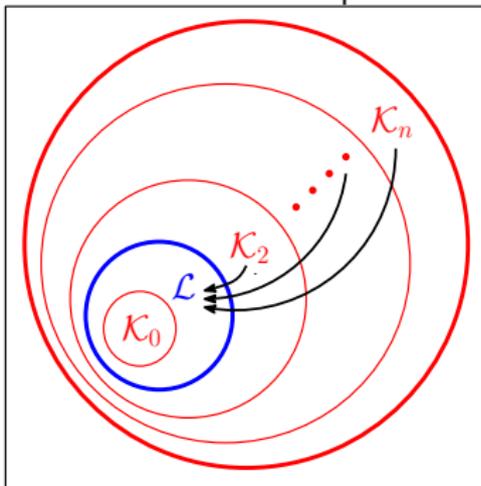
- Separate typing rules for restriction and parallel
- Novelty wrt [Kob02]: rule  $(\text{T}\pi\text{-PAR}_n)$  defines degree of sharing
- Rule  $(\text{T}\pi\text{-RES})$  checks usage reliability
- **Properties:** Type preservation and deadlock-freedom

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# Main results

Deadlock-free session processes



1. The inclusion between the constituent classes of  $\mathcal{K}$  is **strict**

We have:  $\mathcal{K}_0 \subset \mathcal{K}_1 \subset \dots \subset \mathcal{K}_{n-1} \subset \mathcal{K}_n$ .

2.  $\mathcal{L}$  and  $\mathcal{K}_1$  **coincide**

Logical foundations of session types induce the most basic form of concurrent cooperation: sharing exactly one session.

3. **Rewriting** of processes in  $\mathcal{K}$  into  $\mathcal{L}$

Sequential prefixes replaced with representative parallel components.

It enjoys type-preservation, operational correspondence, compositionality.

## Inclusion: $\mathcal{K}_n \subset \mathcal{K}_{n+1}$

$\mathcal{K}_2$  contains (deadlock-free) session processes not captured in  $\mathcal{K}_1$ .

A representative example is:

$$P_2 = (\nu a_1 b_1)(\nu a_2 b_2)(a_1(x). \bar{a}_2\langle x \rangle \mid \bar{b}_1\langle \mathbf{n} \rangle. b_2(z))$$

$P_2$  is typable in  $\vdash_{\text{KB}}^n$  (with  $n \geq 2$ ) but not in  $\vdash_{\text{KB}}^1$ , because it involves the composition of two processes which share two sessions

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The idea generalises to show  $\mathcal{K}_n \subset \mathcal{K}_{n+1}$ , for all  $n \geq 0$ .

# Coincidence: $\mathcal{K}_1 = \mathcal{L}$

Key aspects in the proof:

- Session types always result in “sequential” usages.
- Usages induced by LL session types are always reliable: they respect obligations/capabilities
- Preservation of duality through encodings of types
- Presence of both rules (T-mix) and (T-cut) for composition in  $\vdash_{\text{CH}}$

## Rewriting $\mathcal{K}_n$ into $\mathcal{L}$

- If  $P \in \mathcal{K}_{n+1}$  but  $P \notin \mathcal{K}_n$  (with  $n \geq 1$ ) then there is a subprocess of  $P$  that needs to be “adjusted” in order to “fit in”  $\mathcal{K}_n$
- Such a subprocess of  $P$  must become more “parallel” in order to be typable under the smaller degree of sharing  $n$

# Rewriting $\mathcal{K}_n$ into $\mathcal{L}$ : Intuition

- We propose a **rewriting procedure** that converts processes in  $\mathcal{K}_n$  into processes in  $\mathcal{K}_1$  (that is,  $\mathcal{L}$ )
- **Idea**: given a parallel process as input, return as output a process in which one component is kept unchanged, but the other is **replaced** by **parallel representatives** of the sessions in it.
- Using types, such representatives are defined as **characteristic processes** and **catalyzers**
- The procedure is type preserving and satisfies compositionality and operational correspondence

