Neuronal Responses in Visual Area V2 (V2) of Macaque Monkeys with Strabismic Amblyopia

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Amblyopia, a developmental disorder of spatial vision, is thought to result from a cascade of cortical deficits over several processing stages beginning at the primary visual cortex (V1). However, beyond V1, little is known about how cortical development limits the visual performance of amblyopic primates. We quantitatively analyzed the monocular and binocular responses of V1 and V2 neurons in a group of strabismic monkeys exhibiting varying depths of amblyopia. Unlike in V1, the relative effectiveness of the affected eye to drive V2 neurons was drastically reduced in the amblyopic monkeys. The spatial resolution and the orientation bias of V2, but not V1, neurons were subnormal for the affected eyes. Binocular suppression was robust in both cortical areas, and the magnitude of suppression in individual monkeys was correlated with the depth of their amblyopia. These results suggest that the reduced functional connections beyond V1 and the subnormal spatial filter properties of V2 neurons might have substantially limited the sensitivity of the amblyopic eyes and that interocular suppression was likely to have played a key role in the observed alterations of V2 responses and the emergence of amblyopia.

Keywords: amblyopia, macaque monkeys, strabismus, V2

Introduction

Experiencing strabismus early in life, and hence, the chronic interocular decorrelation of cortical input signals, leads to compromised binocular vision and often, amblyopia. Amblyopic primates typically exhibit reductions in spatial contrast sensitivity and optotype acuity in the affected eye (Hess and Howell 1977; Levi and Harwerth 1977; Kiorpes et al. 1998). Amblyopic subjects are also known to exhibit difficulties in global perceptual tasks that require precise pooling of neighboring, local feature information (Hess et al. 1999; Kovacs et al. 1999; Chanda et al. 2001; Kozma and Kiorpes 2003; Norcia et al. 2005; Levi 2008). Fixation instability (Zhang et al. 2008) and anomalous eye movements under monocular viewing conditions are also common among strabismic and/or amblyopic primates (Das et al. 2005; Das and Mustari 2007; Zhang et al. 2008).

The neural basis of "reduced" vision in amblyopic primates is not well understood. Reductions in spatial resolution and contrast sensitivity of V1 neurons and/or an impoverished sampling by V1 are typically invoked to explain "low-level perceptual deficits" (see reviews by Kiorpes and Movshon 2003; Chino et al. 2004; Anderson and Swettenham 2006; Daw 2006; Kiorpes 2006; Levi 2006). However, the previous study in V1 of monkeys exhibiting strabismic amblyopia reported that even in severely amblyopic monkeys, a relatively small proportion of V1 neurons exhibit reductions in contrast sensitivity at high spatial frequencies and/or slightly lower spatial resolutions, and the magnitude of these V1 anomalies is too small to account for the severity of perceptual losses for the same subjects (Kiorpes et al. 1998; Kiorpes and Movshon 2003).

An emerging view of the neural basis of strabismic amblyopia is that the major cortical alterations that limit a wide range of visual performances are likely to occur beyond V1 (Kiorpes and Movshon 2003; Chino et al. 2004; Kiorpes 2006; Levi 2006). However, little is known about the receptive-field properties of extrastriate neurons in amblyopic monkeys except for the recent study in area MT of amblyopic monkeys where abnormal visual motion processing was reported (El-Shamayleh et al. 2010). Moreover, the neural basis of spatial vision deficits in amblyopic monkeys has not been explored in extrastriate visual areas.

Area V2 in normal adult monkeys directly receives a substantial proportion of V1 outputs (e.g., Van Essen et al. 1986; Sincich and Horton 2002, 2003; Sincich et al. 2010) and V2 neurons often exhibit more "complex" spatial visual processing than V1 units (Hegde and Van Essen 2000; Ito and Komatsu 2004; Anzai et al. 2007; Dillenburger and Roe 2010; Willmore et al. 2010). Considerable information is available in the literature on the postnatal development of the receptive-field properties of V2 neurons in normal infant monkeys (Zhang et al. 2005a, 2005b; Zheng et al. 2007; Maruko et al. 2008). However, there is no published study on how early experimental strabismus alters the functional development of V2 neurons in nonhuman primates. We therefore quantitatively analyzed both the monocular spatial receptive-field properties and the binocular interaction properties of V2 neurons in monkeys exhibiting strabismic amblyopia and compared the results to those obtained from V1 neurons in the same animals. Here we report that the neuronal circuits responsible for the spatial receptive-field properties of V2, but not V1, neurons were subnormal, and the functional connections from V1 to V2 were severely reduced for the amblyopic eye. These V2 deficits in individual monkeys were correlated with the high prevalence of binocular suppression in both cortical areas and with the depth of amblyopia.

Materials and Methods

All experimental and animal care procedures were in compliance with the Guiding Principles for Research Involving Animals and were approved by the Institutional Animal Care and Use Committee of the University of Houston.

