

## Resectable pancreatic small cell carcinoma

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### Abstract

Primary pancreatic small cell carcinoma (SCC) is rare, with just over 30 cases reported in the literature. Only 7 of these patients underwent surgical resection with a median survival of 6 months. Prognosis of SCC is therefore considered to be poor, and the role of adjuvant therapy is uncertain. Here we report two institutions' experience with resectable pancreatic SCC. Six patients with pancreatic SCC treated at the Johns Hopkins Hospital (4 patients) and the Mayo Clinic (2 patients) were identified from prospectively collected pancreatic cancer databases and re-reviewed by pathology. All six patients underwent a pancreaticoduodenectomy. Clinicopathologic data were analyzed, and the literature on pancreatic SCC was reviewed. Median age at diagnosis was 50 years (range 27-60). All six tumors arose in the head of the pancreas. Median tumor size was 3 cm, and all cases had positive lymph nodes except for one patient who only had five nodes sampled. There were no perioperative deaths and three patients had at least one postoperative complication. All six patients received adjuvant therapy, five of whom were given combined modality treatment with radiation, cisplatin, and etoposide. Median survival was 20 months with a range of 9-173 months. The patient who lived for 9 months received chemotherapy only, while the patient who lived for 173 months was given

chemoradiation with cisplatin and etoposide and represents the longest reported survival time from pancreatic SCC to date. Pancreatic SCC is an extremely rare form of cancer with a poor prognosis. Patients in this surgical series showed favorable survival rates when compared to prior reports of both resected and unresectable SCC. Cisplatin and etoposide appears to be the preferred chemotherapy regimen, although its efficacy remains uncertain, as does the role of combined modality treatment with radiation.

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### Materials and Methods

This study was approved by the Institutional Review Boards of the Johns Hopkins Hospital, Baltimore and the Mayo Clinic, Rochester. At the Johns Hopkins Hospital, a prospectively collected pancreaticoduodenectomy database and the Department of Pathology patient database were queried for all surgical cases of pancreatic SCC. Between 1970 and 2007, of all patients undergoing a pancreaticoduodenectomy, four patients were identified with pancreatic SCC, representing 0.3% of all operable pancreatic cancer cases. At the Mayo Clinic, a prospectively collected database of all cases of pancreatic neoplasms was maintained from 1975 to 2005 through the Mayo Clinic Tumor Registry and updated through a retrospective chart review. There were 3,694 patients with ductal adenocarcinoma during this period, and 64 patients with other rare tumor types. Only two patients with resected pancreatic SCC were identified, representing 0.0005% of all pancreatic cancer cases seen at the Mayo Clinic. Baseline demographic, clinicopathologic, treatment, and long-term survival data were reviewed for each patient.

## Results

There were six patients identified with SCC of the pancreas. Three of the six patients were male, and three of the patients were known smokers. Median age at diagnosis was 50 years (range 27-60 years). The most common presenting symptoms were abdominal pain (67%) and jaundice (67%). None of the patients died perioperatively, although three patients suffered postoperative complications, including two patients with wound infections, one of whom also experienced delayed gastric emptying, and a third patient with an intra-abdominal abscess requiring percutaneous drainage. Overall, the median length of hospital stay was 12 days (range 10-23). These data are summarized in Table 1. All six carcinomas originated in the head of the pancreas. One of the carcinomas directly extended into the duodenum, and another involved the portal vein. Median tumor diameter was 3 cm (range 3-4.5 cm). Lymph node metastases were identified in five patients, while the sixth patient only had five lymph nodes sampled and therefore may have been understaged. This patient was also the only one of the series to have positive resection margins. Three patients had microscopic vascular or perineural invasion. The pathology data are presented in Table 2.

