

Review

Stomaching the Possibility of a Pathogenic Role for *Helicobacter pylori* in Parkinson's Disease

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Abstract. While a small subset of Parkinson's disease cases have genetic causes, most cases are sporadic and may have an environmental contributor that has largely remained enigmatic. Remarkably, gastrointestinal symptoms in PD patients serve as a prodrome for the eventual motor dysfunctions. Herein, we review studies exploring a possible link between the gastric human pathogen *Helicobacter pylori* and PD. We provide plausible and testable hypotheses for how this organism might contribute to PD: 1) a toxin(s) produced by the bacteria; 2) disruption of the intestinal microbiome; 3) local inflammation that crosses the gut-brain axis, leading to neuroinflammation; and 4) manipulation of the pharmacokinetics of the PD drug levodopa by *H. pylori*, even in those not receiving exogenous levodopa. Key findings are: 1) people with PD are 1.5-3-fold more likely to be infected with *H. pylori* than people without PD; 2) *H. pylori*-infected PD patients display worse motor functions than *H. pylori*-negative PD patients; 3) eradication of *H. pylori* improves motor function in PD patients over PD patients whose *H. pylori* was not eradicated; and 4) eradication of *H. pylori* improves levodopa absorption in PD patients compared to of PD patients whose *H. pylori* was not eradicated. Evidence is accumulating that *H. pylori* has a link with PD, but the mechanism is unclear. Future work should explore the effects of *H. pylori* on development of PD in defined PD animal models, focusing on the roles of *H. pylori* toxins, inflammation, levodopa absorption, and microbiome dysbiosis.

Keywords: Parkinson's disease, *Helicobacter pylori*, inflammation, microbiome, levodopa

Parkinson's disease (PD) is the second most common neurodegenerative disease in the world and causes motor dysfunction symptoms such as tremor, posture instability, rigidity, and bradykinesia. This neurodegenerative disease is characterized by loss of dopaminergic neurons in the brain and accumulation of neuronal cytoplasmic inclusions, Lewy bodies, composed of aggregated alpha-synuclein. A pro-

drome of PD is pathophysiology of the gut manifested by GI symptoms such as dyspepsia, hypersalivation from decreased swallowing, constipation, defecatory dysfunction, fecal incontinence, nausea, and abdominal pain [1–4]. Of these, constipation and defecatory dysfunction precede motor manifestations in PD patients [3], suggesting that the disease may initiate in the gut and subsequently spreads to the brain along the brain-gut axis. This progression was recently documented in rats, where injection of alpha-synuclein fibrils into the gut of healthy rats triggered pathology within the vagus nerve and brainstem [1, 5]. The loss

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44 in PD of myenteric dopaminergic neurons with pres- 96
45 ence of GI alpha-synuclein deposits and Lewy bodies, 97
46 and the associated constipation and other gastroin- 98
47 testinal symptoms, suggest that the gastrointestinal 99
48 tract is a key anatomical focus in the development of 100
49 PD pathology [6, 7]. 101

50 While genetic factors clearly contribute to the 102
51 development of PD, much of the variance is likely 103
52 due to environmental factors that have remained enig- 104
53 matic. One intriguing environmental factor for PD 105
54 that has gained attention in recent years is the gas- 106
55 tric pathogen *Helicobacter pylori* [8–10]. *H. pylori* 107
56 chronically infects half the world's population, caus- 108
57 ing gastritis, ulcers, gastric adenocarcinoma and 109
58 MALT lymphoma, accompanied by an array of gas- 110
59 trointestinal symptoms. The abundance of *H. pylori* 111
60 in humans has prompted examination of the role of 112
61 this pathogen in extra-gastric diseases [10]. 113