Subjects

The subjects were 12 infant rhesus monkeys (Macaca mulatta) obtained from the University of Texas, Animal Resources Center. Three...
monkeys were used for normally reared controls and 9 monkeys received surgical procedures to create esotropia at 3 weeks (n = 3), 6 weeks (n = 3), and 6 months (n = 3) of age. The procedures for creating strabismus (esotropia) by surgical means have been described in detail elsewhere (Crawford and Harwerth 2004). Briefly, experimental strabismus was created in 9 infant monkeys by surgically shortening the medial rectus muscle and transecting the lateral rectus muscle of the right eye under Ketamine HCl (30 mg/kg)/acepromazine maleate (0.15–0.2 mg/kg) anesthesia. Although we did not make any quantitative assessment of comitance, the surgical method is known to produce incomitant deviations (i.e., the size of deviations varies with direction of gaze). However, none of our experimental monkeys adopted a head posture by which they could reduce the size of the deviations. Strabismic and normal infant monkeys were reared in our animal facility under a 12-h light/dark cycle. Around 18–24 months of age, behavioral testing was conducted to measure monocular capacities (and other visual functions that are not related to this study). Measurement of spatial contrast sensitivity functions revealed a wide range of contrast sensitivity loss in the operated monkeys (Fig. 1). Upon the completion of behavioral testing around 4 years of age, the microelectrode recording experiments were conducted in V1 and V2 of each monkey.

**Measurements of Ocular Alignment**

The monkey's interocular alignments were measured using infrared photoretinoscopy (Schaeffel et al. 1987; Hung et al. 1995), which recorded the position of the first Purkinje image relative to the center of the pupil (Hirshberg corneal reflex test, Quick and Boothe 1989). A high-output IR LED was positioned at 82 cm in front of the monkey's eyes, and pictures of the reflected light were obtained continuously using a video camera. Interocular alignment was assessed by averaging the results from at least 3 frames for a given measurement. The alignment was assessed several times before and immediately after strabismus surgery and at relatively regular intervals (e.g., roughly once/week) until about 7–10 months of age, that is, during the most sensitive segment of the "critical period for binocular vision development" (Harwerth et al. 1986; Kiorpes and Movshon 2003; Chino et al. 2004). We made adjustments in the calculations by taking the continuous changes in the anterior chamber depth and corneal curvature during the early development (Qiao-Grinder 2009), with the following equation: deviation angle = arcsin (p/d), where p is corneal displacement in mm and d is the difference between corneal radius and the anterior chamber depth at a given age. Thus, 1-mm deviation at 3 weeks of age corresponds to 14.9 degrees deviation, whereas 1-mm deviation equals 17.5 degrees at 6 months of age. These values were generally similar to those in adults reported by Quick and Boothe (1989).

**Behavioral Testing**

Spatial contrast sensitivity functions were obtained separately for each eye when the monkeys were at least 18 months of age using operant procedures described previously (Harwerth et al. 1980; Smith et al. 1985; Wensveen et al. 2006). Briefly, the monkeys were placed in a primate chair inside a dark sound-attenuating chamber. The primate

![Figure 1](http://cercor.oxfordjournals.org/)

**Figure 1.** Spatial contrast sensitivity functions of 3 normal (top row) and experimental monkeys with the onset age of 3 weeks (second row), 6 weeks (third row) and 6 months (bottom row). For the monkey (MK-292) that exhibited "bilateral amblyopia," AI values are shown for an interocular comparison and the comparison between the operated eye (filled symbols) and the average function of the 3 normal monkeys (dotted line).
A typical penetration was made at an angle of approximately 30 degrees along the lunate sulcus within the region representing the central 6 degrees. We studied the total of 376 V1 and 399 V2 neurons from 9 ambylopic monkeys and 139 V1 and 185 V2 neurons in 3 normal control monkeys. The great majority of the sampled cells had their receptive fields within 3 degrees from the center of the projected fovea. More specifically, 66% of V1 and V2 units from the ambylopic monkeys had their receptive fields within 3 degrees of the projected fovea compared with 62% in normal control monkeys. Between 3 and 6 degrees, we found the receptive fields of 25% of the units from the ambylopic monkeys and 52% of the units from normal monkeys. Only 9% of the units in the ambylopic monkeys and 6% in normal monkeys had their receptive fields beyond 6 degrees. The locations of receptive fields of V2 neurons were very similar to those for V1. Each penetration began posterior to the lunate sulcus (V1). We evenly sampled through V1 at approximately 50-μm steps for a distance of 2.0-2.5 mm until the electrode entered the white matter. Electrode advancement continued through a gap of several hundred microns until the tip reached layer 6 of V2. The electrode then traversed all layers of V2 until it came out of the surface of V2.

Tungsten-in-glass microelectrodes were used to record single-unit activity or multiunit activity from which responses from single cortical neurons were isolated by using spike-sorting software. Recorded and amplified action potentials from a single cell at each site were digitized at 25 KHz and stored to disk on a computer running Tucker-Davis Technologies data acquisition components on our Visual Experiment Recording and Stimulation workstation. Drifting gratings were displayed on a monochrome monitor with ultra- short persistence (frame rate = 140 Hz; 800 x 600 pixels, screen size = 20° x 15° at 114 cm, or 40° x 30° at 57 cm, and mean luminance = 50 cd/m²), and neuronal responses were sampled at a rate of 140 Hz (7.14 ms bin widths) and compiled into peristimulus time histograms (PSTHs) that were equal in duration to, and synchronized with, the temporal cycle of the grating. For sine-wave gratings, the amplitude and phase of the temporal response components in the PSTHs were determined by Fourier analysis. In all experiments, the stimuli (sine-wave gratings, 40% contrast unless specified otherwise) were presented multiple times in a randomly ordered sequence for typically 1.6 s. One or 2 blank stimuli (i.e., zero contrast control) were included in each repeat of the re-randomized sequence to provide a measure of a neuron's maintained firing rate.

