Challenges and directions for the pathogen hypothesis of Alzheimer’s disease

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Abstract

This paper critically reviews the possibility that infiltration of the brain by pathogens (e.g. Herpes simplex virus type 1 (HSV1) or Chlamydophila pneumoniae (Cp)) acts as a trigger or co-factor for Alzheimer’s disease (AD). The evidence currently available is limited and in some cases inconsistent, but it does justify the need for more vigorous investigation of this hypothesis. An issue of particular concern is the paucity of experimental evidence showing that pathogens can elicit the neuropathological changes and cognitive deficits that characterise AD. Other weaknesses include a failure to obtain independent confirmation of Cp in AD brains, and a lack of evidence for HSV1 proteins or intact virions in AD brain tissue. Future avenues of investigation that might prove fruitful include epidemiological investigations of the incidence of AD in individuals who are either immunosuppressed or have received chronic antiviral or antibiotic therapy. There is also a need to consider systemic infections as potential contributors to the pathogenesis of AD.

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1. Introduction

The possibility that infiltration of the brain by pathogens acts as a trigger or co-factor for Alzheimer’s disease (AD) has been proposed repeatedly over the past three decades, with Herpes simplex virus type 1 (HSV1) and Chlamydophila pneumoniae (Cp; previously Chlamydia pneumoniae) being most frequently implicated. The initial impetus for this proposal came from the identification of a slow virus-like agent underlying spongiform encephalopathies and the elucidation of the role of the measles and the JC viruses in causing subacute sclerosing panencephalitis and progressive multifocal leukoencephalopathy, respectively [7,33,40]. In the mid-1970s several studies compared the levels of HSV1 neutralising antibodies in the serum [29] and cerebrospinal fluid (CSF) [24] of senile patients with various forms of dementia (including AD), and in other neurologically impaired and non-neurologically impaired control patients. While these studies found higher antibody levels in patients with AD, later studies failed to confirm such increases [44]. In the 1980s, in situ hybridisation was used to examine whether HSV1 is present in AD or control brain tissue. Once again, results were inconsistent, with two studies detecting viral nucleic acid in brain [13,53] and another reporting higher levels of HSV1 latency-associated transcripts in AD trigeminal ganglia [9], yet other studies found little or no evidence for the presence of HSV1 nucleic acid [36,42,57]. With the advent of more sensitive techniques (polymerase chain reaction (PCR)), a high proportion of normal elderly and AD brains have been found to contain HSV1 DNA (discussed in [10]). In addition, an association between Cp and AD has been demonstrated by the Balin group, initially using PCR but with supporting evidence from other techniques [21].

The preceding observations provide a reason for seriously considering the pathogen hypothesis of AD, particularly since the dominant hypothesis in our field (the amyloid hypothesis) is still open to question [47]. Nonetheless, the body of data pertinent to the pathogen hypothesis is small and many key questions remain unanswered. In the interests of accelerating progress in the field, the present paper highlights some of the issues that need to be addressed before this hypothesis can either win widespread acceptance or be rejected for rational scientific reasons. We will begin by examining some of the broad implications of the pathogen hy-
It has been postulated that reactivation of HSV1 and subsequent re-infection of brain tissues occurs irregularly and locally, presumably as a strategy to reduce detection by the immune system [21]. The same would likely hold true for Cp if it is proven that persistence and resumption of the developmental cycle occur during infection. Through this process, the spread of infection through the nervous system may take many decades. The shingles virus Herpes zoster is an example of a neurotropic virus that displays such a pattern of dormancy followed by occasional reactivation. If the pathogen(s) that causes AD has a long latency it should be present in the brains of a large proportion of cognitively normal individuals, because in these individuals the pathogen would not yet have proliferated to the extent that it would have noticeable effects on cognition. HSV1 has been detected in a large proportion of brains from cognitively normal people [20], but the tight correspondence between Cp in AD brain and its virtual absence from normal elderly brains [2] does not fit the expected pattern. One of the challenges for proponents of Cp will be to address this discrepancy.