62 Remarkably, the link between PD and ulcers pre- 114
63 dates the identification of *H. pylori* as the causative 115
64 agent of gastritis and ulcer formation [11]. Schwab 116
65 first described an increased occurrence of ulcers 117
66 in patients with PD and suggested that these ulcer 118
67 patients were more vulnerable to PD than ordinary 119
68 people of the same age [12]. Since 1922 vagotomy 120
69 surgeries were long of major importance in the treat- 121
70 ment of peptic ulcer disease prior to the discovery of 122
71 *H. pylori* and the advent of triple drug therapy for 123
72 *H. pylori* infection [13]. Intriguingly, removal of part 124
73 of the vagus nerve (truncal vagotomy) is also associ- 125
74 ated with a decreased risk for subsequent PD [13–15], 126
75 suggesting a link between PD and the gut along the 127
76 vagus nerve. A follow-up study demonstrated that a 128
77 group of 200 consecutive PD patients had 14% higher 129
78 incidence of ulcers (determined by surgery or X-ray) 130
79 than 200 age and sex-matched controls (4%), and 131
80 ulceration preceded parkinsonian symptoms by about 132
81 10 years [16]. 133

82 In the first epidemiologic study directly looking 134
83 for *H. pylori*, PD patients ($n=33$) had a three-fold 135
84 elevated risk of testing seropositive for *H. pylori* 136
85 versus controls ($n=78$) [17]. Intriguingly, the non- 137
86 PD siblings ($n=39$) of PD patients had elevated 138
87 risk for bradykinesia of gait and hands, abnormal 139
88 posture and other PD-like symptoms and also had 140
89 a 3-fold increased risk of *H. pylori* seropositivity 141
90 [17]. The data suggest that *H. pylori* transmission 142
91 within families can increase the risk of develop- 143
92 ing parkinsonian symptoms. Similarly, a larger study 144
93 ($n=315$) found a two-fold increased prevalence of *H.* 145
94 *pylori* seropositivity in PD patients [18]. An extensive 146
95 meta analysis involving 33,000 patients reported a 147

1.5-2-fold increased risk of developing PD if infected 96
with *H. pylori* [19]. A more recent retrospective study 97
involving 9,105 *H. pylori* infected and 9,105 matched 98
uninfected controls found a similar 2-3-fold increased 99
risk of PD in the *H. pylori* infected group [20]. 100
Thus, chronic *H. pylori* infection may predispose 101
people to idiopathic PD [18, 21–23]. The prevalence 102
of *H. pylori* infection in PD patients is 32–70% in 103
different studies involving ~50–100 patients [24]. 104
While there are clearly PD patients lacking *H. pylori* 105
infection, it appears *H. pylori* may exacerbate PD 106
symptoms and act as a potentiator of the disease. 107

108 The widely used PD drug levodopa (L-dopa) is 109
converted to the neurotransmitter dopamine by dopa 110
decarboxylase, thereby elevating dopamine levels in 111
the brain. Dopamine has gastroprotective effects in 112
humans and in animal models, protecting against 113
ulcer formation [25–27]. Interestingly, *H. pylori* pos- 114
itive patients ($n=53$) showed poorer motor responses 115
to levodopa treatment than *H. pylori* negative patients 116
($n=48$), as assessed by the Unified Parkinson's Dis- 117
ease Rating Scale scores (UPDRS-III) [28, 29]. 118
Moreover, *H. pylori* eradication elevates levodopa in 119
plasma of PD patients [30]. It was observed that *H.* 120
pylori adhesins directly bind to levodopa, sequestering 121
it away from human brain absorption, thereby 122
reducing effectiveness of levodopa treatment for PD 123
[31–34]. Remarkably, *H. pylori* uses levodopa *in vitro* 124
as a growth supplement in an iron-restricted medium 125
[34], suggesting *H. pylori* converts this phenylalanine/tyrosine 126
derivative into a functional amino acid 127
for its metabolism. Thus, the pharmacokinetics of 128
levodopa may be altered by *H. pylori*, though the 129
mechanism is not well understood [35]. 130

131 PD patients ($n=33$) that are already infected with 132
H. pylori have worse motor functions than *H. pylori* 133
negative PD patients ($n=69$) [36]. In several clinical 134
studies, eradication of *H. pylori* with triple drug ther- 135
apy improved motor responses in PD patients [28, 136
29, 33, 37–41]. For example, Dobbs, et al., found 137
that stride increased in PD patients eradicated for *H.* 138
pylori over that of PD patients given placebo, and 139
in routine practice [38, 39]. In double-blind trials of 140
biopsy-proven *H. pylori* eradication [39], improved 141
hypokinesia was independent of any (stable, long 142
 $t_{1/2}$) anti-parkinsonian medication, and receipt of lev- 143
odopa was an exclusion. Antimicrobial treatment to 144
eliminate *H. pylori* infection has also been shown to 145
improve L-dopa absorption in the gut [29, 30, 33, 146
37, 40, 41]. For example, Pierantozzi, et al., found 147
that *H. pylori* eradicated PD patients ($n=17$) showed 148
a significant increase in gut L-dopa absorption, in 149