Data Analysis
For each isolated neuron, the receptive fields for both eyes were mapped on the tangent screen and its ocular dominance was initially determined using handheld stimuli. The mapped receptive fields were projected on the monitor screen by using a pair of gimbaled mirrors, and the responses of each neuron to drifting sine-wave gratings (TF = 3.0 Hz) were measured to characterize the monocular and binocular receptive-field properties. The typical size of the gratings was 4 degrees in diameter and therefore stimulated both the receptive-field (RF) center and surround of all V1 and V2 units. For the measurement of monocular properties for each eye, the stimuli were presented randomly to either the left or the right eye for a given presentation. The orientation tuning functions were obtained using the qualitatively determined optimal spatial frequency for each cell. This was followed by acquisition of the spatial frequency tuning functions at the cell's preferred orientation and the preferred direction of drift. Following the determination of the monocular properties, the neuron's binocular interaction properties were measured with a pair of dichoptic gratings using the cell's optimal monocular parameters.

Orientation Tuning
The optimal orientation and orientation bandwidth for each receptive field were determined by fitting the orientation tuning functions with wrapped Gaussian functions (Swindale 1998):

\[ G(\theta) = m_1 \sum_{m_2} \exp \left( -\left( -m_2 + 180\theta \right)^2 / (2m_1^2) \right), \]

where \( \theta \) = orientation, \( m_1 \) = response amplitude, \( m_2 \) = preferred orientation, and \( m_3 \) = SD of the Gaussian function.
Orientation Bias
Orientation bias was calculated by using the vector summation methods (Levick and Thibos 1982; Smith et al. 1990). Briefly, the response of a given cell to a given orientation is expressed as the following complex number:

\[ R = r \exp(j20). \]

The response amplitude for a grating of orientation \( \theta \) is described by a vector with a length of \( r \) at an angle coordinate of 20, where \( j \) is the square root of -1. The orientation bias is expressed as the mean response vector for a series of equally spaced stimulus orientations: \( R_{\text{mean}} = \Sigma R / N \), where \( N \) = number of orientations. The mean response vector was then normalized with respect to the average amplitude of the vectors for all orientations, that is, \( \Sigma r / N \). A normalized phasor for all stimulus orientations was computed by the following formula:

\[ B = b \exp(j20_p) = \Sigma R / \Sigma r, \]

where \( \Sigma R \) is the vector sum for all 12 orientations and \( \Sigma r \) is the scalar sum of the amplitudes of all the response vectors. The normalized phasor \( b \) represents orientation bias, which varied between 0 (no orientation bias) and 1.0 (responsive to only one orientation). The term 20_p signifies the angular coordinates of the resultant vector and the angle 0_p is the preferred stimulus orientation of the unit. It is important to emphasize that the above normalization procedure minimizes the sensitivity of the measure to the responsiveness of the cell (Thibos and Levick 1985).

Spatial Frequency Tuning
To determine each cell’s optimal spatial frequency and spatial resolution, the spatial frequency response data were fitted with Gaussian functions (DeAngelis et al. 1993):

\[ G(m_o) = m_1 \exp[-(m_o - m_2)^2 / (2m_3)^2], \]

where \( m_o = \) spatial frequency, \( m_1 = \) response amplitude, \( m_2 = \) optimal spatial frequency, and \( m_3 = \) SD of the Gaussian function. The spatial resolution of each unit was determined by locating the highest spatial frequency that evoked responses significantly higher than the average spontaneous firing of the unit (i.e., >2 SDs).

Ocular Dominance
The “ocular dominance index” (ODI) of a neuron was quantitatively determined from the spatial frequency tuning functions using the following formula (Chino et al. 1997; Smith et al. 1997): \( \text{ODI} = (R_l - \text{noise}) / (R_r - \text{noise}) + (R_l - \text{noise}) \), where \( R_l \) is the peak response amplitude for left eye stimulation, \( R_r \) is the peak response amplitude for right eye stimulation, and "noise" is the spontaneous maintained activity. ODI values range from 0.0 (right eye response alone) to 1.0 (left eye response alone) with 0.5 indicating perfect binocular balance. An ocular imbalance index (OII) was quantified for all units using the formula \( OII = 2 \times (\text{ODI} - 0.5) / (\text{ODI} - 0.5) \) (DeAngelis and Newsome 1999). The OII value ranges from 0.0 (no imbalance) to 1.0 (complete monocular dominance) and shows the difference in relative strength of the 2 eyes in driving a unit. Since the OII value does not indicate which eye is dominant, each unit was assigned according to ODI value to be dominated by the amblyopic (right in normal monkeys) eye (ODI < 0.5) or the fellow (left) eye group (ODI > 0.5). Then all OII values of units dominated by each eye were summed. The relative ratio (log2) of the summed OII value for units dominated by the nonamblyopic eye over that dominated by the amblyopic eye was defined as the relative ocular dominance index (RODI).