2. Transmissibility of the pathogen

In 1977 Gajdusek suggested that AD might be caused by a slow pathogen akin to the agents he had shown to be involved in the transmissible spongiform encephalopathies [15]. This suggestion led to several investigations in which AD brain homogenates or other tissues were directly injected into primate brains, but none of these experiments were able to demonstrate the transmissibility of AD neuropathology or cognitive impairment [8]. While this lack of evidence for transmissibility presents an obstacle for the pathogen hypothesis, it can be argued that the failure to demonstrate transmission does not disprove the infectious aetiology of a disease, particularly for pathogens with a long latent phase. Latent pathogens (by definition) remain non-transmissible for the majority of their replication cycles. Another reason why transmissibility cannot always be demonstrated in animal models is that pathogens frequently infect cells via receptor isoforms which are species-specific. A well-characterised example is the viral condition of subacute sclerosing panencephalitis, which is transmissible to primates only after careful isolation and passaging of the virus using monkey kidney cell lines [1]. The failure to experimentally infect non-human primates with AD may therefore reflect a combination of an experimental protocol which did not take into account the properties of latent pathogen infection, and a possible lack of appropriate receptors in these animals.

The lack of evidence for transmissibility does not disprove the pathogen hypothesis of AD. Nonetheless, if the reasons advanced for non-transmissibility can be shown not to apply to HSV1 or Cp, then the likelihood that these particular pathogens cause AD will be diminished. An informative test would be to directly inoculate the brains of non-human primates with active HSV1 or Cp, and examine the tissue for AD-like changes.

Infection of mouse brain with HSV1 is a common experimental model of Herpes simplex encephalitis (HSE) in hu-
mals and while it reproduces many of the acute features of the disease, less is known about histopathological changes associated with chronic HSV1 infection in rodent brain [34]. To our knowledge no studies of chronic HSV1 infection of rodent brain have examined tissue for the presence of plaques or tangles, or have investigated whether these animals display learning deficits. Demonstration of such deficits would strengthen the case for a pathogenic cause of AD. Recently Balin’s group [27] reported that intranasal inoculation of mice with Cp isolated from AD brain tissue results in the deposition of amyloid beta (Aβ) protein in the limbic regions of the mouse brain. These deposits could be immunolabelled by antisera directed against human Aβ. The preceding observations do not indicate that Cp infection or HSV1 levels are prematurely elevated in brain tissue of aged mice and while it reproduces many of the acute features of AD, less is known about histopathological changes associated with chronic HSV1 infection in rodent brain [34].

2.4. Inherited forms of AD

Genetic studies of autosomal familial AD have established that mutations in the amyloid precursor protein (APP) gene and related presenilin (PS) genes can cause early onset AD. Individuals with trisomy 21 are also predisposed to the development of neurodegenerative and cognitive changes that closely resemble AD. The involvement of several genes that cause early onset AD is sometimes interpreted to indicate that AD is a multifactorial disease without a single cause. This interpretation is favoured by adherents of the pathogen hypothesis, who have focussed on sporadic forms of AD. However, one of us has noted elsewhere [47] that the genes which give rise to autosomal familial AD may not necessarily cause AD. Instead they may be risk factors that greatly enhance the susceptibility of an individual to an ubiquitous extrinsic agent. For example, if sporadic AD is caused by a pathogen that is present in the brains of most (or all) adults, it is possible that some genetic mutations may potentiate the neuronal injury caused by this pathogen. Thus, the mutations that are currently thought to directly cause the disease may actually be cofactors which accelerate the neurodegenerative process caused by a pathogen. This idea may explain why carriers of genes for autosomal familial AD only develop the disease after expressing the genes for half a century or more, instead of developing AD during their childhood, but still much sooner and more predictably than non-familial sporadic AD.

At present there is no evidence that carriers of APP and PS mutations or trisomy 21 are predisposed to a negative response to neural infection, but it may be pertinent that in Down syndrome the activity of myeloperoxidase in polymorphonuclear leukocytes is reduced to only 59% of the level seen in unaffected individuals [4,14]. This enzyme represents one of the principal defences of neutrophils and macrophages against bacterial and viral infection. The reduction in myeloperoxidase activity in Down syndrome is likely to contribute to the increased susceptibility to infections of the respiratory tract, such as pneumonia [4,37]. It remains to be established however, whether susceptibility to and/or severity of infection with Cp and HSV1 is greater in Down syndrome or carriers of autosomal familial AD, and if Cp or HSV1 levels are prematurely elevated in brain tissue affected by either of these conditions.
2.5. Immunosuppression challenges the pathogen hypothesis