148 contrast with PD patients ($n=17$) given placebo, 200
149 which had worsening gastritis scores and impaired 201
150 L-dopa absorption [33]. When antimicrobials for 202
151 non-*H. pylori* indications ($n=75$ courses) were given, 203
152 PD patients failed to show motor improvements [38]. 204
153 A large Danish study also found *H. pylori* eradication 205
154 therapy altered PD risk but gastritis and peptic 206
155 ulcers were not associated with PD [23]. In contrast 207
156 with this study, a large Taiwanese study (9,105 *H.* 208
157 *pylori* infected and 9,105 uninfected controls) found 209
158 that eradication therapy for *H. pylori* did not reduce 210
159 the risk of PD, despite the presence of *H. pylori* itself 211
160 being found as a risk factor for PD [20]. Clearly, more 212
161 epidemiology studies are warranted to unravel these 213
162 discrepancies. 214

163 The mechanism of the association between 215
164 *H. pylori* and PD is not well understood but is 216
165 likely multifactorial. First, *H. pylori* may produce 217
166 bacterial factors that are CNS toxic. For example, 218
167 Altschuler speculated that *H. pylori* could be 219
168 synthesizing a PD-inducing toxin, akin to methyl- 220
169 4-phenyl-1,2,3,6-tetrahydropyridine (MPTP) [8], a 221
170 compound long used to induce PD in animal models. 222
171 *H. pylori* is known to produce several toxins, such 223
172 as the *vacuolating* cytotoxin, VacA and *cytotoxin-* 224
173 *associated gene* encoding CagA. Indeed, Weller et al. 225
174 found that the predicted probability of being labeled 226
175 parkinsonian is associated with CagA immunoblot 227
176 seropositivity [21]. Additionally, a 58 kDa *H. pylori* 228
177 antigen is detected in cerebrospinal fluid of patients 229
178 with meningitis, indicating that this antigen can cross 230
179 the blood-brain barrier (BBB) [42]. Additionally, 231
180 *H. pylori* glycosylation of host cholesterol could be 232
181 toxic [43]. These cholesteryl glucosides bear striking 233
182 resemblance to the cycad toxin from the seeds of 234
183 plants from the genus *Cycas* that cause a PD-like disease 235
184 in rats [44, 45]. At least three distinct cholesteryl 236
185 glucosides [46] are generated by the *H. pylori* enzyme 237
186 cholesteryl glycosyltransferase (Cgt) encoded by the 238
187 *cgt* gene; *cgt* mutants lack all three CGs [43] but still 239
188 can take up host cholesterol. *H. pylori* grown in the 240
189 absence of cholesterol completely fail to colonize gerbils, 241
190 emphasizing the importance of cholesterol for 242
191 *H. pylori in vivo* [47]. These cholesteryl glucosides 243
192 can be potentially detected by cholesterol re-uptake 244
193 receptors in the intestine, where they can ultimately 245
194 cross the BBB and cause direct neurotoxicity [48]. 246
195 Indeed, similar compounds can trigger apoptosis of 247
196 neurons in a dose and time-dependent fashion [49], 248
197 damage mitochondria by adversely affecting reactive 249
198 oxygen species levels and respiration [50], and mediate 250
199 neuropathology in mice [51]. Thus, cholesteryl 251

200 glucosides may directly act as neurotoxins in PD. *H.* 201
202 *pylori* conversion of cholesterol to cholesteryl glu- 203
204 cosides, coupled with its ability to steal cholesterol 204
205 from host cells should be explored further as a poten- 205
206 tial contributor to toxicity of PD. *H. pylori* infection 206
207 could also trigger aggregation of pathological alpha- 207
208 synuclein in the gastric nerve endings, leading to 208
209 centripetal spreading of Lewy pathology from the gut 209
210 to the brain (dual hit hypothesis) [52]. None of the 210
211 *H. pylori* putative toxins have been directly assessed 211
212 for contribution to PD pathology or alpha-synuclein 212
213 aggregation. 213