Binocular Interactions
To determine the strength and the nature of binocular interactions, responses were collected for dichoptic sine-wave gratings of the optimal spatial frequency, orientation and direction of drift as a function of the relative interocular spatial phase disparity of the grating pair. The sensitivity to relative interocular spatial phase disparities was quantified using a “binocular interaction index” that was calculated from the sine function fit to the binocular phase tuning data (BII = amplitude of the fitted sine wave/the average binocular response amplitude) (Ohzawa and Freeman 1986; Smith et al. 1997). To characterize whether binocular signal interactions were facilitatory or suppressive in nature, the “peak binocular response amplitude/dominant monocular response amplitude” ratios (Peak B/M) were calculated for each unit and expressed in terms of relative strength (dB), that is, 10 log Peak B/M. Negative peak B/M values signify binocular suppression, and positive values indicate binoculur facilitation.

Histology
At the end of each penetration, small electrolytic lesions (5 μA, 5 s, electrode negative) were made at 3 points along the track for later reconstruction. Experiments were terminated by administering an overdose of sodium pentobarbital (100 mg/kg) and the animals were euthanized by perfusion through the heart with an aldehyde fixative. Frozen sections were stained for Nissl substance and cytochrome oxidase (CO). The sections were used to identify the recording site for each unit in order to determine laminar variations of responses and to confirm that we recorded from comparable sites in different animals. The examination of electrode tracks indicated that our sampling in each animal was even and very similar for all monkeys.

Results
Physiological Optics, Onset Age, and Amblyopia
Contrast Sensitivity Functions
All 9 strabismic monkeys exhibited amblyopia. The spatial contrast sensitivity functions of these experimental monkeys and 3 normal monkeys are illustrated in Figure 1. The depth of amblyopia (AI) is shown for each monkey in the upper right corner. The contrast sensitivity loss in the affected eye varied considerably between the experimental monkeys and was apparent either for all spatial frequencies (e.g., MK-294) or for mid- to high spatial frequencies (e.g., MK-287). Except for one monkey (MK-292) where the peak contrast sensitivity was only 25 for the fellow nonoperated eye, the contrast sensitivity of the fellow eyes in all other experimental monkeys was within the known range for normal monkeys (e.g., Harwerth et al. 1980; Smith et al. 1985; Kiorpes et al. 1998; Kiorpes and Movshon 2003; Wensveen et al. 2006). For MK-292, we calculated its AI by comparing the spatial contrast sensitivity functions between the 2 eyes (AI = 0.42) and also between the more severely affected eye and the average contrast sensitivity function for the 3 normal monkeys (dotted lines, AI = 0.86).

The large variation in the type and the depth of amblyopia among our experimental monkeys parallels that commonly observed in human strabismic amblyopes (e.g., McKee et al. 2003). To better understand the origin of the broad range of perceptual deficits in our experimental monkeys, we first analyzed the 3 factors that might have strongly influenced the severity of amblyopia, specifically refractive errors, the size of ocular deviation, and the onset age of strabismus during early infancy (Fig. 2).

Refractive Errors
Abnormally high refractive errors (e.g., extreme hyperopia in both eyes) or substantial interocular differences in refractive power (anisometropia) during early infancy could become a major contributing factor of amblyopia. None of our experimental monkeys exhibited anisometropia or abnormal refractive errors during their early development (Fig. 2A). Also, the refractive errors of all experimental adult monkeys were within the range of refractive errors for normal adult monkeys (Bradley et al. 1999). Not surprisingly, there was no correlation between the refractive error of individual monkeys during the
early development (e.g., at 90 days of age) and the depth of their amblyopia (Fig. 2A).

**Ocular Deviations**

The transected or detached lateral rectus muscles in many surgical models of esotropia are known to grow back and attach to the globe at various points of development, restoring relatively normal alignment in fully mature monkeys. However, during the postnatal 9 months (the critical period for binocular vision in macaque monkeys) (Harwerth et al. 1986; Horton et al. 1997), the experimental monkeys in this study exhibited a broad range of convergent misalignments (esotropia) (Fig. 2B). The majority (5/9) of infant monkeys had the average deviations of 20 degrees or less, but 2 monkeys showed very large deviations. Although strabismic monkeys with larger average deviations tended to exhibit more severe amblyopia, there was no consistent relationship between the size of the average ocular deviation and the AI of individual monkeys.

**Onset Age**

Differences in the onset age of experimental strabismus could systematically affect the severity of amblyopia. Two out of 3 monkeys operated at 6 weeks of age showed relatively severe amblyopia (Fig. 2C). As mentioned earlier, one monkey (MK-292) in the 3-week-onset group showed severe reductions in contrast sensitivity for both eyes (Fig. 1 and square in Fig. 2). Two “late-onset” monkeys (6 months) exhibited limited reductions in contrast sensitivity (AI = 0.39 and 0.28) while one monkey (MK-293) showed the most severe amblyopia (AI = 0.87). Together, the onset age of strabismus did not have consistent effects on the depth of amblyopia.

**Receptive-Field Properties**

To reveal a potential link between developmentally altered cortical neurophysiology and amblyopia, the sample size of neurons needs to be fairly large. In the subsequent cell population analyses, therefore, all units from 9 experimental monkeys (376 V1 neurons and 399 V2 neurons) were divided into 2 subgroups, namely the units from the amblyopic monkeys that showed “severe” amblyopia (AI ≥ 0.5) and the units from the monkeys that had “mild” amblyopia (AI < 0.5). AI of 0.5 was chosen for this analysis because this is the mid-point in the normalized scale of the AI values (0.0–1.0), and also there was a clear break in the distribution of individual AI values beyond this point.