Individuals who receive immunosuppressive drugs to prevent the rejection of transplanted tissues by the host immune system frequently display secondary complications associated with the reactivation of endogenous pathogens. These pathogens often belong to the herpesvirus family. An example is cytomegalovirus (CMV) infection which has been reported in 54% of kidney transplant patients [56], and CMV DNA amplification has been reported in the brains of 51% of liver transplant patients compared with only 14% of controls [45]. Similarly, a substantial body of evidence indicates that reactivation of human herpes virus-6 is a significant cause of post-transplantation encephalitis in bone marrow transplant recipients [28,54]. If a common endogenous pathogen causes AD, individuals with suppressed immune systems might be expected to display progressive cognitive decline and AD-like neuropathology as a result of their treatment. The fact that this does not occur presents a significant challenge for proponents of the pathogen hypothesis of AD.

2.6. Proof of concept and animal models

Any hypothesis that attempts to explain how an agent causes AD must be able to account for the spatiotemporal spread of neuritic plaques in the brain, which after all, are the defining pathological hallmarks of the disease. The hypothesis should be able to adequately explain why plaques form initially in the inferior regions of cerebral cortex and then spread to other cortical regions, leaving the occipital cortex, cerebellum and brainstem relatively unaffected; and it should explain why plaques do not form throughout life. An appealing feature of the pathogen hypothesis is that it can potentially account for these spatiotemporal features of plaque formation. One of us has proposed that soluble Aβ may bind pathogens, proteins and toxic metal ions, and then precipitate to stimulate a phagocytic response from macrophages and glial cells [3,47]. This ‘bioflocculant hypothesis’ provides a link between pathogen infection of the brain and the occurrence of Aβ deposits. Thus, the presence of newly formed plaques might be indicative of a local phase of reactivation, whereas old plaques might indicate the location of an earlier reactivation. The bioflocculant hypothesis predicts that some, but not all Aβ plaques, are likely to contain viral or bacterial particles, or their coat proteins. As yet, this possibility has not been investigated with respect to HSV1, but Balin et al. [2] have reported that a proportion of Aβ plaques in AD brains do contain antigens which are recognised by antisera that are specific for Cp. This finding has not been independently confirmed, so a more detailed investigation of the colocalisation of Aβ and pathogen markers would help to strengthen both hypotheses.

Some transgenic mouse lines that overexpress mutated human APP genes acquire high burdens of Aβ plaques in their cerebral cortices. These deposits are associated with gliosis and are accompanied by impaired performance on certain maze tasks (for review see [47]). Such mouse models have done much to support the claim that Aβ deposition contributes to some of the neuropathological and behavioural features of AD. Until recently the greatest weakness of the pathogen hypothesis has been a failure to demonstrate a similar causal relationship between pathogen infection of the brain and the development of cognitive decline and neurodegenerative changes that are typical of AD. However, Little et al. [27] have reported that intranasal infection of mice with Cp triggers a time-dependent increase in Aβ-immunoreactive deposits in the brain tissue. Most of these deposits resemble diffuse plaques and have no associated gliosis but a small proportion contain fibrillar Aβ, as evidenced by thioflavin-S staining. No neuritic changes or neurofibrillary tangles were reported and it is not known whether these animals have cognitive impairments. While these observations provide a first step towards proof-of-concept, the fact that APP transgenic mice are specific pathogen free (SPF) yet can develop Aβ1-42 deposits appears to indicate that infectious agents are not a prerequisite for the development of plaques in animal models of Aβ deposition. It would be interesting to determine whether infection of such mice with HSV1 or Cp enhances the development of AD-like neuropathology in these animals.

3. HSV1 infection of brain

3.1. Herpes simplex encephalitis

In rare instances, HSV1 causes HSE in immunocompetent individuals. Around 2–4 HSE cases occur per million individuals per annum; if untreated, the disease is fatal in over 70% of cases [60], with death occurring within a few days of manifestation of symptoms. Since HSV1 infection of brain is also postulated to cause AD, it is instructive to compare the clinical and neuropathological symptoms of HSE with those of AD.