214 The second possibility is that *H. pylori* triggers 214
215 a massive inflammatory response in the stomach 215
216 and this response may become systemic and cross 216
217 the BBB, leading to exacerbation of PD symptoms 217
218 and pathology [53]. The immune response to *H.* 218
219 *pylori* antigens that molecularly mimic those found 219
220 on host cells could lead to autoantibody responses 220
221 [53, 54]. For example, *H. pylori* lipopolysaccharide 221
222 contains fucosylated Lewis antigens that molecularly 222
223 mimic human cell surface glycoconjugates, and these 223
224 bacterial antigen levels change in response to cul- 224
225 turing the organism in cholesterol [47]. Indeed, a 225
226 recent study demonstrated that *H. pylori* positive PD 226
227 patients ($n=30$) contained 13 autoantibodies (eight 227
228 up-regulated, five down-regulated) lacking in age 228
229 and gender-matched *H. pylori* negative PD controls 229
230 ($n=30$) [55]. *H. pylori* chronic infection thus may 230
231 lead to bacterial antigens that trigger an autoimmune 231
232 response that ultimately signals to the brain immune 232
233 system; alternatively, antigens may gain direct access 233
234 to the brain, causing direct neuronal damage [6]. 234

235 First reported by James Parkinson in the early 235
236 nineteenth century, post-mortem and neuroimaging 236
237 studies have collectively suggested that chronic 237
238 neuroinflammation characterized by microglial activa- 238
239 tion and pro-inflammatory mediators (cytokines 239
240 and chemokines) is associated with the pathophysiology 240
241 of PD [56]. Furthermore, microglial activation is 241
242 always tightly related to alpha-synuclein pathology 242
243 [57, 58], even in the absence of neurodegeneration. 243
244 In multiple genetic animal models of PD, early 244
245 microglial activation has been consistently observed, 245
246 accompanied by progression of neuronal dysfunction 246
247 and non-motor symptoms, and always precedes 247
248 motor deficits and nigra dopaminergic neuron degen- 248
249 eration [59–61]. It has been proposed that systemic 249
250 inflammation can cause the activation of the local 250
251 neuroimmune system in the diseased brain. However, 251
its impact on disease progression remains controver-
sial. Recently, there is accumulating evidence that

252 BBB dysfunction is involved in the course of PD [62,
253 63]. During systemic inflammation, inflammatory
254 cytokines and chemokines are quickly secreted from
255 blood monocytes and macrophages. These proinflam-
256 matory cytokines and neurotoxic substances could
257 enter the brain and stimulate microglia to initiate neu-
258 roinflammatory reactions. Therefore, it is possible
259 that *H. pylori* chronic infection may lead to a “leaky”
260 BBB that stimulates microglia activation, contribut-
261 ing to PD disease progression.

262 The third possibility is that *H. pylori* occasion-
263 ally can reside intracellularly [64] and may hitch a
264 ride inside monocytes or other cell types that cross
265 the BBB, directly seeding the bacteria into the brain.
266 However, thus far, no evidence exists that *H. pylori*
267 is found in the brain, as the bacteria rarely are found
268 in the bloodstream, and only scant evidence links the
269 bacterium with meningitis [42].

270 The fourth possibility is that *H. pylori* infection
271 causes dysregulation of the gut microbiota [65],
272 thereby changing the microbiome signature, which in
273 turn affects the gut metabolism, neuroendocrinology,
274 and immune responses [1, 53]. This dysregulated gut
275 microbiome may lead to altered inflammatory media-
276 tors that predispose to PD as part of the brain-gut axis
277 interactions [1, 21, 22, 53, 66]. This dysregulation
278 could occur through over-stimulation of innate immu-
279 nity from gut microbe dysbiosis, changes in blood
280 leukocyte subtype counts, or systemic inflammation
281 mediated by small intestinal bacterial overgrowth,
282 or activation of enteric neurons that contribute to
283 alpha-synuclein aggregation and misfolding [1, 53,
284 67]. For example, brady/hypokinesia and flexor rigid-
285 ity are worse the higher the natural killer (NK)
286 cell count. Increased brady/hypokinesia was noted
287 with *Helicobacter*-positivity, over and above that
288 explained by NK count; tremor is worse with lower
289 neutrophil counts [67].