**Ocular Dominance Imbalance**

The most common explanation for the neural basis of amblyopia is a large ocular dominance shift in V1 away from the affected eye (Kiorpes and Movshon 2003; Chino et al. 2004; Hensch 2005; Anderson and Swettenham 2006; Daw 2006; Kiorpes 2006; Levi 2006). In a previous study with nonhuman primates, the ambyopic eyes of strabismic monkeys were reported to drive V1 units as effectively as do the fellow eyes although “binocular” units were lost, that is, the ocular dominance distribution of V1 neurons was relatively well balanced between the 2 eyes (e.g., Kiorpes et al. 1998). In this study, we wanted to know whether our amblyopic monkeys show a similar binocularly balanced ocular dominance distribution in V2.

Ocular dominance was assigned for each unit by calculating its quantitatively determined ODI, which was then placed into 1 of the 7 evenly spaced bins that match the traditional 7 categories of ocular dominance (OD) (Hubel and Wiesel 1962). There was a substantial loss of binocularly balanced units (OD = 3–5) in V1 of all experimental monkeys compared with normal monkeys (Fig. 3A). However, regardless of the severity of amblyopia, both the operated (OD = 1–2) and fellow (OD = 6–7) eyes had similar proportions of units strongly dominated by either eye (chi-square tests on OD = 1–2 vs. OD = 6–7 between normal, mildly amblyopic, and severely amblyopic monkeys, P > 0.1).

In V2, to our surprise, a relatively normal proportion of binocularly balanced units (OD = 3–5) was encountered for the mildly amblyopic monkeys. One possible explanation for this is that the binocular connections in V2 of normal adult monkeys are far more extensive than in V1 (Fig. 3A, left column), and hence, the binocular connections in mildly amblyopic monkeys appear to have been better preserved in V2 than in V1.

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**Figure 2.** (A) Effects of refractive errors of individual monkeys during early development (measured at 90 days of age) on the depth of amblyopia (AI). Open circles show data for the operated eyes and filled circles the fellow eyes. Triangles show comparable data for age-matched normal infant monkeys. (B) Relationships between the mean ocular deviation and the depth of amblyopia (AI) for individual strabismic monkeys. (C) Effects of the onset age of strabismus on the depth of amblyopia. The square symbols in all panels indicate an additional data point for MK-292 (bilateral amblyope) when comparisons were made between the function for the operated eye and the average function of the 3 normal monkeys. Triangles show the data points for normal monkeys.
The most important result in V2 was that contrary to units in V1, the OD distribution of V2 neurons for the severely amblyopic monkeys (AI > 0.5) exhibited a large shift away from their amblyopic eye (Fig. 3A, bottom right). This shift of OD in the severely amblyopic monkeys was statistically significant (chi-square test on OD = 1–2 vs. OD = 6–7 between normal and severely amblyopic monkeys, \( P = 2.4 \times 10^{-3} \)). The similar but smaller shift in mildly amblyopic monkeys was not significant (chi-square test on OD = 1–2 vs. OD = 6–7 between normal and mildly amblyopic monkeys, \( P = 0.214 \)). However, the difference between mild and severely amblyopic monkeys was significant (chi-square test, \( P = 1.5 \times 10^{-4} \)). Interestingly, the OD shifts away from the amblyopic monkeys were significantly larger in V2 than in V1 for both mildly and severely amblyopic monkeys (chi-square test, \( P = 6.5 \times 10^{-4} \) for mildly amblyopic and \( P = 7.2 \times 10^{-5} \), respectively). Note that for the above multiple comparisons involving 9 neuronal groups, the \( P \) value of individual comparisons must be smaller than 0.008 to keep the overall significance at \( P < 0.05 \).

To examine the relationship between the abnormal OD shifts and the depth of amblyopia, the ROI was calculated for each monkey. The ROI value signifies the relative ability of the fellow nonoperated eyes in comparison to their operated eyes to drive V1 or V2 neurons. Thus, the larger an ROI value, the greater the ocular dominance shift away from the affected eye. Figure 3B plots an ROI value of each monkey as a function of its AI. Both in V1 and V2, there were modest correlations between ocular dominance imbalance and the depth of amblyopia. Because of the modest sample size for a given subject (\( n = 40–50 \) units in each area) and the small number of data points for the correlation analysis, it is difficult to establish a significant relationship between physiology and behavior. Nevertheless, it is worthwhile to note that the "outliers" come from the experimental monkeys with late-onset strabismus (6 months of age; dotted circles) and that monkeys with early-onset esotropia (3 and 6 weeks of age) showed a relatively consistent relationship especially in V2 (\( r = 0.82, P = 0.047 \)). The apparent lack of a meaningful relationship for the late-onset group is not surprising considering that the ocular dominance plasticity in macaque V1, assessed with CO activity measures, is dramatically reduced by 3 months of age (Horton and Hocking 1997).

The ROI value calculated for each treatment group was 0.55 for V1 and 0.35 for V2 in normal monkeys. For the mildly amblyopic monkeys (\( A < 0.5 \)), the ROI values were 0.17 for V1 and 0.95 for V2, whereas for the more severely amblyopic monkeys (\( A \geq 0.5 \)), the ROI values were 0.65 and 3.49 for V1 and V2, respectively (Fig. 3A). Taken together, our results on the ocular dominance distribution of V1 and V2 neurons suggest that the reduced contrast sensitivity in the affected eye of severely amblyopic monkeys was closely associated with the impoverished functional connections beyond V1 for the affected eye.