Table 1 shows that there are some clinicopathological similarities between HSE and AD, yet there are some notable differences, such as the lack of haemorrhagic lesions in AD. It should be noted that HSE involves an acute infection of the brain whereas AD may reflect the consequences of chronic infection, and so the route of infection and the factors influencing its spread may be different. The existence of such differences is emphasised by the fact that the incidence of HSE is significantly higher for carriers of APOE-ε4 [26], whereas in AD HSV1 is more frequently detected in the brains of APOE-ε4 carriers than in APOE-ε3 carriers; and is more likely on reactivation to cause herpes labialis in APOE-ε4 carriers [20]. It is common for acute and chronic variants of infectious diseases to have different symptoms. For example, the features of acute Herpes zoster infection (chickenpox) differ substantially from chronic Herpes zoster infection (shingles). Notwithstanding this point, there are some
features of AD that should be expected if HSV1 does cause AD. In particular, one might expect to find Aβ plaques and tau+ tangles in affected regions of the HSE affected brain, at least during the recovery phase. Post-mortem brain tissue from this stage of the disease is rare, but examination of even a small number of cases might provide insights into the link between HSV1 and AD.

3.2. Absence of HSV1 particles and viral antigen in AD

Although HSV1 DNA appears to be prevalent in human brain, neither HSV1 proteins nor intact virions have been detected in AD brain tissue, except in an early study which reported HSV1 proteins in 2/40 brains (one from an AD patient, the other a control) [32]. On the face of it, these results indicate that HSV1 infection of brain is non-productive and therefore irrelevant to AD. However, HSV1 proteins are not even detectable in the brains of HSE patients once the acute phase of infection is over, despite the high levels which would have been present during the acute phase, and despite the presence of detectable viral DNA many years later [23]. Thus, it appears that HSV1 proteins produced during an acute infection are rapidly cleared, and are not detectable during latency (i.e. most of the time). This limitation poses difficulties for proponents and opponents of the pathogen hypothesis. However, the pathogen cannot always be latent, as it must reactivate periodically for AD to progress, so a proportion of AD patients should have active pathogen in some parts of their brain. It is possible that Mann and colleagues [32] detected this transient expression in two of the brains they examined. One of the challenges faced by proponents of HSV1, will be to prove this to be the case in a larger sample of brains.

3.3. Causality and therapeutic drugs

Even if the presence of a pathogen in post-mortem tissue was found to be unambiguously correlated with AD, this association alone would not prove that the former caused the latter. AD patients are often very ill and malnourished prior to death. This poor health may favour pathogen proliferation within the brain antemortem (increasing the likelihood of detectable levels of pathogen), and may increase the risk of primary infection. Additional evidence is needed to show causality. A causal relationship can be established if removal of the agent from the human brain halts, reverses or prevents the disease. Here at least, proponents of the pathogen hypothesis are at an advantage, in that effective pharmaceuticals for treating brain infection are readily available. Thus, a causal role for pathogens in AD is readily testable, should sufficient evidence be gathered to justify clinical trials of these drugs.

Although of low incidence, most acute infections of the brain are effectively treated with broad spectrum antiviral and/or antibacterial regimes. HSE for example, can be treated with Acyclovir (10–15 mg/kg bodyweight every 6–8 h) for at least 10–14 days [51]. It should be noted that Acyclovir cannot eliminate pathogens from the brain: it can only stop them from replicating during therapy. The virus will remain latent (i.e. no virus particles, only DNA) and it may eventually reactivate. Some persistent conditions (e.g. recurrent Herpes labialis), must be treated with oral administration of Acyclovir for many years [61]. Should AD be triggered by periodic reactivation of virus within the CNS, one might expect reactivation to be less frequent in individuals who receive chronic treatment with Acyclovir, and therefore the incidence of AD should be lower in
these individuals. An epidemiological investigation of this possibility might provide a fruitful line of inquiry.

The antibiotic clioquinol is currently in clinical trials as a potential treatment for AD [12]. This drug readily crosses the blood-brain barrier and is being used because it has powerful metal chelating properties. Ironically, if this drug is successful at slowing the course of AD we will not know whether it is due to the removal of metal ions or the elimination of bacterial infection from the brain. On the other hand, given its intracellular location, Cp might be able to survive on host cell stores which could not be depleted to levels incompatible with their survival.