All six patients are deceased. Median survival was 20 months (range 9-173 months). All six patients received adjuvant therapy, although the precise postoperative regimen is known only for the single patient treated adjuvantly at the Johns Hopkins Hospital. As first-line therapy, this individual received combined modality chemoradiation (50 Gy/2 Gy fractions) with concurrent cisplatin and etoposide. This patient's cancer recurred in the liver seven months after surgery, and experimental palliative chemotherapy with a BCL-2 antagonist (obatoclax) and topotecan was given. Single-agent paclitaxel was used after the previous regimen was discontinued due to side effects, but disease progression continued in the liver, peritoneal cavity, and lungs. Of the remaining five patients, four received combined modality treatment with cisplatin, etoposide, and radiation therapy at other institutions. The remaining patient received neoad-

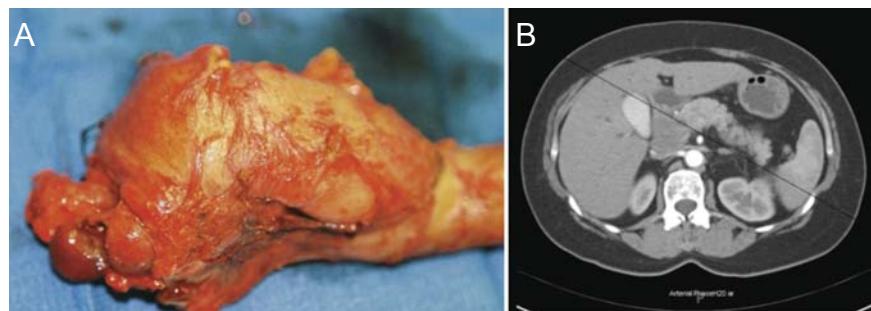
juvant chemotherapy with 5-fluorouracil, adriamycin, and mitomycin, after the cancer was initially deemed locally unresectable. While this patient was found to have positive resection margins, adjuvant radiation was not given, and it is unknown whether the patient received further adjuvant chemotherapy.

## Discussion

Pancreatic SCC is now widely accepted as a high grade neuroendocrine carcinoma.<sup>9</sup> Preoperatively, it is difficult to distinguish SCC from more common types of pancreatic cancers, because the lesions share similar clinical symptoms and features on imaging studies. Resectable pancreatic small cell carcinomas typically measure about 3 cm. On CT imaging, they are homogeneous, well-defined, hypotenuated with minimal enhancement after intravenous contrast injection, and may be hypo- or hyperechoic on ultrasound (Figure 1).<sup>10,11</sup>

Macroscopically, lesions appear grey-white in color and often contain areas of necrosis and hemorrhage.<sup>2</sup> Microscopically, pancreatic SCC is indistinguishable from SCLC, consisting of sheets of discohesive, pleomorphic cells with scant cytoplasm and high mitotic activity (>20 mitoses per 10 high power fields). Apoptotic bodies and necrotic foci are usually widespread. The nuclei have hyperchromatic, finely granular chromatin and either absent or small nucleoli (Figure 2).<sup>12</sup> Nuclear molding is often prominent.

Neuroendocrine differentiation is supported by the presence of cytoplasmic membrane-bound granules on electron microscopy although in modern practice this workup is unnecessary as the neoplastic cells are also usually reactive to antibodies specific for neuroendocrine differentiation, such as synaptophysin, chromogranin A, and CD57.<sup>2,12</sup> Occasionally, the neoplastic cells react with antibodies to neurofilaments.<sup>13</sup> Immunohistochemical labeling for neuron-specific enolase (NSE) has been used to characterize small cell



**Figure 1. (A) Small cell carcinoma of the pancreas, Gross. (B) Computed tomography scan, arterial phase.**

**Table 1. Postoperative data.**

Patient	Surgery year	Complication	Length of hospital stay (day)
1	1988	Wound infection	14
2	1989	None	NR
3	1991	None	NR
4	1996	None	10
5	2007	Abdominal abscess	10
6	2007	Delayed gastric emptying and wound infection	23

NR, no record.

**Table 2. Pathologic data.**

Patient	Tumor diameter (cm)	Node status	Local invasion	Positive margin	Vascular invasion	Perineural invasion
1	3	0/5	Portal vein	Yes	NR	NR
2	NR	NR	NR	No	No	No
3	NR	NR	NR	No	No	No
4	3	13/22	Common bile duct	No	Yes	No
5	3	10/23	Duodenal wall, bile duct	No	NR	Yes
6	4.5	2/17	None	No	Yes	Yes

NR, no record.