To determine whether the monocular spatial RF properties of cortical neurons in amblyopic monkeys are developmentally altered, we measured the orientation selectivity and the spatial frequency tuning of individual neurons by presenting stimuli for the right or left eye in a random sequence (Fig. 4). The V2 neuron from a severely amblyopic monkey showed substantial interocular differences characterized by the broader orientation tuning (4A) and the lower optimal spatial frequency and spatial resolution for stimulation of the amblyopic eye (4B). For the subsequent cell population analysis, we compared the distribution of spatial properties between units with ocular dominance 1 through 5 for the amblyopic eye and units with ocular dominance 3 through 7 for the fellow eye. We employed a 3-factor analysis of variance (ANOVA) to test significance of differences between the eyes, the depth of amblyopia and cortical areas.

### Spatial Frequency Tuning
The poor acuity of amblyopic subjects is thought to result at least in part from reductions in the optimal spatial frequency and/or spatial resolution of V1 neurons dominated by the amblyopic eye (e.g., Movshon et al. 1987; Kiorpes et al. 1998; Kiorpes and McKee 1999; Kiorpes and Movshon 2003). Figure 5 shows that there were no significant interocular differences in the optimal spatial frequency for either V1 or V2 units regardless of the severity of amblyopia (\( P > 0.1 \)). Also the mean optimal spatial frequencies of V1 or V2 neurons in our mildly or severely amblyopic monkeys were not significantly different from that for normal control monkeys (\( P > 0.1 \)). Our result in V1 is consistent with a previous report (Kiorpes et al. 1998).

The results on the spatial resolution of V2 neurons substantially differed from those for V1 (Fig. 6). In V1, the mean spatial resolutions for the affected eyes of either mildly or...
severely amblyopic monkeys were not significantly different from those for the fellow eyes ($P = 0.19$ and $P = 0.78$, respectively). However, in severely amblyopic monkeys, the mean spatial resolutions of V2 neurons for the affected eyes were significantly lower than for the fellow eyes ($P = 0.04$) or for the right eye of normal monkeys ($P = 0.001$). We did not find any interocular difference for the spatial resolutions of V2 in the mildly amblyopic monkeys ($P = 0.19$). However, V2 neurons in response to stimulation of the affected eyes in these monkeys showed slightly but significantly lower resolutions than units from normal monkeys ($P = 0.038$).

**Orientation Selectivity**

Most previous studies on the neural basis of amblyopia have not examined the effects of early strabismus on the orientation tuning of V1 neurons or have found no changes in V1 orientation tuning associated with amblyopia (e.g., Kiorpes et al. 1998; Sakai et al. 2006). There has been no report on the orientation tuning of extrastriate neurons in amblyopic monkeys. One of the more effective methods to determine whether or not the RF structures of V2 neurons are disrupted in amblyopic monkeys is to measure the unit’s sensitivity to stimulus orientation using a vector summation method (e.g., orientation bias or circular variance). The orientation bias measure is largely insensitive to the responsiveness of the unit (Thibos and Levick 1982, 1985). Also unlike measures of tuning bandwidths around a unit’s preferred orientation, the measures of orientation bias or circular variance take responses to all orientations into consideration (Thibos and Levick 1982, 1985; Ringach et al. 2002). In this study, therefore, we compared both the orientation bandwidth and orientation bias of individual neurons.

**Bandwidth**

The mean orientation bandwidths of V1 neurons in response to stimulation of the amblyopic eyes were not significantly broader than for the fellow eyes ($P > 0.1$) (Fig. 7). For V2 neurons, we also found no significant interocular differences in the mean orientation bandwidths ($P > 0.1$).

**Bias**

An important result emerged when we analyzed orientation bias (Fig. 8). The mean orientation bias of V2, but not V1 ($P > 0.1$), neurons in response to stimulation of the affected eye of severely amblyopic monkeys was significantly lower than that of units driven by their fellow eyes ($P = 0.013$). Other smaller interocular differences were not significant in V1 or V2...
It should be noted that although V2 neurons from the severely amblyopic monkeys had generally lower peak firing rates to stimulation of the affected eye (open circles) than the fellow eye (filled circles), neither the spatial resolution nor the orientation bias of these units was influenced by their peak firing rates ($r = 0.04$ and $0.24$, respectively, in Fig. 9).

**Binocular Signal Interactions**

Strabismic amblyopia is thought to be closely associated with abnormal binocular interactions in V1 during early infancy (Chino et al. 1994; Sengpiel and Blakemore 1996; Smith et al. 1997; Horton et al. 1999; Kiorpes, 2006; Levi 2006; Sengpiel et al. 2006). However, none of the previous neurophysiological studies has directly investigated this presumed relationship in nonhuman primates. To reveal a possible link between abnormal changes in the binocular interactions of cortical neurons and amblyopia, we measured the strength of excitatory binocular interactions (binocular interaction index or BII) and the strength of binocular suppression (peak binocular response/dominant monocular response) of individual V1 and V2 neurons and compared the results with the AI of each subject. Figure 10 illustrates typical interocular spatial phase tuning functions of a V2 neuron in a normal (A) and in an amblyopic monkey (B). Unlike in the normal unit where binocular responses showed robust tuning for interocular spatial phase disparity (BII = 0.78) and strong binocular facilitation (Peak B/M = 5.47 dB), the binocular responses in the neuron from an amblyopic monkey were not sensitive to phase disparity (BII = 0.06) and all binocular responses were nearly one half of the dominant monocular response regardless of spatial phase disparity (Peak B/M = -1.84 dB), thus, exhibiting a severe loss of disparity sensitivity and strong binocular suppression. For the subsequent cell population analyses, we used 2-factor ANOVA to test significance between the animal groups (i.e., the degree of amblyopia) and between V1 and V2.