We are unaware of any clinical trials that have specifically used antiviral agents as a treatment for AD. However, several such drugs are already approved for use in the elderly, and since they have relatively few contraindications, the most direct way to test the involvement of HSV1 would be to administer antiviral agents to patients with mild AD or mild cognitive impairment. This might prove to be a cheaper, quicker and safer intervention than the more controversial approaches that are currently being trialed on the basis of the amyloid hypothesis [48]. Optimism for this approach must be tempered by the consideration that life-long administration might be required to prevent recurrent infection resulting from reactivation of latent pathogens. In the case of ubiquitous pathogens like HSV1 and Cp, the final proof of their participation may not come until effective vaccines are developed.

4. Chlamydophila pneumoniae infection of brain

Unlike HSV1, the challenge to human health posed by the obligate intracellular bacteria C. pneumoniae has become apparent only during the last 20 years, with its possible role in non-respiratory diseases being the object of serious investigation for less than a decade. Cp belongs to the family of bacteria, Chlamydiaceae, that contains members which cause diseases of varied severity and variable pathologic outcome both at the site of initial infection and systemically. Coincidental with its identification as a primary aetiologic agent of community acquired pneumonia, growing understanding of the complexity of the mechanisms involved in chlamydial-associated pathologic processes make pathogens belonging to this family ideal candidates for participation in chronic and unpredictable pathologies like AD. An appreciation of this potential is reflected by its inclusion in a panel of conventional infectious agents that were investigated in an early sero-epidemiologic study [44] to consider if an association between infection and AD could be established. However, no significant differences were found between AD patients and controls for any of the agents tested, which in addition to Cp, included HSV1. The authors concluded that these agents play no role in the aetiology of AD. That study and its conclusion served as the only reference addressing the question of chlamydial involvement in AD until the study of Balin et al. [2] in which an almost pathognomonic association between Cp and AD was compellingly demonstrated.

4.1. Evidence for Cp in AD

Balin et al. [2] reported that Cp was definitively present in brain samples from 17 of 19 post-mortem confirmed cases of late-onset AD, while being detected in only 1 of 18 non-AD, age matched controls. This elegant study employed a number of methodologies (PCR, immunohistochemistry and culture) not only to detect Cp and visualise it within cells of the CNS that were associated with plaques and tangles, but most definitively, to culture Cp from tissue homogenates of the two specimens that afforded enough tissue on which to make the attempt. Needless to say, this report received a great deal of public and scientific attention and attempts to replicate the finding were conducted in other reputable laboratories throughout the world. Two abstracts are often cited as confirmation of the initial findings [30,39] but neither has been published in a peer-reviewed format. By contrast, four independent papers [18,38,46,58] have reported an inability to detect Cp in brain samples from either AD or non-AD sources. Worthy of note is the most recent of these studies [58] which included 19 samples from 10 of the brain specimens included in the original Balin study; none were found to contain detectable Cp antigen or PCR products despite the use of primers against the same genes probed in the original study. Since PCR is a capricious technique, it might be argued that these results are ‘false negatives’ and that a positive result carries more weight than a negative one. This argument cannot be entirely dismissed, but it does overlook the fact that Cp has been independently reported to be associated with multiple sclerosis and atherosclerosis despite similar methodological discrepancies and conflicting results between research groups. In both cases, the number of published studies confirming an association are far fewer than those in which no association was observed, leading most chlamydiologists to hold a guarded opinion as to whether this association is causal, contributory, or merely coincidental.

One of us was involved in a study [46] that did not confirm the presence of Cp by PCR or culture in samples from numerous sites within the brains of 15 cases of pathologically confirmed AD. Every effort was made to faithfully reproduce the methods used in the original study and to provide a reasonable explanation for the completely opposing results. It was suggested that demographic differences between the patient groups, such as geographic location, season of death and institutional history might provide an explanation for the observed differences. The speculation being that the AD patients in the Balin study might have been recently exposed to Cp, perhaps in an institutional setting, and therefore would have been at high risk of systemic spread from the respiratory tract to sites within the CNS where advanced AD pathology already existed. In fact, the histological description, the ease of detecting intact inclusions and Cp antigens, and particu-
larly the isolation of viable bacteria are all features consistent with an acute and active infection rather than a chronic one. By contrast, a chronic infection, as is thought to occur in the association of Cp with atherosclerosis, would be expected to frequently present with false negative or difficult to obtain positive results and culture negativity, given the low numbers of predominantly non-infectious Cp particles characteristic of chronic infection. Although the activation of a chronic infection cannot be ruled out, the simultaneous occurrence of such an event exclusively within the entire AD cohort would call for an explanation, perhaps requiring it to be listed as a possible cause of death.