290 The gut microbiota are known to affect BBB
291 permeability [68]. A progressive mouse model of
292 PD over-expressing full-length, human wild-type
293 alpha-synuclein under the Thy-1 promoter [69]
294 demonstrated that gut microbiota are required for
295 alpha-synuclein pathology, GI pathology and motor
296 dysfunction, while antibiotic treatment reversed these
297 deficits [70]. Moreover, transplantation of gut flora
298 from PD humans into mice enhances motor deficits
299 not observed when gut flora from healthy controls
300 is transplanted [70]. Others have also suggested gut
301 microbiota dysfunctions in PD patients and a role of
302 the gut microbiota in the brain-gut axis [4, 71–75], but
303 the various studies do not reach a common consensus

304 on what constitutes the PD gut microbiota signature.
305 Many variables, such as diet, smoking, race, gender,
306 and age influence the gut microbiome, complicating
307 interpretation of the data. Additionally, specific gut
308 species other than *H. pylori* have yet to be rigorously
309 assessed for association with PD.

310 In a large metagenomic study involving 72
311 PD patients and 72 healthy controls, clear dif-
312 ferences in gut microbiota were identified and
313 associated with PD patients [76]. Indeed Dobbs, et
314 al., found hydrogen-breath-test-positivity in PD to
315 be inversely associated with *Helicobacter* positiv-
316 ity, and have reported incident overgrowth following
317 *H. pylori* eradication [39, 67]. Additionally, the gut
318 metabolome in PD patients, featuring changes in
319 metabolites such as short-chain fatty acids, folate and
320 vitamin B12, may alter the gut inflammatory milieu
321 in favor of PD [53]. Taken together, strong data are
322 emerging that the wrong gut microbiota can con-
323 tribute to neurological disorders such as PD; *H. pylori*
324 may be a major contributor of gut dysbiosis, but more
325 evidence is needed.

326 CONCLUSION

327 Evidence for a strong association among *H. pylori*
328 chronic infection, peptic ulceration and exacerba-
329 tion of PD symptoms is accumulating. However, the
330 hypotheses that *H. pylori* infection is a predispos-
331 ing factor, disease progression modifier, or even a
332 direct cause of PD remain largely unexplored. The
333 key double-blind study of *H. pylori* eradication [39]
334 does provide Grade 1b evidence of a causative link.
335 Additional experimentation is clearly warranted to
336 address these possibilities. *H. pylori* is a highly vari-
337 able organism with numerous genotypic differences,
338 and it is likely that only certain genotypes are associ-
339 ated with PD in humans, but this area remains almost
340 entirely unexplored. Key experiments need to be con-
341 ducted to examine: 1) the interaction of *H. pylori*
342 with human neurons; 2) the role of *H. pylori* tox-
343 ins such as VacA, CagA and cholesteryl glucosides
344 in PD readouts in mice and human neurons; 3) the
345 mechanisms underlying the interaction of *H. pylori*
346 with L-dopa; 4) how the gut and systemic inflam-
347 matory responses to *H. pylori* infection contribute to
348 PD in neurons and mouse models; 5) how *H. pylori*
349 affects motor functions in established mouse models
350 of PD; 6) the role of *H. pylori* in altering the gut micro-
351 biota towards a PD-like microbiota; and 7) the role
352 of *H. pylori* in human or mouse parkinsonian motor