**Binocular Facilitation and Disparity Sensitivity**

During the height of the critical period, experiencing optical strabismus for only 7–14 days is known to disrupt the excitatory binocular interactions in monkey V1, for example, a severe loss of overall BII values (Kumagami et al. 2000; Mori et al. 2002; Zhang et al. 2005c). Here we asked whether the reductions in the BII values of V1 and/or V2 of our experimental monkeys are related to the depths of their behavioral amblyopia. The mean BII of 226 V1 units from the mildly amblyopic monkeys and 150 V1 units from the severely amblyopic monkeys were significantly lower than that of 142 V1 units from the normal monkeys ($P = 0.0001$) (Fig. 11A, top). We found comparable reductions in the mean BII of V2 neurons for the mildly amblyopic monkeys ($n = 218$) and the severely amblyopic monkeys ($n = 177$) ($P = 0.0001$) (Fig. 11A, bottom). However, the mean BII of V1 neurons in severely amblyopic monkeys was not significantly different from that of the mildly amblyopic monkeys ($P = 0.37$), whereas the small difference in V2 was significant ($P = 0.04$).

Figure 11B plots the mean BII of individual monkeys as a function of the depth of their amblyopia (AI). We found little correlations between physiology (BII) and behavior (AI). Thus, the disruption of the cortical circuitry underlying the unit’s disparity sensitivity does not adequately explain the anomalous cortical processing that leads to the development of amblyopia.
In normal monkeys, the majority of neurons both in V1 and V2 showed robust excitatory binocular interactions, expressed as the average peak binocular response over the monocular dominant eye response (Peak B/M = 5.47 dB) and high sensitivity to spatial phase disparity (BII = 0.78). For our experimental monkeys, binocular suppression was robust both in V1 and V2 and the mean Peak B/M values in both mildly and severely amblyopic monkeys were significantly lower than that in normal monkeys ($P = 0.0001$) (Fig. 12 A). Moreover, the average Peak B/M value of V1 and V2 neurons for the severely amblyopic monkeys were significantly lower than the mildly amblyopic monkeys ($P = 0.0197$ for V1, $P = 0.001$ for V2).

The mean Peak B/M value in each animal appeared to be negatively correlated with the depth of their amblyopia in V1 and V2 but neither of these correlations was significant (Fig. 12 B, left). When the relationship between the percentage of binocularly suppressive units (Peak B/M < 0 dB) in individual subjects and the severity of their amblyopia (AI) was analyzed, there were strong positive correlation between the percentage of binocularly suppressive units in each animal and the depth of amblyopia in both V1 ($r = 0.82$, $P = 0.01$) (Fig. 12 B) and in V2 ($r = 0.82$, $P = 0.006$) (Fig. 12 B, right). Together, the prevalence of binocularly suppressive V1 and V2 neurons was closely associated with the depth of amblyopia in individual monkeys.

**Discussion**

Two new and important results emerged in this study. First, the behavioral loss of visual sensitivity in our amblyopic monkeys was closely associated with a reduction in the functional connections beyond V1 and the anomalous alteration of the circuitry supporting orientation and spatial frequency tuning of V2, but not V1, neurons. Second, the prevalence of binocular suppression in both V1 and V2 neurons of individual monkeys was correlated with the depth of their behavioral amblyopia.

**Ocular Dominance Imbalance and Amblyopia**

In V1, there was no ocular dominance shift away from the behaviorally amblyopic eye. A similar observation was made in a previous study (Kiorpes et al. 1998). A new and more significant finding of this study was that there was a robust ocular dominance shift in V2 favoring the nonamblyopic eye of the severely amblyopic monkeys. The contrasting results on the ocular dominance imbalance in V1 versus V2 suggest that early surgical strabismus had the greatest impact not on the development of V1 circuitry but on the development of the functional connections beyond V1. Together, "undersampling" by neurons in V2 (and downstream), but not in V1, might have substantially limited the visual performance of these amblyopic monkeys.
The spatial filter properties (spatial frequency and orientation selectivity) of V2 neurons in severely amblyopic monkeys were subnormal for the affected eyes of severely amblyopic monkeys. These relatively mild but significant deficits were likely to have resulted from the high prevalence of binocular suppression. In the previous studies of V1 neurons from prism-reared monkeys (optically simulated strabismus), we consistently found that their V1 neurophysiology was dominated by binocular suppression that emerged as early as 3 days after the onset of prism rearing (Zhang et al. 2005b) and that the suppression remained robust throughout the life of strabismic monkeys (Smith et al. 1997; Kumagami et al. 2000; Endo et al. 2000; Mori et al. 2002; Zhang et al. 2003; Zhang et al. 2005b; Sakai et al. 2006). A consequence of robust binocular suppression in V1 is that the output signals from V1 of strabismic infants under binocular (i.e., natural) viewing...
conditions are likely to exhibit considerable irregularities for
the stimulation of the affected eye. Impoverished signals from
V1 combined with the altered fixation pattern (e.g., dominant
fixation with the non-deviating eye) might have disrupted the
functional maturation of converging V1 input connections
within the receptive field of a given V2 neuron (e.g., V1-like
“subfields” within the receptive field) (e.g., Nishimoto et al.
2006; Anzai et al. 2007; Willmore et al. 2010). As a result, the RF
structure of V2 neurons might have been compromised. Our
methods to reveal binocular suppression could not allow us to
determine which eye was being suppressed by the dichoptic
stimulation. However, the metabolic mapping study of sup-
pression scotoma of V1 in strabismic monkeys showed that the
deviating eyes were being suppressed by the nondeviating
fellow eyes during the early development (Horton et al. 1999).