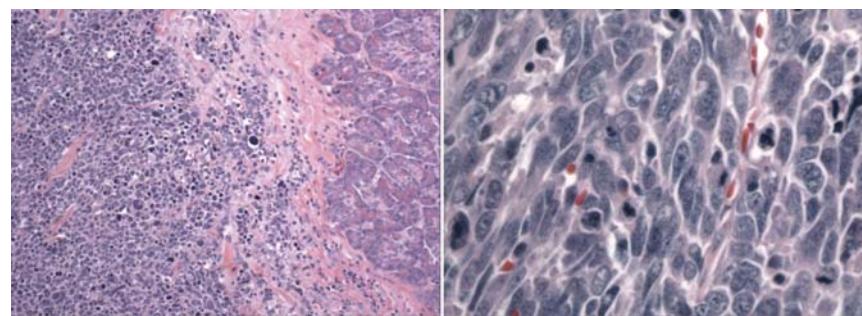
carcinomas. Despite its high sensitivity, NSE lacks specificity as a neuroendocrine marker.<sup>13,14</sup> In the practical work-up of SCC, immunohistochemistry is useful to discern SCC from high grade lymphoma; SCC labels with antibodies to high molecular weight cytokeratins but not with antibodies to leukocyte common antigen (CD45). Immunohistochemistry has not proven useful in distinguishing primary peri-ampullary SCC from metastatic SCLC.<sup>15</sup> Although a high percentage of lung primaries express thyroid transcription factor 1 (TTF-1), this marker has shown variable positivity in patients with extra-pulmonary small cell carcinoma.<sup>16-19</sup> Furthermore, the expression of TTF-1 has not been well explored in pancreatic SCC, with only one reported negative case in the literature to date.<sup>20</sup> Recent studies have demonstrated that serum pro-gastrin releasing peptide (ProGRP) and serum neuron specific enolase (NSE) levels can be elevated in patients with pancreatic SCC, similar to the clinical experience with lung primaries.<sup>21,22</sup> In fact, serum NSE levels were found to fluctuate with changes in disease burden in at least one patient with pancreatic SCC.<sup>22</sup>

Due to the rarity of pancreatic SCC, a pulmonary origin should be presumed in the setting of a suspicious pancreatic mass and a history of SCLC. Furthermore, any patient with a pancreatic mass that is suggestive of SCC on imaging or biopsy should be evaluated with a chest CT to rule out a lung primary. Somatostatin receptor scintigraphy (SRS) may also have a limited role in the workup of a patient with a pancreatic mass suggestive of SCC, although its value above a high quality CT scan is uncertain. The test has 90% sensitivity for detecting primary SCLC and has also been described for primary pancreatic SCC.<sup>23</sup>

In a 2004 comprehensive review of pancreatic SCC, Berkel *et al.* identified 23 cases in the literature, including one patient from the authors' own experience. Since then, nine additional cases of pancreatic SCC have been described,<sup>21,22,24-27</sup> which are listed in Table 3 in a format consistent with the summary table presented in the review by Berkel *et al.* Missing data prevented significant analysis for several of the patients in Berkel's review, but a recent publication by Vos *et al.* examined a subset of the aforementioned 32 cases, focusing on patients for which adequate information was available. In this series of 23 patients, the median age was 62 years (range 37-75 years), and there was a male predominance (74%). The median tumor size was 4.2 cm, and the head of the pancreas was involved in 59% of cases. At diagnosis, disease was confined to the pancreas in only two cases. Metastatic spread to the peripancreatic lymph nodes and the liver was noted in 62% and 38% of patients, respectively. Patients receiving either systemic or local therapy showed a significantly higher median survival compared to patients treated

with symptomatic management alone (6 vs. 1 month, P<0.0001). However, the authors found no significant difference in median survival between patients receiving chemotherapy alone and patients given local treatment in addition to chemotherapy, although it should be noted that the latter group contained only three patients. Overall, median survival was just 3 months (range 0.5-50 months).<sup>28</sup>

The majority of patients reported to date with pancreatic SCC did not have their tumors resected. Table 4 lists the seven previously reported patients who have had a pancreatic resection for SCC, along with the six patients from the present series.<sup>22,24,25,29-32</sup> Three patients received adjuvant chemotherapy with cisplatin and etoposide, two received adjuvant radiation, and two were treated with surgery alone.