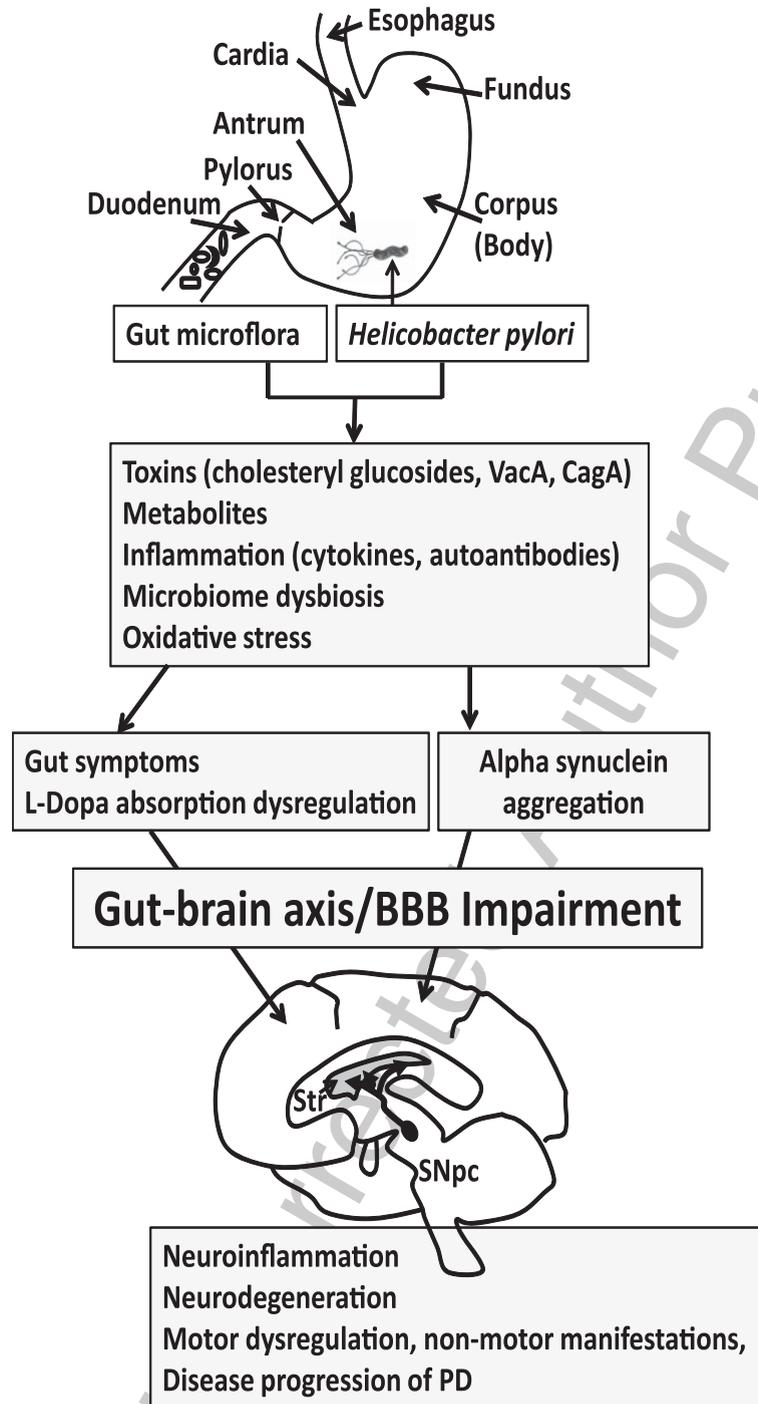


Fig. 1. Progression of Parkinson's disease (PD). Gut microflora and *Helicobacter pylori* may produce toxins and metabolites and trigger an immune response that features cytokines and autoantibodies. The gut microbiome may become dysregulated. Reactive oxygen species may also contribute to the pathogenesis of PD. Collectively this may lead to GI symptoms and altered L-dopa absorption in PD patients taking L-dopa medication, reducing effectiveness of the medication. The GI symptoms and inflammatory process may become systemic to compromise the BBB, eliciting neuroinflammation in the brain. Alpha synuclein aggregation, which is observed both in the gut and brain, leads to the spreading of neuropathology. The loss of dopaminergic neurons in the substantia nigra pars compacta (SNpc) manifests as motor symptoms observed in PD patients. Thus, eradication of *H. pylori* or return of the gut microflora to the proper balance may ameliorate gut symptoms, L-dopa absorption and motor functions in PD patients, which could delay PD disease progression. Str, Striatum.

functions, L-dopa absorption and reversal upon *H. pylori* eradication.

The gut is being increasingly considered as a critical focal point in the pathology of PD. This gut pathology may be multifactorial, involving *H. pylori*, intestinal microflora, inflammation, misfolding of alpha-synuclein in the gut and brain, cholesterol and other metabolites, and potential neurotoxins from bacteria or dietary sources (Fig. 1). Eradication of *H. pylori* or return of the gut microflora to the proper balance in PD patients may ameliorate gut symptoms, L-dopa absorption and motor functions.

CONFLICT OF INTEREST

The authors have no conflict of interest to report.

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