The spatial frequency tuning functions of V1 neurons driven by
the deviating eye of our amblyopic monkeys were essentially
normal. These results in V1 differ from the previous studies
where there were significant correlations between the physi-
ological (V1) and behavioral loss for the affected eyes of severely
amblyopic monkeys (Movshon et al. 1987; Kiorpes et al. 1998;
Kiorpes and Movshon 2003). The overall distribution of the
optimal spatial frequency and the spatial resolution of V1 units for
the fellow eye in this study were very similar to those reported by
the above referenced studies, suggesting that a sampling bias was
not a factor for the apparent differences (e.g., the location of RFs
relative to the central fovea). One possible explanation for the
apparent difference in V1 might be a methodological one; the
criteria used to determine the spatial resolution of individual units
were quite different between the 2 studies (Kiorpes et al. 1998).

**Increased Neuronal Deficits in V2 Relative to V1**

Many of the neuronal deficits revealed in this study were
unique to V2 or more extensive in V2 than in V1. Ocular
dominance imbalance was far greater in V2 while it was
negligible in V1 and the ocular dominance imbalance of V2 was
more reliably associated with the depth of amblyopia (Fig. 3).
The loss of spatial resolution and the orientation bias in the
affected eye were unique to V2 (Figs 6 and 7). These results
support the emerging view on the visual system development
in nonhuman primates that at a given age, V2 is more plastic
than V1 and that the functional development of the visual brain
appears to proceed in a hierarchical order (Barone et al. 1995;
Distler et al. 1996; Batardiere et al. 2002; Zhang et al. 2005b;
Zheng et al. 2007). Also cortical plasticity is likely to be more
robust in higher order visual areas until later in development.
The present study gives more direct evidence for the largely
undocumented idea that amblyopia may be best explained as a
“cascade” of cortical deficits over several processing stages
that begin at V1 (Kiorpes et al. 1998; Kiorpes and Movshon
2003; Chino et al. 2004; Muckli et al. 2006; El-Shamayleh et al.
2010). For example, the abnormal alterations in V2 neurophysi-
ology reflect not only the direct effect of early strabismus on
V2 development (e.g., ocular dominance imbalance in V2, but
not in V1) but also the consequence of abnormal V1 cortical
physiology (e.g., robust binocular suppression in V1) on V2
development (e.g., the monocular spatial filter deficits of V2
neurons). Moreover, this interesting idea could also explain a
neural basis of amblyopia in our mildly amblyopic monkeys.
The small ocular dominance imbalance in V2 of these
experimental monkeys (Fig. 3), which was not statistically
significant, could be amplified downstream and become

**Figure 13. Comparisons of the relative magnitude of V2 deficits with the depth of
amblyopia (AI).** The proportions of binocularly suppressive unit, the ocular dominance
imbalance (ROII), the average spatial resolution, and the average orientation bias of
V2 neurons in individual monkeys were first normalized to the respective maximum
value and then were fit to obtain a regression line for each V2 deficit. The slope
(±standard error of the mean) is given for each function.

a significant factor. We therefore conclude that although this
study demonstrated several key deficits in V2 that could limit the
fine spatial vision of amblyopic primates, these neuronal
deficits in V2 are likely to be amplified downstream.

In this study, we have presented substantial evidence for the
association between anomalous V2 neurophysiology and behav-
ioral amblyopia. Our study does not allow us to directly establish a
causal link between neurophysiological deficits in V2 and the
observed amblyopia. However, it is possible to infer from our
data which of the altered V2 neurophysiology (ocular domi-
nance imbalance, lower spatial resolutions, reduced orientation
biases, and/or binocular suppression) might have had a stronger
impact in limiting the visual performance of our amblyopic
monkeys. Comparisons of the normalized regression lines
relating the 4 major cortical deficits in V2 with the depth of
amblyopia (Fig. 13) show that the function relating binocular
suppression and amblyopia had a slope of 1.0 and relatively small
deviations (standard error of the mean) of individual data,
suggesting that this association is exceedingly strong. The slopes
of the functions relating the ocular dominance imbalance, the
orientation bias reduction, the spatial resolution loss, and
amblyopia are shallower with relatively larger deviations of
individual data, and these functions are located below the
diagonal, indicating that each of these neural deficits alone might
have had less consistent impact in limiting visual performance.
Finally, the present results on the high prevalence of binocular
suppression in V1 and its impact on the V2 neurophysiology, the
abnormal spatial RF organization and undersampling should
provide a unique insight into the neural mechanisms underlying
more complex vision deficiencies in strabismic amblyopes such as

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Notes
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References