## Rewriting $\mathcal{K}_n$ into $\mathcal{L}$ : Example

Consider the  $\mathcal{K}_2$  process

$$P_2 = (\nu a_1 a_2)(\nu b_1 b_2)(a_1(x). \bar{b}_1\langle x \rangle \mid \bar{a}_2\langle \mathbf{n} \rangle. b_2(z))$$

Omitting some details, the procedure rewrites  $P$  into either:

1.  $(\nu a)(\nu b)(a(x). \bar{b}(w).([w \leftrightarrow x] \mid \mathbf{0}) \mid \bar{a}\langle \mathbf{n} \rangle. \mathbf{0} \mid b(z). \mathbf{0})$
2.  $(\nu a)(\nu b)(a(x). \mathbf{0} \mid \bar{b}\langle x \rangle. \mathbf{0} \mid \bar{a}\langle v \rangle.([v \leftrightarrow \mathbf{n}] \mid b(z). \mathbf{0}))$

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# Concluding Remarks

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  - Session types based on linear logic [Caires, Pfenning et al; Wadler]
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## Future Work

- Processes with infinite behaviour
- Semantic characterizations of degree of sharing (e.g., preorders)
- Comparisons/integration with very recent work on static deadlock detection and resolution [Giunti, Francalanza & Ravara, WWV 2015]

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# Encodings of Processes and Types [DGS12]

**Processes.** The structure of a session-typed process is mimicked by sending its continuation as a payload over a channel. E.g.:

$$\begin{aligned} \llbracket \bar{x}\langle v \rangle.P \rrbracket_f &\triangleq (\nu c) \bar{f}_x \langle v, c \rangle. \llbracket P \rrbracket_{f, \{x \mapsto c\}} \\ \llbracket x(y).P \rrbracket_f &\triangleq f_x(y, c). \llbracket P \rrbracket_{f, \{x \mapsto c\}} \\ \llbracket (\nu xy)P \rrbracket_f &\triangleq (\nu c) \llbracket P \rrbracket_{f, \{x, y \mapsto c\}} \end{aligned}$$

**Types.**  $\bullet$  denotes  $\mathbf{1}$  or  $\perp$ . Let  $\llbracket \Gamma, x : T \rrbracket_f \triangleq \llbracket \Gamma \rrbracket_f, f_x : \llbracket T \rrbracket_{\text{su}}$  and

$$\begin{aligned} \llbracket \text{end} \rrbracket_{\text{su}} &= \emptyset[] & \llbracket \text{end} \rrbracket_{\text{c}} &= \bullet \\ \llbracket ?T.S \rrbracket_{\text{su}} &= ?_{\kappa}^{\circ}[\llbracket T \rrbracket_{\text{su}}, \llbracket S \rrbracket_{\text{su}}] & \llbracket ?T.S \rrbracket_{\text{c}} &= \llbracket T \rrbracket_{\text{c}} \wp \llbracket S \rrbracket_{\text{c}} \\ \llbracket !T.S \rrbracket_{\text{su}} &= !_{\kappa}^{\circ}[\llbracket T \rrbracket_{\text{su}}, \llbracket \bar{S} \rrbracket_{\text{su}}] & \llbracket !T.S \rrbracket_{\text{c}} &= \llbracket \bar{T} \rrbracket_{\text{c}} \otimes \llbracket S \rrbracket_{\text{c}} \end{aligned}$$

**Lemma.** Duality and encoding of session types

(i)  $\bar{T} = S$  iff  $\llbracket \bar{T} \rrbracket_{\text{c}} = \llbracket S \rrbracket_{\text{c}}$ ; (ii)  $\bar{T} = S$  iff  $\llbracket \bar{T} \rrbracket_{\text{su}} = \llbracket S \rrbracket_{\text{su}}$ .