4.2 Potential for involvement of Cp in AD

On balance, the data presently available do not support the hypothesis that AD is caused by chronic infiltration of the brain by Cp. It remains possible however, that acute or chronic Cp infections do contribute to the pathogenesis of AD in a proportion of cases. Cp has many attributes that make it a potential contributor to the progressive neurodegeneration seen in AD. Multiple respiratory infections with chronic sequelae are very common, with seroprevalence increasing throughout life and reaching a prevalence in excess of 75% among the elderly [5]. Cp is an obligate intracellular bacterium that has been shown in vitro to productively infect a wide range of cell types including epithelial, vascular endothelial and macrophage-derived cell lines; and it has been detected in various stages of development, from productive to persistent, in similar cell types throughout the human body. Like most intracellular pathogens, it interferes with the normal apoptotic signalling pathways of these cells, perhaps contributing to long-term persistence. Cp can trigger the differentiation of monocytes into macrophages, and can persist in, and activate macrophages, which at least in vitro, can traffic across the vascular endothelium. It is a gram-negative bacterium and thus produces endotoxin and, like all bacteria, has CpG motifs in its DNA, both of which are specific ligands for Toll-like receptors, the recently identified signalling molecules of the innate immune system [43]. In short, it is the type of organism that could contribute both directly and indirectly to the kind of inflammatory degenerative processes in which activated macrophages or macrophage-like cells participate. What is being proposed by proponents of pathogen hypotheses in each of these diseases is that the activated state of these cells, and therefore the inflammatory degenerative processes in which they participate, is due to an association with Cp either as a result of attraction to a site of active infection or, subsequent to such an encounter, the systemic transport of the agent to another site where the signature pathology develops. If Cp is involved in sporadic AD, it seems likely that Cp would be delivered to the CNS where it would contribute to the development of plaques, tangles and neurodegeneration. However, to date the only study linking Cp with AD has yet to be independently confirmed, and the animal model developed by the same group and described at a number of scientific meetings has yet to be replicated by others. Both of these things must occur if the pathogen hypothesis, as it relates to Cp, is to be advanced.

5. Summary and conclusions

The pathogen hypothesis of AD was postulated several decades ago but has not yet been subjected to a rigorous attempt at falsification. The fragmentary evidence currently available either provide qualified support for the hypothesis, or at least do not conclusively disprove it. In the spirit of constructive criticism we have highlighted aspects of the hypothesis that require investigation or are represented by contradictory data. Issues of particular concern include:

(1) paucity of evidence from an animal model that an experimentally introduced pathogen can elicit the neuropathological changes and cognitive deficits that characterize AD;
(2) lack of evidence for HSV1 proteins or intact virions in AD brain tissue;
(3) failure to obtain independent confirmation of Cp infection of brain in AD.

Future avenues of investigation that might prove fruitful include epidemiological investigations of the incidence of AD in individuals who are either immunosuppressed patients or have received chronic antiviral or antibiotic therapy. We will conclude by suggesting that numerous infectious agents, now studied in isolation or not at all, may together contribute to an ongoing and life-long interaction between the brain and body. A recent review noted that during systemic infections, cytokines and molecules associated with the infectious agent are released into the circulation and cross the blood-brain barrier where they can activate microglial cells [41]. Furthermore, a prospective study which charted the course of systemic infections and cognitive decline in AD patients noted that periods of decline were preceded by infection-induced increases in interleukin 1β, a cytokine considered to play a significant role in neurodegenerative processes, and which can cross the blood-brain barrier and further activate reactive microglia [19]. Both of
these studies draw attention to the need to consider systemic infections as a class of aetiologic agent, which when viewed in the collective may add to the credibility of the pathogen hypothesis of AD in a way that the study of single agents may never allow.

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