**Figure 2. Small cell carcinoma of the pancreas, Microscopic.** At low magnification (100x, A), the diffuse architecture of the tumor on the left differs from the normal exocrine pancreas on the right. Mitotic figures and apoptotic bodies are abundant enough to be recognizable at this low magnification. Higher power views (400x, B) of the tumor cells demonstrate the disorganization, pleomorphism, high nuclear to cytoplasmic ratio, and finely granular cytoplasm. As in lower magnification fields, mitoses and apoptotic figures abound.

**Table 3. Recent reported cases of small cell carcinoma of the pancreas.**

Age/sex	Location	Treatment	Survival	Ref.
41/M	Tail	Surgery	56 months	(25)
58/M	Unknown	Cis/Et	Unknown	(27)
62/M	Head	Car/Et	9 months	(26)
62/F	Head	Cis/Et, Surgery	36 months	(24)
64/F	Body	Car/Et	56 months	(26)
68/M	Body	Cis/Et	4 months	(21)
69/F	Head	Cis/Et, Surgery	6 months	(22)
69/M	Head	Car/Et	14 months	(26)
69/M	Body	Car/Et	18 months	(26)

RT, external beam radiation; Car, carboplatin; Cis, cisplatin; Et, etoposide; 5FU, 5-fluorouracil.

**Table 4. Surgical cases of small cell carcinoma of the pancreas.**

Age/sex	Location	Adjuvant therapy	Survival	Ref.
37F	Head	IORT	6 months	(29)
40M	Tail	Cis/Et, Doxorubicin	NR	(30)
41/M	Tail	None	56 months	(25)
62/F	Head	Cis/Et	64 months	(24)
65F	NR	RT	1 month	(31)
69/F	Head	Cis/Et	6 months	(22)
71M	Body	None	6 months	(32)
<b>Present series</b>				
27/M	Head	RT, Cis/Et, 5FU, Intrathecal methotrexate	73 months	
47/F	Head	RT, Cis/Et	26 months	
48/M	Head	Neoadjuvant 5FU, mitomycin C, adriamycin	9 months	
51/M	Head	RT, Cis/Et	173 months	
53/F	Head	RT, Cis/Et	14 months	
60/F	Head	RT, Cis/Et, Ob/Top, Paclitaxel	13 months	

IORT, intraoperative radiation; RT, external beam radiation; Cis, cisplatin; Et, etoposide; 5FU, 5-fluorouracil; NR, no record.

No patient received combined adjuvant chemotherapy and radiation. The median survival was 6 months (range 1-56 months) for these patients. The present series of surgical patients almost doubles the reported experience of resected pancreatic SCC. Interestingly, all of the tumors originated in the head of the pancreas, supporting the observation that pancreatic SCC is more common in the head than in the body or tail.<sup>33</sup> Five patients in the present series received combined modality adjuvant therapy using cisplatin and etoposide, in conjunction with radiation. To our knowledge, this represents the first report of tri-modality therapy for pancreatic SCC.

Surgical management remains the standard treatment for well differentiated neuroendocrine tumors. However, the benefit of surgery for high grade neuroendocrine carcinomas has not been established. Certainly, the poor survival after surgery reported to date (6 months median survival) might lead one to question the utility of pancreatectomy for high-grade neuroendocrine carcinoma. However, in the present series, three of six patients survived more than 2 years, and two patients survived over five years. The patient who lived for 173 months represents the longest reported survival for pancreatic SCC to date. The median survival was 20 months, which is comparable to patients with resected ductal adenocarcinoma of the pancreas.(33-36) Despite the small patient size in our study, we believe that combined local and systemic treatments, such as surgery and adjuvant chemoradiotherapy, should be considered in resectable pancreatic small cell carcinoma.

## Conclusions

Pancreatic SCC is an extremely rare form of pancreatic cancer and occurs primarily in the head of the pancreas. Although these carcinomas are associated with an extremely poor prognosis, survival beyond a few years is possible, as was observed in three patients in the present surgical series and two previously reported cases of resectable disease. The regimen of cisplatin, etoposide, and radiation is generally the favored treatment for pancreatic SCC. Surgery and adjuvant chemoradiation should be considered in patients with resectable small cell carcinoma of the pancreas.

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